

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
1290 FUNDS	TNUIX	68246A686	1290 DIVERSIFIED BOND FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNXAX	68259P570	1290 DOUBLELINE DYNAMIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNVDX	68259P554	1290 DOUBLELINE DYNAMIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNYRX	68259P547	1290 DOUBLELINE DYNAMIC ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
1290 FUNDS	TNVAX	68246A108	1290 GAMCO SMALL MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNVIX	68246A306	1290 GAMCO SMALL MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNVRX	68246A405	1290 GAMCO SMALL MID CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
1290 FUNDS	TNHAX	68246A504	1290 HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNHIX	68246A702	1290 HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNHRX	68246A801	1290 HIGH YIELD BOND FUND CLASS R	T	R	N	4:00 PM	01
1290 FUNDS	TNMAX	68246A843	1290 MULTI ALTERNATIVE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNMIX	68246A827	1290 MULTI ALTERNATIVE STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNMRX	68246A819	1290 MULTI ALTERNATIVE STRATEGIES FUND CLASS R	T	R	N	4:00 PM	01
1290 FUNDS	TNIIX	68259P307	1290 RETIREMENT 2020 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNJIX	68259P703	1290 RETIREMENT 2025 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNKIX	68259P869	1290 RETIREMENT 2030 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNLIX	68259P828	1290 RETIREMENT 2035 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNNIX	68259P778	1290 RETIREMENT 2040 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNOIX	68259P737	1290 RETIREMENT 2045 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNWIX	68259P687	1290 RETIREMENT 2050 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNQIX	68259P646	1290 RETIREMENT 2055 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNXIX	68259P596	1290 RETIREMENT 2060 FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNBAX	68246A884	1290 SMARTBETA EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNBIX	68246A868	1290 SMARTBETA EQUITY FUND CLASS I	T	I	N	4:00 PM	01
1290 FUNDS	TNBRX	68246A850	1290 SMARTBETA EQUITY FUND CLASS R	T	R	N	4:00 PM	01
1290 FUNDS	TNUAX	68246A710	1290 UNCONSTRAINED BOND MANAGERS FUND CLASS A	NTF^	A	N	4:00 PM	01
1290 FUNDS	TNURX	68246A678	1290 UNCONSTRAINED BOND MANAGERS FUND CLASS R	T	R	N	4:00 PM	01
13D ACTIVIST FUNDS	DDDAX	66537V252	13D ACTIVIST FUND CLASS A	NTF^	A	N	4:00 PM	01
13D ACTIVIST FUNDS	DDDCX	66537V245	13D ACTIVIST FUND CLASS C	NTF^	C	N	4:00 PM	01
13D ACTIVIST FUNDS	DDDIX	66537V237	13D ACTIVIST FUND CLASS I	T	I	N	4:00 PM	01
361 CAPITAL FUNDS	ADMWX	46141Q543	361 DOMESTIC LONG SHORT EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
361 CAPITAL FUNDS	ADMZX	46141Q535	361 DOMESTIC LONG/SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
361 CAPITAL FUNDS	ADMQX	46141Q527	361 DOMESTIC LONG/SHORT EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
361 CAPITAL FUNDS	AGAZX	46141Q873	361 GLOBAL LONG/SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
361 CAPITAL FUNDS	AGAWX	46141Q865	361 GLOBAL LONG/SHORT EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
361 CAPITAL FUNDS	AGAQX	46141Q881	361 GLOBAL LONG/SHORT EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
AAM FUNDS	CPUAX	46141P883	AAM /INSIGHT SELECT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AAM FUNDS	APRAX	46141Q220	AAM PHOCAS REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
AAM FUNDS	APRIX	46141Q238	AAM PHOCAS REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01

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AAM FUNDS	CPUIX	46141P867	AAM SELECT INCOME FUND CLASS I	T	I	N	4:00 PM	01
AAM FUNDS	AFNAX	461418188	AAM/BAHL & GAYNOR INCOME GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AAM FUNDS	AFYCX	461418170	AAM/BAHL & GAYNOR INCOME GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AAM FUNDS	AFNIX	461418162	AAM/BAHL & GAYNOR INCOME GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AAM FUNDS	ASDAX	46141P248	AAM/HIMCO SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
AAM FUNDS	ASDCX	46141P230	AAM/HIMCO SHORT DURATION FUND CLASS C	NTF^	C	N	4:00 PM	01
AAM FUNDS	ASDIX	46141P222	AAM/HIMCO SHORT DURATION FUND CLASS I	T	I	N	4:00 PM	01
AAM FUNDS	CPUCX	46141P875	AAM/INSIGHT SELECT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AAMA FUNDS	AMFEX	045419843	AAMA EQUITY FUND	T		N	4:00 PM	01
AAMA FUNDS	AMFIX	045419835	AAMA INCOME FUND	T		N	4:00 PM	01
AB FUNDS	MRKYX	01878T160	AB ALL MARKET INCOME PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	MRKAX	01878T186	AB ALL MARKET INCOME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AMTYX	018528422	AB ALL MARKET REAL RETURN PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AMTAX	018528430	AB ALL MARKET REAL RETURN PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABWYX	01877F625	AB ALL MARKET TOTAL RETURN PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABWAX	01877F658	AB ALL MARKET TOTAL RETURN PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABWCX	01877F633	AB ALL MARKET TOTAL RETURN PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AAZYX	01864E573	AB ARIZONA PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABNYX	018528562	AB BOND INFLATION STRATEGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABNAX	018528570	AB BOND INFLATION STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	WPSGX	01878T848	AB CONCENTRATED GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	WPASX	01878T707	AB CONCENTRATED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CIGYX	01878T475	AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO FUND ADVISOR CLA	T	O	N	4:00 PM	01
AB FUNDS	CIAGX	01878T491	AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CICGX	01878T483	AB CONCENTRATED INTERNATIONAL GROWTH PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ADGYX	01879K705	AB CORE OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ADGAX	01879K101	AB CORE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CHCYX	018636407	AB DISCOVERY GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	CHCLX	018636100	AB DISCOVERY GROWTH PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABYSX	018914408	AB DISCOVERY VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABASX	018914101	AB DISCOVERY VALUE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABCEX	01877E537	AB EMERGING MARKETS MULTI-ASSET PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABYEX	01877E545	AB EMERGING MARKETS MULTI-ASSET PORTF FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABAEX	01877E552	AB EMERGING MARKETS MULTI-ASSET PORTF FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AUIYX	018791400	AB EQUITY INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AUIAX	018791103	AB EQUITY INCOME PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	HIZYX	01881M335	AB FLEXFEE HIGH YIELD PORTFOLIO CLASS Z	T+	Z	N	4:00 PM	01

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AB FUNDS	ANAYX	01853W709	AB GLOBAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ANAGX	01853W105	AB GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	GCEYX	01878T244	AB GLOBAL CORE EQUITY PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	GCEAX	01878T269	AB GLOBAL CORE EQUITY PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ARSYX	01877G409	AB GLOBAL REAL ESTATE INVESTMENT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AREAX	01877G102	AB GLOBAL REAL ESTATE INVESTMENT PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CBSYX	018525501	AB GLOBAL RISK ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	CABNX	018525105	AB GLOBAL RISK ALLOCATION PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AGRYX	01877F757	AB GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AGRFX	01877F401	AB GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AGDYX	01859M408	AB HIGH INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AGDAX	01859M101	AB HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABTYX	018642744	AB HIGH INCOME MUNI FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABTHX	018642751	AB HIGH INCOME MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABTZX	018642728	AB HIGH INCOME MUNICIPAL PORTFOLIO FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	HIYYX	01881M699	AB HIGH YIELD PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	HIAYX	01881M343	AB HIGH YIELD PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABIMX	01879V602	AB IMPACT MUNICIPAL INCOME SHARES	T+		N	4:00 PM	01
AB FUNDS	ACGYX	01881M442	AB INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AKGAX	01881M467	AB INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AKGCX	01881M459	AB INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ACGZX	01881M350	AB INCOME FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	ACMCX	085568780	AB INTERMEDIATE CALIFORNIA MUNI PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AICAX	085568814	AB INTERMEDIATE CALIFORNIA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AICYX	085568442	AB INTERMEDIATE CALIFORNIA MUNICIPAL PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AIMCX	085568756	AB INTERMEDIATE DIVERSIFIED MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AIDYX	085568483	AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AIDAX	085568772	AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AIDZX	085568426	AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	IDPYX	085568384	AB INTERMEDIATE DURATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	IDPAX	085568418	AB INTERMEDIATE DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	IDPZX	085568392	AB INTERMEDIATE DURATION FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	ANMCX	085568822	AB INTERMEDIATE NEW YORK MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ANIYX	085568434	AB INTERMEDIATE NEW YORK MUNICIPAL PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ANIAX	085568848	AB INTERMEDIATE NEW YORK MUNICIPAL PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ISARX	01878H109	AB INTERNATIONAL STRATEGIC CORE PORT FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ISRYX	01878H307	AB INTERNATIONAL STRATEGIC CORE PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ISCRX	01878H208	AB INTERNATIONAL STRATEGIC CORE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ISZRX	01878H703	AB INTERNATIONAL STRATEGIC CORE PORTFOLIO CLASS Z	T+	Z	N	4:00 PM	01

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AB FUNDS	ABIYX	018913400	AB INTERNATIONAL VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABIAX	018913103	AB INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	APGZX	01878H885	AB LARGE CAP GROWTH FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	APGYX	01877C408	AB LARGE-CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	APGAX	01877C101	AB LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ALHYX	018528331	AB LIMITED DURATION HIGH INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ALHAX	018528349	AB LIMITED DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ALCVX	018642785	AB MUNI INCOME FUND CALIFORNIA ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AMAYX	01864E110	AB MUNI INCOME FUND MASSACHUSETTS PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ALTVX	018642819	AB MUNI INCOME FUND NATIONAL ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ALNVX	018642769	AB MUNI INCOME FUND NEW YORK ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AVAYX	01864E128	AB MUNI INCOME FUND VIRGINIA PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AUNYX	018528471	AB MUNICIPAL BOND INFLATION STRATEGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AUNAX	018528489	AB MUNICIPAL BOND INFLATION STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ALCAX	018642306	AB MUNICIPAL INCOME CALIFORNIA PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AAZAX	01864E797	AB MUNICIPAL INCOME FUND ARIZONA CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AMAAX	01864E763	AB MUNICIPAL INCOME FUND MASSACHUSETTS CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AMNAX	01864E409	AB MUNICIPAL INCOME FUND MINNESOTA CLASS A	NTF	A	N	4:00 PM	01
AB FUNDS	ANJAX	01864E805	AB MUNICIPAL INCOME FUND NEW JERSEY CLASS A	NTF	A	N	4:00 PM	01
AB FUNDS	ALNYX	018642207	AB MUNICIPAL INCOME FUND NEW YORK PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AOHAX	01864E862	AB MUNICIPAL INCOME FUND OHIO CLASS A	NTF	A	N	4:00 PM	01
AB FUNDS	APAAX	01864E839	AB MUNICIPAL INCOME FUND PENNSYLVANIA CLASS A	NTF	A	N	4:00 PM	01
AB FUNDS	AVAAX	01864E706	AB MUNICIPAL INCOME FUND VIRGINIA CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ALTHX	018642108	AB MUNICIPAL INCOME NATIONAL PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CBBYX	018597500	AB RELATIVE VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	CABDX	018597104	AB RELATIVE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	CBBCX	018597401	AB RELATIVE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AUUYX	01877E297	AB SELECT U.S. EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AUUAX	01877E313	AB SELECT U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ASYLX	01878T202	AB SELECT U.S. LONG/SHORT PORTF FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ASLAX	01878T103	AB SELECT U.S. LONG/SHORT PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
AB FUNDS	SHUYX	018529305	AB SHORT DURATION INCOME PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	SHUAX	018529107	AB SHORT DURATION INCOME PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	SHUCX	018529206	AB SHORT DURATION INCOME PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ADPAX	085568749	AB SHORT DURATION PORTFOLIO CLASS A	NTF	A	N	4:00 PM	01
AB FUNDS	ADPCX	085568723	AB SHORT DURATION PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	QUAYX	01877E503	AB SMALL CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	SCAVX	01878T574	AB SMALL CAP VALUE PORT FUND CLASS A	NTF^	A	N	4:00 PM	01

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AB FUNDS	SCYVX	01878T558	AB SMALL CAP VALUE PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	SCCVX	01878T566	AB SMALL CAP VALUE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	QUASX	01877E107	AB SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ATEYX	018780502	AB SUSTAINABLE GLOBAL THEMATIC FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ALTFX	018780106	AB SUSTAINABLE GLOBAL THEMATIC FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ATECX	018780403	AB SUSTAINABLE GLOBAL THEMATIC FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ATEZX	018780874	AB SUSTAINABLE GLOBAL THEMATIC FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	AWPYX	01879X400	AB SUSTAINABLE INTERNATIONAL THEMATIC FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AWPCX	01879X301	AB SUSTAINABLE INTERNATIONAL THEMATIC FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AWPAX	01879X103	AB SUSTAINABLE INTERNATIONAL THEMATIC PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABPYX	01877F575	AB SUSTAINABLE THEMATIC BALANCED PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABPAX	01877F617	AB SUSTAINABLE THEMATIC BALANCED PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABPCX	01877F583	AB SUSTAINABLE THEMATIC BALANCED PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	STHYX	018529602	AB SUSTAINABLE THEMATIC CREDIT PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	STHAX	018529503	AB SUSTAINABLE THEMATIC CREDIT PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	FFTYX	01878H778	AB SUSTAINABLE U.S. THEMATIC PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ATWYX	01877F534	AB TAX MANAGED WEALTH APPRECIATION STRATEGY FUND ADV CL	T	O	N	4:00 PM	01
AB FUNDS	ATWAX	01877F567	AB TAX MANAGED WEALTH APPRECIATION STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
AB FUNDS	ATCCX	018528166	AB TAX-AWARE FIXED INCOME OPPORTUNITIES PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ATTYX	018528133	AB TAX-AWARE FIXED INCOME OPPORTUNITIES PORTFOLIO FUND ADVISOR CL	T	O	N	4:00 PM	01
AB FUNDS	ATTAX	018528174	AB TAX-AWARE FIXED INCOME OPPORTUNITIES PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AGIYX	01877F724	AB TAX-MANAGED ALL MARKET INCOME PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AGIAX	01877F708	AB TAX-MANAGED ALL MARKET INCOME PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AGICX	01877F880	AB TAX-MANAGED ALL MARKET INCOME PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABQUX	018528711	AB TOTAL RETURN BOND PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	ABQYX	018528729	AB TOTAL RETURN BOND PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABQCX	018528687	AB TOTAL RETURN BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABVYX	018915405	AB VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	ABVAX	018915108	AB VALUE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	AWAYX	01877F666	AB WEALTH APPRECIATION STRATEGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AB FUNDS	AWAAX	01877F690	AB WEALTH APPRECIATION STRATEGY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AB FUNDS	MRKCX	01878T178	ALLIANCE BERNSTEIN ALL MARKET INCOME PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABNCX	018528554	ALLIANCE BERNSTEIN BOND INFLATION STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ADGCX	01879K309	ALLIANCE BERNSTEIN CORE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ACISX	01879V107	ALLIANCE BERNSTEIN CORPORATE INC SHARES	T+		N	4:00 PM	01
AB FUNDS	CHCCX	018636308	ALLIANCE BERNSTEIN DISCOVERY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABCSX	018914309	ALLIANCE BERNSTEIN DISCOVERY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AUICX	018791301	ALLIANCE BERNSTEIN EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AB FUNDS	ANACX	01853W303	ALLIANCE BERNSTEIN GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ARECX	01877G300	ALLIANCE BERNSTEIN GLOBAL REAL ESTATE INVESTMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	CBACX	018525402	ALLIANCE BERNSTEIN GLOBAL RISK ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AGDCX	01859M309	ALLIANCE BERNSTEIN HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABTFX	018642736	ALLIANCE BERNSTEIN HIGH INCOME MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABICX	018913301	ALLIANCE BERNSTEIN INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	APGCX	01877C309	ALLIANCE BERNSTEIN LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	CSHTX	01879V305	ALLIANCE BERNSTEIN MULTI SECTOR INCOME SHARES	T+		N	4:00 PM	01
AB FUNDS	AUNCX	018528463	ALLIANCE BERNSTEIN MUNICIPAL BOND INFLATION STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	MISHX	01879V206	ALLIANCE BERNSTEIN MUNICIPAL INCOME SHARES	T+		N	4:00 PM	01
AB FUNDS	QUAZX	01878H802	ALLIANCE BERNSTEIN SMALL CAP GROWTH FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	QUACX	01877E404	ALLIANCE BERNSTEIN SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ATWCX	01877F542	ALLIANCE BERNSTEIN TAX MANAGED WEALTH APPRECIATION STRATEGY FUND	NTF^	C	N	4:00 PM	01
AB FUNDS		01879V404	ALLIANCE BERNSTEIN TAX-AWARE REAL RETURN INCOME SHARES	T+		N	4:00 PM	01
AB FUNDS	ABVCX	018915306	ALLIANCE BERNSTEIN VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AWACX	01877F674	ALLIANCE BERNSTEIN WEALTH APPRECIATION STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AGRCX	01877F609	ALLIANCE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AAZCX	01864E771	ALLIANCE MUNI INCOME FUND ARIZONA CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ACACX	018642850	ALLIANCE MUNI INCOME FUND CALIFORNIA CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AMACX	01864E748	ALLIANCE MUNI INCOME FUND MASSACHUSETTS CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AMNCX	01864E607	ALLIANCE MUNI INCOME FUND MINNESOTA CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ALNCX	018642835	ALLIANCE MUNI INCOME FUND NATIONAL CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ANJCX	01864E870	ALLIANCE MUNI INCOME FUND NEW JERSEY CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ANYCX	018642868	ALLIANCE MUNI INCOME FUND NEW YORK CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AOHCX	01864E847	ALLIANCE MUNI INCOME FUND OHIO CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	APACX	01864E813	ALLIANCE MUNI INCOME FUND PENNSYLVANIA CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AVACX	01864E680	ALLIANCE MUNI INCOME FUND VIRGINIA CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ACMTX	018528414	ALLIANCEBERNSTEIN ALL MARKET REAL RETURN PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABNZX	01881M475	ALLIANCEBERNSTEIN BOND INFLATION STRATEGY FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	WPCSX	01878T806	ALLIANCEBERNSTEIN CONCENTRATED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	CHCZX	018636803	ALLIANCEBERNSTEIN DISCOVERY GROWTH FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	ABSZX	018914804	ALLIANCEBERNSTEIN DISCOVERY VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	ANAZX	01853W808	ALLIANCEBERNSTEIN GLOBAL BOND FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	GCECX	01878T251	ALLIANCEBERNSTEIN GLOBAL CORE EQUITY PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ABQZX	01881M483	ALLIANCEBERNSTEIN INTERMEDIATE BOND PORTFOLIO FUND CLASS Z	T+	Z	N	4:00 PM	01
AB FUNDS	ALHCX	018528323	ALLIANCEBERNSTEIN LIMITED DURATION HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	AUUCX	01877E289	ALLIANCEBERNSTEIN SELECT U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
AB FUNDS	ASCLX	01878T301	ALLIANCEBERNSTEIN SELECT U.S. LONG/SHORT PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
ABBAY CAPITAL FUNDS	ABYAX	74925K375	ABBAY CAPITAL FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ABBEY CAPITAL FUNDS	ABYCX	74925K359	ABBEY CAPITAL FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
ABBEY CAPITAL FUNDS	ABYIX	74925K367	ABBEY CAPITAL FUTURES STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
ABBEY CAPITAL FUNDS	MAFIX	74933W882	ABBEY CAPITAL MULTI ASSET FUND CLASS I	T	I	N	4:00 PM	01
ABERDEEN FUNDS	GOPAX	003019106	ABERDEEN CHINA A SHARE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	GOPCX	003019304	ABERDEEN CHINA A SHARE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	GOPIX	003019502	ABERDEEN CHINA A SHARE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	GOPSX	003019601	ABERDEEN CHINA A SHARE EQUITY FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GAMXS	003020609	ABERDEEN DIVERSIFIED INCOME FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	ADAVX	003022423	ABERDEEN DYNAMIC DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	ADVDX	003022431	ABERDEEN DYNAMIC DIVIDEND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	AKFAX	003021151	ABERDEEN EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	AKFCX	003021144	ABERDEEN EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	AKFIX	003021128	ABERDEEN EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	AKFSX	003021110	ABERDEEN EMERGING MARKETS DEBT FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GEGAX	003021250	ABERDEEN EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	GEGCX	003021243	ABERDEEN EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	ABEMX	003021714	ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	AEMSX	003021656	ABERDEEN EMERGING MARKETS FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GIGAX	003019445	ABERDEEN EMERGING MARKETS SUSTAINABLE LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	GIGCX	003019429	ABERDEEN EMERGING MARKETS SUSTAINABLE LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	GIRRX	003019411	ABERDEEN EMERGING MARKETS SUSTAINABLE LEADERS FUND CLASS R	T	R	N	4:00 PM	01
ABERDEEN FUNDS	GIGSX	003019387	ABERDEEN EMERGING MARKETS SUSTAINABLE LEADERS FUND INST SERVICE	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GIGIX	003019395	ABERDEEN EMERGING MARKETS SUSTAINABLE LEADERS FUND INSTITUTIONAL	T	I	N	4:00 PM	01
ABERDEEN FUNDS	CGBCX	003021748	ABERDEEN GLOBAL ABSOLUTE RETURN STRATEGIES FD CL C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	CUGAX	003021755	ABERDEEN GLOBAL ABSOLUTE RETURN STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	AGCIX	003021730	ABERDEEN GLOBAL ABSOLUTE RETURN STRATEGIES FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
ABERDEEN FUNDS	CGFIX	003021722	ABERDEEN GLOBAL ABSOLUTE RETURN STRATEGIES FUND INSTITUTIONAL SE	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GLLAX	003020310	ABERDEEN GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	GLLCX	003020286	ABERDEEN GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	GWLIX	003020260	ABERDEEN GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	GLLSX	003020252	ABERDEEN GLOBAL EQUITY FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	JETAX	04315J845	ABERDEEN GLOBAL EQUITY IMPACT FUND CLASS A	NTF	A	N	4:00 PM	01
ABERDEEN FUNDS	JETIX	04315J837	ABERDEEN GLOBAL EQUITY IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	BJBHX	04315J878	ABERDEEN GLOBAL HIGH INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
ABERDEEN FUNDS	JHYIX	04315J860	ABERDEEN GLOBAL HIGHINCOME FUND CLASS I	T	I	N	4:00 PM	01
ABERDEEN FUNDS	AIAFX	003022399	ABERDEEN GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	AIFRX	003022415	ABERDEEN GLOBAL INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	ABNIX	003021789	ABERDEEN GLOBAL SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	NTFAX	003020161	ABERDEEN INTERMEDIATE MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ABERDEEN FUNDS	GTICX	003020146	ABERDEEN INTERMEDIATE MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	ABEIX	003022209	ABERDEEN INTERMEDIATE MUNICIPAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	EGALX	003022373	ABERDEEN INTERNATIONAL REAL ESTATE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	EGLRX	003022381	ABERDEEN INTERNATIONAL REAL ESTATE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	BJBIX	04315J407	ABERDEEN INTERNATIONAL SUSTAINABLE LEADERS FUND CLASS A	NTF	A	N	4:00 PM	01
ABERDEEN FUNDS	JIEIX	04315J506	ABERDEEN INTERNATIONAL SUSTAINABLE LEADERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	WVCCX	003021821	ABERDEEN INT'L SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	CPVCX	003021797	ABERDEEN INT'L SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	WPVAX	003021813	ABERDEEN INT'L SMALL CAP FUND CLASS R	T	R	N	4:00 PM	01
ABERDEEN FUNDS	ABESX	003022308	ABERDEEN INTERMEDIATE MUNICIPAL INCOME FUND INSTITUTIONAL SERVICE	T	S	N	4:00 PM	01
ABERDEEN FUNDS	AIAGX	003022357	ABERDEEN REALTY INCOME & GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	AIGYX	003022365	ABERDEEN REALTY INCOME & GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	ACHMX	003022282	ABERDEEN SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	AAHMX	003022332	ABERDEEN SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	AHYMX	003022340	ABERDEEN SHORT DURATION HIGH YIELD MUNICIPAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	GSXAX	003020716	ABERDEEN U.S. SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	GSXCX	003020682	ABERDEEN U.S. SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	GSCIX	003020666	ABERDEEN U.S. SMALL CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ABERDEEN FUNDS	GSXIX	003020658	ABERDEEN U.S. SMALL CAP EQUITY FUND INSTITUTIONAL SERVICE CLASS	T	S	N	4:00 PM	01
ABERDEEN FUNDS	GGLIX	003021383	ABERDEEN U.S. SUSTAINABLE LEADERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	MLSAX	003020377	ABERDEEN U.S. SUSTAINABLE LEADERS SMALLER COMPANIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	MLSCX	003020351	ABERDEEN U.S. SUSTAINABLE LEADERS SMALLER COMPANIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ABERDEEN FUNDS	GGUIX	003020336	ABERDEEN U.S. SUSTAINABLE LEADERS SMALLER COMPANIES FUND INST CLASS	T	I	N	4:00 PM	01
ABERDEEN FUNDS	AELSX	003020328	ABERDEEN U.S. SUSTAINABLE LEADERS SMALLER COMPANIES FUND INST SVC	T	S	N	4:00 PM	01
ABERDEEN FUNDS	ATOAX	003022316	ABERDEEN ULTRA SHORT MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ABERDEEN FUNDS	ATOIX	003022324	ABERDEEN ULTRA SHORT MUNICIPAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ABRAHAM FUNDS	FORTX	46141T166	ABRAHAM FORTRESS FUND CLASS I	T	I	N	4:00 PM	01
ABSOLUTE CAPITAL FUNDS	AAMAX	66538G353	ABSOLUTE CAPITAL ASSET ALLOCATOR FUND CLASS A	NTF	A	N	4:00 PM	01
ABSOLUTE CAPITAL FUNDS	AAMCX	66538G338	ABSOLUTE CAPITAL ASSET ALLOCATOR FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ABSOLUTE CAPITAL FUNDS	ACMAX	66538G320	ABSOLUTE CAPITAL DEFENDER FUND CLASS A	NTF	A	N	4:00 PM	01
ABSOLUTE CAPITAL FUNDS	ACMDX	66538G296	ABSOLUTE CAPITAL DEFENDER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ACM FUNDS	ADOAX	66538G668	ACM DYNAMIC OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ACM FUNDS	ADOIX	66538G650	ACM DYNAMIC OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
ACM FUNDS	TINAX	66538R771	ACM TACTICAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ACM FUNDS	TINIX	66538R763	ACM TACTICAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADIRONDACK FUNDS	ADKSX	00688P103	ADIRONDACK SMALL CAP FUND	NTF		N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AEMZX	00769G188	ACADIAN EMERGING MARKET PORTFOLIO CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AEMVX	00769G196	ACADIAN EMERGING MARKET PORTFOLIO CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ADVISORS INNER CIRCLE FDS	AEMGX	00758M162	ACADIAN EMERGING MARKETS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ADISX	00774Q437	APERTURE DISCOVER EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ATOMX	00774Q593	APERTURE ENDEAVOR EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AFORX	00774Q379	APERTURE INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ANWOX	00774Q742	APERTURE NEW WORLD OPPORTUNITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMAX	0075W0650	CAMBIAR AGGRESSIVE VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMGX	00769G113	CAMBIAR GLOBAL SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMYX	00769G543	CAMBIAR INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMIX	00758M139	CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMFX	00769G287	CAMBIAR INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMWX	0075W0825	CAMBIAR OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMOX	00758M261	CAMBIAR OPPORTUNITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMSX	0075W0817	CAMBIAR SMALL CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMZX	0075W0593	CAMBIAR SMALL CAP INSTITUTIONAL FUND	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMMX	00769G766	CAMBIAR SMID 30 FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CAMUX	00769G311	CAMBIAR SMID FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPDX	00764Q660	CHAMPLAIN EMERGING MARKETS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPQX	00764Q652	CHAMPLAIN EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPMX	00764Q744	CHAMPLAIN MID CAP FUND	NTF	O	Y	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPIX	00766Y513	CHAMPLAIN MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPSX	00764Q405	CHAMPLAIN SMALL COMPANY FUND	T	O	Y	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CIPNX	00766Y190	CHAMPLAIN SMALL COMPANY FUND INSTITUTIONAL SHARES	T	I	Y	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CCPAX	00775Y827	CHIRON CAPITAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ADVISORS INNER CIRCLE FDS	CCPCX	00775Y819	CHIRON CAPITAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CCAPX	00771X583	CHIRON CAPITAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CSAMX	00775Y793	CHIRON SMID OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CSCMX	00775Y785	CHIRON SMID OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	CSMOX	00774Q403	CHIRON SMID OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWGIX	00769G170	CIBC ATLAS ALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWEIX	00769G493	CIBC ATLAS DISCIPLINED EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWYIX	00769G154	CIBC ATLAS EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWIIX	00769G451	CIBC ATLAS INCOME OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWWIX	00769G139	CIBC ATLAS INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	AWMIX	00769G477	CIBC ATLAS MID-CAP EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	EGFIX	0075W0759	EDGEWOOD GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	EGFFX	0075W0742	EDGEWOOD GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	FFBAX	00774Q247	FIRST FOUNDATION FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	FFBYX	00774Q239	FIRST FOUNDATION FIXED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	FBBAX	00774Q221	FIRST FOUNDATION TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	FBBYX	00774Q213	FIRST FOUNDATION TOTAL RETURN FUND CLASS Y	T	Y	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQGIX	00771X419	GQG PARTNERS EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQGPX	00771X427	GQG PARTNERS EMERGING MARKETS EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQFIX	00775Y876	GQG PARTNERS GLOBAL QUALITY DIVIDEND INCOME FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQFPX	00775Y884	GQG PARTNERS GLOBAL QUALITY DIVIDEND INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQRIX	00774Q692	GQG PARTNERS GLOBAL QUALITY EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQRPX	00774Q718	GQG PARTNERS GLOBAL QUALITY EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ADVISORS INNER CIRCLE FDS	GQJIX	00775Y603	GQG PARTNERS INTERNATIONAL QUALITY DIVIDEND INCOME FUND INSTITUTIO	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQJPX	00775Y504	GQG PARTNERS INTERNATIONAL QUALITY DIVIDEND INCOME FUND INVESTOR (T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQHIX	00775Y801	GQG PARTNERS U.S. QUALITY DIVIDEND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQHPX	00775Y702	GQG PARTNERS U.S. QUALITY DIVIDEND INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQEIX	00774Q866	GQG PARTNERS U.S. QUALITY SELECT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	GQEPX	00774Q874	GQG PARTNERS U.S. QUALITY SELECT EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	HHDFX	00769G741	HAMLIN HIGH DIVIDEND EQUITY FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ADVISORS INNER CIRCLE FDS	HHDVX	00769G733	HAMLIN HIGH DIVIDEND EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	02
ADVISORS INNER CIRCLE FDS	HXIAX	00769G568	HARVEST ASIAN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	HXIIX	00769G550	HARVEST ASIAN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	HAVGX	0075W0866	HAVERFORD QUALITY GROWTH FUND	T		N	4:00 PM	02
ADVISORS INNER CIRCLE FDS	KCCIX	00771X815	KNIGHTS OF COLUMBUS CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCCSX	00771X740	KNIGHTS OF COLUMBUS CORE BOND FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCRIX	00774Q510	KNIGHTS OF COLUMBUS GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCIIX	00771X757	KNIGHTS OF COLUMBUS INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCISX	00771X690	KNIGHTS OF COLUMBUS INTERNATIONAL EQUITY FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCGIX	00771X781	KNIGHTS OF COLUMBUS LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCGSX	00771X625	KNIGHTS OF COLUMBUS LARGE-CAP GROWTH FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCVIX	00771X773	KNIGHTS OF COLUMBUS LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCVSX	00771X658	KNIGHTS OF COLUMBUS LARGE-CAP VALUE FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCLIX	00771X799	KNIGHTS OF COLUMBUS LIMITED DURATION FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCLSX	00771X724	KNIGHTS OF COLUMBUS LIMITED DURATION FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCEIX	00774Q577	KNIGHTS OF COLUMBUS LONG/SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01

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ADVISORS INNER CIRCLE FDS	KCSIX	00771X765	KNIGHTS OF COLUMBUS SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCSSX	00771X674	KNIGHTS OF COLUMBUS SMALL-CAP FUND CLASS S	T	S	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KCXIX	00774Q544	KNIGHTS OF COLUMBUS U.S. ALL CAP INDEX FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KGGAX	00766Y315	KOPERNIK GLOBAL ALL-CAP FUND CLASS A	NTF^	A	Y	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KGGIX	00766Y299	KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KGIIX	00766Y240	KOPERNIK INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	KGIRX	00766Y257	KOPERNIK INTERNATIONAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVVX	0075W0676	LSV CONSERVATIVE VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAVX	00769G345	LSV CONSERVATIVE VALUE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVZX	0075W0361	LSV EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAZX	0075W0353	LSV EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVFX	00769G386	LSV GLOBAL MANAGED VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAFX	00769G378	LSV GLOBAL MANAGED VOLATILITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVGX	00769G352	LSV GLOBAL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAGX	00769G360	LSV GLOBAL VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAQX	00769G329	LSV SMALL CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVQX	00769G535	LSV SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVMX	00769G410	LSV U.S. MANAGED VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAMX	00769G394	LSV U.S. MANAGED VOLATILITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LSVEX	00758M634	LSV VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LVAEX	00769G337	LSV VALUE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MKIEX	00758M188	MCKEE INTERNATIONAL EQUITY PORTFOLIO INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MFBIX	00774Q817	MESIROW FINANCIAL ENHANCED CORE PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

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ADVISORS INNER CIRCLE FDS	MFBVX	00774Q791	MESIROW FINANCIAL ENHANCED CORE PLUS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MFHIX	00774Q783	MESIROW FINANCIAL HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MFHVX	00774Q775	MESIROW FINANCIAL HIGH YIELD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MSVIX	00774Q767	MESIROW FINANCIAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	MSVVX	00774Q759	MESIROW FINANCIAL SMALL CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LPCIX	00771X807	METLIFE CORE PLUS FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	LPCYX	00771X880	METLIFE CORE PLUS FUND CLASS R	T	R	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	NPSGX	00774Q726	NICHOLAS PARTNERS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ZEMAX	00774Q833	NINETY ONE EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ZEMIX	00774Q825	NINETY ONE EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ZGFAX	00774Q841	NINETY ONE GLOBAL FRANCHISE FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	ZGFIX	00771X112	NINETY ONE GLOBAL FRANCHISE FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	PMEFX	00774Q411	PENN MUTUAL AM 1847 INCOME FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	PMUBX	00774Q700	PENN MUTUAL AM STRATEGIC INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RSRFX	00764Q108	REAVES UTILITIES AND ENERGY INFRASTRUCTURE FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RHJSX	00758M212	RICE HALL JAMES MICRO CAP PORTFOLIO INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RHJMX	00758M196	RICE HALL JAMES SMALL CAP PORTFOLIO INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RQSGX	00766Y174	RQSI GAA SYSTEMATIC GLOBAL MACRO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RQSRX	00766Y166	RQSI GAA SYSTEMATIC GLOBAL MACRO FUND RETAIL CLASS	T	R	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RWCIX	00771X450	RWC GLOBAL EMERGING EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	RWCEX	00771X443	RWC GLOBAL EMERGING EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	SCMGX	00769G303	SANDS CAPITAL GLOBAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ADVISORS INNER CIRCLE FDS	SCGVX	00769G402	SANDS CAPITAL GLOBAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	02

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ADVISORS INNER CIRCLE FDS	SGLCX	00771X542	SGA INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDS	WDIVX	00766Y331	WESTFIELD CAPITAL DIVIDEND GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	CCMSX	00766Y273	CARDINAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	KBIWX	00774Q809	KBI GLOBAL INVESTORS AQUARIUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	PDAIX	00771X575	PINEBRIDGE DYNAMIC ASSET ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	PDAVX	00771X567	PINEBRIDGE DYNAMIC ASSET ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	SSSIX	00774Q155	SOUTHERNSUN SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	SSSFX	00774Q163	SOUTHERNSUN SMALL-CAP FUND CLASS N	NTF	N	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	SSEIX	00774Q171	SOUTHERNSUN U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ADVISORS INNER CIRCLE FDSI	SSEFX	00774Q189	SOUTHERNSUN U.S. EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
ADVISORS PREFERRED TRUST	DECMX	00771F541	BCM DECATHLON MODERATE FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS PREFERRED TRUST	DECIX	00771F533	BCM DECATHLON MODERATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QGLCX	00771F855	GOLD BULLION STRATEGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QGLDX	00771F202	GOLD BULLION STRATEGY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	HFSAX	00771F699	HUNDREDFOLD SELECT ALTERNATIVE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	SFHXY	00771F681	HUNDREDFOLD SELECT ALTERNATIVE FUND SERVICE CLASS	T	S	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAGAX	00771F616	KENSINGTON DYNAMIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAGCX	00771F590	KENSINGTON DYNAMIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAGIX	00771F582	KENSINGTON DYNAMIC GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAMAX	00771F723	KENSINGTON MANAGED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAMCX	00771F640	KENSINGTON MANAGED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ADVISORS PREFERRED TRUST	KAMIX	00771F715	KENSINGTON MANAGED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ADVISORS PREFERRED TRUST	OTRFX	00771F103	ONTRACK CORE FUND INVESTOR CLASS	T	V	N	4:00 PM	01

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ADVISORS PREFERRED TRUST	QALAX	00771F756	QUANTIFIED ALTERNATIVE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QALTX	00771F707	QUANTIFIED ALTERNATIVE INVESTMENT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QCGDX	00771F632	QUANTIFIED COMMON GROUND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QEVOX	00771F665	QUANTIFIED EVOLUTION PLUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QGITX	00771F525	QUANTIFIED GOVERNMENT INCOME TACTICAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QBDAX	00771F780	QUANTIFIED MANAGED INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QBDSX	00771F400	QUANTIFIED MANAGED INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QMLAX	00771F764	QUANTIFIED MARKET LEADERS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QMLFX	00771F608	QUANTIFIED MARKET LEADERS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QSPMX	00771F657	QUANTIFIED PATTERN RECOGNITION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QRDTX	00771F491	QUANTIFIED RISING DIVIDEND TACTICAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QSTAX	00771F731	QUANTIFIED STF FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QSTFX	00771F749	QUANTIFIED STF FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QFITX	00771F673	QUANTIFIED TACTICAL FIXED INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	QTSSX	00771F574	QUANTIFIED TACTICAL SECTORS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	SAPEX	00771F798	SPECTRUM ADVISORS PREFERRED INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	SVARX	00771F871	SPECTRUM LOW VOLATILTY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ADVISORS PREFERRED TRUST	SUNBX	00771F558	SPECTRUM UNCONSTRAINED FUND INVESTOR CLASS	T	V	N	4:00 PM	01
AEGIS FUNDS	AVALX	00761L102	AEGIS VALUE FUND CLASS I	T	I	N	4:00 PM	01
AINN FUNDS	NNAIX	55375E308	AINN FUND	T		N	4:00 PM	01
AKRE FUNDS	AKRIX	742935125	AKRE FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AKRE FUNDS	AKREX	742935117	AKRE FOCUS FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
AL FRANK FUNDS	VALAX	66538A349	AL FRANK FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AL FRANK FUNDS	VALUX	66538A356	AL FRANK FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALGER FUNDS	ATVPX	015565187	ALGER 35 FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ACAAX	015565849	ALGER CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALCCX	015565781	ALGER CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01

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ALGER FUNDS	ACAZX	015565419	ALGER CAPITAL APPRECIATION FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALARX	015570401	ALGER CAPITAL APPRECIATION INSTITUTIONAL FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ACIZX	015570757	ALGER CAPITAL APPRECIATION INSTITUTIONAL FUND CLASS Z2	T	Z	N	4:00 PM	01
ALGER FUNDS	SPEDX	015566813	ALGER DYNAMIC OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ADOCX	015566748	ALGER DYNAMIC OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ADOZX	015566755	ALGER DYNAMIC OPPORTUNITIES FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AZEMX	015566730	ALGER EMERGING MARKETS FD CL Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AAEMX	015566797	ALGER EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ACEMX	015566789	ALGER EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AIEMX	015566771	ALGER EMERGING MARKETS FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ALAFX	015570799	ALGER FOCUS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALCFX	015570781	ALGER FOCUS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ALGRX	015570302	ALGER FOCUS EQUITY FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ALZFX	015570773	ALGER FOCUS EQUITY FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	CHUSX	015549108	ALGER GLOBAL FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	CHUCX	015549207	ALGER GLOBAL FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AFGIX	015549306	ALGER GLOBAL FOCUS FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	AFGZX	015549405	ALGER GLOBAL FOCUS FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AGIZX	015565351	ALGER GROWTH & INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALBAX	015565831	ALGER GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALBCX	015565773	ALGER GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AHSAX	015565765	ALGER HEALTH SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	AHSCX	015565740	ALGER HEALTH SCIENCES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AHSZX	015565328	ALGER HEALTH SCIENCES FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALGAX	015565856	ALGER INTERNATIONAL FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	AFGPX	015565609	ALGER INTERNATIONAL FOCUS FUND CLASS B	NTF^	B	Y	4:00 PM	01
ALGER FUNDS	ALGCX	015565799	ALGER INTERNATIONAL FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AIGIX	015565344	ALGER INTERNATIONAL FOCUS FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ALCZX	015565393	ALGER INTERNATIONAL FOCUS FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AFOIX	015565179	ALGER MID CAP FOCUS FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	AFOZX	015565161	ALGER MID CAP FOCUS FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AMGAX	015565864	ALGER MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	AMCGX	015565807	ALGER MID CAP GROWTH FUND CLASS B	NTF^	B	Y	4:00 PM	01
ALGER FUNDS	AMGCX	015565815	ALGER MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AMCZX	015565336	ALGER MID CAP GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALMZX	015570740	ALGER MID CAP GROWTH INST FUND CLASS Z2	T	Z	N	4:00 PM	01
ALGER FUNDS	ALMRX	015570203	ALGER MID CAP GROWTH INSTITUTIONAL FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ALOAX	015565120	ALGER MID-CAP FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALOCX	015565112	ALGER MID-CAP FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALGER FUNDS	ALOYX	015565138	ALGER MID-CAP FOCUS FUND CLASS Y	T+	Y	N	4:00 PM	01
ALGER FUNDS	SPEGX	015566409	ALGER RESPONSIBLE INVESTING FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	AGFCX	015566508	ALGER RESPONSIBLE INVESTING FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	AGIFX	015566607	ALGER RESPONSIBLE INVESTING FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ALGZX	015566698	ALGER RESPONSIBLE INVESTING FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AOFAX	015565450	ALGER SMALL CAP FOCUS FUND CLASS A	NTF^	A	Y	4:00 PM	01
ALGER FUNDS	AOFCX	015565443	ALGER SMALL CAP FOCUS FUND CLASS C	NTF^	C	Y	4:00 PM	01
ALGER FUNDS	AOFIX	015565435	ALGER SMALL CAP FOCUS FUND CLASS I	NTF	I	Y	4:00 PM	01
ALGER FUNDS	AGOZX	015565369	ALGER SMALL CAP FOCUS FUND CLASS Z	T	Z	Y	4:00 PM	01
ALGER FUNDS	ALSAX	015565872	ALGER SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALSCX	015565500	ALGER SMALL CAP GROWTH FUND CLASS B	NTF^	B	Y	4:00 PM	01
ALGER FUNDS	AGSCX	015565823	ALGER SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ASCZX	015565377	ALGER SMALL CAP GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALSRX	015570104	ALGER SMALL CAP GROWTH INSTITUTIONAL FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	SPECX	015566102	ALGER SPECTRA FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ASPCX	015566201	ALGER SPECTRA FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ASPIX	015566300	ALGER SPECTRA FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ASPYX	015566623	ALGER SPECTRA FUND CLASS Y	T+	Y	N	4:00 PM	01
ALGER FUNDS	ASPZX	015566763	ALGER SPECTRA FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALEAX	01556Q207	ALGER WEATHERBIE ENDURING GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALECX	01556Q306	ALGER WEATHERBIE ENDURING GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ALEIX	01556Q405	ALGER WEATHERBIE ENDURING GROWTH FUND CLASS I	T	I	N	4:00 PM	01
ALGER FUNDS	ALEZX	01556Q603	ALGER WEATHERBIE ENDURING GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	ALMAX	015565732	ALGER WEATHERBIE SPECIALIZED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALGER FUNDS	ALMCX	015565716	ALGER WEATHERBIE SPECIALIZED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALGER FUNDS	ASIMX	015565468	ALGER WEATHERBIE SPECIALIZED GROWTH FUND CLASS I	NTF	I	N	4:00 PM	01
ALGER FUNDS	ASMZX	015565385	ALGER WEATHERBIE SPECIALIZED GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
ALGER FUNDS	AISZX	015570765	THE ALGER SMALL CAP GROWTH INST FUND CLASS Z2	T	Z	N	4:00 PM	01
ALLSPRING FUNDS	WARDX	94987W307	ALLSPRING ABSOLUTE RETURN FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WARAX	94987W109	ALLSPRING ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WARCX	94987W208	ALLSPRING ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WARHX	94988V100	ALLSPRING ABSOLUTE RETURN FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	WABIX	94987W737	ALLSPRING ABSOLUTE RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	ESADX	94975P785	ALLSPRING ADJUSTABLE RATE GOVERNMENT FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	ESAAX	94985D665	ALLSPRING ADJUSTABLE RATE GOVERNMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	ESACX	94985D640	ALLSPRING ADJUSTABLE RATE GOVERNMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKIZX	94985D632	ALLSPRING ADJUSTABLE RATE GOVERNMENT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EAIFX	94985D418	ALLSPRING ASSET ALLOCATION FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EAAFV	94985D442	ALLSPRING ASSET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	EACFX	94985D426	ALLSPRING ASSET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EAXFX	94985D392	ALLSPRING ASSET ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EAAIX	94987W745	ALLSPRING ASSET ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	CBLLX	94975J276	ALLSPRING C&B LARGE-CAP VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	CBEAX	94975J326	ALLSPRING C&B LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	CBECX	94975J292	ALLSPRING C&B LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	CBSX	94975J268	ALLSPRING C&B LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	CBMIX	94975J219	ALLSPRING C&B MID-CAP VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	CBMAX	94975J250	ALLSPRING C&B MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	CBMCX	94975J235	ALLSPRING C&B MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	CBMSX	94975J193	ALLSPRING C&B MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SFCIX	94975H668	ALLSPRING CALIFORNIA LIMITED-TERM TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	SFCCX	94975J755	ALLSPRING CALIFORNIA LIMITED-TERM TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SFCNX	94988A742	ALLSPRING CALIFORNIA LIMITED-TERM TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SGCAX	94975H676	ALLSPRING CALIFORNIA TAX-FREE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SCTAX	94975H718	ALLSPRING CALIFORNIA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	SCTCX	94975H684	ALLSPRING CALIFORNIA TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SGTIX	94988A734	ALLSPRING CALIFORNIA TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EIVDX	94975P538	ALLSPRING CLASSIC VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EIVAX	94984B223	ALLSPRING CLASSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EIVCX	94984B199	ALLSPRING CLASSIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EIVTX	949917181	ALLSPRING CLASSIC VALUE FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EIVIX	94984B181	ALLSPRING CLASSIC VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SCSDX	94975P629	ALLSPRING COMMON STOCK FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SCSAX	949915441	ALLSPRING COMMON STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	STSAX	949915425	ALLSPRING COMMON STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SCNSX	94975P611	ALLSPRING COMMON STOCK FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WCIAX	94990B639	ALLSPRING CONSERVATIVE INCOME FUND CLASS A2	T		N	4:00 PM	01
ALLSPRING FUNDS	WCII	94987W562	ALLSPRING CONSERVATIVE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	MNTRX	94975J599	ALLSPRING CORE BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	MBFAX	94975J631	ALLSPRING CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	MBFCX	94975J615	ALLSPRING CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	MBFIX	94975J581	ALLSPRING CORE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WIPDX	94975P835	ALLSPRING CORE PLUS BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	STYAX	94975H791	ALLSPRING CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFIPX	94975H775	ALLSPRING CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WIPIX	94984B694	ALLSPRING CORE PLUS BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	WFCMX	949921837	ALLSPRING COREBUILDER SHARES SERIES FUND CLASS M	T+	M	N	4:00 PM	01
ALLSPRING FUNDS	NVSOX	94975G488	ALLSPRING DISCIPLINED SMALL-CAP FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WDSAX	94975G512	ALLSPRING DISCIPLINED SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WSCOX	94988A718	ALLSPRING DISCIPLINED SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EVSYX	94984B157	ALLSPRING DISCIPLINED U.S. CORE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EVSAK	94984B173	ALLSPRING DISCIPLINED U.S. CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EVSTX	94984B165	ALLSPRING DISCIPLINED U.S. CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EVSHX	94988V209	ALLSPRING DISCIPLINED U.S. CORE FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EVSIK	94975P728	ALLSPRING DISCIPLINED U.S. CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFDDX	949915359	ALLSPRING DISCOVERY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFDAX	949921506	ALLSPRING DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WDSCX	949921605	ALLSPRING DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFDSX	949917355	ALLSPRING DISCOVERY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EKBDX	94975P678	ALLSPRING DIVERSIFIED CAPITAL BUILDER FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKBAX	94985D384	ALLSPRING DIVERSIFIED CAPITAL BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKBCX	94985D368	ALLSPRING DIVERSIFIED CAPITAL BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKBYX	94985D350	ALLSPRING DIVERSIFIED CAPITAL BUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	NVDEX	94975G405	ALLSPRING DIVERSIFIED EQUITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	NVDAX	94975G108	ALLSPRING DIVERSIFIED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFDEX	94975G306	ALLSPRING DIVERSIFIED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKSDX	94975P660	ALLSPRING DIVERSIFIED INCOME BUILDER FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKSAX	94985D343	ALLSPRING DIVERSIFIED INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKSCX	94985D327	ALLSPRING DIVERSIFIED INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKSYX	94985D319	ALLSPRING DIVERSIFIED INCOME BUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WDTAX	94988A593	ALLSPRING DYNAMIC TARGET DATE 2015 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDTCX	94988A585	ALLSPRING DYNAMIC TARGET DATE 2015 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WDTDX	94988A544	ALLSPRING DYNAMIC TARGET DATE 2020 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDTGX	94988A536	ALLSPRING DYNAMIC TARGET DATE 2020 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WDTIX	94988A486	ALLSPRING DYNAMIC TARGET DATE 2025 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDTJX	94988A478	ALLSPRING DYNAMIC TARGET DATE 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WDTNX	94988A437	ALLSPRING DYNAMIC TARGET DATE 2030 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDTGX	94988A429	ALLSPRING DYNAMIC TARGET DATE 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WDTTX	94988A379	ALLSPRING DYNAMIC TARGET DATE 2035 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDTGX	94988A361	ALLSPRING DYNAMIC TARGET DATE 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WTDAX	94988A320	ALLSPRING DYNAMIC TARGET DATE 2040 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WTDGX	94988A312	ALLSPRING DYNAMIC TARGET DATE 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WTDGX	94988A262	ALLSPRING DYNAMIC TARGET DATE 2045 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WTDHX	94988A254	ALLSPRING DYNAMIC TARGET DATE 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WTDLX	94988A213	ALLSPRING DYNAMIC TARGET DATE 2050 FUND CLASS A	T	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	WTDMX	94988A197	ALLSPRING DYNAMIC TARGET DATE 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WTDQX	94988A155	ALLSPRING DYNAMIC TARGET DATE 2055 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WTDRX	94988A148	ALLSPRING DYNAMIC TARGET DATE 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WTDVX	94987W257	ALLSPRING DYNAMIC TARGET DATE 2060 FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WTDWX	94987W240	ALLSPRING DYNAMIC TARGET DATE 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WDYAX	94988A650	ALLSPRING DYNAMIC TARGET DATE TODAY FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WDYCX	94988A643	ALLSPRING DYNAMIC TARGET DATE TODAY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFGDX	949917264	ALLSPRING EMERGING GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WEMAX	949921720	ALLSPRING EMERGING GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WEMCX	949921712	ALLSPRING EMERGING GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WEMIX	949921688	ALLSPRING EMERGING GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EMGYX	94984B355	ALLSPRING EMERGING MARKETS EQUITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EMGAX	94984B389	ALLSPRING EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EMGCX	94984B363	ALLSPRING EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EMGNX	94975P751	ALLSPRING EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EQIDX	94975P389	ALLSPRING EMERGING MARKETS EQUITY INCOME FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EQIAX	94975P413	ALLSPRING EMERGING MARKETS EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EQICX	94975P397	ALLSPRING EMERGING MARKETS EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EQIHX	94988V605	ALLSPRING EMERGING MARKETS EQUITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EQIIX	94975P371	ALLSPRING EMERGING MARKETS EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WECDX	949915557	ALLSPRING ENDEAVOR SELECT FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	STAEX	949915599	ALLSPRING ENDEAVOR SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WECCX	949915573	ALLSPRING ENDEAVOR SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFCIX	949915565	ALLSPRING ENDEAVOR SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SEPKX	949915391	ALLSPRING ENTERPRISE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SENAX	949915375	ALLSPRING ENTERPRISE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WENCX	949921779	ALLSPRING ENTERPRISE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFEIX	949915367	ALLSPRING ENTERPRISE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EGWDX	94975P652	ALLSPRING FUNDAMENTAL SMALL-CAP GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EGWAX	94985D251	ALLSPRING FUNDAMENTAL SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EGWCX	94975P645	ALLSPRING FUNDAMENTAL SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EGRYX	94985D244	ALLSPRING FUNDAMENTAL SMALL-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WGCIX	94988V225	ALLSPRING GLOBAL INVESTMENT GRADE CREDIT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EKGX	94985D822	ALLSPRING GLOBAL SMALL-CAP FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKGAX	94985D855	ALLSPRING GLOBAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKGCX	94985D830	ALLSPRING GLOBAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKGIX	94975P744	ALLSPRING GLOBAL SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WGSDX	949917546	ALLSPRING GOVERNMENT SECURITIES FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SGVDX	949917553	ALLSPRING GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	WGSCX	949917587	ALLSPRING GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SGVIX	949917579	ALLSPRING GOVERNMENT SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	NVGBX	94975G363	ALLSPRING GROWTH BALANCED FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	WFGBX	94975G397	ALLSPRING GROWTH BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFGWX	94975G371	ALLSPRING GROWTH BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SGRKX	949915698	ALLSPRING GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SGRAX	949915672	ALLSPRING GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WGFCX	949915722	ALLSPRING GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SGRNX	949915714	ALLSPRING GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EKHXX	94985D178	ALLSPRING HIGH YIELD BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKHAX	94985D210	ALLSPRING HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKHCX	94985D186	ALLSPRING HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKHIX	94988A726	ALLSPRING HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WHYDX	94988A304	ALLSPRING HIGH YIELD MUNICIPAL BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WHYMX	94988A106	ALLSPRING HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WHYCX	94988A205	ALLSPRING HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WHYIX	94988A403	ALLSPRING HIGH YIELD MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WSIDX	94988A700	ALLSPRING INCOME PLUS FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WSIAX	94988A502	ALLSPRING INCOME PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WSICX	94988A601	ALLSPRING INCOME PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WSINX	94988A809	ALLSPRING INCOME PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFAIX	94975H213	ALLSPRING INDEX ASSET ALLOCATION FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SFAAX	94975H247	ALLSPRING INDEX ASSET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFALX	94975H221	ALLSPRING INDEX ASSET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFATX	94990B704	ALLSPRING INDEX ASSET ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFOIX	94975G686	ALLSPRING INDEX FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFILX	94984B777	ALLSPRING INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFINX	94984B561	ALLSPRING INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFITX	949921597	ALLSPRING INTERMEDIATE TAX/AMT-FREE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFTAX	949921860	ALLSPRING INTERMEDIATE TAX/AMT-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFTFX	949921852	ALLSPRING INTERMEDIATE TAX/AMT-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WITIX	949921662	ALLSPRING INTERMEDIATE TAX/AMT-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	ESIDX	94975P850	ALLSPRING INTERNATIONAL BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	ESIYX	94985D624	ALLSPRING INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	ESIVX	94985D590	ALLSPRING INTERNATIONAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	ESICX	94985D582	ALLSPRING INTERNATIONAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFEDX	949915144	ALLSPRING INTERNATIONAL EQUITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFEAX	949915177	ALLSPRING INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFEFX	949915151	ALLSPRING INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	WFENX	94984B348	ALLSPRING INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WWIDX	949915854	ALLSPRING LARGE COMPANY VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WLCAX	949921696	ALLSPRING LARGE COMPANY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFLVX	949921811	ALLSPRING LARGE COMPANY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WLCIX	949921795	ALLSPRING LARGE COMPANY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFLX	94984B538	ALLSPRING LARGE-CAP CORE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EGOAX	94984B553	ALLSPRING LARGE-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EGOCX	94984B546	ALLSPRING LARGE-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EGOHX	94988V878	ALLSPRING LARGE-CAP CORE FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EGOIX	94984B520	ALLSPRING LARGE-CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	STDFX	94975P546	ALLSPRING LARGE-CAP GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	STAFX	94975P462	ALLSPRING LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	STOFX	94975P454	ALLSPRING LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	STMTX	94975P322	ALLSPRING LARGE-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	STNFX	94975P512	ALLSPRING LARGE-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SCTIX	94975H650	ALLSPRING LIMITED-TERM TAX-FREE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WLVDX	94988V746	ALLSPRING LOW VOLATILITY U.S. EQUITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WLVLX	94988V761	ALLSPRING LOW VOLATILITY U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WLVKX	94988V753	ALLSPRING LOW VOLATILITY U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WLVOX	94988V738	ALLSPRING LOW VOLATILITY U.S. EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	NWMIX	94975H577	ALLSPRING MINNESOTA TAX-FREE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	NMTFX	94975H593	ALLSPRING MINNESOTA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WMTCX	949917769	ALLSPRING MINNESOTA TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WMTIX	94990B803	ALLSPRING MINNESOTA TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	NVMBX	94975H106	ALLSPRING MODERATE BALANCED FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFMAX	94975J425	ALLSPRING MODERATE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFBCX	94975J391	ALLSPRING MODERATE BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFMXX	94988V373	ALLSPRING MODERATE BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WMFDX	949917793	ALLSPRING MUNICIPAL BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WMFAX	949917843	ALLSPRING MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WMFCX	949917827	ALLSPRING MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WMBIX	949921670	ALLSPRING MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WMSDX	94990B688	ALLSPRING MUNICIPAL SUSTAINABILITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WMSAX	94990B720	ALLSPRING MUNICIPAL SUSTAINABILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WMSCX	94990B712	ALLSPRING MUNICIPAL SUSTAINABILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WMSIX	94990B670	ALLSPRING MUNICIPAL SUSTAINABILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EOMYX	94985D400	ALLSPRING OMEGA GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKOAX	94985D103	ALLSPRING OMEGA GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKOCX	94985D301	ALLSPRING OMEGA GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	EKORX	94985D509	ALLSPRING OMEGA GROWTH FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	EKONX	94975P520	ALLSPRING OMEGA GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WOFDX	949915474	ALLSPRING OPPORTUNITY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SOPVX	949915458	ALLSPRING OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFOPX	949921787	ALLSPRING OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WOFNX	94975P595	ALLSPRING OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EKVAX	94985D699	ALLSPRING PENNSYLVANIA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKVCX	94985D681	ALLSPRING PENNSYLVANIA TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKVYX	94985D673	ALLSPRING PENNSYLVANIA TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EKWDX	94975P694	ALLSPRING PRECIOUS METALS FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKWAX	94985D533	ALLSPRING PRECIOUS METALS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKWCX	94985D517	ALLSPRING PRECIOUS METALS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKWYX	94985D491	ALLSPRING PRECIOUS METALS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFPDX	94984B462	ALLSPRING PREMIER LARGE COMPANY GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EKJAX	94984B496	ALLSPRING PREMIER LARGE COMPANY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EKJCX	94984B470	ALLSPRING PREMIER LARGE COMPANY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EKJYX	94984B454	ALLSPRING PREMIER LARGE COMPANY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	IPBIX	94975J698	ALLSPRING REAL RETURN FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	IPBAX	94975J730	ALLSPRING REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	IPBCX	94975J714	ALLSPRING REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	IPBNX	94990B886	ALLSPRING REAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	MNSGX	94975J540	ALLSPRING SHORT DURATION GOVERNMENT BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	MSDAX	94975J573	ALLSPRING SHORT DURATION GOVERNMENT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	MSDCX	94975J557	ALLSPRING SHORT DURATION GOVERNMENT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WSGIX	949917595	ALLSPRING SHORT DURATION GOVERNMENT BOND FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SSTVX	949917637	ALLSPRING SHORT-TERM BOND PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFSHX	949921639	ALLSPRING SHORT-TERM BOND PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SSHIX	949917652	ALLSPRING SHORT-TERM BOND PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WDHYX	94975P843	ALLSPRING SHORT-TERM HIGH YIELD BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SSTHX	949917611	ALLSPRING SHORT-TERM HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFHYX	949921621	ALLSPRING SHORT-TERM HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	STYIX	94987W752	ALLSPRING SHORT-TERM HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WSTMX	94975P819	ALLSPRING SHORT-TERM MUNICIPAL BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WSMAX	94984B751	ALLSPRING SHORT-TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WSSCX	949917876	ALLSPRING SHORT-TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WSBIX	949921654	ALLSPRING SHORT-TERM MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	NVSCX	94975G447	ALLSPRING SMALL COMPANY GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFSAX	94975J359	ALLSPRING SMALL COMPANY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WSMCX	94975J334	ALLSPRING SMALL COMPANY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	WSCGX	949921571	ALLSPRING SMALL COMPANY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	SCVIX	94975P868	ALLSPRING SMALL COMPANY VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SCVAX	94975P884	ALLSPRING SMALL COMPANY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	SCVFX	94975J748	ALLSPRING SMALL COMPANY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SCVNX	94975P421	ALLSPRING SMALL COMPANY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFSDX	949915250	ALLSPRING SMALL-CAP FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFSMX	949921746	ALLSPRING SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFSSX	949915235	ALLSPRING SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WICIX	949917173	ALLSPRING SPECIAL INTERNATIONAL SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFMDX	949915516	ALLSPRING SPECIAL MID-CAP VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFPAX	949921308	ALLSPRING SPECIAL MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFPCX	949921407	ALLSPRING SPECIAL MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFHHX	94988V852	ALLSPRING SPECIAL MID-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	WFMIX	949915482	ALLSPRING SPECIAL MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	ESPIX	94984B397	ALLSPRING SPECIAL SMALL-CAP VALUE FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	ESPAX	94984B439	ALLSPRING SPECIAL SMALL-CAP VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
ALLSPRING FUNDS	ESPCX	94984B413	ALLSPRING SPECIAL SMALL-CAP VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
ALLSPRING FUNDS	ESPHX	94988V845	ALLSPRING SPECIAL SMALL-CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
ALLSPRING FUNDS	ESPNX	94975P447	ALLSPRING SPECIAL SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
ALLSPRING FUNDS	WFTDX	94975P710	ALLSPRING SPECIALIZED TECHNOLOGY FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFSTX	94975H148	ALLSPRING SPECIALIZED TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFTCX	94975H122	ALLSPRING SPECIALIZED TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFTIX	94990B878	ALLSPRING SPECIALIZED TECHNOLOGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WEAFX	94990B811	ALLSPRING SPECTRUM AGGRESSIVE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WEACX	94990B753	ALLSPRING SPECTRUM AGGRESSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WEAYX	94988V290	ALLSPRING SPECTRUM AGGRESSIVE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WMBGX	94990B829	ALLSPRING SPECTRUM CONSERVATIVE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WMBFX	94990B761	ALLSPRING SPECTRUM CONSERVATIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WMBZX	94988V266	ALLSPRING SPECTRUM CONSERVATIVE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WGAFX	94990B852	ALLSPRING SPECTRUM GROWTH FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WGCFX	94990B787	ALLSPRING SPECTRUM GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WGAYX	94988V282	ALLSPRING SPECTRUM GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WCAFX	94990B845	ALLSPRING SPECTRUM INCOME ALLOCATION FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WCCFX	94990B795	ALLSPRING SPECTRUM INCOME ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WCYFX	94988V316	ALLSPRING SPECTRUM INCOME ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WGBAX	94990B837	ALLSPRING SPECTRUM MODERATE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
ALLSPRING FUNDS	WGBFX	94990B779	ALLSPRING SPECTRUM MODERATE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WGBIX	94988V274	ALLSPRING SPECTRUM MODERATE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	VMPYX	94985D749	ALLSPRING STRATEGIC MUNICIPAL BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	VMPAX	94985D772	ALLSPRING STRATEGIC MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	DHICX	94985D756	ALLSPRING STRATEGIC MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	STRIX	94987W760	ALLSPRING STRATEGIC MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WFLGX	94975G249	ALLSPRING TARGET DATE 2010 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	STNRX	94975G272	ALLSPRING TARGET DATE 2010 FUND CLASS A	NTF^	A	Y	4:00 PM	01
ALLSPRING FUNDS	WFOCX	94975G256	ALLSPRING TARGET DATE 2010 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFFFX	94984B108	ALLSPRING TARGET DATE 2015 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	WFACX	94987W620	ALLSPRING TARGET DATE 2015 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFLPX	94975G199	ALLSPRING TARGET DATE 2020 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	STTRX	94975G231	ALLSPRING TARGET DATE 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFLAX	94975G215	ALLSPRING TARGET DATE 2020 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFTRX	94984B405	ALLSPRING TARGET DATE 2025 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	WFAYX	94987W612	ALLSPRING TARGET DATE 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFLIX	94975G157	ALLSPRING TARGET DATE 2030 FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	STHRX	94975G181	ALLSPRING TARGET DATE 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFDMX	94975G165	ALLSPRING TARGET DATE 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFQWX	94984B702	ALLSPRING TARGET DATE 2035 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	WFQBX	94987W596	ALLSPRING TARGET DATE 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFLWX	94975G116	ALLSPRING TARGET DATE 2040 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	STFRX	94975G140	ALLSPRING TARGET DATE 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFOFX	94975G124	ALLSPRING TARGET DATE 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFQYX	94984B876	ALLSPRING TARGET DATE 2045 FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	WFQVX	94987W588	ALLSPRING TARGET DATE 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFQDX	94984B843	ALLSPRING TARGET DATE 2050 FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFQAX	94987W869	ALLSPRING TARGET DATE 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFQCX	94987W851	ALLSPRING TARGET DATE 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFLHX	949921191	ALLSPRING TARGET DATE 2055 FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFQZX	94987W570	ALLSPRING TARGET DATE 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFDFX	94987W299	ALLSPRING TARGET DATE 2060 FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	WFAFX	94987W331	ALLSPRING TARGET DATE 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFCFX	94987W323	ALLSPRING TARGET DATE 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WFRFX	94987W281	ALLSPRING TARGET DATE 2060 FUND CLASS R	T	R	N	4:00 PM	01
ALLSPRING FUNDS	WFLOX	94975G280	ALLSPRING TARGET DATE TODAY FUND ADMIN CLASS	NTF^	E	Y	4:00 PM	01
ALLSPRING FUNDS	STWRX	94975G322	ALLSPRING TARGET DATE TODAY FUND CLASS A	NTF^	A	Y	4:00 PM	01
ALLSPRING FUNDS	WFODX	94975G298	ALLSPRING TARGET DATE TODAY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WUSDX	949917710	ALLSPRING ULTRA SHORT-TERM INCOME FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SADAX	949917728	ALLSPRING ULTRA SHORT-TERM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WUSNX	94990B621	ALLSPRING ULTRA SHORT-TERM INCOME FUND CLASS A2	T		N	4:00 PM	01
ALLSPRING FUNDS	WUSTX	94984B710	ALLSPRING ULTRA SHORT-TERM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALLSPRING FUNDS	SADIX	949917744	ALLSPRING ULTRA SHORT-TERM INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WUSMX	94975P793	ALLSPRING ULTRA SHORT-TERM MUNICIPAL INCOME FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	SMAVX	949917884	ALLSPRING ULTRA SHORT-TERM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WFUNX	94990B613	ALLSPRING ULTRA SHORT-TERM MUNICIPAL INCOME FUND CLASS A2	T		N	4:00 PM	01
ALLSPRING FUNDS	WFUSX	949921647	ALLSPRING ULTRA SHORT-TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	SMAIX	949917702	ALLSPRING ULTRA SHORT-TERM MUNICIPAL INCOME FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
ALLSPRING FUNDS	EVUDX	94975P686	ALLSPRING UTILITY AND TELECOMMUNICATIONS FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
ALLSPRING FUNDS	EVUAX	94985D483	ALLSPRING UTILITY AND TELECOMMUNICATIONS FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	EVUCX	94985D467	ALLSPRING UTILITY AND TELECOMMUNICATIONS FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	EVUYX	94985D459	ALLSPRING UTILITY AND TELECOMMUNICATIONS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALLSPRING FUNDS	WWTFX	949921613	ALLSPRING WISCONSIN TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALLSPRING FUNDS	WWTCX	949917785	ALLSPRING WISCONSIN TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALLSPRING FUNDS	WWTIX	94990B860	ALLSPRING WISCONSIN TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	IOFAX	62827M169	ALPHACENTRIC INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	IOFCX	62827M151	ALPHACENTRIC INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	IOFIX	62827M144	ALPHACENTRIC INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	LYFAX	62827P352	ALPHACENTRIC LIFESCI HEALTHCARE FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	LYFCX	62827P345	ALPHACENTRIC LIFESCI HEALTHCARE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	LYFIX	62827P337	ALPHACENTRIC LIFESCI HEALTHCARE FUND CLASS I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	HMXAX	62827P766	ALPHACENTRIC PREMIUM OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	HMXCX	62827P758	ALPHACENTRIC PREMIUM OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	HMXIX	62827P741	ALPHACENTRIC PREMIUM OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	GNXAX	62827P675	ALPHACENTRIC ROBOTICS AND AUTOMATION FD CL A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	GNXIX	62827P659	ALPHACENTRIC ROBOTICS AND AUTOMATION FD CL I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	GNXCX	62827P667	ALPHACENTRIC ROBOTICS AND AUTOMATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	SIHAX	62827Q103	ALPHACENTRIC STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	SIICX	62827Q202	ALPHACENTRIC STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	SIHIX	62827Q301	ALPHACENTRIC STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	MUNAX	62827P428	ALPHACENTRIC SWBC MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	MUNCX	62827P410	ALPHACENTRIC SWBC MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	MUNIX	62827P394	ALPHACENTRIC SWBC MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
ALPHACENTRIC FUNDS	SYMAX	62827P386	ALPHACENTRIC SYMMETRY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPHACENTRIC FUNDS	SYMCX	62827P378	ALPHACENTRIC SYMMETRY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPHACENTRIC FUNDS	SYMIX	62827P360	ALPHACENTRIC SYMMETRY STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	JCCSX	31761R310	ALPS CORE COMM MGNT COMPLETE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	INAAX	31761R245	ALPS KOTAK INDIA GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	INDAX	317609451	ALPS KOTAK INDIA GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	LPFAX	31761R344	ALPS RED ROCKS GLOBAL OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	LPEIX	317609782	ALPS RED ROCKS GLOBAL OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALPS SERIES TRUST FUNDS	ALABX	31761T209	ALPS SMITH BALANCED OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	ALCBX	31761T308	ALPS SMITH BALANCED OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	ALPBX	31761T407	ALPS SMITH BALANCED OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	ALIBX	31761T100	ALPS SMITH BALANCED OPPORTUNITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCAX	31761T605	ALPS SMITH CREDIT OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCCX	31761T704	ALPS SMITH CREDIT OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCRX	31761T803	ALPS SMITH CREDIT OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCVX	31761T506	ALPS SMITH CREDIT OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMASX	31761R377	ALPS SMITH SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCMX	31761R369	ALPS SMITH SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMDSX	31761R351	ALPS SMITH SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMRSX	31761R385	ALPS SMITH SHORT DURATION BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMAMX	31761R427	ALPS SMITH TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMCHX	31761R419	ALPS SMITH TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMTHX	31761R393	ALPS SMITH TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SMTRX	31761R435	ALPS SMITH TOTAL RETURN BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	JCRAX	317609675	ALPS/CORE COMM MGMT COMP COMM STRAT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	JCRCX	317609659	ALPS/CORECOMMODITY MANAGEMENT COMPLETE COMMODITIES STRATEGY F	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	JCRIX	317609667	ALPS/CORECOMMODITY MANAGEMENT COMPLETE COMMODITIES STRATEGY F	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	INFCX	317609444	ALPS/KOTAK INDIA GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	INDIX	317609436	ALPS/KOTAK INDIA GROWTH FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	LPFCX	317609634	ALPS/RED ROCKS GLOBAL OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	LPEFX	317609816	ALPS/RED ROCKS GLOBAL OPPORTUNITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	IKSTX	02110A530	CARRET KANSAS TAX-EXEMPT BOND FUND CLASS A	NTF^	A	N	4:00 PM	02
ALPS SERIES TRUST FUNDS	SEKSX	02110A522	CARRET KANSAS TAX-EXEMPT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ALPS SERIES TRUST FUNDS	CIMDX	02110A647	CLARKSTON FOUNDERS FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CILGX	02110A662	CLARKSTON FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CISMX	02110A688	CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CHCAX	31761R328	CLOUGH CHINA FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CHNCX	317609725	CLOUGH CHINA FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CHNIX	317609717	CLOUGH CHINA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CHNAX	317609733	CLOUGH CHINA FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CLOCX	18914W205	CLOUGH GLOBAL LONG SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CLOIX	18914W304	CLOUGH GLOBAL LONG SHORT FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	CLOAX	18914W106	CLOUGH GLOBAL LONG/ SHORT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	DDJRX	02110A712	DDJ OPPORTUNISTIC HIGH YIELD FD CL II	NTF		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	DDJCX	02110A720	DDJ OPPORTUNISTIC HIGH YIELD FUND CLASS I	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	DDJIX	02110A696	DDJ OPPORTUNISTIC HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	GPGCX	31761R195	GRANDEUR PEAK GLOBAL CONTRARIAN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALPS SERIES TRUST FUNDS	GPGEX	317612786	GRANDEUR PEAK GLOBAL EXPLORER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	GPRIX	31761R203	GRANDEUR PEAK GLOBAL REACH FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
ALPS SERIES TRUST FUNDS	GPROX	31761R104	GRANDEUR PEAK GLOBAL REACH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
ALPS SERIES TRUST FUNDS	GGSYX	31761R773	GRANDEUR PEAK GLOBAL STALWARTS FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	GGSOX	31761R781	GRANDEUR PEAK GLOBAL STALWARTS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	GISYX	31761R757	GRANDEUR PEAK INTERNATIONAL STALWARTS FD CL I	T	I	Y	4:00 PM	01
ALPS SERIES TRUST FUNDS	GISOX	31761R765	GRANDEUR PEAK INTERNATIONAL STALWARTS FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
ALPS SERIES TRUST FUNDS	GUSYX	31761R153	GRANDEUR PEAK U.S. STALWARTS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ALPS SERIES TRUST FUNDS	HCMAX	02110A514	HILLMAN VALUE FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RAGIX	31761R278	RIVERFRONT ASSET ALLOCATION GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RLGCX	317609568	RIVERFRONT ASSET ALLOCATION GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RLIIX	317609550	RIVERFRONT ASSET ALLOCATION GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RLGAX	317609576	RIVERFRONT ASSET ALLOCATION GROWTH AND INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNCIX	76881N889	RIVERNORTH CORE OPPORTUNITY FUND CLASS I	NTF	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNCOX	76881N103	RIVERNORTH CORE OPPORTUNITY FUND CLASS R	NTF	R	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNDLX	76881N301	RIVERNORTH DOUBLE LINE STRATEGIC INCOME FUND CL R	NTF	R	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNSIX	76881N202	RIVERNORTH DOUBLE LINE STRATEGIC INCOME FUND CLASS I	NTF	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNHIX	76881N806	RIVERNORTH/OAKTREE HIGH INCOME FUND CLASS I	NTF	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNOTX	76881N707	RIVERNORTH/OAKTREE HIGH INCOME FUND CLASS R	NTF	R	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNWIX	31761R559	RONDURE NEW WORLD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	RNWOX	31761R567	RONDURE NEW WORLD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	ROSIX	31761R534	RONDURE OVERSEAS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	ROSOX	31761R542	RONDURE OVERSEAS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SIGIX	317609295	SEAFARER OVERSEAS GROWTH AND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SFGIX	317609311	SEAFARER OVERSEAS GROWTH AND INCOME FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
ALPS SERIES TRUST FUNDS	SIVLX	31761R591	SEAFARER OVERSEAS VALUE FUND CLASS I	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WASIX	02110A589	SEVEN CANYONS STRATEGIC INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WIGTX	02110A563	SEVEN CANYONS WORLD INNOVATORS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WAGTX	02110A571	SEVEN CANYONS WORLD INNOVATORS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMBLX	951025303	WESMARK BALANCED FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMBDX	951025402	WESMARK GOVERNMENT BOND FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMKGX	951025204	WESMARK LARGE COMPANY FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMKSX	951025501	WESMARK SMALL COMPANY FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMKTX	951025600	WESMARK TACTICAL OPPORTUNITY FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	WMKMX	951025105	WESMARK WEST VIRGINIA MUNICIPAL BOND FUND	T		N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SQBFX	98401X106	X-SQUARE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SQCBX	98401X205	X-SQUARE BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
ALPS SERIES TRUST FUNDS	SQBIX	98401X304	X-SQUARE BALANCED FUND CLASS I	T	I	N	4:00 PM	01
ALTA FUNDS	AQLGX	14064D725	ALTA QUALITY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ALTEGRIS MANAGED FUNDS	EVOAX	66537Y629	ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	EVOCX	66537Y611	ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	EVOIX	66537Y595	ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	EVONX	66537Y587	ALTEGRIS FUTURES EVOLUTION STRATEGY FUND CLASS N	NTF	N	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	RAAAX	66538B719	ALTEGRIS/AACA OPPORTUNISTIC REAL ESTATE FUND A	NTF^	A	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	RAACX	66538B693	ALTEGRIS/AACA OPPORTUNISTIC REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	RAAIX	66538B685	ALTEGRIS/AACA OPPORTUNISTIC REAL ESTATE FUND I	T	I	N	4:00 PM	01
ALTEGRIS MANAGED FUNDS	RAANX	66538B677	ALTEGRIS/AACA OPPORTUNISTIC REAL ESTATE FUND N	NTF	N	N	4:00 PM	01
AMANA FUNDS	AMIDX	022865604	AMANA DEVELOPING WORLD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMANA FUNDS	AMDWX	022865307	AMANA DEVELOPING WORLD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMANA FUNDS	AMIGX	022865505	AMANA GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMANA FUNDS	AMAGX	022865208	AMANA GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMANA FUNDS	AMINX	022865406	AMANA INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMANA FUNDS	AMANX	022865109	AMANA INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMANA FUNDS	AMIPX	022865802	AMANA PARTICIPATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMANA FUNDS	AMAPX	022865703	AMANA PARTICIPATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMANA FUNDS	NITEX	804096204	IDAHO TAX EXEMPT FUND	T		N	4:00 PM	01
AMANA FUNDS	SEBFX	804096881	SATURNA SUSTAINABLE BOND FUND	T		N	4:00 PM	01
AMANA FUNDS	SEEFX	804096808	SATURNA SUSTAINABLE EQUITY FUND	T		N	4:00 PM	01
AMANA FUNDS	SBIFX	804096303	SEXTANT BOND INCOME FUND	T		N	4:00 PM	01
AMANA FUNDS	SCORX	804096600	SEXTANT CORE FUND	T		N	4:00 PM	01
AMANA FUNDS	SGHIX	804096709	SEXTANT GLOBAL HIGH INCOME FUND	T		N	4:00 PM	01
AMANA FUNDS	SSGFX	804096105	SEXTANT GROWTH FUND	NTF		N	4:00 PM	01
AMANA FUNDS	SGZFX	804096873	SEXTANT GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
AMANA FUNDS	SSIFX	804096501	SEXTANT INTERNATIONAL FUND	NTF		N	4:00 PM	01
AMANA FUNDS	SIFZX	804096865	SEXTANT INTERNATIONAL FUND CLASS Z	T	Z	N	4:00 PM	01
AMANA FUNDS	STBFX	804096402	SEXTANT SHORT TERM BOND FUND	T		N	4:00 PM	01
AMERICAFIRST FUNDS	DGQAX	02365Y100	AMERICAFIRST DEFENSIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAFIRST FUNDS	DGQUX	02365Y209	AMERICAFIRST DEFENSIVE GROWTH FUND CLASS U	T	U	N	4:00 PM	01
AMERICAFIRST FUNDS	DGQIX	02365Y308	AMERICAFIRST DEFENSIVE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMERICAFIRST FUNDS	AFPAX	02365Y407	AMERICAFIRST INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAFIRST FUNDS	AFPUX	02365Y506	AMERICAFIRST INCOME FUND CLASS U	T	U	N	4:00 PM	01
AMERICAFIRST FUNDS	AFPIX	02365Y605	AMERICAFIRST INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMERICAFIRST FUNDS	SBQAX	02365Y811	AMERICAFIRST LARGE CAP SHARE BUYBACK FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAFIRST FUNDS	SBQUX	02365Y795	AMERICAFIRST LARGE CAP SHARE BUYBACK FUND CLASS U	T	U	N	4:00 PM	01
AMERICAFIRST FUNDS	SBQIX	02365Y787	AMERICAFIRST LARGE CAP SHARE BUYBACK FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMERICAFIRST FUNDS	ABRWX	02365Y803	AMERICAFIRST RISK-ON RISK-OFF INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AMERICAFIRST FUNDS	ABRFX	02365Y704	AMERICAFIRST TACTICAL ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAFIRST FUNDS	ABRUX	02365Y886	AMERICAFIRST TACTICAL ALPHA FUND CLASS U	T	U	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN BEACON FUNDS	AHLAX	024525644	AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHLCX	024525685	AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHLYX	024525677	AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHLPX	024525651	AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND INVESTOR CLAS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	AAHAX	02452A205	AMERICAN BEACON AHL TARGETRISK CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AAECX	02452A304	AMERICAN BEACON AHL TARGETRISK CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	AABYX	02452A403	AMERICAN BEACON AHL TARGETRISK CORE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHTAX	024526212	AMERICAN BEACON AHL TARGETRISK FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHACX	024526196	AMERICAN BEACON AHL TARGETRISK FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHTYX	024526337	AMERICAN BEACON AHL TARGETRISK FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AHTPX	024526311	AMERICAN BEACON AHL TARGETRISK FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	ADNYX	024526105	AMERICAN BEACON ARK DISRUPTIVE INNOVATION FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	ADNPX	024526204	AMERICAN BEACON ARK DISRUPTIVE INNOVATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	ADNAX	024526295	AMERICAN BEACON ARK TRANSFORMATIONAL INNOVATION FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	ADNCX	024526287	AMERICAN BEACON ARK TRANSFORMATIONAL INNOVATION FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	GBSAX	024525636	AMERICAN BEACON BAML & GAYNOR SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	GBSCX	024525628	AMERICAN BEACON BAML & GAYNOR SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	GBSYX	024525610	AMERICAN BEACON BAML & GAYNOR SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	GBSPX	024525586	AMERICAN BEACON BAML & GAYNOR SMALL CAP GROWTH FUND INVESTOR CL	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABFAX	024524753	AMERICAN BEACON BALANCE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABLSX	02368A364	AMERICAN BEACON BALANCED FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABCCX	024524514	AMERICAN BEACON BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ACBYX	024524100	AMERICAN BEACON BALANCED FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AABPX	02368A828	AMERICAN BEACON BALANCED FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	BLYPX	024525362	AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH FD INVESTOR CL	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	BLYAX	024525412	AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	BLYCX	024525396	AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	BLYYX	024525388	AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	BWLAX	024524159	AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	BWLCX	024524142	AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	BWLYX	024524134	AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	BWLIX	024524118	AMERICAN BEACON BRIDGEWAY LARGE CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	CCEAX	02452A700	AMERICAN BEACON CONTINUOUS CAPITAL EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	CCLCX	02452A809	AMERICAN BEACON CONTINUOUS CAPITAL EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	CCEYX	024526360	AMERICAN BEACON CONTINUOUS CAPITAL EMERGING MARKETS FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	CCEPX	024526345	AMERICAN BEACON CONTINUOUS CAPITAL EMERGING MKTS FUND INVESTOR C	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	GHQPX	024525248	AMERICAN BEACON GARCIA HAMILTON QUALITY BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	GHQYX	024525263	AMERICAN BEACON GARCIA HAMILTON QUALITY BOND FUND Y CLASS	T	Y	N	4:00 PM	01

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AMERICAN BEACON FUNDS	AGUAX	024525743	AMERICAN BEACON GLOBAL EVOLUTION FRONTIER MARKETS INCOME FUND CL	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AGECX	024525735	AMERICAN BEACON GLOBAL EVOLUTION FRONTIER MARKETS INCOME FUND CL	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	AGEYX	024525727	AMERICAN BEACON GLOBAL EVOLUTION FRONTIER MARKETS INCOME FUND CL	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AGEPX	024525693	AMERICAN BEACON GLOBAL EVOLUTION FRONTIER MARKETS INCOME FUND IN	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	AAISX	02368A422	AMERICAN BEACON INTERNATIONAL EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AMERICAN BEACON FUNDS	AIEAX	024524720	AMERICAN BEACON INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AILCX	024524464	AMERICAN BEACON INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABEYX	02368A190	AMERICAN BEACON INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AAIPX	02368A794	AMERICAN BEACON INTERNATIONAL EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	AVASX	02368A356	AMERICAN BEACON LARGE CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AMERICAN BEACON FUNDS	ALVAX	024524746	AMERICAN BEACON LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	ALVCX	024524498	AMERICAN BEACON LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABLYX	02368A174	AMERICAN BEACON LARGE CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AAGPX	02368A810	AMERICAN BEACON LARGE CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABCAX	024527103	AMERICAN BEACON LONDON COMPANY INCOME EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABECX	024527202	AMERICAN BEACON LONDON COMPANY INCOME EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABCYX	024527301	AMERICAN BEACON LONDON COMPANY INCOME EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABCVX	024527509	AMERICAN BEACON LONDON COMPANY INCOME EQUITY FUND INVESTOR CLAS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	AMCSX	02368A273	AMERICAN BEACON MID CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
AMERICAN BEACON FUNDS	AMPAX	02368A299	AMERICAN BEACON MID CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABMAX	024524852	AMERICAN BEACON MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AMCCX	024524480	AMERICAN BEACON MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ACMYX	024524308	AMERICAN BEACON MID-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	NISAX	024526139	AMERICAN BEACON NIS CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	NISCX	024526121	AMERICAN BEACON NIS CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	NISYX	024526113	AMERICAN BEACON NIS CORE PLUS BOND FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHXAX	02452A882	AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHXCX	02452A874	AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHXYX	024526493	AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHXPX	024526519	AMERICAN BEACON SHAPIRO EQUITY OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHDYX	024526485	AMERICAN BEACON SHAPIRO SMID CAP EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHDPX	024526469	AMERICAN BEACON SHAPIRO SMID CAP EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHEAX	02452A866	AMERICAN BEACON SHAPIRO SMID-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHOAX	024524324	AMERICAN BEACON SIM HIGH YIELD OPP FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHOCX	024524316	AMERICAN BEACON SIM HIGH YIELD OPP FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHOYX	024524290	AMERICAN BEACON SIM HIGH YIELD OPP FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	SHYPX	024524274	AMERICAN BEACON SIM HIGH YIELD OPP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01

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AMERICAN BEACON FUNDS	ABSAX	024524845	AMERICAN BEACON SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	ASVCX	024524472	AMERICAN BEACON SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	ABSIX	02368A182	AMERICAN BEACON SMALL CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AVPAX	02368A620	AMERICAN BEACON SMALL-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPFRX	024525354	AMERICAN BEACON SOUND POINT FLOATING RATE INCOME FUND	T		N	4:00 PM	01
AMERICAN BEACON FUNDS	SOUAX	024525347	AMERICAN BEACON SOUND POINT FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SOUXX	024525339	AMERICAN BEACON SOUND POINT FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPFYX	024525321	AMERICAN BEACON SOUND POINT FLOATING RATE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPFPX	024525297	AMERICAN BEACON SOUND POINT FLOATING RATE INCOME FUND INVESTOR CL	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	PSCIX	024526220	AMERICAN BEACON SSI ALTERNATIVE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	PSCAX	024526238	AMERICAN BEACON SSI ALTERNATIVE INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	SMFAX	024524183	AMERICAN BEACON STEPHENS MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SMFCX	024524175	AMERICAN BEACON STEPHENS MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	SMFYX	024524167	AMERICAN BEACON STEPHENS MID-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	STMGX	024524191	AMERICAN BEACON STEPHENS MID-CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPWAX	02368A166	AMERICAN BEACON STEPHENS SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPWCX	02368A158	AMERICAN BEACON STEPHENS SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	SPWYX	02368A125	AMERICAN BEACON STEPHENS SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	STSGX	02368A133	AMERICAN BEACON STEPHENS SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	TIVFX	024526378	AMERICAN BEACON TOCQUEVILLE INTERNATIONAL VALUE FUND INVESTOR CL	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFSAX	024526428	AMERICAN BEACON TWENTY FOUR STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFGCX	024526410	AMERICAN BEACON TWENTY FOUR STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFBAX	024526170	AMERICAN BEACON TWENTYFOUR SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFBCX	024526162	AMERICAN BEACON TWENTYFOUR SHORT TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFBYX	024526154	AMERICAN BEACON TWENTYFOUR SHORT TERM BOND FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFGYX	024526667	AMERICAN BEACON TWENTYFOUR STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	TFGPX	024526683	AMERICAN BEACON TWENTYFOUR STRATEGIC INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	AZSAX	024524662	AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN BEACON FUNDS	AZSCX	024524365	AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN BEACON FUNDS	AZSYX	024524654	AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN BEACON FUNDS	AZSPX	024524647	AMERICAN BEACON ZEBRA SMALL CAP EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN BEACON FUNDS	TOVYX	024526394	TOCQUEVILLE INTERNATIONAL VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVQX	025076423	AC ALTERNATIVES MARKET NEUTRAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVHX	025076415	AC ALTERNATIVES MARKET NEUTRAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVKX	025076431	AC ALTERNATIVES MARKET NEUTRAL VALUE FUND CLASS I	T	I	N	4:00 PM	01

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AMERICAN CENTURY FUNDS	ACVVX	025076449	AC ALTERNATIVES MARKET NEUTRAL VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCKX	024932527	AMERICAN CENTURY CORE PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWBIX	025083742	AMERICAN CENTURY BALANCED FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ABINX	025083734	AMERICAN CENTURY BALANCED FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	CAYAX	025075888	AMERICAN CENTURY CA HIGH YIELD MUNI FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACYHX	025075771	AMERICAN CENTURY CA HIGH YIELD MUNICIPAL FD CL Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	CAYCX	025075805	AMERICAN CENTURY CA HIGH-YIELD MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACYTX	025075763	AMERICAN CENTURY CA INTERMEDIATE TERM TAX FREE BOND FUND CL Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCIAX	025075821	AMERICAN CENTURY CA INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCIYX	025075813	AMERICAN CENTURY CA INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCTIX	025075839	AMERICAN CENTURY CA INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCITX	025075508	AMERICAN CENTURY CA INTERMEDIATE-TERM TAX-FREE BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCHIX	025075789	AMERICAN CENTURY CALIFORNIA HIGH- YIELD MUNI FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BCHYX	025075201	AMERICAN CENTURY CALIFORNIA HIGH-YIELD MUNICIPAL FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCQX	024932543	AMERICAN CENTURY CORE PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCTX	02508J101	AMERICAN CENTURY CORE PLUS FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCNX	024932493	AMERICAN CENTURY CORE PLUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AMADX	02507M402	AMERICAN CENTURY DISCIPLINE CORE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACGCX	02507M816	AMERICAN CENTURY DISCIPLINE CORE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AMGIX	02507M501	AMERICAN CENTURY DISCIPLINE CORE VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BIGRX	02507M303	AMERICAN CENTURY DISCIPLINE CORE VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADCVX	02507M642	AMERICAN CENTURY DISCIPLINED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADCCX	02507M527	AMERICAN CENTURY DISCIPLINED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADCIX	02507M667	AMERICAN CENTURY DISCIPLINED GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADCYX	02508G875	AMERICAN CENTURY DISCIPLINED GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADSIX	02507M675	AMERICAN CENTURY DISCIPLINED GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADFAX	024932501	AMERICAN CENTURY DIVERSIFIED BOND FUND CLASS A CLASS	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	CDBCX	024932824	AMERICAN CENTURY DIVERSIFIED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACBPX	024932600	AMERICAN CENTURY DIVERSIFIED BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADVYX	02508J309	AMERICAN CENTURY DIVERSIFIED BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ADFIX	024932402	AMERICAN CENTURY DIVERSIFIED BOND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEDQX	025082819	AMERICAN CENTURY EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEDHX	025082793	AMERICAN CENTURY EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEDX	025082769	AMERICAN CENTURY EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEYDX	025082751	AMERICAN CENTURY EMERGING MARKETS DEBT FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEDVX	025082835	AMERICAN CENTURY EMERGING MARKETS DEBT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWMIX	025086885	AMERICAN CENTURY EMERGING MARKETS FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEMMX	025086851	AMERICAN CENTURY EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACECX	025086679	AMERICAN CENTURY EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	AMKIX	025086877	AMERICAN CENTURY EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEYMX	02509A885	AMERICAN CENTURY EMERGING MARKETS FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AECSX	025086273	AMERICAN CENTURY EMERGING MARKETS SMALL CAP FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AECLX	025086265	AMERICAN CENTURY EMERGING MARKETS SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AECHX	025086257	AMERICAN CENTURY EMERGING MARKETS SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AECVX	025086281	AMERICAN CENTURY EMERGING MARKETS SMALL CAP FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	BEQGX	02507M600	AMERICAN CENTURY EQUITY GROWTH FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BEQAX	02507M709	AMERICAN CENTURY EQUITY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEYCX	02507M790	AMERICAN CENTURY EQUITY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AMEIX	02507M808	AMERICAN CENTURY EQUITY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEYIX	025076746	AMERICAN CENTURY EQUITY INCOME FUND CLAS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWEAX	025076407	AMERICAN CENTURY EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACIIX	025076209	AMERICAN CENTURY EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AEIYX	02508F810	AMERICAN CENTURY EQUITY INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWEIX	025076100	AMERICAN CENTURY EQUITY INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACFDX	02507H700	AMERICAN CENTURY FOCUSED DYNAMIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACFSX	02507H601	AMERICAN CENTURY FOCUSED DYNAMIC GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACFOX	02507H502	AMERICAN CENTURY FOCUSED DYNAMIC GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGGRX	025086828	AMERICAN CENTURY FOCUSED GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGLCX	025086695	AMERICAN CENTURY FOCUSED GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGGIX	025086836	AMERICAN CENTURY FOCUSED GLOBAL FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGYGX	02509A844	AMERICAN CENTURY FOCUSED GLOBAL FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWGGX	025086844	AMERICAN CENTURY FOCUSED GLOBAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFCSX	025086216	AMERICAN CENTURY FOCUSED INTER GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFCLX	025086190	AMERICAN CENTURY FOCUSED INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFCHX	025086182	AMERICAN CENTURY FOCUSED INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFCNX	025086224	AMERICAN CENTURY FOCUSED INTL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ALPAX	025076787	AMERICAN CENTURY FOCUSED LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ALPCX	025076738	AMERICAN CENTURY FOCUSED LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ALVSX	025076779	AMERICAN CENTURY FOCUSED LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ALVIX	025076795	AMERICAN CENTURY FOCUSED LARGE-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BGNAX	025081837	AMERICAN CENTURY GINNIE MAE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BGNCX	025081761	AMERICAN CENTURY GINNIE MAE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGMHX	025081654	AMERICAN CENTURY GINNIE MAE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BGNMX	025081605	AMERICAN CENTURY GINNIE MAE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGBAX	025082702	AMERICAN CENTURY GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGBTX	025082801	AMERICAN CENTURY GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGBHX	025082744	AMERICAN CENTURY GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	AGBWX	025082736	AMERICAN CENTURY GLOBAL BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGBVX	025082876	AMERICAN CENTURY GLOBAL BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACGGX	02507M204	AMERICAN CENTURY GLOBAL GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGYCX	02507M485	AMERICAN CENTURY GLOBAL GOLD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGGNX	02507M469	AMERICAN CENTURY GLOBAL GOLD FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BGEIX	02507M105	AMERICAN CENTURY GLOBAL GOLD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYMX	025076498	AMERICAN CENTURY GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYTX	025076480	AMERICAN CENTURY GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYNX	025076456	AMERICAN CENTURY GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYYX	02508F844	AMERICAN CENTURY GLOBAL REAL ESTATE FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYVX	025076464	AMERICAN CENTURY GLOBAL REAL ESTATE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGCLX	02509A307	AMERICAN CENTURY GLOBAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGCHX	02509A406	AMERICAN CENTURY GLOBAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGCSX	02509A208	AMERICAN CENTURY GLOBAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGCVX	02509A109	AMERICAN CENTURY GLOBAL SMALL CAP FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	ABTAX	025081860	AMERICAN CENTURY GOVERNMENT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ABTCX	025081746	AMERICAN CENTURY GOVERNMENT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ABHTX	025081647	AMERICAN CENTURY GOVERNMENT BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	CPTNX	025081308	AMERICAN CENTURY GOVT BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TCRAX	025083403	AMERICAN CENTURY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWRCX	02508H626	AMERICAN CENTURY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWGIX	025083205	AMERICAN CENTURY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AGYWX	02508H378	AMERICAN CENTURY GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWCGX	025083106	AMERICAN CENTURY GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWHIX	025083791	AMERICAN CENTURY HERITAGE FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATHAX	025083767	AMERICAN CENTURY HERITAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHGCX	025083262	AMERICAN CENTURY HERITAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATHIX	025083783	AMERICAN CENTURY HERITAGE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATHYX	02508H352	AMERICAN CENTURY HERITAGE FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHIAX	024932147	AMERICAN CENTURY HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHIIX	024932162	AMERICAN CENTURY HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	NPHIX	024932154	AMERICAN CENTURY HIGH INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHIVX	024932170	AMERICAN CENTURY HIGH INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHYVX	024932881	AMERICAN CENTURY HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHDCX	024932873	AMERICAN CENTURY HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHYHX	02508J507	AMERICAN CENTURY HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AHYLX	02508J606	AMERICAN CENTURY HIGH YIELD FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AYMAX	024934861	AMERICAN CENTURY HIGH YIELD MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AYMYX	024934622	AMERICAN CENTURY HIGH YIELD MUNICIPAL FUND CLASS Y	T+	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	ABHIX	024932808	AMERICAN CENTURY HIGH-YIELD FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AYMCX	024934879	AMERICAN CENTURY HIGH-YIELD MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AYMIX	024934663	AMERICAN CENTURY HIGH-YIELD MUNICIPAL FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ABHYX	024934804	AMERICAN CENTURY HIGH-YIELD MUNICIPAL FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIAHX	025081639	AMERICAN CENTURY INFLATION ADJUSTED BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIAYX	025081621	AMERICAN CENTURY INFLATION ADJUSTED BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIAVX	025081829	AMERICAN CENTURY INFLATION-ADJUSTED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AINOX	025081720	AMERICAN CENTURY INFLATION-ADJUSTED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACITX	025081704	AMERICAN CENTURY INFLATION-ADJUSTED BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATBYX	024934614	AMERICAN CENTURY INTERMEDIATE TERM TAX FREE BOND FUND CL Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWVOX	024934648	AMERICAN CENTURY INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWTCX	024934630	AMERICAN CENTURY INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AXBIX	024934846	AMERICAN CENTURY INTERMEDIATE-TERM TAX-FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWTIX	024934507	AMERICAN CENTURY INTERMEDIATE-TERM TAX-FREE BOND FUND INVESTOR C	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BEBGX	025082108	AMERICAN CENTURY INTERNATIONAL BOND FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIBDX	025082207	AMERICAN CENTURY INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIQCX	025082504	AMERICAN CENTURY INTERNATIONAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIBHX	025082710	AMERICAN CENTURY INTERNATIONAL BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIBYX	025082694	AMERICAN CENTURY INTERNATIONAL BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWIEX	025086109	AMERICAN CENTURY INTERNATIONAL GROWTH FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWGAX	025086406	AMERICAN CENTURY INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIWCX	025086687	AMERICAN CENTURY INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TGRIX	025086208	AMERICAN CENTURY INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATYGX	02509A703	AMERICAN CENTURY INTERNATIONAL GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIOIX	025086745	AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FD INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIVOX	025086455	AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AIOCX	025086448	AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACIOX	025086737	AMERICAN CENTURY INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	MEQAX	025086562	AMERICAN CENTURY INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCOX	025086547	AMERICAN CENTURY INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVUX	025086570	AMERICAN CENTURY INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACEVX	025086588	AMERICAN CENTURY INTERNATIONAL VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACLAX	025076639	AMERICAN CENTURY MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCLX	025076514	AMERICAN CENTURY MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AVUAX	025076647	AMERICAN CENTURY MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AMVYX	02508F760	AMERICAN CENTURY MID CAP VALUE FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACMVX	025076654	AMERICAN CENTURY MID CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANVLX	02509A778	AMERICAN CENTURY NON-U.S. INTRINSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANVHX	02509A786	AMERICAN CENTURY NON-U.S. INTRINSIC VALUE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	ANTUX	025086158	AMERICAN CENTURY NON-U.S. INTRINSIC VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARWIX	02507F506	AMERICAN CENTURY ONE CHOICE 2025 PORT FD INVESTOR CL	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARWAX	02507F605	AMERICAN CENTURY ONE CHOICE 2025 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARWCX	02507F498	AMERICAN CENTURY ONE CHOICE 2025 PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARCMX	02507F621	AMERICAN CENTURY ONE CHOICE 2030 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARWOX	02507F480	AMERICAN CENTURY ONE CHOICE 2030 PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARCSX	02507F647	AMERICAN CENTURY ONE CHOICE 2030 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARCVX	02507F654	AMERICAN CENTURY ONE CHOICE 2030 PORTFOLIO INVESTOR	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYIX	02507F886	AMERICAN CENTURY ONE CHOICE 2035 PORT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARLIX	02507F860	AMERICAN CENTURY ONE CHOICE 2035 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYAX	02507F878	AMERICAN CENTURY ONE CHOICE 2035 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARLCX	02507F472	AMERICAN CENTURY ONE CHOICE 2035 PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARDSX	02507F597	AMERICAN CENTURY ONE CHOICE 2040 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARDMX	02507F571	AMERICAN CENTURY ONE CHOICE 2040 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARNOX	02507F464	AMERICAN CENTURY ONE CHOICE 2040 PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARDVX	02507F613	AMERICAN CENTURY ONE CHOICE 2040 PORTFOLIO FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AROAX	02507F837	AMERICAN CENTURY ONE CHOICE 2045 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AROCX	02507F456	AMERICAN CENTURY ONE CHOICE 2045 PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AROIX	02507F845	AMERICAN CENTURY ONE CHOICE 2045 PORTFOLIO FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARFSX	02507F555	AMERICAN CENTURY ONE CHOICE 2050 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARFDX	02507F449	AMERICAN CENTURY ONE CHOICE 2050 PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARFMX	02507F530	AMERICAN CENTURY ONE CHOICE 2050 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARFVX	02507F563	AMERICAN CENTURY ONE CHOICE 2050 PORTFOLIO INVESTOR	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARENX	02507F415	AMERICAN CENTURY ONE CHOICE 2055 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AREVX	02507F423	AMERICAN CENTURY ONE CHOICE 2055 PORTFOLIO FD INV CL	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AREMX	02507F399	AMERICAN CENTURY ONE CHOICE 2055 PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AREFX	02507F381	AMERICAN CENTURY ONE CHOICE 2055 PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARGNX	02507F183	AMERICAN CENTURY ONE CHOICE 2060 PORT FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARGMX	02507F167	AMERICAN CENTURY ONE CHOICE 2060 PORT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARGHX	02507F159	AMERICAN CENTURY ONE CHOICE 2060 PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARGVX	02507F191	AMERICAN CENTURY ONE CHOICE 2060 PORT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARHMX	02507J847	AMERICAN CENTURY ONE CHOICE 2065 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARHEX	02507J839	AMERICAN CENTURY ONE CHOICE 2065 PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARHUX	02507J854	AMERICAN CENTURY ONE CHOICE 2065 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARHVX	02507J862	AMERICAN CENTURY ONE CHOICE 2065 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAAJX	02507J771	AMERICAN CENTURY ONE CHOICE BLEND+ 2015 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAAHX	02507J789	AMERICAN CENTURY ONE CHOICE BLEND+ 2015 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAAFX	02507J797	AMERICAN CENTURY ONE CHOICE BLEND+ 2015 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABEX	02507J722	AMERICAN CENTURY ONE CHOICE BLEND+ 2020 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	AAAOX	02507J730	AMERICAN CENTURY ONE CHOICE BLEND+ 2020 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAAMX	02507J748	AMERICAN CENTURY ONE CHOICE BLEND+ 2020 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABQX	02507J664	AMERICAN CENTURY ONE CHOICE BLEND+ 2025 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABKX	02507J672	AMERICAN CENTURY ONE CHOICE BLEND+ 2025 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABJX	02507J680	AMERICAN CENTURY ONE CHOICE BLEND+ 2025 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABZX	02507J615	AMERICAN CENTURY ONE CHOICE BLEND+ 2030 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEWX	02507J623	AMERICAN CENTURY ONE CHOICE BLEND+ 2030 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AABWX	02507J631	AMERICAN CENTURY ONE CHOICE BLEND+ 2030 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AACMX	02507J557	AMERICAN CENTURY ONE CHOICE BLEND+ 2035 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACLX	02507J565	AMERICAN CENTURY ONE CHOICE BLEND+ 2035 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AACKX	02507J573	AMERICAN CENTURY ONE CHOICE BLEND+ 2035 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AACVX	02507J490	AMERICAN CENTURY ONE CHOICE BLEND+ 2040 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AACUX	02507J516	AMERICAN CENTURY ONE CHOICE BLEND+ 2040 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AACSX	02507J524	AMERICAN CENTURY ONE CHOICE BLEND+ 2040 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADKX	02507J441	AMERICAN CENTURY ONE CHOICE BLEND+ 2045 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADJX	02507J458	AMERICAN CENTURY ONE CHOICE BLEND+ 2045 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADHX	02507J466	AMERICAN CENTURY ONE CHOICE BLEND+ 2045 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADPX	02507J383	AMERICAN CENTURY ONE CHOICE BLEND+ 2050 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADOX	02507J391	AMERICAN CENTURY ONE CHOICE BLEND+ 2050 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADNX	02507J417	AMERICAN CENTURY ONE CHOICE BLEND+ 2050 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADZX	02507J334	AMERICAN CENTURY ONE CHOICE BLEND+ 2055 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADWX	02507J342	AMERICAN CENTURY ONE CHOICE BLEND+ 2055 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AADVX	02507J359	AMERICAN CENTURY ONE CHOICE BLEND+ 2055 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEHX	02507J276	AMERICAN CENTURY ONE CHOICE BLEND+ 2060 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEGX	02507J284	AMERICAN CENTURY ONE CHOICE BLEND+ 2060 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEFX	02507J292	AMERICAN CENTURY ONE CHOICE BLEND+ 2060 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEOX	02507J227	AMERICAN CENTURY ONE CHOICE BLEND+ 2065 PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAELX	02507J235	AMERICAN CENTURY ONE CHOICE BLEND+ 2065 PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAEKX	02507J243	AMERICAN CENTURY ONE CHOICE BLEND+ 2065 PORTFOLIO INVESTOR CLASS	T	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARTAX	02507F787	AMERICAN CENTURY ONE CHOICE IN-RETIREMENT PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATTCX	02507F431	AMERICAN CENTURY ONE CHOICE IN-RETIREMENT PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ATTIX	02507F779	AMERICAN CENTURY ONE CHOICE IN-RETIREMENT PORTFOLIO CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARTOX	02507F795	AMERICAN CENTURY ONE CHOICE IN-RETIREMENT PORTFOLIO INVESTOR CLAS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AOGIX	02507F720	AMERICAN CENTURY ONE CHOICE PORT: AGGRESSIVE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AOCIX	02507F746	AMERICAN CENTURY ONE CHOICE PORT: CONSERVATIVE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AOVIX	02507F712	AMERICAN CENTURY ONE CHOICE PORT: VERY AGGRESSIVE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AONIX	02507F753	AMERICAN CENTURY ONE CHOICE PORT: VERY CONSERVATIVE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AOMIX	02507F738	AMERICAN CENTURY ONE CHOICE PORTFOLIO: MODERATE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARWFX	02507F704	AMERICAN CENTURY ONE CHOICESM 2025 PORTFOLIO FD CL I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	AOOIX	02507F829	AMERICAN CENTURY ONE CHOICESM 2045 PORTFOLIO FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	REACX	025076886	AMERICAN CENTURY REAL ESTATE FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AREEX	025076860	AMERICAN CENTURY REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYCX	025076555	AMERICAN CENTURY REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	REAIX	025076878	AMERICAN CENTURY REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ARYEX	02508F828	AMERICAN CENTURY REAL ESTATE FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWCIX	025083502	AMERICAN CENTURY SELECT FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWCAX	025083809	AMERICAN CENTURY SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACSLX	025083239	AMERICAN CENTURY SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWSIX	025083601	AMERICAN CENTURY SELECT FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASLWX	02508H337	AMERICAN CENTURY SELECT FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACSQX	024932477	AMERICAN CENTURY SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACSKX	024932451	AMERICAN CENTURY SHORT DURATION FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASHHX	02508J705	AMERICAN CENTURY SHORT DURATION FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACSNX	024932436	AMERICAN CENTURY SHORT DURATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	APOHX	02508J804	AMERICAN CENTURY SHORT DURATION INFL PROTECTION BOND FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	APOYX	02508J887	AMERICAN CENTURY SHORT DURATION INFLATION PROTECT BOND FD CL Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	APOIX	024932774	AMERICAN CENTURY SHORT DURATION INFLATION PROTECTION BOND FD INVE	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	APOAX	024932758	AMERICAN CENTURY SHORT DURATION INFLATION PROTECTION BOND FUND C	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	APOCX	024932733	AMERICAN CENTURY SHORT DURATION INFLATION PROTECTION BOND FUND C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASYDX	02508J861	AMERICAN CENTURY SHORT DURATION STRATEGIC INCOME FUND CL Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASADX	024932295	AMERICAN CENTURY SHORT DURATION STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASCDX	024932287	AMERICAN CENTURY SHORT DURATION STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASDHX	02508J879	AMERICAN CENTURY SHORT DURATION STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASDVX	024932253	AMERICAN CENTURY SHORT DURATION STRATEGIC INCOME FUND INVESTOR C	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASGHX	025081662	AMERICAN CENTURY SHORT TERM GOVERNMENT FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWUSX	025081506	AMERICAN CENTURY SHORT-TERM GOVERNMENT FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWACX	025081696	AMERICAN CENTURY SHORT-TERM GOVERNMENT FUND CL C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWAVX	025081845	AMERICAN CENTURY SHORT-TERM GOVERNMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANOAX	025083221	AMERICAN CENTURY SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANOCX	025083197	AMERICAN CENTURY SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANONX	025083320	AMERICAN CENTURY SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANOYX	02508H311	AMERICAN CENTURY SMALL CAP GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ANOIX	025083338	AMERICAN CENTURY SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACSCX	025076837	AMERICAN CENTURY SMALL CAP VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	ASVNX	025076530	AMERICAN CENTURY SMALL CAP VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	ASVYX	02508F745	AMERICAN CENTURY SMALL CAP VALUE FUND CLASS Y	T+	Y	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	ASQAX	02507M824	AMERICAN CENTURY SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	ASQCX	02507M329	AMERICAN CENTURY SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASCQX	02507M832	AMERICAN CENTURY SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASQIX	02507M840	AMERICAN CENTURY SMALL COMPANY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASVIX	025076852	AMERICAN CENTURY SMALL-CAP VALUE FUND	NTF	V	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVIX	025076845	AMERICAN CENTURY SMALL-CAP VALUE FUND CLASS I	T	I	Y	4:00 PM	01
AMERICAN CENTURY FUNDS	AACCX	025085739	AMERICAN CENTURY STRATEGIC ALLO: CONSERVATIVE FD CL C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWSAX	025085705	AMERICAN CENTURY STRATEGIC ALLOC AGGRESSIVE FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACVAX	025085887	AMERICAN CENTURY STRATEGIC ALLOC AGGRESSIVE FUND CL A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCAX	025085309	AMERICAN CENTURY STRATEGIC ALLOC CONSERVATIVE FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWSCX	025085101	AMERICAN CENTURY STRATEGIC ALLOC CONSERVATIVE FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWSMX	025085408	AMERICAN CENTURY STRATEGIC ALLOC MODERATE FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACOAX	025085606	AMERICAN CENTURY STRATEGIC ALLOC MODERATE FUND CL A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASTAX	025085838	AMERICAN CENTURY STRATEGIC ALLOCATION AGGRESSIVE FD CL C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AAAIX	025085853	AMERICAN CENTURY STRATEGIC ALLOCATION AGGRESSIVE FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACCIX	025085879	AMERICAN CENTURY STRATEGIC ALLOCATION CONSERVATIVE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASAMX	025085861	AMERICAN CENTURY STRATEGIC ALLOCATION MODERATE FD CL I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASTCX	025085846	AMERICAN CENTURY STRATEGIC ALLOCATION MODERATE FD CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASIQX	024932360	AMERICAN CENTURY STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASIHX	024932352	AMERICAN CENTURY STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASIGX	02508J853	AMERICAN CENTURY STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASYIX	02508J846	AMERICAN CENTURY STRATEGIC INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ASIEX	024932329	AMERICAN CENTURY STRATEGIC INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFDAX	025083130	AMERICAN CENTURY SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFDCX	025083114	AMERICAN CENTURY SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFEIX	02508H709	AMERICAN CENTURY SUSTAINABLE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFYDX	02508H287	AMERICAN CENTURY SUSTAINABLE EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AFDIX	02508H600	AMERICAN CENTURY SUSTAINABLE EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWCUX	025083882	AMERICAN CENTURY ULTRA FUND	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWUAX	025083858	AMERICAN CENTURY ULTRA FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWCCX	025083288	AMERICAN CENTURY ULTRA FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWUIX	025083874	AMERICAN CENTURY ULTRA FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AULYX	02508H261	AMERICAN CENTURY ULTRA FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	BULIX	02507M881	AMERICAN CENTURY UTILITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWADX	025076803	AMERICAN CENTURY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACLCX	025076761	AMERICAN CENTURY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AVLIX	025076605	AMERICAN CENTURY VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AVUYX	02508F729	AMERICAN CENTURY VALUE FUND CLASS Y	T+	Y	N	4:00 PM	01
AMERICAN CENTURY FUNDS	TWVLX	025076506	AMERICAN CENTURY VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	ACTVX	024935850	AMERICAN CENTURY ZERO COUPON 2025 FUND ADVISOR CLASS	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN CENTURY FUNDS	BTRRX	024935603	AMERICAN CENTURY ZERO COUPON 2025 FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
AMERICAN CENTURY FUNDS	AVDVX	025072844	AVANTIS INTERNATIONAL SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T+	S	N	4:00 PM	01
AMERICAN FUNDS	CAFAX	023375504	529 AMCAP FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CAF CX	023375702	529 AMCAP FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FMMMX	023375744	529 AMCAP FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CLBAX	024071508	529 AMERICAN BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CLBCX	024071706	529 AMERICAN BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FBAFX	024071748	529 AMERICAN BALANCED FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	F BONX	024071730	529 AMERICAN BALANCED FUND CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	FCWBX	140541756	529 AMERICAN CAPITAL WORLD BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FCWGX	140543752	529 AMERICAN CAPITAL WORLD GROWTH AND INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FACRX	02629M421	529 AMERICAN COLLEGE 2024 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FTTXX	02629M413	529 AMERICAN COLLEGE 2024 FUND CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	FFCFX	02629M454	529 AMERICAN COLLEGE 2027 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FD FCX	02629M488	529 AMERICAN COLLEGE 2030 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FTFCX	02629M470	529 AMERICAN COLLEGE 2030 FUND CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	FCCFX	02629M520	529 AMERICAN COLLEGE 2033 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FTCFX	02629M512	529 AMERICAN COLLEGE 2033 FUND CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	CCFAX	02629M298	529 AMERICAN COLLEGE 2036 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTAHX	02629M249	529 AMERICAN COLLEGE 2036 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CTAKX	02629M231	529 AMERICAN COLLEGE 2036 FUND CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	CENAX	02629M645	529 AMERICAN COLLEGE ENROLLMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CENCX	02629M629	529 AMERICAN COLLEGE ENROLLMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAADX	02629M322	529 AMERICAN COLLEGE ENROLLMENT FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CDJAX	02629M223	529 AMERICAN COLLEGE TARGET DATE SERIES 2039 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTJ CX	02629M215	529 AMERICAN COLLEGE TARGET DATE SERIES 2039 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FCFGX	02629M165	529 AMERICAN COLLEGE TARGET DATE SERIES 2039 FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FTPPX	02631T703	529 AMERICAN CONSERVATIVE GROWTH AND INCOME PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	COBAX	02629H604	529 AMERICAN CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	COBCX	02629H802	529 AMERICAN CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFBBX	02629H745	529 AMERICAN CORPORATE BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CDWAX	02630F605	529 AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CDWCX	02630F803	529 AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FWDDX	02630F746	529 AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CBNAX	02631F604	529 AMERICAN EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CBNCX	02631F802	529 AMERICAN EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FEBMX	02631F745	529 AMERICAN EMERGING MARKETS BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FUEPX	298706763	529 AMERICAN EUROPACIFIC GROWTH FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FFFX	360802748	529 AMERICAN FUNDAMENTAL INVESTORS FUND CLASS F2	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	CFTAX	02629M868	529 AMERICAN FUNDS COLLEGE 2024 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTFCX	02629M843	529 AMERICAN FUNDS COLLEGE 2024 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CSTAX	02629M603	529 AMERICAN FUNDS COLLEGE 2027 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTSCX	02629M801	529 AMERICAN FUNDS COLLEGE 2027 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CTHAX	02629M108	529 AMERICAN FUNDS COLLEGE 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTYCX	02629M306	529 AMERICAN FUNDS COLLEGE 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CTLAX	02629M587	529 AMERICAN FUNDS COLLEGE 2033 FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CTLCX	02629M561	529 AMERICAN FUNDS COLLEGE 2033 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CTDCX	02629M280	529 AMERICAN FUNDS COLLEGE 2036 FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CIPAX	02630Y380	529 AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CIPCX	02630Y364	529 AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CBFAX	02629W601	529 AMERICAN FUNDS GLOBAL BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CBFCX	02629W809	529 AMERICAN FUNDS GLOBAL BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CNLAX	02630D600	529 AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CNLCX	02630D808	529 AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CBAAX	02630Y216	529 AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CBPCX	02630Y182	529 AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CANAX	02631E607	529 AMERICAN FUNDS STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CANCX	02631E805	529 AMERICAN FUNDS STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFGGX	02629W742	529 AMERICAN GLOBAL BALANCED FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FGGPX	02630R310	529 AMERICAN GLOBAL GROWTH PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CPGAX	02630R559	529 AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CPGCX	02630R534	529 AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CGVYX	14020E700	529 AMERICAN GLOBAL INSIGHT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CGVBX	14020E809	529 AMERICAN GLOBAL INSIGHT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CGVGX	14020E767	529 AMERICAN GLOBAL INSIGHT FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FGGIX	02630R229	529 AMERICAN GROWTH & INCOME PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGNAX	02630R708	529 AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CGNCX	02630R880	529 AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAFGX	399874742	529 AMERICAN GROWTH FUND OF AMERICA CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGPCX	02630R716	529 AMERICAN GROWTH PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FGPGX	02630R260	529 AMERICAN GROWTH PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGPAX	02630R732	529 AMERICAN GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CITCX	026547703	529 AMERICAN HIGH- INCOME TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CITAX	026547505	529 AMERICAN HIGH-INCOME TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	FAHHX	026547745	529 AMERICAN HIGH-INCOME TRUST FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FAIFX	453320749	529 AMERICAN INCOME FUND OF AMERICA CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FIBLX	02630D741	529 AMERICAN INFLATION LINKED BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CIVAX	14019V705	529 AMERICAN INTERNATIONAL VANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	CIVBX	14019V804	529 AMERICAN INTERNATIONAL VANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CIVGX	14019V762	529 AMERICAN INTERNATIONAL VANTAGE FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FBBPX	02631T307	529 AMERICAN MODERATE GROWTH AND INCOME PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CMFAX	02630V600	529 AMERICAN MORTGAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CMFCX	02630V808	529 AMERICAN MORTGAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFMFX	02630V741	529 AMERICAN MORTGAGE FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CMBKX	02631W706	529 AMERICAN MULTI-SECTOR INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CMBLX	02631W805	529 AMERICAN MULTI-SECTOR INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CMBPX	02631W763	529 AMERICAN MULTI-SECTOR INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CMLAX	027681501	529 AMERICAN MUTUAL FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CMLCX	027681709	529 AMERICAN MUTUAL FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFMMX	027681741	529 AMERICAN MUTUAL FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CPPAX	02630Y745	529 AMERICAN PRESERVATION PORT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	FPPPX	02631T810	529 AMERICAN PRESERVATION PORTFOLIO CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CPPCX	02630Y729	529 AMERICAN PRESERVATION PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FSBMX	02631E748	529 AMERICAN STRATEGIC BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CIRAX	140193509	529 CAPITAL INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CIRCX	140193707	529 CAPITAL INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FBCIX	140193756	529 CAPITAL INCOME BUILDER FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CCWAX	140541509	529 CAPITAL WORLD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CCWCX	140541707	529 CAPITAL WORLD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CWIAX	140543505	529 CAPITAL WORLD GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CWICX	140543703	529 CAPITAL WORLD GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CEUAX	298706508	529 EUROPACIFIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CEUCX	298706706	529 EUROPACIFIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CFNAX	360802508	529 FUNDAMENTAL INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CFNCX	360802706	529 FUNDAMENTAL INVESTORS FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CBOAX	458809506	529 INTERMEDIATE BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CBOCX	458809704	529 INTERMEDIATE BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFOOX	458809753	529 INTERMEDIATE BOND FUND OF AMERICA FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGIAX	45956T600	529 INTERNATIONAL GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CIICX	45956T808	529 INTERNATIONAL GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FGGGX	45956T741	529 INTERNATIONAL GROWTH AND INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CNPAX	648018505	529 NEW PERSPECTIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CNPCX	648018703	529 NEW PERSPECTIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFPNX	648018745	529 NEW PERSPECTIVE FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CNWAX	649280500	529 NEW WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CNWCX	649280708	529 NEW WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FNFWX	649280740	529 NEW WORLD FUND CLASS F2	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	CAAFX	82524A839	529 SHORT -TERM BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CCAMX	82524A607	529 SHORT-TERM BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FTSBX	82524A748	529 SHORT-TERM BOND FUND OF AMERICA CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CSPAX	831681507	529 SMALLCAP WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CSPCX	831681705	529 SMALLCAP WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FSWFX	831681747	529 SMALL-CAP WORLD FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CFAAX	097873509	529 THE BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CFACX	097873707	529 THE BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFBOX	097873749	529 THE BOND FUND OF AMERICA CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGFAX	399874502	529 THE GROWTH FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CGFCX	399874700	529 THE GROWTH FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FFAFX	399874734	529 THE GROWTH FUND OF AMERICA CLASS F3	T	L	Y	4:00 PM	01
AMERICAN FUNDS	CIMAX	453320509	529 THE INCOME FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CIMCX	453320707	529 THE INCOME FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CICAX	461308504	529 THE INVESTMENT COMPANY OF AMERICA FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CICCX	461308702	529 THE INVESTMENT COMPANY OF AMERICA FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FCIAX	461308744	529 THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CNGAX	643822505	529 THE NEW ECONOMY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CNGCX	643822703	529 THE NEW ECONOMY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FNEEX	643822745	529 THE NEW ECONOMY FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CGTAX	026300509	529 U.S. GOVERNMENT SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CGTCX	026300707	529 U.S. GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FSUGX	026300749	529 U.S. GOVERNMENT SECURITIES FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	CWMAX	939330502	529 WASHINGTON MUTUAL INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CWMCX	939330700	529 WASHINGTON MUTUAL INVESTORS FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FWMMX	939330742	529 WASHINGTON MUTUAL INVESTORS FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TAIAX	02630Y620	AF TAX-AWARE CONSERVATIVE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	TAICX	02630Y596	AF TAX-AWARE CONSERVATIVE GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	TAIFX	02630Y588	AF TAX-AWARE CONSERVATIVE GROWTH AND INCOME FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	TXIFX	02630Y570	AF TAX-AWARE CONSERVATIVE GROWTH AND INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TYIFX	02630Q304	AF TAX-AWARE CONSERVATIVE GROWTH AND INCOME FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AMCPX	023375108	AMCAP FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AMPCX	023375306	AMCAP FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AMPFX	023375405	AMCAP FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AMCFX	023375827	AMCAP FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FMACX	023375777	AMCAP FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RAFAX	023375876	AMCAP FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RAFBX	023375868	AMCAP FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RAFCX	023375850	AMCAP FUND CLASS R3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RAFEX	023375843	AMCAP FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	CCATX	02631C205	AMERICAN 2010 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAATX	02631C304	AMERICAN 2010 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBATX	02631C403	AMERICAN 2010 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEAX	02631C536	AMERICAN 2010 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCBTX	02631C601	AMERICAN 2015 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAKTX	02631C700	AMERICAN 2015 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBBTX	02631C809	AMERICAN 2015 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEJX	02631C528	AMERICAN 2015 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCCTX	02631C874	AMERICAN 2020 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAOTX	02631C866	AMERICAN 2020 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBCTX	02631C858	AMERICAN 2020 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEHX	02631C510	AMERICAN 2020 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCDTX	02631C833	AMERICAN 2025 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAPTX	02631C825	AMERICAN 2025 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBDTX	02631C817	AMERICAN 2025 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEDX	02631C494	AMERICAN 2025 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCETX	02631C783	AMERICAN 2030 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAETX	02631C775	AMERICAN 2030 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBETX	02631C767	AMERICAN 2030 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEEEX	02631C486	AMERICAN 2030 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCFTX	02631C742	AMERICAN 2035 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAQTX	02631C734	AMERICAN 2035 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBFTX	02631C726	AMERICAN 2035 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEFX	02631C478	AMERICAN 2035 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCGTX	02631C692	AMERICAN 2040 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAUTX	02631C684	AMERICAN 2040 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBGTX	02631C676	AMERICAN 2040 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEXX	02631C460	AMERICAN 2040 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCHTX	02631C650	AMERICAN 2045 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FATTX	02631C643	AMERICAN 2045 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBHTX	02631C635	AMERICAN 2045 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBHHX	02631C452	AMERICAN 2045 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCITX	02631C619	AMERICAN 2050 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAITX	02631C593	AMERICAN 2050 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBITX	02631C585	AMERICAN 2050 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBHEX	02630T191	AMERICAN 2050 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CCJTX	02631C569	AMERICAN 2055 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAJTX	02631C551	AMERICAN 2055 TARGET DATE RETIREMENT FUND CLASS F-1	NTF^	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	FBJTX	02631C544	AMERICAN 2055 TARGET DATE RETIREMENT FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBEMX	02630T183	AMERICAN 2055 TARGET DATE RETIREMENT FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	AAOTX	02631V740	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CCLTX	02631V732	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAXTX	02631V716	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBMTX	02631V690	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FCQTX	02631V682	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RAQTX	02631V674	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBOTX	02631V666	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBOEX	02631V658	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS R2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCPTX	02631V641	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDLTX	02631V633	AMERICAN 2065 TARGET DATE RETIREMENT FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RAEBX	023375793	AMERICAN AMCAP FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	ABALX	024071102	AMERICAN BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	BALCX	024071300	AMERICAN BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	BALFX	024071409	AMERICAN BALANCED FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AMBFX	024071821	AMERICAN BALANCED FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	AFMBX	024071771	AMERICAN BALANCED FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RLBAX	024071870	AMERICAN BALANCED FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RLBBX	024071862	AMERICAN BALANCED FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RAMHX	024071797	AMERICAN BALANCED FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RLBCX	024071854	AMERICAN BALANCED FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RLBEX	024071847	AMERICAN BALANCED FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RCEEX	140193814	AMERICAN CAPITAL INCOME BUILDER FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCEBX	140541798	AMERICAN CAPITAL WORLD BOND FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RWBEX	140543794	AMERICAN CAPITAL WORLD GROWTH AND INCOME FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	BFCAX	02629H109	AMERICAN CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	BFCCX	02629H307	AMERICAN CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	BFCFX	02629H406	AMERICAN CORPORATE BOND FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	BFCGX	02629H505	AMERICAN CORPORATE BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RCBAX	02629H869	AMERICAN CORPORATE BOND FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RCBBX	02629H851	AMERICAN CORPORATE BOND FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCBGX	02629H794	AMERICAN CORPORATE BOND FUND CLASS R2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCBCX	02629H844	AMERICAN CORPORATE BOND FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RCBDX	02629H836	AMERICAN CORPORATE BOND FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	DWGHX	02630F506	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CL F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RDWAX	02630F860	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CL R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RDWBX	02630F852	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CL R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RDWCX	02630F845	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CL R-3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RDWEX	02630F837	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CL R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	DWGAX	02630F100	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	DWGXC	02630F308	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	DWGFY	02630F407	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	RDEGX	02630F795	AMERICAN DEVELOPING WORLD GROWTH AND INCOME FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	EBNAX	02631F109	AMERICAN EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	EBNCX	02631F307	AMERICAN EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	EBNEX	02631F406	AMERICAN EMERGING MARKETS BOND FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	EBNFY	02631F505	AMERICAN EMERGING MARKETS BOND FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	REGAX	02631F869	AMERICAN EMERGING MARKETS BOND FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	REGBX	02631F851	AMERICAN EMERGING MARKETS BOND FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	REGHY	02631F844	AMERICAN EMERGING MARKETS BOND FUND CLASS R2 E	T	H	N	4:00 PM	01
AMERICAN FUNDS	REGCX	02631F836	AMERICAN EMERGING MARKETS BOND FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	REGEX	02631F828	AMERICAN EMERGING MARKETS BOND FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	REEBX	298706813	AMERICAN EUROPACIFIC GROWTH FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RFBYX	360802797	AMERICAN FUNDAMENTAL INVESTORS FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	AAATX	02630T100	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAATX	02630T209	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBATX	02630T308	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCATX	02630T407	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDATX	02630T506	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	DJTFY	02631V104	AMERICAN FUNDS 2010 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AABTX	02630T704	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAJTX	02630T803	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBJTX	02630T886	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCJTX	02630T878	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDBTX	02630T860	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FDBTX	02631V203	AMERICAN FUNDS 2015 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AACTX	02630T845	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RACTX	02630T837	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBCTX	02630T829	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCCTX	02630T811	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDCTX	02630T795	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCCTX	02631V302	AMERICAN FUNDS 2020 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AADTX	02630T779	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RADTX	02630T761	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBDTX	02630T753	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCDTX	02630T746	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDDTX	02630T738	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	FDTX	02631V401	AMERICAN FUNDS 2025 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AAETX	02630T712	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAETX	02630T696	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBETX	02630T688	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCETX	02630T670	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDET X	02630T662	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCETX	02631V500	AMERICAN FUNDS 2030 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AAFTX	02630T647	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAFTX	02630T639	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBFTX	02630T621	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCFTX	02630T613	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDFTX	02630T597	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FDFTX	02631V609	AMERICAN FUNDS 2035 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AAGTX	02630T571	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAKTX	02630T563	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBKTX	02630T555	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCKTX	02630T548	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDGTX	02630T530	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCGTX	02631V708	AMERICAN FUNDS 2040 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AAHTX	02630T514	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAHTX	02630T498	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBHTX	02630T480	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCHTX	02630T472	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDHTX	02630T464	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCHTX	02631V807	AMERICAN FUNDS 2045 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AALTX	02630T449	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAITX	02630T431	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBITX	02630T423	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCITX	02630T415	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDITX	02630T399	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	DITFX	02631V880	AMERICAN FUNDS 2050 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AAMTX	02630T274	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	RAMTX	02630T266	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBMTX	02630T258	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCMTX	02630T241	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDJTX	02630T233	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCJTX	02631V872	AMERICAN FUNDS 2055 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RBENX	02631C361	AMERICAN FUNDS 2060 TARGET DATE FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	AANTX	02631C445	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	CKTX	02631C429	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAWTX	02631C411	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FBKTX	02631C395	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RANTX	02631C387	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBNTX	02631C379	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCNTX	02631C353	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RDKTX	02631C346	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FD CL R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FCKTX	02631V864	AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	WGIFX	140543828	AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	INPAX	02630Y448	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	INPCX	02630Y422	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	INPFX	02630Y414	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	INPEX	02630Y398	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	INPDX	02630Q205	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS F-3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RNCAX	02630Y331	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RINBX	02630Y323	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNBEX	02630R377	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNCCX	02630Y315	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RINEX	02630Y299	AMERICAN FUNDS CONSERVATIVE GROWTH AND INCOME FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	CFBFX	02629H778	AMERICAN FUNDS CORPORATE BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	FDWGX	02630F779	AMERICAN FUNDS DEVELOPING WORLD GROWTH AND INCOME FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	EBNGX	02631F778	AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	FINFX	360802821	AMERICAN FUNDS FUNDAMENTAL INVESTORS FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	GBLAX	02629W106	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	GBLCX	02629W304	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	GBLEX	02629W403	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	GBLFX	02629W502	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	GFBLX	02629W775	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RGBLX	02629W866	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGBBX	02629W858	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGBCX	02629W841	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGBEX	02629W833	AMERICAN FUNDS GLOBAL BALANCED FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	PGXFX	02630R344	AMERICAN FUNDS GLOBAL GROWTH PORTFOLIO CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	GAIHX	02630R351	AMERICAN FUNDS GROWTH AND INCOME PORTFOLIO CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	GWPDX	02630R369	AMERICAN FUNDS GROWTH PORTFOLIO CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	BFIAX	02630D105	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	BFICX	02630D303	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	BFIFX	02630D402	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	BFIGX	02630D501	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS F-2	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	FILBX	02630D774	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RILAX	02630D865	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RILBX	02630D857	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RILGX	02630D790	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RILCX	02630D840	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RILDY	02630D832	AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	BLPAX	02630Y265	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	BLPCX	02630Y240	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	BLPFX	02630Y232	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	BLPEX	02630Y224	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	BLPDX	02630Q106	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS F-3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RBAAX	02630Y158	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBABX	02630Y141	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBBEX	02630R435	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBACX	02630Y133	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RBAEX	02630Y125	AMERICAN FUNDS MODERATE GROWTH AND INCOME FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	MFAAX	02630V105	AMERICAN FUNDS MORTGAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	MFACX	02630V303	AMERICAN FUNDS MORTGAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	MFAEX	02630V402	AMERICAN FUNDS MORTGAGE FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	MFAFX	02630V501	AMERICAN FUNDS MORTGAGE FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	AFFMX	02630V774	AMERICAN FUNDS MORTGAGE FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RMAAX	02630V865	AMERICAN FUNDS MORTGAGE FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RMABX	02630V857	AMERICAN FUNDS MORTGAGE FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RMACX	02630V840	AMERICAN FUNDS MORTGAGE FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RMAEX	02630V832	AMERICAN FUNDS MORTGAGE FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	PPFFX	02630Q403	AMERICAN FUNDS PRESERVATION PORTFOLIO CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	NAARX	02631L106	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NGCRX	02631L304	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FAFWX	02631L403	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS F-	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FDFWX	02631L502	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS F-	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RARPX	02631L601	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS R-	T	G	N	4:00 PM	01
AMERICAN FUNDS	RDRPX	02631L700	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS R-	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGRPX	02631L809	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS R-	T	H	N	4:00 PM	01
AMERICAN FUNDS	RJRPX	02631L882	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS R-	T	J	N	4:00 PM	01
AMERICAN FUNDS	RMRPX	02631L874	AMERICAN FUNDS RETIREMENT INCOME PORT CONSERVATIVE FUND CLASS R-	T	K	N	4:00 PM	01
AMERICAN FUNDS	NDARX	02631L718	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NDCRX	02631L684	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FCFWX	02631L676	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FGFWX	02631L668	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS F-2	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RCRPX	02631L650	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RFRPX	02631L643	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIRPX	02631L635	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RLRPX	02631L627	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RPRPX	02631L619	AMERICAN FUNDS RETIREMENT INCOME PORT ENHANCED FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	NBARX	02631L841	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FD CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NBCRX	02631L825	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	FBFWX	02631L817	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FHFWX	02631L791	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RBRPX	02631L783	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RERPX	02631L775	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RHRPX	02631L767	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RKRPX	02631L759	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RNRPX	02631L742	AMERICAN FUNDS RETIREMENT INCOME PORT MODERATE FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FICWX	02631L544	AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CL F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	FIEXX	02631L528	AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	FIMWX	02631L536	AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	SFTEX	02630W707	AMERICAN FUNDS SHORT-TERM TAX-EXEMPT BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	ANBAX	02631E102	AMERICAN FUNDS STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	ANBCX	02631E300	AMERICAN FUNDS STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	ANBEX	02631E409	AMERICAN FUNDS STRATEGIC BOND FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	ANBFX	02631E508	AMERICAN FUNDS STRATEGIC BOND FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	ANBGX	02631E771	AMERICAN FUNDS STRATEGIC BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RANAX	02631E862	AMERICAN FUNDS STRATEGIC BOND FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RANBX	02631E854	AMERICAN FUNDS STRATEGIC BOND FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RANHX	02631E847	AMERICAN FUNDS STRATEGIC BOND FUND CLASS R-2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RANCX	02631E839	AMERICAN FUNDS STRATEGIC BOND FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RANEX	02631E821	AMERICAN FUNDS STRATEGIC BOND FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	NYAAX	02630X101	AMERICAN FUNDS TAX-EXEMPT FUND OF NEW YORK CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NYACX	02630X309	AMERICAN FUNDS TAX-EXEMPT FUND OF NEW YORK CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	TFNYX	02630X606	AMERICAN FUNDS TAX-EXEMPT FUND OF NEW YORK CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	NYAEX	02630X408	AMERICAN FUNDS TAX-EXEMPT FUND OF NEW YORK F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	NYAFX	02630X507	AMERICAN FUNDS TAX-EXEMPT FUND OF NEW YORK F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TYEFX	02630Q502	AMERICAN FUNDS TAX-EXEMPT PRESERVATION PORTFOLIO CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RGGHX	02629W791	AMERICAN GLOBAL BALANCED FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	PGGAX	02630R617	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	GGPCX	02630R583	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	PGGFX	02630R575	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	PGWFX	02630R567	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS F-2	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RGGAX	02630R492	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGBBX	02630R484	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	REBGX	02630R427	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGLCX	02630R476	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGGEX	02630R468	AMERICAN GLOBAL GROWTH PORTFOLIO FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AGVFX	14020E106	AMERICAN GLOBAL INSIGHT FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AGVDX	14020E205	AMERICAN GLOBAL INSIGHT FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AGVEX	14020E403	AMERICAN GLOBAL INSIGHT FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AGVGX	14020E502	AMERICAN GLOBAL INSIGHT FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	AGVHX	14020E601	AMERICAN GLOBAL INSIGHT FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RGLAX	14020E858	AMERICAN GLOBAL INSIGHT FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGLBX	14020E841	AMERICAN GLOBAL INSIGHT FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGLHX	14020E833	AMERICAN GLOBAL INSIGHT FUND CLASS R2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGLDX	14020E825	AMERICAN GLOBAL INSIGHT FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGLEX	14020E817	AMERICAN GLOBAL INSIGHT FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	GAIOX	02630R203	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	GAITX	02630R401	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	GAIFX	02630R500	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	GAIEX	02630R609	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RGNAX	02630R856	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGNBX	02630R849	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBEGX	02630R419	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RAICX	02630R831	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGNEX	02630R823	AMERICAN GROWTH AND INCOME PORTFOLIO FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	GWPCX	02630R765	AMERICAN GROWTH PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	RGWAX	02630R674	AMERICAN GROWTH PORTFOLIO CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	GWPAX	02630R781	AMERICAN GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	GWPFX	02630R757	AMERICAN GROWTH PORTFOLIO FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	GWPEX	02630R740	AMERICAN GROWTH PORTFOLIO FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RGWBX	02630R666	AMERICAN GROWTH PORTFOLIO FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBGEX	02630R393	AMERICAN GROWTH PORTFOLIO FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGPCX	02630R658	AMERICAN GROWTH PORTFOLIO FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGWEX	02630R641	AMERICAN GROWTH PORTFOLIO FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AHICX	026545301	AMERICAN HIGH INCOME MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AHMFx	026545608	AMERICAN HIGH INCOME MUNICIPAL BOND FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	ABHFX	026545400	AMERICAN HIGH INCOME MUNICIPAL FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AHITX	026547109	AMERICAN HIGH INCOME TRUST CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AHTCX	026547307	AMERICAN HIGH INCOME TRUST CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AHTFX	026547406	AMERICAN HIGH INCOME TRUST CLASS F-1	NTF^	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	AHIFX	026547828	AMERICAN HIGH INCOME TRUST CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RITAX	026547877	AMERICAN HIGH INCOME TRUST CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RITBX	026547869	AMERICAN HIGH INCOME TRUST CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RITCX	026547851	AMERICAN HIGH INCOME TRUST CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RITEX	026547844	AMERICAN HIGH INCOME TRUST CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AMHIX	026545103	AMERICAN HIGH-INCOME MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	HIMFX	026545806	AMERICAN HIGH-INCOME MUNICIPAL BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	HIGFX	026547778	AMERICAN HIGH-INCOME TRUST CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RTEHX	026547794	AMERICAN HIGH-INCOME TRUST FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIIEX	45956T790	AMERICAN INTERNATIONAL GROWTH AND INCOME FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	AIVBX	14019V101	AMERICAN INTERNATIONAL VANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AIVCX	14019V200	AMERICAN INTERNATIONAL VANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AIVEX	14019V408	AMERICAN INTERNATIONAL VANTAGE FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AIVFX	14019V507	AMERICAN INTERNATIONAL VANTAGE FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	AIVGX	14019V606	AMERICAN INTERNATIONAL VANTAGE FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RIVAX	14019V853	AMERICAN INTERNATIONAL VANTAGE FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RIVDX	14019V846	AMERICAN INTERNATIONAL VANTAGE FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIVHX	14019V838	AMERICAN INTERNATIONAL VANTAGE FUND CLASS R2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIVIX	14019V820	AMERICAN INTERNATIONAL VANTAGE FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RIVKX	14019V812	AMERICAN INTERNATIONAL VANTAGE FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RMBEX	02630V790	AMERICAN MORTGAGE FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	MIAQX	02631W102	AMERICAN MULTI-SECTOR INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	MIAUX	02631W201	AMERICAN MULTI-SECTOR INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	MIAWX	02631W409	AMERICAN MULTI-SECTOR INCOME FUND CLASS F1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	MIAYX	02631W508	AMERICAN MULTI-SECTOR INCOME FUND CLASS F2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	MAZX	02631W607	AMERICAN MULTI-SECTOR INCOME FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RMDNX	02631W854	AMERICAN MULTI-SECTOR INCOME FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RMDOX	02631W847	AMERICAN MULTI-SECTOR INCOME FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RMDPX	02631W839	AMERICAN MULTI-SECTOR INCOME FUND CLASS R2E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RMDQX	02631W821	AMERICAN MULTI-SECTOR INCOME FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RMDRX	02631W813	AMERICAN MULTI-SECTOR INCOME FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AMRMX	027681105	AMERICAN MUTUAL FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AMFCX	027681303	AMERICAN MUTUAL FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AMFFX	027681402	AMERICAN MUTUAL FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AMRFX	027681824	AMERICAN MUTUAL FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	AFMFX	027681774	AMERICAN MUTUAL FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RMFAX	027681873	AMERICAN MUTUAL FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RMFBX	027681865	AMERICAN MUTUAL FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RMEBX	027681790	AMERICAN MUTUAL FUND CLASS R2-E	T	H	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RMFCX	027681857	AMERICAN MUTUAL FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RMFEX	027681840	AMERICAN MUTUAL FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RPEBX	648018794	AMERICAN NEW PERSPECTIVE FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNEBX	649280799	AMERICAN NEW WORLD FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	PPVAX	02630Y794	AMERICAN PRESERVATION PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	PPVCX	02630Y778	AMERICAN PRESERVATION PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	PPVFX	02630Y760	AMERICAN PRESERVATION PORTFOLIO FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	PPEFX	02630Y752	AMERICAN PRESERVATION PORTFOLIO FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RPPVX	02630Y687	AMERICAN PRESERVATION PORTFOLIO FUND CLASS R-1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RPPBX	02630Y679	AMERICAN PRESERVATION PORTFOLIO FUND CLASS R-2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RPBEX	02630R385	AMERICAN PRESERVATION PORTFOLIO FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RPPCX	02630Y661	AMERICAN PRESERVATION PORTFOLIO FUND CLASS R-3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RPPEX	02630Y653	AMERICAN PRESERVATION PORTFOLIO FUND CLASS R-4	T	K	N	4:00 PM	01
AMERICAN FUNDS	ASTEX	02630W103	AMERICAN SHORT-TERM TAX-EXEMPT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	FSTTX	02630W509	AMERICAN SHORT-TERM TAX-EXEMPT BOND FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	ASTFX	02630W608	AMERICAN SHORT-TERM TAX-EXEMPT BOND FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RSEBX	831681796	AMERICAN SMALLCAP WORLD FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	TEPAX	02630Y109	AMERICAN TAX-EXEMPT PRESERVATION FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	TEPFX	02630Y406	AMERICAN TAX-EXEMPT PRESERVATION FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	TXEFX	02630Y505	AMERICAN TAX-EXEMPT PRESERVATION FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TEPCX	02630Y307	AMERICAN TAX-EXEMPT PRESERVATION PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	RGEVX	026300798	AMERICAN U.S. GOVERNMENT SECURITIES FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	CFIHX	140193780	CAPITAL INCOME BUILDER CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	CAIBX	140193103	CAPITAL INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CIBCX	140193301	CAPITAL INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CIBFX	140193400	CAPITAL INCOME BUILDER FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	CAIFX	140194101	CAPITAL INCOME BUILDER FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	RIRAX	140193871	CAPITAL INCOME BUILDER FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RIRBX	140193863	CAPITAL INCOME BUILDER FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIRCX	140193855	CAPITAL INCOME BUILDER FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RIREX	140193848	CAPITAL INCOME BUILDER FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	CWBFX	140541103	CAPITAL WORLD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CWBCX	140541301	CAPITAL WORLD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	WBFFX	140541400	CAPITAL WORLD BOND FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	BFWFX	140541822	CAPITAL WORLD BOND FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	WFBFX	140541111	CAPITAL WORLD BOND FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RCWAX	140541871	CAPITAL WORLD BOND FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RCWBX	140541863	CAPITAL WORLD BOND FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RCWCX	140541855	CAPITAL WORLD BOND FUND CLASS R3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	RCWEX	140541848	CAPITAL WORLD BOND FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	CWGIX	140543109	CAPITAL WORLD GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	CWGCX	140543307	CAPITAL WORLD GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	CWGFX	140543406	CAPITAL WORLD GROWTH & INCOME FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	RWIAX	140543877	CAPITAL WORLD GROWTH & INCOME FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RWIBX	140543869	CAPITAL WORLD GROWTH & INCOME FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RWICX	140543851	CAPITAL WORLD GROWTH & INCOME FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RWIEX	140543844	CAPITAL WORLD GROWTH & INCOME FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	FWGIX	140543117	CAPITAL WORLD GROWTH AND INCOME FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AEPGX	298706102	EUROPACIFIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	APCX	298706300	EUROPACIFIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AEGFX	298706409	EUROPACIFIC GROWTH FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AEPFX	29875E100	EUROPACIFIC GROWTH FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FEUPX	298706110	EUROPACIFIC GROWTH FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RERAX	298706870	EUROPACIFIC GROWTH FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RERBX	298706862	EUROPACIFIC GROWTH FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RERCX	298706854	EUROPACIFIC GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	REREX	298706847	EUROPACIFIC GROWTH FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	ANCFX	360802102	FUNDAMENTAL INVESTORS CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AFICX	360802300	FUNDAMENTAL INVESTORS CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AFIFX	360802409	FUNDAMENTAL INVESTORS CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	FUNFX	360802771	FUNDAMENTAL INVESTORS CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RFNAX	360802870	FUNDAMENTAL INVESTORS CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RFNBX	360802862	FUNDAMENTAL INVESTORS CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RFNCX	360802854	FUNDAMENTAL INVESTORS CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RFNEX	360802847	FUNDAMENTAL INVESTORS CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AIBAX	458809100	INTERMEDIATE BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	IBFCX	458809308	INTERMEDIATE BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	IBFFX	458809407	INTERMEDIATE BOND FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	IBAFX	458809829	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	IFBFX	458809787	INTERMEDIATE BOND FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RBOAX	458809878	INTERMEDIATE BOND FUND OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBOBX	458809860	INTERMEDIATE BOND FUND OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	REBBX	458809118	INTERMEDIATE BOND FUND OF AMERICA CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBOCX	458809852	INTERMEDIATE BOND FUND OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RBOEX	458809845	INTERMEDIATE BOND FUND OF AMERICA CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	IGAAX	45956T105	INTERNATIONAL GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	IGICX	45956T303	INTERNATIONAL GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	IGIFX	45956T402	INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1	NTF^	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	IGFFX	45956T501	INTERNATIONAL GROWTH AND INCOME FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	IGAIX	45956T774	INTERNATIONAL GROWTH AND INCOME FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RIGAX	45956T865	INTERNATIONAL GROWTH AND INCOME FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RIGBX	45956T857	INTERNATIONAL GROWTH AND INCOME FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIGEX	45956T832	INTERNATIONAL GROWTH AND INCOME FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RGICX	45956T840	INTERNATIONAL GRWOTH AND INCOME FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	LTEBX	532726106	LIMITED TERM TAX EXEMPT BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	LTXCX	532726304	LIMITED TERM TAX EXEMPT BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	LTXFX	532726403	LIMITED TERM TAX EXEMPT BOND FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	LTEFX	532726601	LIMITED TERM TAX- EXEMPT BOND FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FLTEX	532726809	LIMITED TERM TAX-EXEMPT BOND FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	ANWPX	648018109	NEW PERSPECTIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NPFCX	648018307	NEW PERSPECTIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	NPFFX	648018406	NEW PERSPECTIVE FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	ANWFX	648018828	NEW PERSPECTIVE FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FNPFX	648018778	NEW PERSPECTIVE FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RNPAX	648018877	NEW PERSPECTIVE FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RNPBX	648018869	NEW PERSPECTIVE FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNPCX	648018851	NEW PERSPECTIVE FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RNPEX	648018844	NEW PERSPECTIVE FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	NEWFX	649280104	NEW WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	NEWCX	649280302	NEW WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	NWFFX	649280401	NEW WORLD FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	NFFFX	649280823	NEW WORLD FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FNWFX	649280773	NEW WORLD FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RNWAX	649280872	NEW WORLD FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RNWBX	649280864	NEW WORLD FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNWCX	649280856	NEW WORLD FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RNWEX	649280849	NEW WORLD FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	ASBAX	82524A102	SHORT-TERM BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	ASBCX	82524A300	SHORT-TERM BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	ASBFX	82524A409	SHORT-TERM BOND FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	SBFFX	82524A821	SHORT-TERM BOND FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FSBTX	82524A771	SHORT-TERM BOND FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RAMAX	82524A888	SHORT-TERM BOND FUND OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RAMBX	82524A870	SHORT-TERM BOND FUND OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RAAEX	82524A797	SHORT-TERM BOND FUND OF AMERICA CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RAMCX	82524A862	SHORT-TERM BOND FUND OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RAMEX	82524A854	SHORT-TERM BOND FUND OF AMERICA CLASS R4	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	SMCWX	831681101	SMALL-CAP WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	SCWCX	831681309	SMALL-CAP WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	SCWFX	831681408	SMALL-CAP WORLD FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	SMCFX	831681820	SMALL-CAP WORLD FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	SFCWX	831681770	SMALLCAP WORLD FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RSLAX	831681879	SMALL-CAP WORLD FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RSLBX	831681861	SMALL-CAP WORLD FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RSLCX	831681853	SMALL-CAP WORLD FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RSLEX	831681846	SMALL-CAP WORLD FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	ABNDX	097873103	THE BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	BFACX	097873301	THE BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	BFAFX	097873400	THE BOND FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	ABNFX	097873822	THE BOND FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	BFFAX	097873772	THE BOND FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RBFAX	097873871	THE BOND FUND OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RBF BX	097873863	THE BOND FUND OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBE BX	097873798	THE BOND FUND OF AMERICA CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RBFCX	097873855	THE BOND FUND OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RBFE X	097873848	THE BOND FUND OF AMERICA CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AGTHX	399874106	THE GROWTH FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	GFACX	399874304	THE GROWTH FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	GFAFX	399874403	THE GROWTH FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	GFFFX	399874825	THE GROWTH FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	GAFFX	399874775	THE GROWTH FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	GAAX	399874874	THE GROWTH FUND OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGABX	399874866	THE GROWTH FUND OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGE BX	399874791	THE GROWTH FUND OF AMERICA CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGACX	399874858	THE GROWTH FUND OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	GAEX	399874841	THE GROWTH FUND OF AMERICA CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AMECX	453320103	THE INCOME FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	IFACX	453320301	THE INCOME FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	IFAFX	453320400	THE INCOME FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	AMEFX	453320822	THE INCOME FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FIFAX	453320772	THE INCOME FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RIDAX	453320871	THE INCOME FUND OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RIDBX	453320863	THE INCOME FUND OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIE BX	453320798	THE INCOME FUND OF AMERICA CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RIDCX	453320855	THE INCOME FUND OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RIDE X	453320848	THE INCOME FUND OF AMERICA CLASS R4	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	AIVSX	461308108	THE INVESTMENT COMPANY OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	AICCX	461308306	THE INVESTMENT COMPANY OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AICFX	461308405	THE INVESTMENT COMPANY OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	ICAFX	461308827	THE INVESTMENT COMPANY OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FFICX	461308777	THE INVESTMENT COMPANY OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RICAX	461308876	THE INVESTMENT COMPANY OF AMERICA CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RICBX	461308868	THE INVESTMENT COMPANY OF AMERICA CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RICCX	461308850	THE INVESTMENT COMPANY OF AMERICA CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RICEX	461308843	THE INVESTMENT COMPANY OF AMERICA CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	RIBEX	461308793	THE INVESTMENT COMPANY OF AMERICA FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	ANEFX	643822109	THE NEW ECONOMY FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	ANFCX	643822307	THE NEW ECONOMY FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	ANFFX	643822406	THE NEW ECONOMY FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	NEFFX	643822828	THE NEW ECONOMY FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FNEFX	643822778	THE NEW ECONOMY FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RNGAX	643822877	THE NEW ECONOMY FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RNGBX	643822869	THE NEW ECONOMY FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNNEX	643822794	THE NEW ECONOMY FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RNGCX	643822851	THE NEW ECONOMY FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RNGEX	643822844	THE NEW ECONOMY FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN FUNDS	AFTEX	876902107	THE TAX-EXEMPT BOND FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	TEBCX	876902305	THE TAX-EXEMPT BOND FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	AFTFX	876902404	THE TAX-EXEMPT BOND FUND OF AMERICA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	TEAFX	876902602	THE TAX-EXEMPT BOND FUND OF AMERICA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TFEBX	876902800	THE TAX-EXEMPT BOND FUND OF AMERICA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	TEFEX	02630B604	THE TAX-EXEMPT FUND OF CALIFORNIA CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	TAFTX	02630B109	THE TAX-EXEMPT FUND OF CALIFORNIA CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	TECCX	02630B307	THE TAX-EXEMPT FUND OF CALIFORNIA CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	TECFX	02630B406	THE TAX-EXEMPT FUND OF CALIFORNIA CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	EXCAX	02630B703	THE TAX-EXEMPT FUND OF CALIFORNIA CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	AMUSX	026300103	U.S. GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	UGSCX	026300301	U.S. GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	UGSFX	026300400	U.S. GOVERNMENT SECURITIES FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	GVTFX	026300822	U.S. GOVERNMENT SECURITIES FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	USGFX	026300772	U.S. GOVERNMENT SECURITIES FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RGVAX	026300871	U.S. GOVERNMENT SECURITIES FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RGVBX	026300863	U.S. GOVERNMENT SECURITIES FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RGVCX	026300855	U.S. GOVERNMENT SECURITIES FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RGVEX	026300848	U.S. GOVERNMENT SECURITIES FUND CLASS R4	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMERICAN FUNDS	AWSHX	939330106	WASHINGTON MUTUAL INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN FUNDS	WSHCX	939330304	WASHINGTON MUTUAL INVESTORS FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN FUNDS	WSHFX	939330403	WASHINGTON MUTUAL INVESTORS FUND CLASS F-1	NTF^	F	N	4:00 PM	01
AMERICAN FUNDS	WMFFX	939330825	WASHINGTON MUTUAL INVESTORS FUND CLASS F-2	T	Z	N	4:00 PM	01
AMERICAN FUNDS	FWMIX	939330775	WASHINGTON MUTUAL INVESTORS FUND CLASS F3	T+	L	N	4:00 PM	01
AMERICAN FUNDS	RWMAX	939330874	WASHINGTON MUTUAL INVESTORS FUND CLASS R1	T	G	N	4:00 PM	01
AMERICAN FUNDS	RWMBX	939330866	WASHINGTON MUTUAL INVESTORS FUND CLASS R2	T	H	N	4:00 PM	01
AMERICAN FUNDS	RWEBX	939330791	WASHINGTON MUTUAL INVESTORS FUND CLASS R2-E	T	H	N	4:00 PM	01
AMERICAN FUNDS	RWMCX	939330858	WASHINGTON MUTUAL INVESTORS FUND CLASS R3	T	J	N	4:00 PM	01
AMERICAN FUNDS	RWMEX	939330841	WASHINGTON MUTUAL INVESTORS FUND CLASS R4	T	K	N	4:00 PM	01
AMERICAN GROWTH FUNDS	AMREX	026393504	AMERICAN GROWTH CANNABIS FUND CLASS E	NTF^	E	N	4:00 PM	01
AMERICAN GROWTH FUNDS	AMRAX	026393207	AMERICAN GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AMERICAN GROWTH FUNDS	AMRBX	026393306	AMERICAN GROWTH FUND CLASS B	NTF^	B	N	4:00 PM	01
AMERICAN GROWTH FUNDS	AMRCX	026393405	AMERICAN GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AMERICAN GROWTH FUNDS	AMRGX	026393108	AMERICAN GROWTH FUND CLASS D	NTF^	D	Y	4:00 PM	01
AMF FUNDS	REPOX	045419850	AAAMCO ULTRASHORT FINANCING FUND CLASS I	T	I	N	4:00 PM	01
AMF FUNDS	REPYX	045419868	AAAMCO ULTRASHORT FINANCING FUND CLASS Y	T	Y	N	4:00 PM	01
AMF FUNDS	IICAX	045419801	AMF LARGE CAP EQUITY FUND	T		N	4:00 PM	01
AMF FUNDS	IICHX	045419884	AMF LARGE CAP EQUITY FUND CLASS H	T	H	N	4:00 PM	01
AMG FUNDS	ADLIX	00171A761	AMG BEUTEL GOODMAN CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ADBLX	00171A779	AMG BEUTEL GOODMAN CORE PLUS BOND FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	APCTX	00171A530	AMG BEUTEL GOODMAN INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	APINX	00171A548	AMG BEUTEL GOODMAN INTERNATIONAL EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	APCZX	00171C403	AMG BEUTEL GOODMAN INTERNATIONAL EQUITY FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	BRWIX	00170J300	AMG BOSTON COMMON GLOBAL IMPACT FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MMASX	00170J888	AMG FQ GLOBAL RISK BALANCED FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MMAVX	00170J805	AMG FQ GLOBAL RISK-BALANCED FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MMAFX	00170J821	AMG FQ GLOBAL RISK-BALANCED FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	MSSCX	00170J771	AMG FRONTIER SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MSSVX	00170J789	AMG FRONTIER SMALL CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MBDFX	00170J730	AMG GW&K CORE BOND ESG FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MBGVX	00170J722	AMG GW&K CORE BOND ESG FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MFDSX	00170M709	AMG GW&K ENHANCED CORE BOND ESG FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MFDAV	00170M600	AMG GW&K ENHANCED CORE BOND ESG FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MGBIX	00170L834	AMG GW&K ESG BOND FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MGFIX	00170L842	AMG GW&K ESG BOND FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MBESX	00170M402	AMG GW&K GLOBAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MBEAX	00170M303	AMG GW&K GLOBAL ALLOCATION FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MBEYX	00170M501	AMG GW&K GLOBAL ALLOCATION FUND CLASS Z	T+	Z	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMG FUNDS	GWHIX	00170L719	AMG GW&K HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MGG BX	00170L826	AMG GW&K HIGH INCOME FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MECIX	00170L503	AMG GW&K INTERNATIONAL SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MECAX	00170L404	AMG GW&K INTERNATIONAL SMALL-CAP FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MECZX	00170L727	AMG GW&K INTERNATIONAL SMALL-CAP FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	GWMIX	00170K505	AMG GW&K MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	GWMTX	00170K307	AMG GW&K MUNICIPAL BOND FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	GWMEX	00170K802	AMG GW&K MUNICIPAL ENHANCED YIELD FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	GWMNX	00170K604	AMG GW&K MUNICIPAL ENHANCED YIELD FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	GWEIX	00170K869	AMG GW&K SMALL CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	GWETX	00170K885	AMG GW&K SMALL CAP CORE FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	GWGVX	00170K364	AMG GW&K SMALL MID CAP FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	GWGIX	00170K349	AMG GW&K SMALL/MID CAP FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	GWGZX	00170K232	AMG GW&K SMALL/MID-CAP FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	ACWIX	00171A639	AMG GW&K SMALL/MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ACWDX	00171A647	AMG GW&K SMALL/MID-CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	SKSIX	00170K224	AMG GW&K SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	SKSEX	00170K208	AMG GW&K SMALL-CAP VALUE FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	TLESX	00170K679	AMG GW&K TRILOGY EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TLEVX	00170K687	AMG GW&K TRILOGY EMERGING MARKETS EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	TYWSX	00170K380	AMG GW&K TRILOGY EMERGING WEALTH EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TYWVX	00170K398	AMG GW&K TRILOGY EMERGING WEALTH EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MRASX	00170J698	AMG MANAGERS CENTERSQUARE REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MRESX	00170J748	AMG MANAGERS CENTERSQUARE REAL ESTATE FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MCGIX	00171A589	AMG MONTRUSCO BOLTON LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MCGFX	00171A597	AMG MONTRUSCO BOLTON LARGE-CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MRLSX	00170K836	AMG RENAISSANCE LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MRLTX	00170K844	AMG RENAISSANCE LARGE CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MRLIX	00170K828	AMG RENAISSANCE LARGE CAP GROWTH FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	ARIDX	00171A209	AMG RIVER ROAD DIVIDEND ALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ARDEX	00171A100	AMG RIVER ROAD DIVIDEND ALL-CAP VALUE FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	FAVX	00171A605	AMG RIVER ROAD FOCUSED ABSOLUTE VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ARRFX	00171A506	AMG RIVER ROAD FOCUSED ABSOLUTE VALUE FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	FQUAX	00170J862	AMG RIVER ROAD LARGE CAP VALUE SELECT FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	MEQFX	00170J797	AMG RIVER ROAD LARGE-CAP VALUE SELECTION FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ALSIX	00171A803	AMG RIVER ROAD LONG SHORT FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ARLSX	00171A704	AMG RIVER ROAD LONG-SHORT FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	ABMIX	00171A720	AMG RIVER ROAD MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	CHTTX	00171A738	AMG RIVER ROAD MID-CAP VALUE FUND CLASS N	NTF	N	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AMG FUNDS	ARSIX	00171A852	AMG RIVER ROAD SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ARSVX	00171A860	AMG RIVER ROAD SMALL CAP VALUE FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	ARIMX	00171A878	AMG RIVER ROAD SMALL-MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	ARSMX	00171A886	AMG RIVER ROAD SMALL-MID CAP VALUE FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	TQEIX	00170K273	AMG TIMESSQUARE EMERGING MARKETS SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TQENX	00170K190	AMG TIMESSQUARE EMERGING MARKETS SMALL CAP FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	TQTIX	00170K182	AMG TIMESSQUARE INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TCMPX	00170K711	AMG TIMESSQUARE INTERNATIONAL SMALL CAP FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	TCMIX	00170K695	AMG TIMESSQUARE INTERNATIONAL SMALL CAP FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	TQMIX	00170K174	AMG TIMESSQUARE MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TMDPX	00170K752	AMG TIMESSQUARE MID CAP GROWTH FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	TMDIX	00170K745	AMG TIMESSQUARE MID CAP GROWTH FUND CLASS Z	T+	Z	N	4:00 PM	01
AMG FUNDS	TSQIX	00170K166	AMG TIMESSQUARE SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	TSCPX	00170K737	AMG TIMESSQUARE SMALL CAP GROWTH FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MSEIX	00170L859	AMG VERITAS ASIA PACIFIC FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MGSEX	00170L867	AMG VERITAS ASIA PACIFIC FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MIMFX	00170J409	AMG VERITAS CHINA FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MMCFX	00170J755	AMG VERITAS CHINA FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	MFQTX	00170J813	AMG VERITAS GLOBAL FOCUS FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	MFQAX	00170J870	AMG VERITAS GLOBAL FOCUS FUND CLASS N	NTF	N	N	4:00 PM	01
AMG FUNDS	BLUEX	00170J201	AMG VERITAS GLOBAL REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	YAFIX	00170K562	AMG YACKTMAN FOCUSED FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	YAFFX	00170K570	AMG YACKTMAN FOCUSED FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	YACKX	00170K588	AMG YACKTMAN FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	YFSIX	00170K240	AMG YACKTMAN GLOBAL FUND CLASS I	T	I	N	4:00 PM	01
AMG FUNDS	YFSNX	00170K257	AMG YACKTMAN GLOBAL FUND CLASS N	T	N	N	4:00 PM	01
AMG FUNDS	YASSX	00170K489	AMG YACKTMAN SPECIAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
ANCHOR FUNDS	ATCSX	66538H104	ANCHOR RISK MANAGED CREDIT STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANCHOR FUNDS	ATEAX	66538H831	ANCHOR RISK MANAGED EQUITY STRATEGIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ANCHOR FUNDS	ATESX	66538H823	ANCHOR RISK MANAGED EQUITY STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANCHOR FUNDS	ATGSX	66538H427	ANCHOR RISK MANAGED GLOBAL STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANCHOR FUNDS	ATMSX	66538H773	ANCHOR RISK MANAGED MUNICIPAL STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANFIELD FUNDS	AFLEX	90213U859	ANFIELD UNIVERSAL FIXED INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
ANFIELD FUNDS	AFLKX	90213U834	ANFIELD UNIVERSAL FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ANFIELD FUNDS	AFLIX	90213U826	ANFIELD UNIVERSAL FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANGEL OAK FUNDS	AOIIX	03463K786	ANGEL OAK CORE IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANGEL OAK FUNDS	ANFLX	03463K109	ANGEL OAK FLEXIBLE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ANGEL OAK FUNDS	AFLCX	03463K604	ANGEL OAK FLEXIBLE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ANGEL OAK FUNDS	ANFIX	03463K208	ANGEL OAK FLEXIBLE INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ANGEL OAK FUNDS	ANHAX	03463K703	ANGEL OAK HIGH YIELD OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
ANGEL OAK FUNDS	ANHIX	03463K885	ANGEL OAK HIGH YIELD OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
ANGEL OAK FUNDS	ANGLX	03463K307	ANGEL OAK MULTI STRATEGY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
ANGEL OAK FUNDS	ANGCX	03463K505	ANGEL OAK MULTI STRATEGY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
ANGEL OAK FUNDS	ANGIX	03463K406	ANGEL OAK MULTI STRATEGY INCOME FUND CLASS I	T	I	N	4:00 PM	01
ANGEL OAK FUNDS	AOUIX	03463K828	ANGEL OAK ULTRA SHORT INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ANGEL OAK FUNDS	AOUAX	03463K844	ANGEL OAK ULTRASHORT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
APPLESEED FUNDS	APPLX	90470K370	APPLESEED FUND	NTF	V	N	4:00 PM	01
APPLESEED FUNDS	APPIX	90470K164	APPLESEED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
AQR FUNDS	QRPIX	00203H180	AQR ALTERNATIVE RISK PREMIA FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QRPNX	00203H172	AQR ALTERNATIVE RISK PREMIA FUND CLASS N	T	N	N	4:00 PM	01
AQR FUNDS	ADAIX	00203H602	AQR DIVERSIFIED ARBITRAGE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	ADANX	00203H107	AQR DIVERSIFIED ARBITRAGE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QDSIX	00191K351	AQR DIVERSIFYING STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QDSNX	00191K344	AQR DIVERSIFYING STRATEGIES FUND CLASS N	T	N	N	4:00 PM	01
AQR FUNDS	QMNIX	00191K799	AQR EQUITY MARKET NEUTRAL FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QMNNX	00191K781	AQR EQUITY MARKET NEUTRAL FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AQGIX	00203H875	AQR GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AQGNX	00203H206	AQR GLOBAL EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	ANDIX	00203H693	AQR INTERNATIONAL DEFENSIVE STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	ANDNX	00203H743	AQR INTERNATIONAL DEFENSIVE STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AQIIX	00203H867	AQR INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AQINX	00203H404	AQR INTERNATIONAL EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AIMOX	00203H883	AQR INTERNATIONAL MOMENTUM STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AIONX	00203H578	AQR INTERNATIONAL MOMENTUM STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QICLX	00203H537	AQR INTERNATIONAL MULTI STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QICNX	00203H529	AQR INTERNATIONAL MULTI STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AUEIX	00203H719	AQR LARGE CAP DEFENSIVE STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AUENX	00203H750	AQR LARGE CAP DEFENSIVE STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AMOMX	00203H701	AQR LARGE CAP MOMENTUM STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QCELX	00203H511	AQR LARGE CAP MULTI STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QCENX	00203H495	AQR LARGE CAP MULTI STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QLEIX	00203H446	AQR LONG-SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QLENX	00203H438	AQR LONG-SHORT EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QGMIX	00203H370	AQR MACRO OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QGMNX	00203H362	AQR MACRO OPPORTUNITIES FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AQMIX	00203H859	AQR MANAGED FUTURES STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AQMNX	00203H842	AQR MANAGED FUTURES STRATEGY FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QMHIX	00203H461	AQR MANAGED FUTURES STRATEGY HV FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AQR FUNDS	QMHNX	00203H453	AQR MANAGED FUTURES STRATEGY HV FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AMONX	00203H594	AQR MOMENTUM LARGE CAP MOMENTUM STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	AQRIX	00203H826	AQR MULTI ASSET FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	AQRNX	00203H834	AQR MULTI ASSET FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	ARCIX	00203H677	AQR RISK-BALANCED COMMODITIES STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	ARCNX	00203H727	AQR RISK-BALANCED COMMODITIES STRATEGY FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	ASMOX	00203H800	AQR SMALL CAP MOMENTUM STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	ASMNX	00203H586	AQR SMALL CAP MOMENTUM STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QSMLX	00203H487	AQR SMALL CAP MULTI- STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QSMNX	00203H479	AQR SMALL CAP MULTI- STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QSPIX	00203H420	AQR STYLE PREMIA ALTERNATIVE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QSPNX	00203H412	AQR STYLE PREMIA ALTERNATIVE FUND CLASS N	NTF	N	N	4:00 PM	01
AQR FUNDS	QNZIX	00191K310	AQR SUSTAINABLE LONG-SHORT EQUITY CARBON AWARE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QNZNX	00191K328	AQR SUSTAINABLE LONG-SHORT EQUITY CARBON AWARE FUND CLASS N	T	N	N	4:00 PM	01
AQR FUNDS	QTELX	00191K658	AQR TM EMERGING MULTI-STYLE FUND CLASS I	T	I	N	4:00 PM	01
AQR FUNDS	QTENX	00191K641	AQR TM EMERGING MULTI-STYLE FUND CLASS N	NTF	N	N	4:00 PM	01
AQUILA FUNDS	CHTFX	03842A707	AQUILA CHURCHILL TAX-FREE FUND OF KENTUCKY CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	CHKCX	03842A806	AQUILA CHURCHILL TAX-FREE FUND OF KENTUCKY CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	CHKIX	03842A889	AQUILA CHURCHILL TAX-FREE FUND OF KENTUCKY CLASS I	T	I	N	4:00 PM	01
AQUILA FUNDS	CHKYX	03842A871	AQUILA CHURCHILL TAX-FREE FUND OF KENTUCKY CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	NITFX	03842A863	AQUILA NARRAGANSETT TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	NITCX	03842A855	AQUILA NARRAGANSETT TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	NITIX	03842A848	AQUILA NARRAGANSETT TAX-FREE INCOME FUND CLASS I	T	I	N	4:00 PM	01
AQUILA FUNDS	NITYX	03842A830	AQUILA NARRAGANSETT TAX-FREE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	UTAHX	03842A822	AQUILA TAX-FREE FUND FOR UTAH CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	UTACX	03842A814	AQUILA TAX-FREE FUND FOR UTAH CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	UTAYX	03842A798	AQUILA TAX-FREE FUND FOR UTAH CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	COTFX	03842A400	AQUILA TAX-FREE FUND OF COLORADO CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	COTCX	03842A509	AQUILA TAX-FREE FUND OF COLORADO CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	COTYX	03842A608	AQUILA TAX-FREE FUND OF COLORADO CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	AZTFX	03842A103	AQUILA TAX-FREE TRUST OF ARIZONA FUND CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	AZTCX	03842A202	AQUILA TAX-FREE TRUST OF ARIZONA FUND CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	AZTYX	03842A301	AQUILA TAX-FREE TRUST OF ARIZONA FUND CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	ORTFX	03842N105	AQUILA TAX-FREE TRUST OF OREGON FUND CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	ORTCX	03842N204	AQUILA TAX-FREE TRUST OF OREGON FUND CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	ORTYX	03842N303	AQUILA TAX-FREE TRUST OF OREGON FUND CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	ATPAX	03841H109	AQUILA THREE PEAKS HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	ATPCX	03841H208	AQUILA THREE PEAKS HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	ATIPX	03841H406	AQUILA THREE PEAKS HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
AQUILA FUNDS	ATPYX	03841H307	AQUILA THREE PEAKS HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	ATGAX	03839M102	AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	ATGCX	03839M201	AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	ATRIX	03839M409	AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
AQUILA FUNDS	ATGYX	03839M300	AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
AQUILA FUNDS	HULAX	420016107	HAWAIIAN TAX FREE TRUST CLASS A	NTF^	A	N	4:00 PM	01
AQUILA FUNDS	HULCX	420016206	HAWAIIAN TAX FREE TRUST CLASS C	NTF^	C	N	4:00 PM	01
AQUILA FUNDS	HULYX	420016305	HAWAIIAN TAX FREE TRUST FUND CLASS Y	T	Y	N	4:00 PM	01
ARBOR COURT CAPITAL FUNDS	ACSMX	640193850	ADVISORS CAPITAL SMALL/MID-CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ARBOR COURT CAPITAL FUNDS	ACTIX	640193843	ADVISORS CAPITAL TACTICAL FIXED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ARBOR COURT CAPITAL FUNDS	ACUSX	640193868	ADVISORS CAPITAL U.S. DIVIDEND INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ARBOR COURT CAPITAL FUNDS	NEIMX	640193108	NEIMAN LARGE CAP VALUE FUND	T		N	4:00 PM	01
ARBOR COURT CAPITAL FUNDS	NEAMX	640193702	NEIMAN LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
ARIEL FUNDS	CAAIX	040337834	ARIEL APPRECIATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARIEL FUNDS	CAAPX	040337206	ARIEL APPRECIATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARIEL FUNDS	AFOYX	040337826	ARIEL FOCUS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARIEL FUNDS	ARFFX	04035F107	ARIEL FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARIEL FUNDS	ARAIX	040337842	ARIEL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARIEL FUNDS	ARGFX	040337107	ARIEL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARIEL FUNDS	AGLYX	040337859	ARIEL GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARIEL FUNDS	AGLOX	040337867	ARIEL GLOBAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ARIEL FUNDS	AINIX	040337875	ARIEL INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARIEL FUNDS	AINTX	040337883	ARIEL INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
ARISTOTLE FUNDS	ARSLX	46141Q360	ARISTOTLE CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ARISTOTLE FUNDS	ARSFX	46141P297	ARISTOTLE INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ARISTOTLE FUNDS	ARSBX	46141Q626	ARISTOTLE SMALL CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ARISTOTLE FUNDS	ARSQX	46141Q634	ARISTOTLE VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ARISTOTLE FUNDS	ARSOX	461418287	ARISTOTLE/SAUL GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
ARROW FUNDS	DWAFX	042765404	ARROW DWA TACTICAL BALANCED FUND CLASS A	NTF	A	N	4:00 PM	01
ARROW FUNDS	DWATX	042765503	ARROW DWA TACTICAL BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
ARROW FUNDS	DWANX	042765602	ARROW DWA TACTICAL BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ARROW FUNDS	DWTFX	042765701	ARROW DWA TACTICAL MACRO FUND CLASS A	NTF	A	N	4:00 PM	01
ARROW FUNDS	DWTTX	042765800	ARROW DWA TACTICAL MACRO FUND CLASS C	NTF^	C	N	4:00 PM	01
ARROW FUNDS	DWTNX	042765883	ARROW DWA TACTICAL MACRO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ARROW FUNDS	MFTFX	042765875	ARROW MANAGED FUTURES STRATEGY FUND CLASS A	NTF	A	N	4:00 PM	01
ARROW FUNDS	MFTTX	042765867	ARROW MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ARROW FUNDS	MFTNX	042765859	ARROW MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ARTISAN FUNDS	APDYX	04314H618	ARTISAN DEVELOPING WORLD FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHYX	04314H592	ARTISAN DEVELOPING WORLD FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTYX	04314H626	ARTISAN DEVELOPING WORLD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDUX	04314H394	ARTISAN FLOATING RATE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHUX	04314H386	ARTISAN FLOATING RATE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTUX	04314H410	ARTISAN FLOATING RATE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDTX	04314H519	ARTISAN FOCUS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHTX	04314H493	ARTISAN FOCUS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTTX	04314H543	ARTISAN FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDDX	04314H444	ARTISAN GLOBAL DISCOVERY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHDX	04314H527	ARTISAN GLOBAL DISCOVERY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	APFDX	04314H535	ARTISAN GLOBAL DISCOVERY FUND INVESTOR SHARE CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDHX	04314H428	ARTISAN GLOBAL EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHHX	04314H584	ARTISAN GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTHX	04314H816	ARTISAN GLOBAL EQUITY FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDRX	04314H691	ARTISAN GLOBAL OPPORTUNITIES FUND ADVISOR SHARES	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHRX	04314H782	ARTISAN GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTRX	04314H824	ARTISAN GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDGX	04314H683	ARTISAN GLOBAL VALUE FUND ADVISOR SHARES	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHGX	04314H741	ARTISAN GLOBAL VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTGX	04314H840	ARTISAN GLOBAL VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDFX	04314H717	ARTISAN HIGH INCOME FUND ADVISOR SHARES	T	O	Y	4:00 PM	01
ARTISAN FUNDS	APHFX	04314H568	ARTISAN HIGH INCOME FUND INSTITUTIONAL CLASS	T+	I	Y	4:00 PM	01
ARTISAN FUNDS	ARTFX	04314H725	ARTISAN HIGH INCOME FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
ARTISAN FUNDS	APDIX	04314H675	ARTISAN INTERNATIONAL FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHIX	04314H402	ARTISAN INTERNATIONAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTIX	04314H204	ARTISAN INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDJX	04314H485	ARTISAN INTERNATIONAL SMALL MID FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
ARTISAN FUNDS	ARTJX	04314H808	ARTISAN INTERNATIONAL SMALL MID FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
ARTISAN FUNDS	APHJX	04314H576	ARTISAN INTERNATIONAL SMALL/MID FUND INSTITUTIONAL CLASS	T+	I	Y	4:00 PM	01
ARTISAN FUNDS	APDKX	04314H667	ARTISAN INTERNATIONAL VALUE FUND ADVISOR SHARES	T	O	Y	4:00 PM	01
ARTISAN FUNDS	APHKX	04314H857	ARTISAN INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	T+	I	Y	4:00 PM	01
ARTISAN FUNDS	ARTKX	04314H881	ARTISAN INTERNATIONAL VALUE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
ARTISAN FUNDS	APDMX	04314H659	ARTISAN MID CAP FUND ADVISOR SHARES	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHMX	04314H600	ARTISAN MID CAP FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	APDQX	04314H642	ARTISAN MID CAP VALUE FUND ADVISOR SHARES	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHQX	04314H774	ARTISAN MID CAP VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTMX	04314H303	ARTISAN MID-CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ARTISAN FUNDS	ARTQX	04314H709	ARTISAN MID-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDNX	04314H469	ARTISAN SELECT EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHNX	04314H451	ARTISAN SELECT EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTNX	04314H477	ARTISAN SELECT EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDSX	04314H550	ARTISAN SMALL CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHSX	04314H758	ARTISAN SMALL-CAP FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTSX	04314H105	ARTISAN SMALL-CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APHEX	04314H865	ARTISAN SUSTAINABLE EMERGING MARKETS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTZX	04314H832	ARTISAN SUSTAINABLE EMERGING MARKETS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ARTISAN FUNDS	APDLX	04314H634	ARTISAN VALUE FUND ADVISOR SHARES	T	O	N	4:00 PM	01
ARTISAN FUNDS	APHLX	04314H790	ARTISAN VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ARTISAN FUNDS	ARTLX	04314H873	ARTISAN VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
ASHMORE FUNDS	ESFAX	044820728	ASHMORE EMERGING MARKET SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	02
ASHMORE FUNDS	EMQIX	044820595	ASHMORE EMERGING MARKETS ACTIVE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ASHMORE FUNDS	ECDAX	044820603	ASHMORE EMERGING MARKETS CORPORATE DEBT FUND CLASS A	NTF	A	N	4:00 PM	02
ASHMORE FUNDS	ECDCX	044820702	ASHMORE EMERGING MARKETS CORPORATE DEBT FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	EMCIX	044820504	ASHMORE EMERGING MARKETS CORPORATE DEBT FUND CLASS I	T	I	N	4:00 PM	02
ASHMORE FUNDS	EMEAX	044820777	ASHMORE EMERGING MARKETS EQUITY FUND CLASS A	NTF	A	N	4:00 PM	02
ASHMORE FUNDS	EMECX	044820769	ASHMORE EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	EMFIX	044820819	ASHMORE EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ASHMORE FUNDS	EFEAX	044820751	ASHMORE EMERGING MARKETS FRONTIER EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	02
ASHMORE FUNDS	EFECX	044820744	ASHMORE EMERGING MARKETS FRONTIER EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	EFEIX	044820736	ASHMORE EMERGING MARKETS FRONTIER EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ASHMORE FUNDS	ELBAX	044820876	ASHMORE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS A	NTF	A	N	4:00 PM	02
ASHMORE FUNDS	ELBCX	044820868	ASHMORE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	ELBIX	044820207	ASHMORE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS I	T	I	N	4:00 PM	02
ASHMORE FUNDS	ESFCX	044820710	ASHMORE EMERGING MARKETS SHORT DURATION FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	ESFIX	044820694	ASHMORE EMERGING MARKETS SHORT DURATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
ASHMORE FUNDS	ESSAX	044820793	ASHMORE EMERGING MARKETS SMALL CAP FUND CLASS A	NTF	A	N	4:00 PM	02
ASHMORE FUNDS	ESSCX	044820785	ASHMORE EMERGING MARKETS SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	ESCIX	044820116	ASHMORE EMERGING MARKETS SMALL CAP FUND CLASS I	T	I	N	4:00 PM	02
ASHMORE FUNDS	EMKAX	044820835	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS A	NTF	A	N	4:00 PM	02
ASHMORE FUNDS	EMKCX	044820827	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	02
ASHMORE FUNDS	EMKIX	044820405	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	02
ASTOR FUNDS	ASTLX	66537X514	ASTOR DYNAMIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
ASTOR FUNDS	ASTZX	66537V641	ASTOR DYNAMIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ASTOR FUNDS	ASTIX	66537V732	ASTOR DYNAMIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
ASTOR FUNDS	ASTMX	66538B560	ASTOR MACRO ALTERNATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
ASTOR FUNDS	GBLMX	66538B552	ASTOR MACRO ALTERNATIVE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ASTOR FUNDS	ASPGX	66537Y835	ASTOR SECTOR ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
ASTOR FUNDS	CSPGX	66537Y819	ASTOR SECTOR ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
ASTOR FUNDS	STARX	66537Y793	ASTOR SECTOR ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
ATHENA FUNDS	ATVAX	66538B412	ATHENA BEHAVIORAL TACTICAL FUND CLASS A	NTF^	A	N	4:00 PM	01
ATHENA FUNDS	ATVIX	66538B388	ATHENA BEHAVIORAL TACTICAL FUND CLASS I	T	I	N	4:00 PM	01
AVE MARIA FUNDS	AVEFX	808530505	AVE MARIA BOND FUND	T		N	4:00 PM	01
AVE MARIA FUNDS	AVEAX	808530885	AVE MARIA FOCUSED FUND	T		N	4:00 PM	01
AVE MARIA FUNDS	AVEGX	808530307	AVE MARIA GROWTH FUND	T		N	4:00 PM	01
AVE MARIA FUNDS	AVEDX	808530604	AVE MARIA RISING DIVIDEND FUND	T		N	4:00 PM	01
AVE MARIA FUNDS	AVEMX	808530208	AVE MARIA VALUE FUND	T		N	4:00 PM	01
AVE MARIA FUNDS	AVEWX	808530802	AVE MARIA WORLD EQUITY FUNDS	T		N	4:00 PM	01
AVE MARIA FUNDS	RCMFX	808530109	SCHWARTZ VALUE FUND	T		N	4:00 PM	01
AXONIC FUNDS	XSAX	05465G200	AXONIC STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
AXONIC FUNDS	XSIX	05465G101	AXONIC STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
AZZAD FUNDS	ADJEX	055060206	AZZAD ETHICAL MID CAP FUND	T		N	4:00 PM	02
AZZAD FUNDS	WISEX	055060305	AZZAD WISE CAPITAL FUND	T		N	4:00 PM	02
BAILLIE GIFFORD FUNDS	BASKX	056823248	BAILLIE GIFFORD ASIA EX JAPAN FUND CLASS K	T+	K	Y	4:00 PM	01
BAILLIE GIFFORD FUNDS	BSASX	056823230	BAILLIE GIFFORD ASIA EX JAPAN FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGPKX	056823339	BAILLIE GIFFORD DEVELOPED EAFE ALL CAP FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BSGPX	056823321	BAILLIE GIFFORD DEVELOPED EAFE ALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BKGCX	056823354	BAILLIE GIFFORD EAFE PLUS ALL CAP FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGCSX	056823347	BAILLIE GIFFORD EAFE PLUS ALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGKEX	056823396	BAILLIE GIFFORD EMERGING MARKETS EQUITIES FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGEGX	056823388	BAILLIE GIFFORD EMERGING MARKETS EQUITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGAKX	056823263	BAILLIE GIFFORD GLOBAL ALPHA EQUITIES FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGASX	056823255	BAILLIE GIFFORD GLOBAL ALPHA EQUITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGSKX	056823222	BAILLIE GIFFORD GLOBAL STEWARDSHIP EQUITIES FUND CLASS K	T+	K	Y	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGSSX	056823214	BAILLIE GIFFORD GLOBAL STEWARDSHIP EQUITIES FUND INSTITUTIONAL CLAS	T	I	Y	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGIKX	056823370	BAILLIE GIFFORD INTERNATIONAL ALPHA FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BINSX	056823362	BAILLIE GIFFORD INTERNATIONAL ALPHA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGEKX	056823420	BAILLIE GIFFORD INTERNATIONAL GROWTH FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGESX	056823412	BAILLIE GIFFORD INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BTLKX	056823198	BAILLIE GIFFORD INTL CONCENTRATED GROWTH EQUITIES FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BTLX	056823180	BAILLIE GIFFORD INTL CONCENTRATED GROWTH EQUITIES FUND INST CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGLKX	056823313	BAILLIE GIFFORD LONG TERM GLOBAL GROWTH FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGLX	056823297	BAILLIE GIFFORD LONG TERM GLOBAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGBKX	056823156	BAILLIE GIFFORD MULTI ASSET FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGBIX	056823149	BAILLIE GIFFORD MULTI ASSET FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BPEKX	056823172	BAILLIE GIFFORD POSITIVE CHANGE EQUITIES FUND CLASS K	T+	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BAILLIE GIFFORD FUNDS	BPEX	056823164	BAILLIE GIFFORD POSITIVE CHANGE EQUITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGGKX	056823289	BAILLIE GIFFORD U.S. EQUITY GROWTH FUND CLASS K	T+	K	N	4:00 PM	01
BAILLIE GIFFORD FUNDS	BGSX	056823271	BAILLIE GIFFORD U.S. EQUITY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BAGIX	057071854	BAIRD AGGREGATE BOND FUND INST CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BAGSX	057071862	BAIRD AGGREGATE BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	CCGIX	057071581	BAIRD CHAUTAUQUA GLOBAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	CCGSX	057071573	BAIRD CHAUTAUQUA GLOBAL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	CCWIX	057071557	BAIRD CHAUTAUQUA INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	CCWSX	057071565	BAIRD CHAUTAUQUA INTERNATIONAL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BMNIX	057071656	BAIRD CORE INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BMNSX	057071649	BAIRD CORE INTERMEDIATE MUNICIPAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BAIRD FUNDS	BCOIX	057071870	BAIRD CORE PLUS BOND FUND INST CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BCOSX	057071888	BAIRD CORE PLUS BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BIMIX	057071805	BAIRD INTERMEDIATE BOND FUND INST CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BIMSX	057071706	BAIRD INTERMEDIATE BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BMBIX	057071607	BAIRD INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BMSX	057071508	BAIRD INTERMEDIATE MUNICIPAL BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BMDIX	057071813	BAIRD MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BMSX	057071821	BAIRD MID CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BMQIX	057071482	BAIRD MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BMQSX	057071490	BAIRD MUNICIPAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BAIRD FUNDS	BSBIX	057071409	BAIRD SHORT TERM BOND FUND INST CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BSBSX	057071730	BAIRD SHORT-TERM BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
BAIRD FUNDS	BTMIX	057071631	BAIRD SHORT-TERM MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BTMSX	057071623	BAIRD SHORT-TERM MUNICIPAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BAIRD FUNDS	BSGIX	057071540	BAIRD SMALL/MID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BSGSX	057071532	BAIRD SMALL/MID CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BAIRD FUNDS	BSVIX	057071748	BAIRD SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BSNIX	057071516	BAIRD STRATEGIC MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BSNSX	057071524	BAIRD STRATEGIC MUNICIPAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BAIRD FUNDS	BUBIX	057071722	BAIRD ULTRA SHORT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BAIRD FUNDS	BUBSX	057071714	BAIRD ULTRA SHORT BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BARON FUNDS	BARIX	068278605	BARON ASSET FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BARAX	068278100	BARON ASSET FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BDFIX	068278852	BARON DISCOVERY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BDFFX	068278860	BARON DISCOVERY FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BDAIX	068278761	BARON DURABLE ADVANTAGE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BDAFX	068278779	BARON DURABLE ADVANTAGE FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BEXIX	06828M876	BARON EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

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BARON FUNDS	BEXFX	06828M884	BARON EMERGING MARKETS FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BFTIX	068278878	BARON FIFTH AVENUE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BFTHX	068278506	BARON FIFTH AVENUE GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BFIIX	06828M637	BARON FINTECH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BFINX	06828M645	BARON FINTECH FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BFGFX	06828M207	BARON FOCUSED GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BFGIX	06828M504	BARON FOCUSED GROWTH INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BGAIX	06828M835	BARON GLOBAL ADVANTAGE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BGAFX	06828M843	BARON GLOBAL ADVANTAGE FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BGRIX	068278704	BARON GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BGRFX	068278209	BARON GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BHCFX	06828M678	BARON HEALTH CARE FUND RETAIL SHARES	T	R	N	4:00 PM	01
BARON FUNDS	BHCHX	06828M660	BARON HEALTH CARE INSTITUTIONAL FUND CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BIOPX	068278407	BARON I OPPORTUNITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BINIX	06828M603	BARON INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BIGFX	06828M306	BARON INTERNATIONAL FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BNAIX	06828M595	BARON NEW ASIA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BNAFX	06828M611	BARON NEW ASIA FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BIOIX	068278886	BARON OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BPTIX	06828M405	BARON PARTNERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BPTRX	06828M108	BARON PARTNERS FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BREIX	06828M801	BARON REAL ESTATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BREFX	06828M702	BARON REAL ESTATE FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BRIIX	06828M736	BARON REAL ESTATE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BRIFX	06828M744	BARON REAL ESTATE INCOME FUND RETAIL CLASS	T	R	N	4:00 PM	01
BARON FUNDS	BSFIX	068278803	BARON SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BSCFX	068278308	BARON SMALL-CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BARON FUNDS	BWBIX	06828M694	BARON WEALTHBUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BARON FUNDS	BWBFX	06828M710	BARON WEALTHBUILDER FUND RETAIL SHARES	T	R	N	4:00 PM	01
BBH FUNDS	BBNIX	05528C766	BBH INCOME FUND CLASS I	T	I	N	4:00 PM	01
BBH FUNDS	BBIIX	05528C824	BBH INTERMEDIATE MUNI BOND CLASS I	T	I	N	4:00 PM	01
BBH FUNDS	BBINX	05528C816	BBH INTERMEDIATE MUNI BOND CLASS N	T	N	N	4:00 PM	01
BBH FUNDS	BBBIX	05528X851	BBH LIMITED DURATION FUND CLASS I	T	I	N	4:00 PM	01
BBH FUNDS	BBBMX	05528X802	BBH LIMITED DURATION FUND CLASS N	T	N	N	4:00 PM	01
BBH FUNDS	BBLIX	05528C741	BBH SELECT SERIES LARGE CAP FUND CLASS I	T	I	N	4:00 PM	01
BBH FUNDS	BBLRX	05528C733	BBH SELECT SERIES LARGE CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BECKER FUNDS	BVEIX	74316J490	BECKER VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BECKER FUNDS	BVEFX	74316J516	BECKER VALUE EQUITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
BEECH HILL FUNDS	BHTAX	66537X407	BEECH HILL TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01

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BELMONT FUNDS	BTIFX	92046L478	BELMONT THETA INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BERKSHIRE FUNDS	BFOCX	084649102	BERKSHIRE FOCUS FUND	T		N	4:00 PM	01
BISHOP STREET FUNDS	BHIAX	091472845	BISHOP STREET HAWAII MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BISHOP STREET FUNDS	BSHIX	091472209	BISHOP STREET HAWAII MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BISHOP STREET FUNDS	BSHGX	091472100	BISHOP STREET HIGH GRADE INCOME FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK BATS FUNDS	BRACX	092480102	BLACKROCK BATS SERIES C PORTFOLIO	NTF^	C	N	4:00 PM	01
BLACKROCK BATS FUNDS	BRAMX	092480201	BLACKROCK BATS SERIES M PORTFOLIO	T	M	N	4:00 PM	01
BLACKROCK BATS FUNDS	BRASX	092480300	BLACKROCK BATS SERIES S PORTFOLIO	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BACPX	091937177	BLACKROCK 20/80 TARGET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCCPX	091937169	BLACKROCK 20/80 TARGET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKCPX	09257E753	BLACKROCK 20/80 TARGET ALLOCATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRCPX	09256H773	BLACKROCK 20/80 TARGET ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BICPX	09256H765	BLACKROCK 20/80 TARGET ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAMPX	091937151	BLACKROCK 40/60 TARGET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCMPX	091937144	BLACKROCK 40/60 TARGET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKMPX	09257E746	BLACKROCK 40/60 TARGET ALLOCATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRMPX	09256H757	BLACKROCK 40/60 TARGET ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BIMPX	09256H740	BLACKROCK 40/60 TARGET ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAGPX	091937136	BLACKROCK 60/40 TARGET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCGPX	091937128	BLACKROCK 60/40 TARGET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKGPX	09257E738	BLACKROCK 60/40 TARGET ALLOCATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRGPX	09256H732	BLACKROCK 60/40 TARGET ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BIGPX	09256H724	BLACKROCK 60/40 TARGET ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAAPX	09256H799	BLACKROCK 80/20 TARGET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCAPX	09256H781	BLACKROCK 80/20 TARGET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKAPX	09257E720	BLACKROCK 80/20 TARGET ALLOCATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRAPX	09256H716	BLACKROCK 80/20 TARGET ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BIAPX	09256H690	BLACKROCK 80/20 TARGET ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BCRAX	09260T102	BLACKROCK ADVANTAGE COREALPHA BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCRCX	09260T201	BLACKROCK ADVANTAGE COREALPHA BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BCRKX	09260T409	BLACKROCK ADVANTAGE COREALPHA BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BCRIX	09260T300	BLACKROCK ADVANTAGE COREALPHA BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BLSAX	091936724	BLACKROCK ADVANTAGE EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BLSCX	091936716	BLACKROCK ADVANTAGE EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BLSIX	091936690	BLACKROCK ADVANTAGE EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDGCX	09252A103	BLACKROCK ADVANTAGE GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCGCX	09252A301	BLACKROCK ADVANTAGE GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MRGSX	09252A400	BLACKROCK ADVANTAGE GLOBAL FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MAGCX	09252A509	BLACKROCK ADVANTAGE GLOBAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	BROAX	091937243	BLACKROCK ADVANTAGE INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BROCX	091937227	BLACKROCK ADVANTAGE INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BROIX	091937268	BLACKROCK ADVANTAGE INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDLRX	09250J106	BLACKROCK ADVANTAGE LARGE CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCLRX	09250J304	BLACKROCK ADVANTAGE LARGE CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MRLRX	09250J403	BLACKROCK ADVANTAGE LARGE CAP CORE FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MALRX	09250J502	BLACKROCK ADVANTAGE LARGE CAP CORE FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BMCAx	091928788	BLACKROCK ADVANTAGE LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMCCX	091928762	BLACKROCK ADVANTAGE LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	CMVIX	091928812	BLACKROCK ADVANTAGE LARGE CAP GROWTH FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDLVX	09250J858	BLACKROCK ADVANTAGE LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCLVX	09250J833	BLACKROCK ADVANTAGE LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MRLVX	09250J825	BLACKROCK ADVANTAGE LARGE CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MALVX	09250J817	BLACKROCK ADVANTAGE LARGE CAP VALUE INSTUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MLRKX	09250J718	BLACKROCK ADVANTAGE LARGE-CAP CORE FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BDSAX	091936476	BLACKROCK ADVANTAGE SMALL CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDSCX	091936468	BLACKROCK ADVANTAGE SMALL CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BDSKX	09258N802	BLACKROCK ADVANTAGE SMALL CAP CORE FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BDSIX	091936450	BLACKROCK ADVANTAGE SMALL CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	PSGIX	091928101	BLACKROCK ADVANTAGE SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSGRX	09258N364	BLACKROCK ADVANTAGE SMALL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	CSGEX	091928309	BLACKROCK ADVANTAGE SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MRS PX	09252L406	BLACKROCK ADVANTAGE SMID CAP FUND INC CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MAS PX	09252L505	BLACKROCK ADVANTAGE SMID CAP FUND INC INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDSPX	09252L109	BLACKROCK ADVANTAGE SMID CAP FUND INC INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCSPX	09252L307	BLACKROCK ADVANTAGE SMID CAP FUND INC INVESTOR CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BACAX	091937334	BLACKROCK ALL CAP ENERGY AND RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BACCX	091937318	BLACKROCK ALL CAP ENERGY AND RESOURCES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BACIX	091937284	BLACKROCK ALL CAP ENERGY AND RESOURCES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MRBPX	09251C407	BLACKROCK BALANCED CAP FUND CLASS R (INC)	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MCCPX	09251C308	BLACKROCK BALANCED CAPITAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MKCPX	09251C605	BLACKROCK BALANCED CAPITAL FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MACPX	09251C506	BLACKROCK BALANCED CAPITAL FUND INC FD INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDCPX	09251C100	BLACKROCK BALANCED CAPITAL FUND INC. CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MACMX	09252Y606	BLACKROCK CA MUNICIPAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MFCMX	09252Y408	BLACKROCK CALIFORNIA MUNI OPPORTUNITIES FUND INVESTOR C SHARES	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MECMX	09252Y101	BLACKROCK CALIFORNIA MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MKCMX	09253A664	BLACKROCK CALIFORNIA MUNICIPAL OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MDFGX	09251R107	BLACKROCK CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	MCFGX	09251R305	BLACKROCK CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BFBGX	09251R602	BLACKROCK CAPITAL APPRECIATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MAFGX	09251R503	BLACKROCK CAPITAL APPRECIATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	CHILX	09258N224	BLACKROCK CHINA A OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BCSAX	091936682	BLACKROCK COMMODITY STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCSCX	091936674	BLACKROCK COMMODITY STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BCSKX	09258N273	BLACKROCK COMMODITY STRATEGIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BICSX	091936666	BLACKROCK COMMODITY STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BCBAX	09260B101	BLACKROCK CORE BOND PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCBCX	09260B200	BLACKROCK CORE BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	CCBBX	09260B507	BLACKROCK CORE BOND PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BCBRX	09260B408	BLACKROCK CORE BOND PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BFMCX	09260B309	BLACKROCK CORE BOND PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	CRDAX	09259W306	BLACKROCK CREDIT STRATEGIES FUND CLASS A	T	A	N	4:00 PM	01
BLACKROCK FUNDS	CREDX	09259W108	BLACKROCK CREDIT STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BKDEX	09260J716	BLACKROCK DEFENSIVE ADVANTAGE EMERGING MARKETS FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIDEX	09260J724	BLACKROCK DEFENSIVE ADVANTAGE EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BADEX	09260J732	BLACKROCK DEFENSIVE ADVANTAGE EMERGING MARKETS FUND INVESTOR A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKDIX	09260J740	BLACKROCK DEFENSIVE ADVANTAGE INTERNATIONAL FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BDAYX	09260J757	BLACKROCK DEFENSIVE ADVANTAGE INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BDAAX	09260J765	BLACKROCK DEFENSIVE ADVANTAGE INTERNATIONAL INVESTOR FUND CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BADUX	09260J799	BLACKROCK DEFENSIVE ADVANTAGE U.S. FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKDUX	09260J773	BLACKROCK DEFENSIVE ADVANTAGE U.S. FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIDUX	09260J781	BLACKROCK DEFENSIVE ADVANTAGE U.S. FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BDHAX	09257E829	BLACKROCK DYNAMIC HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDHKX	09257E779	BLACKROCK DYNAMIC HIGH INCOME FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BDHIX	09257E795	BLACKROCK DYNAMIC HIGH INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BDHCX	09257E811	BLACKROCK DYNAMIC HIGH INCOME FUND INVESTOR C CLASS	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MKDCX	09251J501	BLACKROCK EMERGING MARKETS FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MDDCX	09251J105	BLACKROCK EMERGING MARKETS FUND, INC. CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCDCX	09251J303	BLACKROCK EMERGING MARKETS FUND, INC. CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MADCX	09251J402	BLACKROCK EMERGING MARKETS FUND, INC. INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MCDVX	09251M306	BLACKROCK EQUITY DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MDDVX	09251M108	BLACKROCK EQUITY DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MKDVX	09251M801	BLACKROCK EQUITY DIVIDEND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRDVX	09251M405	BLACKROCK EQUITY DIVIDEND FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MADVX	09251M504	BLACKROCK EQUITY DIVIDEND FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MSDVX	09251M603	BLACKROCK EQUITY DIVIDEND FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	MCEFX	09251N304	BLACKROCK EUROFUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	MDEFX	09251N106	BLACKROCK EUROFUND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MAEFX	09251N502	BLACKROCK EUROFUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BALPX	09250J759	BLACKROCK EVENT DRIVEN EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCLPX	09250J742	BLACKROCK EVENT DRIVEN EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BILPX	09250J734	BLACKROCK EVENT DRIVEN EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BFRAX	09260B770	BLACKROCK FLOATING RATE INCOME PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BFRCX	09260B762	BLACKROCK FLOATING RATE INCOME PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BFRKX	09260B739	BLACKROCK FLOATING RATE INCOME PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BFRIX	09260B747	BLACKROCK FLOATING RATE INCOME PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MKFOX	09251P507	BLACKROCK FOCUS GROWTH FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRFGX	09251R404	BLACKROCK FUNDAMENTAL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BGDVX	561656703	BLACKROCK GA DISCIPLINED VOLATILITY EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIDVX	561656877	BLACKROCK GA DISCIPLINED VOLATILITY EQUITY FUND INSTL CLASS	T+	I	N	4:00 PM	01
BLACKROCK FUNDS	BGEEX	561656802	BLACKROCK GA DYNAMIC EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIEEX	561656885	BLACKROCK GA DYNAMIC EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
BLACKROCK FUNDS	MALOX	09251T509	BLACKROCK GLOBAL ALLOCATION FD INC INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MKLOX	09258N778	BLACKROCK GLOBAL ALLOCATION FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRLOX	09251T400	BLACKROCK GLOBAL ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MDLOX	09251T103	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCLOX	09251T301	BLACKROCK GLOBAL ALLOCATION FUND INC CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKBDX	09257E712	BLACKROCK GLOBAL DIVIDEND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BABDX	09256H385	BLACKROCK GLOBAL DIVIDEND PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCBDX	09256H377	BLACKROCK GLOBAL DIVIDEND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIBDX	09256H328	BLACKROCK GLOBAL DIVIDEND PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BGRAX	09261A706	BLACKROCK GLOBAL EQUITY ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BABSX	09261A607	BLACKROCK GLOBAL EQUITY ABSOLUTE RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BGKMX	09260J880	BLACKROCK GLOBAL IMPACT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BGIMX	09260J609	BLACKROCK GLOBAL IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BGAMX	09260J500	BLACKROCK GLOBAL IMPACT FUND INVESTOR A CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BGCAX	09260C406	BLACKROCK GLOBAL LONG/SHORT CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BGCCX	09260C505	BLACKROCK GLOBAL LONG/SHORT CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BDMKX	09260C703	BLACKROCK GLOBAL LONG/SHORT CREDIT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BDMAX	091936542	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDMCX	091936534	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BDMIX	091936526	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BGCKX	09258N703	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BGCIX	09260C604	BLACKROCK GLOBAL ONG/SHORT CREDIT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BGPAX	09260B721	BLACKROCK GNMA PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BGPCX	09260B713	BLACKROCK GNMA PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	BGPX	09260B689	BLACKROCK GNMA PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BGNIX	09260B697	BLACKROCK GNMA PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	SHSAX	091937573	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	SHSCX	091937557	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	SHSKX	09258N752	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BHSRX	091936815	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	SHSSX	091937540	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	SHISX	091937359	BLACKROCK HEALTH SCIENCES OPPORTUNITIES FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BMEAX	091929810	BLACKROCK HIGH EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMECX	091929786	BLACKROCK HIGH EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BHEIX	09258N141	BLACKROCK HIGH EQUITY INCOME FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BMCIX	091929760	BLACKROCK HIGH INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BHYAX	09260B663	BLACKROCK HIGH YIELD BOND PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRHYX	09260B614	BLACKROCK HIGH YIELD BOND PORTFOLIO CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BHYCX	09260B655	BLACKROCK HIGH YIELD BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BHYRX	09260B622	BLACKROCK HIGH YIELD BOND PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BHYIX	09260B630	BLACKROCK HIGH YIELD BOND PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BHYSX	09260B598	BLACKROCK HIGH YIELD BOND PORTFOLIO FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	MDYHX	09253C785	BLACKROCK HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCYHX	09253C777	BLACKROCK HIGH YIELD MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MKYHX	09253C710	BLACKROCK HIGH YIELD MUNICIPAL FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MAYHX	09253C769	BLACKROCK HIGH YIELD MUNICIPAL FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BMSKX	09260B879	BLACKROCK INCOME FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BMSIX	09260B887	BLACKROCK INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BMSAX	09260B705	BLACKROCK INCOME FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMSCX	09260B804	BLACKROCK INCOME FUND INVESTOR CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BPRAX	09260B572	BLACKROCK INFLATION PROTECTED BOND PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BPRCX	09260B564	BLACKROCK INFLATION PROTECTED BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BPLBX	09260B549	BLACKROCK INFLATION PROTECTED BOND PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BPRIX	09260B556	BLACKROCK INFLATION PROTECTED BOND PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BINAX	09261A300	BLACKROCK INFRASTRUCTURE SUSTAINABLE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BINKX	09261A508	BLACKROCK INFRASTRUCTURE SUSTAINABLE OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BINFX	09261A409	BLACKROCK INFRASTRUCTURE SUSTAINABLE OPPORTUNITIES FUND INSTITUT	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BREAX	091929307	BLACKROCK INTERNATIONAL DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRECX	091929505	BLACKROCK INTERNATIONAL DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BREKX	09258N257	BLACKROCK INTERNATIONAL DIVIDEND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BISIX	091929109	BLACKROCK INTERNATIONAL DIVIDEND FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDILX	09248G107	BLACKROCK INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	MCILX	09248G305	BLACKROCK INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIFRX	09253M684	BLACKROCK INTERNATIONAL FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MAILX	09248G404	BLACKROCK INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BIKMX	09260J807	BLACKROCK INTERNATIONAL IMPACT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIBHX	09260J401	BLACKROCK INTERNATIONAL IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BIBGX	09260J302	BLACKROCK INTERNATIONAL IMPACT FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKRDY	091936187	BLACKROCK ISHARES DEVELOPED REAL ESTATE IDX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIRDY	091936195	BLACKROCK ISHARES DEVELOPED REAL ESTATE INDEX FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BARDY	091936211	BLACKROCK ISHARES DEVELOPED REAL ESTATE INDEX FUND INVESTOR A SHA	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BTMKX	09253F879	BLACKROCK ISHARES MSCI EAFE INTERNATIONAL INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MAIIX	09253F408	BLACKROCK ISHARES MSCI EAFE INTERNATIONAL INDEX FUND INST CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDIIX	09253F309	BLACKROCK ISHARES MSCI EAFE INTERNATIONAL INDEX FUND INVESTOR A SH	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDOAX	066923624	BLACKROCK ISHARES MSCI TOTAL INTERNATIONAL INDEX FUND INVESTOR A S	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDOIX	066923616	BLACKROCK ISHARES MSCI TOTAL INT'L INDEX FD INSTL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BDOKX	066923590	BLACKROCK ISHARES MSCI TOTAL INT'L INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIDKX	09258N331	BLACKROCK ISHARES MUNICIPAL BOND INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIDIX	09258N349	BLACKROCK ISHARES MUNICIPAL BOND INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BIDAX	09258N356	BLACKROCK ISHARES MUNICIPAL BOND INDEX FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSMKX	091936229	BLACKROCK ISHARES RUSSEL SMALL/MID CAP INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRGKX	066923301	BLACKROCK ISHARES RUSSELL 1000 LARGE CAP INDEX FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRGNX	066923202	BLACKROCK ISHARES RUSSELL 1000 LARGE CAP INDEX FD INSTL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRGAX	066923103	BLACKROCK ISHARES RUSSELL 1000 LARGE CAP INDEX FUND INVESTOR A SHA	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BDBKX	09253F887	BLACKROCK ISHARES RUSSELL 2000 SMALL CAP INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MASKX	09253F804	BLACKROCK ISHARES RUSSELL 2000 SMALL CAP INDEX FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDSKX	09253F705	BLACKROCK ISHARES RUSSELL 2000 SMALL CAP INDEX FUND INVESTOR A SHA	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRMKX	091936286	BLACKROCK ISHARES RUSSELL MID-CAP INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRMIX	091936294	BLACKROCK ISHARES RUSSELL MID-CAP INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRMAX	09258N307	BLACKROCK ISHARES RUSSELL MID-CAP INDEX FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSMIX	091936237	BLACKROCK ISHARES RUSSELL SMALL/MID CAP INDEX FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSMAX	091936245	BLACKROCK ISHARES RUSSELL SMALL/MID CAP INDEX FUND INVESTOR A SHAR	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	WFSPX	066922204	BLACKROCK ISHARES S&P 500 INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSPIX	066923558	BLACKROCK ISHARES S&P 500 INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSPAX	066923566	BLACKROCK ISHARES S&P 500 INDEX FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSPSX	066923533	BLACKROCK ISHARES S&P 500 INDEX FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BKIPX	09258N869	BLACKROCK ISHARES SHORT TERM TIPS BOND IDX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIIPX	09258N885	BLACKROCK ISHARES SHORT-TERM TIPS BOND INDEX FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAIPX	09258N877	BLACKROCK ISHARES SHORT-TERM TIPS BOND INDEX FUND INVESTOR A SHAR	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKTSX	091936153	BLACKROCK ISHARES TOTAL U.S. STOCK MARKET INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BITSX	091936161	BLACKROCK ISHARES TOTAL U.S. STOCK MARKET INDEX FUND INSTITUTIONAL	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	BASMX	091936179	BLACKROCK ISHARES TOTAL U.S. STOCK MARKET INDEX FUND INVESTOR A SH	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMOIX	066923509	BLACKROCK ISHARES U.S. AGGREGATE BOND INDEX FD INSTL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	WFBIX	066922105	BLACKROCK ISHARES U.S. AGGREGATE BOND INDEX FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BMOAX	066923400	BLACKROCK ISHARES U.S. AGGREGATE BOND INDEX FUND INVESTOR A SHARE	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MDFOX	09251P101	BLACKROCK LARGE-CAP CAP FOCUS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCFOX	09251P309	BLACKROCK LARGE-CAP FOCUS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MAFOX	09251P408	BLACKROCK LARGE-CAP FOCUS GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDBAX	09251G101	BLACKROCK LARGE-CAP FOCUS VALUE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCBAX	09251G309	BLACKROCK LARGE-CAP FOCUS VALUE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MBVKX	09251G606	BLACKROCK LARGE-CAP FOCUS VALUE INCOME FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRBVX	09251G408	BLACKROCK LARGE-CAP FOCUS VALUE INCOME FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MABAX	09251G507	BLACKROCK LARGE-CAP FOCUS VALUE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDLTX	09250X105	BLACKROCK LATIN AMERICA FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCLTX	09250X303	BLACKROCK LATIN AMERICA FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MALTX	09250X402	BLACKROCK LATIN AMERICA FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIWIX	066923178	BLACKROCK LIFEPATH 2065 INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIWAX	066923186	BLACKROCK LIFEPATH 2065 INDEX FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LPBAX	066922352	BLACKROCK LIFEPATH DYNAMIC 2025 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPBCX	066922345	BLACKROCK LIFEPATH DYNAMIC 2025 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPBKX	066922337	BLACKROCK LIFEPATH DYNAMIC 2025 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPRDX	066922840	BLACKROCK LIFEPATH DYNAMIC 2030 FD CL A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LPCNX	066922386	BLACKROCK LIFEPATH DYNAMIC 2030 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPSDX	066922576	BLACKROCK LIFEPATH DYNAMIC 2030 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	STLDX	066922857	BLACKROCK LIFEPATH DYNAMIC 2030 FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LPJAX	066922295	BLACKROCK LIFEPATH DYNAMIC 2035 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPJCX	066922287	BLACKROCK LIFEPATH DYNAMIC 2035 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPJKX	066922279	BLACKROCK LIFEPATH DYNAMIC 2035 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPREX	066922824	BLACKROCK LIFEPATH DYNAMIC 2040 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPCKX	066922378	BLACKROCK LIFEPATH DYNAMIC 2040 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPSFX	066922568	BLACKROCK LIFEPATH DYNAMIC 2040 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	STLEX	066922832	BLACKROCK LIFEPATH DYNAMIC 2040 FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LPHAX	066922246	BLACKROCK LIFEPATH DYNAMIC 2045 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPHCX	066922238	BLACKROCK LIFEPATH DYNAMIC 2045 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPHKX	066922220	BLACKROCK LIFEPATH DYNAMIC 2045 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPRFX	06739T549	BLACKROCK LIFEPATH DYNAMIC 2050 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPCPX	066922360	BLACKROCK LIFEPATH DYNAMIC 2050 FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPSGX	06739T531	BLACKROCK LIFEPATH DYNAMIC 2050 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPVAX	066922188	BLACKROCK LIFEPATH DYNAMIC 2055 FD CL A	NTF	A	N	4:00 PM	01
BLACKROCK FUNDS	LPVCX	066922170	BLACKROCK LIFEPATH DYNAMIC 2055 FD CL C C CLASS	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	LPVKX	066922162	BLACKROCK LIFEPATH DYNAMIC 2055 FD CL K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPDAX	066923426	BLACKROCK LIFEPATH DYNAMIC 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LPDCX	066923418	BLACKROCK LIFEPATH DYNAMIC 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPDKX	066923376	BLACKROCK LIFEPATH DYNAMIC 2060 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPDRX	066923384	BLACKROCK LIFEPATH DYNAMIC 2060 FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	LPDIX	066923392	BLACKROCK LIFEPATH DYNAMIC 2060 FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LPWAX	09260W105	BLACKROCK LIFEPATH DYNAMIC 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LPWCX	09260W303	BLACKROCK LIFEPATH DYNAMIC 2065 FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPWKX	09260W501	BLACKROCK LIFEPATH DYNAMIC 2065 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LPWRX	09260W402	BLACKROCK LIFEPATH DYNAMIC 2065 FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	LPWIX	09260W204	BLACKROCK LIFEPATH DYNAMIC 2065 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LPRAX	066922709	BLACKROCK LIFEPATH DYNAMIC RETIREMENT FD CL A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LPCR X	066922410	BLACKROCK LIFEPATH DYNAMIC RETIREMENT FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	LPSAX	066922618	BLACKROCK LIFEPATH DYNAMIC RETIREMENT FD CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	STLAX	066922600	BLACKROCK LIFEPATH DYNAMIC RETIREMENT FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEBKX	09260W865	BLACKROCK LIFEPATH ESG INDEX 2025 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEBIX	09260W873	BLACKROCK LIFEPATH ESG INDEX 2025 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LELAX	09260W881	BLACKROCK LIFEPATH ESG INDEX 2025 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LENKX	09260W832	BLACKROCK LIFEPATH ESG INDEX 2030 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LENIX	09260W840	BLACKROCK LIFEPATH ESG INDEX 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LENAX	09260W857	BLACKROCK LIFEPATH ESG INDEX 2030 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEJKX	09260W790	BLACKROCK LIFEPATH ESG INDEX 2035 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEJIX	09260W816	BLACKROCK LIFEPATH ESG INDEX 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEJAX	09260W824	BLACKROCK LIFEPATH ESG INDEX 2035 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEKKX	09260W766	BLACKROCK LIFEPATH ESG INDEX 2040 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEKIX	09260W774	BLACKROCK LIFEPATH ESG INDEX 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEKAX	09260W782	BLACKROCK LIFEPATH ESG INDEX 2040 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEHKX	09260W733	BLACKROCK LIFEPATH ESG INDEX 2045 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEHIX	09260W741	BLACKROCK LIFEPATH ESG INDEX 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEHAX	09260W758	BLACKROCK LIFEPATH ESG INDEX 2045 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEPKX	09260W691	BLACKROCK LIFEPATH ESG INDEX 2050 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEGIX	09260W717	BLACKROCK LIFEPATH ESG INDEX 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEBAX	09260W725	BLACKROCK LIFEPATH ESG INDEX 2050 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEVKX	09260W667	BLACKROCK LIFEPATH ESG INDEX 2055 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEEIX	09260W675	BLACKROCK LIFEPATH ESG INDEX 2055 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEVAX	09260W683	BLACKROCK LIFEPATH ESG INDEX 2055 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LEZKX	09260W634	BLACKROCK LIFEPATH ESG INDEX 2060 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEZIX	09260W642	BLACKROCK LIFEPATH ESG INDEX 2060 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEZAX	09260W659	BLACKROCK LIFEPATH ESG INDEX 2060 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	LEWKX	09260W592	BLACKROCK LIFEPATH ESG INDEX 2065 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LEWIX	09260W618	BLACKROCK LIFEPATH ESG INDEX 2065 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LEWAX	09260W626	BLACKROCK LIFEPATH ESG INDEX 2065 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LERKX	09260W808	BLACKROCK LIFEPATH ESG INDEX RETIREMENT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LERIX	09260W709	BLACKROCK LIFEPATH ESG INDEX RETIREMENT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LERAX	09260W600	BLACKROCK LIFEPATH ESG INDEX RETIREMENT FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIBKX	066923830	BLACKROCK LIFEPATH INDEX 2025 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIBIX	066923848	BLACKROCK LIFEPATH INDEX 2025 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LILAX	066923855	BLACKROCK LIFEPATH INDEX 2025 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LINKX	066923798	BLACKROCK LIFEPATH INDEX 2030 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LINIX	066923814	BLACKROCK LIFEPATH INDEX 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LINAX	066923822	BLACKROCK LIFEPATH INDEX 2030 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LJJKX	066923764	BLACKROCK LIFEPATH INDEX 2035 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LJIX	066923772	BLACKROCK LIFEPATH INDEX 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LJAX	066923780	BLACKROCK LIFEPATH INDEX 2035 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIKXX	066923731	BLACKROCK LIFEPATH INDEX 2040 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIKIX	066923749	BLACKROCK LIFEPATH INDEX 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIKAX	066923756	BLACKROCK LIFEPATH INDEX 2040 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIHXX	066923699	BLACKROCK LIFEPATH INDEX 2045 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIHIX	066923715	BLACKROCK LIFEPATH INDEX 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIHAX	066923723	BLACKROCK LIFEPATH INDEX 2045 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIPKX	066923665	BLACKROCK LIFEPATH INDEX 2050 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIPIX	066923673	BLACKROCK LIFEPATH INDEX 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIPAX	066923681	BLACKROCK LIFEPATH INDEX 2050 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIVKX	066923632	BLACKROCK LIFEPATH INDEX 2055 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIVIX	066923640	BLACKROCK LIFEPATH INDEX 2055 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIVAX	066923657	BLACKROCK LIFEPATH INDEX 2055 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIZKX	066923442	BLACKROCK LIFEPATH INDEX 2060 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIZIX	066923459	BLACKROCK LIFEPATH INDEX 2060 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIZAX	066923475	BLACKROCK LIFEPATH INDEX 2060 FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	LIWKX	066923152	BLACKROCK LIFEPATH INDEX 2065 FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIRIX	066923707	BLACKROCK LIFEPATH INDEX RETIREMENT FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	LIRKX	066923806	BLACKROCK LIFEPATH INDEX RETIREMENT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	LIRAX	066923608	BLACKROCK LIFEPATH INDEX RETIREMENT FUND INVESTOR A SHARES	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MDEGX	09251W106	BLACKROCK LONG-HORIZON EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCEGX	09251W304	BLACKROCK LONG-HORIZON EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MREGX	09251W502	BLACKROCK LONG-HORIZON EQUITY FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MAEGX	09251W403	BLACKROCK LONG-HORIZON EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BLDAX	09260B523	BLACKROCK LOW DURATION BOND PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	BLDCX	09260B499	BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	CLDBX	09260B440	BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BLDPX	09260B457	BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BFMSX	09260B465	BLACKROCK LOW DURATION BOND PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BLDRX	091937193	BLACKROCK MANAGED INCOME FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BLDIX	091937185	BLACKROCK MANAGED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BLADX	091937219	BLACKROCK MANAGED INCOME FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMICX	09257E688	BLACKROCK MANAGED INCOME FUND INVESTOR CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	CMGIX	091928861	BLACKROCK MID CAP GROWTH EQUITY INSTITUTIONAL FUND	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BMGAX	091928846	BLACKROCK MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMGCX	091928820	BLACKROCK MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	CMGSX	091928853	BLACKROCK MIDCAP GROWTH EQUITY FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BMGKX	09258N604	BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BMRRX	09256H864	BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO CLASS R SHARES	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MDRFX	09255V104	BLACKROCK MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCRFX	09255V302	BLACKROCK MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MRRFX	09255V401	BLACKROCK MID-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MARFX	09255V500	BLACKROCK MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BKMIX	09257E662	BLACKROCK MULTI- ASSET INCOME PORTFOLIO FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BAICX	09256H351	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCICX	09256H344	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIICX	09256H336	BLACKROCK MULTI-ASSET INCOME PORTFOLIO FD INST CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MANLX	09253C876	BLACKROCK NATIONAL MUNI FD INSTL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MFNLX	09253C801	BLACKROCK NATIONAL MUNI FUN CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MDNLX	09253C603	BLACKROCK NATIONAL MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BNMLX	09253C744	BLACKROCK NATIONAL MUNICIPAL FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MDGRX	09252H108	BLACKROCK NATURAL RESOURCES TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MCGRX	09252H306	BLACKROCK NATURAL RESOURCES TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MAGRX	09252H405	BLACKROCK NATURAL RESOURCES TRUST FUND INST CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MFNJX	09253A862	BLACKROCK NEW JERSEY MUNI BOND FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MANJX	09253A847	BLACKROCK NEW JERSEY MUNI BOND FD INSTL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MSNJX	09253A839	BLACKROCK NEW JERSEY MUNI BOND FD SVS CL	T	S	N	4:00 PM	01
BLACKROCK FUNDS	MENJX	09253A706	BLACKROCK NEW JERSEY MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MANKX	09253A763	BLACKROCK NEW YORK MUNI OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MENKX	09253A821	BLACKROCK NEW YORK MUNI OPPORTUNITIES FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MFNKX	09253A789	BLACKROCK NEW YORK MUNI OPPORTUNITIES FUND INVESTOR CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MFPYX	09253A714	BLACKROCK PENN MUNI BOND FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MAPYX	09253A680	BLACKROCK PENN MUNI BOND FD INSTL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MSPYX	09253A672	BLACKROCK PENN MUNI BOND FUND SERVICE CLASS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	MEPYX	09253A755	BLACKROCK PENNSYLVANIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BAREX	091936617	BLACKROCK REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCREX	091936591	BLACKROCK REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIREX	091936583	BLACKROCK REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRICX	09257E472	BLACKROCK RETIREMENT INCOME 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRIAX	09257E480	BLACKROCK RETIREMENT INCOME 2030 FUND INVESTOR A CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRIEX	09257E456	BLACKROCK RETIREMENT INCOME 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRIDX	09257E464	BLACKROCK RETIREMENT INCOME 2040 FUND INVESTOR A CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BASOX	09258N240	BLACKROCK SHORT OBLIGATIONS FUND CLASS A	T		N	4:00 PM	01
BLACKROCK FUNDS	BISOX	091936419	BLACKROCK SHORT OBLIGATIONS FUND CLASS I	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BBSOX	091936658	BLACKROCK SHORT OBLIGATIONS FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MELMX	09253C868	BLACKROCK SHORT TERM MUNI FD CL A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MFLMX	09253C835	BLACKROCK SHORT TERM MUNI FD CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MALMX	09253C819	BLACKROCK SHORT TERM MUNI FD INSTL CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MPLMX	09253C793	BLACKROCK SHORT TERM MUNICIPAL FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSDKX	09260J674	BLACKROCK SMID-CAP GROWTH EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSMDX	09260J690	BLACKROCK SMID-CAP GROWTH EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSDAX	09260J682	BLACKROCK SMID-CAP GROWTH EQUITY FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MDWIX	09252X103	BLACKROCK STRATEGIC GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MKWIX	09252X608	BLACKROCK STRATEGIC GLOBAL BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MHWIX	09252X301	BLACKROCK STRATEGIC GLOBAL BOND FUND INC CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MAWIX	09252X509	BLACKROCK STRATEGIC GLOBAL BOND FUND INC INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSIIX	09260B382	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORT FUND INSLT CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSIKX	09260B374	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BASIX	09260B416	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSICX	09260B390	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MKMTX	09253E807	BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MAMTX	09253E609	BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MEMTX	09253E104	BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND INVESTOR A CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MFMTX	09253E401	BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND INVESTOR C CLASS	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIAAX	09260C802	BLACKROCK SUSTAINABLE ADVANTAGE COREALPHA BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BIACX	09260C885	BLACKROCK SUSTAINABLE ADVANTAGE COREALPHA BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIAKX	09260C869	BLACKROCK SUSTAINABLE ADVANTAGE COREALPHA BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIIIX	09260C877	BLACKROCK SUSTAINABLE ADVANTAGE COREALPHA BOND FUND INSTITUTION	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BLZAX	09260J872	BLACKROCK SUSTAINABLE ADVANTAGE EMERGING MARKETS EQUITY FUND CL	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BLZKX	09260J856	BLACKROCK SUSTAINABLE ADVANTAGE EMERGING MARKETS EQUITY FUND CL	T+	K	N	4:00 PM	01

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BLACKROCK FUNDS	BLZIX	09260J864	BLACKROCK SUSTAINABLE ADVANTAGE EMERGING MARKETS EQUITY FUND INST	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BRZAX	09260J831	BLACKROCK SUSTAINABLE ADVANTAGE INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRZKX	09260J823	BLACKROCK SUSTAINABLE ADVANTAGE INTERNATIONAL EQUITY FUND CLASS I	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BRZIX	09260J849	BLACKROCK SUSTAINABLE ADVANTAGE INTERNATIONAL EQUITY FUND INST	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BIRAX	091936146	BLACKROCK SUSTAINABLE ADVANTAGE LARGE-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BIRCX	091936138	BLACKROCK SUSTAINABLE ADVANTAGE LARGE-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BIRKX	09258N406	BLACKROCK SUSTAINABLE ADVANTAGE LARGE-CAP CORE FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIRIX	091936120	BLACKROCK SUSTAINABLE ADVANTAGE LARGE-CAP CORE FUND INSTITUTIONAL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BEHKX	09260B853	BLACKROCK SUSTAINABLE EMERGING MARKETS BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BEHIX	09260B861	BLACKROCK SUSTAINABLE EMERGING MARKETS BOND FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDPCX	09252J104	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MPCKX	09252J609	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRPCX	09252J401	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MAPCX	09252J500	BLACKROCK SUSTAINABLE EMERGING MARKETS EQUITY FUND INSTITUTIONAL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAEDX	09260B846	BLACKROCK SUSTAINABLE EMERGING MARKETS FLEXIBLE BOND FUND CLASS	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BCEDX	09260B838	BLACKROCK SUSTAINABLE EMERGING MARKETS FLEXIBLE BOND FUND CLASS	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BEDIX	09260B820	BLACKROCK SUSTAINABLE EMERGING MARKETS FLEXIBLE BOND FUND INST CL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSHAX	09260B275	BLACKROCK SUSTAINABLE HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSHKX	09260B259	BLACKROCK SUSTAINABLE HIGH YIELD BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSIHX	09260B267	BLACKROCK SUSTAINABLE HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSIAX	09262K703	BLACKROCK SUSTAINABLE INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKSIX	09262K885	BLACKROCK SUSTAINABLE INTERNATIONAL EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSUIX	09262K802	BLACKROCK SUSTAINABLE INTERNATIONAL EQUITY FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BASLX	09260B242	BLACKROCK SUSTAINABLE LOW DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSLXK	09260B226	BLACKROCK SUSTAINABLE LOW DURATION BOND FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSLIX	09260B234	BLACKROCK SUSTAINABLE LOW DURATION BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BASTX	092482207	BLACKROCK SUSTAINABLE TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BKSTX	092482405	BLACKROCK SUSTAINABLE TOTAL RETURN FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BISTX	092482306	BLACKROCK SUSTAINABLE TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BASGX	09262K109	BLACKROCK SUSTAINABLE U.S. GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSGKX	09262K307	BLACKROCK SUSTAINABLE U.S. GROWTH EQUITY FUND CLASS K	T+	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	BESGX	09262K208	BLACKROCK SUSTAINABLE U.S. GROWTH EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSVAX	09262K406	BLACKROCK SUSTAINABLE U.S. VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSVKX	09262K604	BLACKROCK SUSTAINABLE U.S. VALUE EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSUVX	09262K505	BLACKROCK SUSTAINABLE U.S. VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BAMBX	09260C109	BLACKROCK SYSTEMATIC MULTI STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMBCX	09260C208	BLACKROCK SYSTEMATIC MULTI STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BKMBX	09260C851	BLACKROCK SYSTEMATIC MULTI-STRATEGY FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIMBX	09260C307	BLACKROCK SYSTEMATIC MULTI-STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	PBAIX	091927848	BLACKROCK TACTICAL OPPORTUNITIES FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	PCBAX	091927822	BLACKROCK TACTICAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BRBCX	091927798	BLACKROCK TACTICAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	PBAKX	09258N570	BLACKROCK TACTICAL OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	PCBSX	091927830	BLACKROCK TACTICAL OPPORTUNITIES FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BGSAX	091929570	BLACKROCK TECHNOLOGY OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BGSCX	091929596	BLACKROCK TECHNOLOGY OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BTEKX	09258N158	BLACKROCK TECHNOLOGY OPPORTUNITIES FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BGSIX	091929612	BLACKROCK TECHNOLOGY OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BSTSX	091929620	BLACKROCK TECHNOLOGY OPPORTUNITIES FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	BGSRX	091929224	BLACKROCK TECHNOLOGY OPPORTUNITIES PORTFOLIO CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BSTAX	091936518	BLACKROCK TOTAL FACTOR FUND CL A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BSTCX	091936492	BLACKROCK TOTAL FACTOR FUND CL C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BSTKX	09258N380	BLACKROCK TOTAL FACTOR FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BSTIX	091936484	BLACKROCK TOTAL FACTOR FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MDHQX	09252M107	BLACKROCK TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	MFHQX	09252M503	BLACKROCK TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	MAHQX	09252M883	BLACKROCK TOTAL RETURN FUND CLASS INSTITUTIONAL	T	I	N	4:00 PM	01
BLACKROCK FUNDS	MPHQX	09252M743	BLACKROCK TOTAL RETURN FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	MRCBX	09252M800	BLACKROCK TOTAL RETURN FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	MSHQX	09252M768	BLACKROCK TOTAL RETURN FUND SERVICE CLASS	T	S	N	4:00 PM	01
BLACKROCK FUNDS	CIGAX	09260B358	BLACKROCK U.S. GOVERNMENT BOND PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	PNIGX	09260B325	BLACKROCK U.S. GOVERNMENT BOND PORTFOLIO CLASS I	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BIGLX	09260B317	BLACKROCK U.S. GOVERNMENT BOND PORTFOLIO CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIGCX	09260B341	BLACKROCK U.S. GOVERNMENT BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
BLACKROCK FUNDS	BGBRX	09260B291	BLACKROCK U.S. GOVERNMENT BOND PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
BLACKROCK FUNDS	BUKMX	09260J708	BLACKROCK U.S. IMPACT FUND CLASS K	T+	K	N	4:00 PM	01
BLACKROCK FUNDS	BIBFX	09260J203	BLACKROCK U.S. IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKROCK FUNDS	BUAMX	09260J104	BLACKROCK U.S. IMPACT FUND INVESTOR CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMPAX	56166L105	BLACKROCK U.S. MORTGAGE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
BLACKROCK FUNDS	BMPCX	56166L204	BLACKROCK U.S. MORTGAGE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BLACKROCK FUNDS	MSUMX	56166L303	BLACKROCK U.S. MORTGAGE PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLACKSTONE FUNDS	BXMDX	09257V300	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS D	NTF^	D	N	4:00 PM	01
BLACKSTONE FUNDS	BXMYX	09257V508	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS Y	T+	Y	N	4:00 PM	01
BLACKSTONE FUNDS	BXMIX	09257V201	BLACKSTONE ALTERNATIVE MULTI-STRATEGY INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLUE CURRENT FUNDS	BCGDY	90386H834	BLUE CURRENT GLOBAL DIVIDEND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLUEPRINT FUNDS	BLUIX	90386H420	BLUEPRINT GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BLUEPRINT FUNDS	BLUPX	90386H438	BLUEPRINT GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BMO FUNDS	BDSHX	09658L281	BMO AGGRESSIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BDSRX	09658L273	BMO AGGRESSIVE ALLOCATION FUND CLASS R-3	T	J	N	4:00 PM	01
BMO FUNDS	BDSYX	09658L257	BMO AGGRESSIVE ALLOCATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BGRHX	09658L240	BMO BALANCED ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BGRRX	09658L232	BMO BALANCED ALLOCATION FUND CLASS R-3	T	J	N	4:00 PM	01
BMO FUNDS	BGRYX	09658L216	BMO BALANCED ALLOCATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BDVIX	09658L331	BMO CONSERVATIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BDVRX	09658L323	BMO CONSERVATIVE ALLOCATION FUND CLASS R-3	T	J	N	4:00 PM	01
BMO FUNDS	BDVYX	09658L299	BMO CONSERVATIVE ALLOCATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BDAQX	09658W667	BMO DISCIPLINED INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	BDIQX	09658W659	BMO DISCIPLINED INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BADIX	09658W204	BMO DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MDIVX	09658L604	BMO DIVIDEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BABHX	09658L422	BMO GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BABRX	09658L414	BMO GROWTH ALLOCATION FUND CLASS R-3	T	J	N	4:00 PM	01
BMO FUNDS	BABYX	09658L380	BMO GROWTH ALLOCATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BALGX	09658W402	BMO LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	BALVX	09658W303	BMO LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MLCIX	09658L752	BMO LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	MASTX	09658L745	BMO LARGE-CAP GROWTH FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	MLVIX	09658L844	BMO LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BLVAX	09658W105	BMO LOW VOLATILITY EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MLVEX	09658L208	BMO LOW VOLATILITY EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BGMAX	09658W600	BMO MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	BAMCX	09658W501	BMO MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MRMIX	09658L737	BMO MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	MRVIX	09658L711	BMO MID-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BMBHX	09658L190	BMO MODERATE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BMBQX	09658L182	BMO MODERATE ALLOCATION FUND CLASS R-3	T	J	N	4:00 PM	01
BMO FUNDS	BMBYX	09658L166	BMO MODERATE ALLOCATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BACVX	09658W709	BMO SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	BSLAX	09658V339	BMO SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BMO FUNDS	MSGIX	09658L620	BMO SMALL-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	MRSNX	09658L596	BMO SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	BATIX	09658W733	BMO TCH CORPORATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MCIIIX	09658L869	BMO TCH CORPORATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
BMO FUNDS	MCIYX	09658L851	BMO TCH CORPORATE INCOME FUND CLASS Y	NTF	Y	N	4:00 PM	01
BMO FUNDS	BAUSX	09658W790	BMO ULTRA SHORT TAX FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
BMO FUNDS	MUISX	09658L539	BMO ULTRA SHORT TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DNLDX	05587K105	BNY MELLON ACTIVE MIDCAP FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DNLCX	05587K204	BNY MELLON ACTIVE MIDCAP FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DNLRX	05587K501	BNY MELLON ACTIVE MIDCAP FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRYNX	05588L839	BNY MELLON ALTERNATIVE DIVERSIFIER STRATEGIES FUND	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DRNAX	05588L862	BNY MELLON ALTERNATIVE DIVERSIFIER STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DRNCX	05588L854	BNY MELLON ALTERNATIVE DIVERSIFIER STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRNIX	05588L847	BNY MELLON ALTERNATIVE DIVERSIFIER STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DMUAX	05588R505	BNY MELLON AMT-FREE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DMUCX	05588R703	BNY MELLON AMT-FREE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DMBIX	05588R604	BNY MELLON AMT-FREE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRMBX	05588R208	BNY MELLON AMT-FREE MUNICIPAL BOND FUND CLASS Z	T	Z	N	4:00 PM	01
BNY MELLON FUNDS	DGIGX	05589Q308	BNY MELLON APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DGYGX	05589Q209	BNY MELLON APPRECIATION FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DGAGX	05589Q100	BNY MELLON APPRECIATION FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	MIBLX	05569M640	BNY MELLON ASSET ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MPBLX	05569M657	BNY MELLON BALANCED FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	DBOAX	09662F106	BNY MELLON BALANCED OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DBOCX	09662F205	BNY MELLON BALANCED OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DBORX	09662F304	BNY MELLON BALANCED OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	THPBX	09662F403	BNY MELLON BALANCED OPPORTUNITY FUND CLASS J	T	J	N	4:00 PM	01
BNY MELLON FUNDS	DBOZX	09662F700	BNY MELLON BALANCED OPPORTUNITY FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	MPBFX	05569M830	BNY MELLON BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MIBDX	05569M822	BNY MELLON BOND FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DBIRX	05589K855	BNY MELLON BOND MARKET INDEX FUND CLASS I	NTF	I	N	4:00 PM	01
BNY MELLON FUNDS	DBMIX	05589K848	BNY MELLON BOND MARKET INDEX FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DCAAX	05587E109	BNY MELLON CALIFORNIA AMT-FREE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DCACX	05587E208	BNY MELLON CALIFORNIA AMT-FREE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DCMIX	05587E406	BNY MELLON CALIFORNIA AMT-FREE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRCAX	05587E307	BNY MELLON CALIFORNIA AMT-FREE MUNICIPAL BOND FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	PSCTX	05587R860	BNY MELLON CONNECTICUT FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	PMCCX	05587R852	BNY MELLON CONNECTICUT FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DTCIX	05587R845	BNY MELLON CONNECTICUT FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	DPMZX	05587R837	BNY MELLON CONNECTICUT FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	DCPAX	05580W866	BNY MELLON CORE PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DCPCX	05580W858	BNY MELLON CORE PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DCPIX	05580W841	BNY MELLON CORE PLUS FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	BYMMX	05569M368	BNY MELLON CORPORATE BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	BYMIX	05569M350	BNY MELLON CORPORATE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DBECX	05588D746	BNY MELLON DIVERSIFIED EMERG MRKTS FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DBEAX	05588D738	BNY MELLON DIVERSIFIED EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	SBCEX	05586X504	BNY MELLON DIVERSIFIED EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	SBYEX	05588D811	BNY MELLON DIVERSIFIED EMERGING MARKETS FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DFPAX	05588F774	BNY MELLON DIVERSIFIED INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DFPCX	05588F782	BNY MELLON DIVERSIFIED INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DFPIX	05588F790	BNY MELLON DIVERSIFIED INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DDIFX	05588F600	BNY MELLON DIVERSIFIED INTERNATIONAL FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	AVGAX	05587N109	BNY MELLON DYNAMIC TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	AVGCX	05587N208	BNY MELLON DYNAMIC TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	AVGRX	05587N307	BNY MELLON DYNAMIC TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	AVGYX	05587N505	BNY MELLON DYNAMIC TOTAL RETURN FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DAGVX	05587N679	BNY MELLON DYNAMIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DCGVX	05587N661	BNY MELLON DYNAMIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRGVX	05587N653	BNY MELLON DYNAMIC VALUE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRGYX	05587N703	BNY MELLON DYNAMIC VALUE FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	MEMKX	05569M855	BNY MELLON EMERGING MARKETS FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MIEGX	05569M848	BNY MELLON EMERGING MARKETS FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DCPEX	09661K205	BNY MELLON EMERGING MARKETS SECURITIES FUND CLASS	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRFMX	09661K106	BNY MELLON EMERGING MARKETS SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DRPEX	09661K304	BNY MELLON EMERGING MARKETS SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DQIAX	05588E801	BNY MELLON EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DQICX	05588E702	BNY MELLON EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DQIRX	05588E603	BNY MELLON EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DFLYX	05589K400	BNY MELLON FLOATING RATE FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DFLAX	05589K707	BNY MELLON FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DFLCX	05589K608	BNY MELLON FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DFLIX	05589K509	BNY MELLON FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MFOMX	05569M475	BNY MELLON FOCUSED EQUITY OPPORTUNITIES FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MFOIX	05569M459	BNY MELLON FOCUSED EQUITY OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DGDAX	05587N802	BNY MELLON GLOBAL DYNAMIC BOND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DGDCX	05587N885	BNY MELLON GLOBAL DYNAMIC BOND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DGDIX	05587N877	BNY MELLON GLOBAL DYNAMIC BOND INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	DGDYX	05587N851	BNY MELLON GLOBAL DYNAMIC BOND INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DGEAX	05588L102	BNY MELLON GLOBAL EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DGECX	05588L201	BNY MELLON GLOBAL EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DGIEX	05588L300	BNY MELLON GLOBAL EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DGEYX	05588L409	BNY MELLON GLOBAL EMERGING MARKETS FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DEQAX	05588E504	BNY MELLON GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DEQCX	05588E405	BNY MELLON GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DQEIX	05588E306	BNY MELLON GLOBAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DHGAX	05588D100	BNY MELLON GLOBAL FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DHGCX	05588D209	BNY MELLON GLOBAL FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	SDGIX	05586X801	BNY MELLON GLOBAL FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DSDYX	05588D761	BNY MELLON GLOBAL FIXED INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DRLYX	05588F709	BNY MELLON GLOBAL REAL ESTATE FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DRLAX	05588F816	BNY MELLON GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DGBCX	05588F824	BNY MELLON GLOBAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRLIX	05588F832	BNY MELLON GLOBAL REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRRAX	05587N844	BNY MELLON GLOBAL REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DRRCX	05587N836	BNY MELLON GLOBAL REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRRIX	05587N828	BNY MELLON GLOBAL REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRRYX	05587N794	BNY MELLON GLOBAL REAL RETURN FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DGLAX	05587K683	BNY MELLON GLOBAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DGLCX	05587K691	BNY MELLON GLOBAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DGLRX	05587K717	BNY MELLON GLOBAL STOCK FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DGLYX	05587K840	BNY MELLON GLOBAL STOCK FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DPLTX	05588E835	BNY MELLON HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	PTHIX	05588E843	BNY MELLON HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DLHRX	05588E850	BNY MELLON HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DHYAX	05588R869	BNY MELLON HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DHYCX	05588R877	BNY MELLON HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DYBIX	05588R885	BNY MELLON HIGH YIELD MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DHMBX	05588R851	BNY MELLON HIGH YIELD MUNICIPAL BOND FUND CLASS Z	T	Z	N	4:00 PM	01
BNY MELLON FUNDS	BMIAX	05569M343	BNY MELLON INCOME STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	BMISX	05569M335	BNY MELLON INCOME STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	BMIIX	05569M327	BNY MELLON INCOME STOCK FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MPISX	05569M301	BNY MELLON INCOME STOCK FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MIISX	05569M400	BNY MELLON INCOME STOCK FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DSPIX	05589K863	BNY MELLON INSTITUTIONAL S&P 500 STOCK INDEX FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MPIBX	05569M814	BNY MELLON INTERMEDIATE BOND FUND CLASS M	T+	M	Y	4:00 PM	01
BNY MELLON FUNDS	MIIDX	05569M798	BNY MELLON INTERMEDIATE BOND FUND INVESTOR SHARES	T	V	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	DITEX	05588V100	BNY MELLON INTERMEDIATE MUNICIPAL BOND FUND	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DIBAX	05588E868	BNY MELLON INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DIBCX	05588E876	BNY MELLON INTERNATIONAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DIBRX	05588E884	BNY MELLON INTERNATIONAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DIBYX	05588E108	BNY MELLON INTERNATIONAL BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DIEAX	09661F107	BNY MELLON INTERNATIONAL CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DIECX	09661F206	BNY MELLON INTERNATIONAL CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DIERX	09661F305	BNY MELLON INTERNATIONAL CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	NIEAX	05588D845	BNY MELLON INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	NIECX	05588D852	BNY MELLON INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	SNIEX	05588D837	BNY MELLON INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	NIEYX	05588D753	BNY MELLON INTERNATIONAL EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	MLIMX	05569M384	BNY MELLON INTERNATIONAL EQUITY INCOME FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MLIIX	05569M376	BNY MELLON INTERNATIONAL EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MPITX	05569M871	BNY MELLON INTERNATIONAL FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MIINX	05569M863	BNY MELLON INTERNATIONAL FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DYAPX	09661F875	BNY MELLON INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DYCPX	09661F883	BNY MELLON INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DYIPX	09661F800	BNY MELLON INTERNATIONAL SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DYYPX	09661F701	BNY MELLON INTERNATIONAL SMALL-CAP FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DISAX	05587K725	BNY MELLON INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DISCX	05587K733	BNY MELLON INTERNATIONAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DISRX	05587K741	BNY MELLON INTERNATIONAL STOCK FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DISYX	05587K857	BNY MELLON INTERNATIONAL STOCK FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DINIX	09661L203	BNY MELLON INTERNATIONAL STOCK INDEX FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DIISX	09661L500	BNY MELLON INTERNATIONAL STOCK INDEX FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DREVX	05588K104	BNY MELLON LARGE CAP SECURITIES FUND	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DLQAX	05588F840	BNY MELLON LARGE-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DEYCX	05588F857	BNY MELLON LARGE-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DLQIX	05588F865	BNY MELLON LARGE-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DLACX	05588F501	BNY MELLON LARGE-CAP EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	MMBIX	05569M673	BNY MELLON MA INTERMEDIATE MUNI BOND FD INVESTOR CL	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MMBMX	05569M681	BNY MELLON MA INTERMEDIATE MUNI BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	PSMAX	05587R803	BNY MELLON MASSACHUSETTS FUND CLASS A	T	A	N	4:00 PM	01
BNY MELLON FUNDS	PCMAX	05587R886	BNY MELLON MASSACHUSETTS FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	PMAZX	05587R878	BNY MELLON MASSACHUSETTS FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	MIMSX	05569M608	BNY MELLON MID CAP MULTI-STRATEGY FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MPMCX	05569M509	BNY MELLON MID CAP STOCK FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	DMIDX	05588M100	BNY MELLON MIDCAP INDEX FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	PESPX	05588M209	BNY MELLON MIDCAP INDEX FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	MOTMX	05569M491	BNY MELLON MUNI OPPORTUNITIES FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MOTIX	05569M483	BNY MELLON MUNI OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DRTAX	05588B104	BNY MELLON MUNICIPAL BOND FUND	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	MPNIX	05569M764	BNY MELLON NATIONAL INTERMEDIATE MUNI BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MINMX	05569M756	BNY MELLON NATIONAL INTERMEDIATE MUNI BOND FUND INV SH	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MPSTX	05569M731	BNY MELLON NATIONAL SHORT TERM MUNI BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MINSX	05569M723	BNY MELLON NATIONAL SHORT TERM MUNICIPAL BOND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DNLAX	05587A834	BNY MELLON NATURAL RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DLDCX	05587A842	BNY MELLON NATURAL RESOURCES FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DLDRX	05587A859	BNY MELLON NATURAL RESOURCES FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRNJX	05587D101	BNY MELLON NEW JERSEY MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DCNJX	05587D200	BNY MELLON NEW JERSEY MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DNMIX	05587D408	BNY MELLON NEW JERSEY MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DZNX	05587D309	BNY MELLON NEW JERSEY MUNICIPAL BOND FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	PSNYX	05588G301	BNY MELLON NEW YORK AMT-FREE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	PNYCX	05588G400	BNY MELLON NEW YORK AMT-FREE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DNYIX	05588G509	BNY MELLON NEW YORK AMT-FREE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MNYIX	05569M517	BNY MELLON NEW YORK INTERM TAX EXEMPT BOND FD INVESTOR CL	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MNYMX	05569M525	BNY MELLON NEW YORK INTERM TAX-EXEMPT BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	DRNYX	05589N107	BNY MELLON NEW YORK TAX EXEMPT BOND FUND	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DMCVX	05587N646	BNY MELLON OPPORTUNISTIC MIDCAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DVLCX	05587N786	BNY MELLON OPPORTUNISTIC MIDCAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DVLIX	05587N778	BNY MELLON OPPORTUNISTIC MIDCAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	PTEBX	05589A105	BNY MELLON OPPORTUNISTIC MUNICIPAL SECURITIES FUND CL A	T	A	N	4:00 PM	01
BNY MELLON FUNDS	DMBCX	05589A204	BNY MELLON OPPORTUNISTIC MUNICIPAL SECURITIES FUND CL C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DMBVX	05589A402	BNY MELLON OPPORTUNISTIC MUNICIPAL SECURITIES FUND CL I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DMBZX	05589A303	BNY MELLON OPPORTUNISTIC MUNICIPAL SECURITIES FUND CL Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	DSCVX	05587N638	BNY MELLON OPPORTUNISTIC SMALL CAP FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DOPIX	05587N745	BNY MELLON OPPORTUNISTIC SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DSCYX	05587N737	BNY MELLON OPPORTUNISTIC SMALL-CAP FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	MPPIX	05569M715	BNY MELLON PA INTERMEDIATE MUNI BOND FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MIPAX	05569M699	BNY MELLON PA INTERMEDIATE MUNI BOND FUND INV SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	PTPAX	05587R506	BNY MELLON PENNSYLVANIA FUND CLASS A	T	A	N	4:00 PM	01
BNY MELLON FUNDS	PPACX	05587R605	BNY MELLON PENNSYLVANIA FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DPENX	05587R704	BNY MELLON PENNSYLVANIA FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	DWOAX	05588Q200	BNY MELLON RESEARCH GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DWOCX	05588Q309	BNY MELLON RESEARCH GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DWOIX	05588Q408	BNY MELLON RESEARCH GROWTH FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	DRYQX	05588Q507	BNY MELLON RESEARCH GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DREQX	05588Q101	BNY MELLON RESEARCH GROWTH FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	PEOPX	09661L302	BNY MELLON S&P 500 INDEX FUND	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DSGAX	05587K659	BNY MELLON SELECT MANAGERS SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DSGCX	05587K667	BNY MELLON SELECT MANAGERS SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DSGIX	05587K675	BNY MELLON SELECT MANAGERS SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DMVAX	05587K782	BNY MELLON SELECT MANAGERS SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DMECX	05587K790	BNY MELLON SELECT MANAGERS SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DMVIX	05587K816	BNY MELLON SELECT MANAGERS SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DSGYX	05587K865	BNY MELLON SELECT MANAGERS SMALL-CAP GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DIMIX	05589J205	BNY MELLON SHORT INTERMEDIATE MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DMBAX	05589J304	BNY MELLON SHORT INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DSIBX	05589J106	BNY MELLON SHORT INTERMEDIATE MUNICIPAL BOND FUND CLASS D	NTF	D	N	4:00 PM	01
BNY MELLON FUNDS	DSTIX	05600G107	BNY MELLON SHORT TERM INCOME FUND CLASS D	NTF	D	N	4:00 PM	01
BNY MELLON FUNDS	MPSUX	05569M780	BNY MELLON SHORT TERM U.S. GOVERNMENT SECURITIES FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MISTX	05569M772	BNY MELLON SHORT TERM U.S. GOVERNMENT SECURITIES FUND INV	T	V	N	4:00 PM	01
BNY MELLON FUNDS	DSHPX	05600G503	BNY MELLON SHORT-TERM INCOME FUND CLASS P	T	P	N	4:00 PM	01
BNY MELLON FUNDS	DBMAX	05586X108	BNY MELLON SMALL /MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	SSETX	05586X702	BNY MELLON SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MPSSX	05569M806	BNY MELLON SMALL CAP STOCK FUND CLASS M	T+	M	N	4:00 PM	01
BNY MELLON FUNDS	MISCX	05569M889	BNY MELLON SMALL CAP STOCK FUND INVESTOR SHARES	T	V	N	4:00 PM	01
BNY MELLON FUNDS	RUDAX	05588D308	BNY MELLON SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	BOSCX	05588D407	BNY MELLON SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	STSVX	05586X884	BNY MELLON SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	SDSCX	05586X405	BNY MELLON SMALL/ MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DBMCX	05588D720	BNY MELLON SMALL/MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DBMZX	05588D829	BNY MELLON SMALL/MID CAP GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
BNY MELLON FUNDS	DBMYX	05588D795	BNY MELLON SMALL/MID-CAP GROWTH FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DISIX	09661L104	BNY MELLON SMALLCAP STOCK INDEX FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DISSX	09661L401	BNY MELLON SMALLCAP STOCK INDEX FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
BNY MELLON FUNDS	DTCAX	09661D102	BNY MELLON SUSTAINABLE U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DTCCX	09661D201	BNY MELLON SUSTAINABLE U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DRTCX	09661D300	BNY MELLON SUSTAINABLE U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRTHX	09661D409	BNY MELLON SUSTAINABLE U.S. EQUITY FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	DTMGX	05589K871	BNY MELLON TAX MANAGED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DPTAX	05589K889	BNY MELLON TAX MANAGED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DPTRX	05589K103	BNY MELLON TAX MANAGED GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	MTSIX	05569M426	BNY MELLON TAX SENSATIVE LARGE CAP MULTI-STRAT FUND INV CLASS	T	V	N	4:00 PM	01
BNY MELLON FUNDS	MTSMX	05569M434	BNY MELLON TAX SENSITIVE LARGE CAP MULTI STRATEGY FUND CLASS M	T+	M	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BNY MELLON FUNDS	DSDCX	05586X207	BNY MELLON TAX SENSITIVE TOTAL RET BD FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DSDAX	05586X306	BNY MELLON TAX SENSITIVE TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	SDITX	05586X603	BNY MELLON TAX SENSITIVE TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DTGRX	05587N588	BNY MELLON TECHNOLOGY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DTGCX	05587N570	BNY MELLON TECHNOLOGY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DGVRX	05587N562	BNY MELLON TECHNOLOGY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DPUAX	05587K774	BNY MELLON U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DPUCX	05587K758	BNY MELLON U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DPUIX	05587K766	BNY MELLON U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DPUYX	05587K832	BNY MELLON U.S. EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	GPGAX	05588P301	BNY MELLON U.S. MORTGAGE FUND CLASS A	T	A	N	4:00 PM	01
BNY MELLON FUNDS	GPNCX	05588P400	BNY MELLON U.S. MORTGAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	GPPIX	05588P509	BNY MELLON U.S. MORTGAGE FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DRGMX	05588P103	BNY MELLON U.S. MORTGAGE FUND CLASS Z	NTF	Z	N	4:00 PM	01
BNY MELLON FUNDS	PGROX	05588J107	BNY MELLON WORLDWIDE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	PGRCX	05588J206	BNY MELLON WORLDWIDE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DPWRX	05588J305	BNY MELLON WORLDWIDE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
BNY MELLON FUNDS	DABJX	05588L888	BNY MELLON YIELD ENHANCEMENT FUND CLASS Y	T+	Y	N	4:00 PM	01
BNY MELLON FUNDS	DABMX	05588L607	BNY MELLON YIELD ENHANCEMENT STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
BNY MELLON FUNDS	DABLX	05588L706	BNY MELLON YIELD ENHANCEMENT STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
BNY MELLON FUNDS	DABKX	05588L805	BNY MELLON YIELD ENHANCEMENT STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
BOSTON COMMON FUNDS	BCEMX	74316J227	BOSTON COMMON ESG IMPACT EMERGING MARKETS FUND	T		N	4:00 PM	01
BOSTON COMMON FUNDS	BCAIX	74316J110	BOSTON COMMON ESG IMPACT INTERNATIONAL FUND	T		N	4:00 PM	01
BOSTON COMMON FUNDS	BCAMX	74316J680	BOSTON COMMON ESG IMPACT U.S. EQUITY FUND	T		N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPAIX	749255139	BOSTON PARTNERS ALL-CAP VALUE FUND INSTITUTIONAL	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPAVX	749255121	BOSTON PARTNERS ALL-CAP VALUE FUND INVESTOR	T	V	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BELSX	74925K326	BOSTON PARTNERS EMERGING MKTS LONG/SHORT CLS INST	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPGIX	74925K557	BOSTON PARTNERS GLOBAL EQUITY FD CLS INSTITUTIONAL	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BGLSX	74925K466	BOSTON PARTNERS GLOBAL LONG SHORT FUND CLS INST	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BGRSX	74925K458	BOSTON PARTNERS GLOBAL LONG SHORT LONG/SHORT FUND CLS INV	T	V	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPLSX	749255261	BOSTON PARTNERS LONG/SHORT EQUITY FUND CLS INST	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPLEX	749255253	BOSTON PARTNERS LONG/SHORT EQUITY FUND CLS INV	T	V	Y	4:00 PM	01
BOSTON PARTNERS FUNDS	BPIRX	74925K581	BOSTON PARTNERS LONG/SHORT RESEARCH FUND INST CLASS	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPRRX	74925K573	BOSTON PARTNERS LONG/SHORT RESEARCH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPSIX	749255345	BOSTON PARTNERS SMALL CAP VALUE II FUND CLS INST	T	I	N	4:00 PM	01
BOSTON PARTNERS FUNDS	BPSCX	749255337	BOSTON PARTNERS SMALL CAP VALUE II FUND CLS INV	T	V	N	4:00 PM	01
BOSTON PARTNERS FUNDS	WPGTX	74925K706	WPG PARTNERS SMALL/ MICRO CAP VALUE FUND CLS INST	T	I	N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	BTBFX	101156305	BOSTON TRUST ASSET MANAGEMENT FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BOSTON TRUST & WALDEN FDS	BTEFX	101156404	BOSTON TRUST EQUITY FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	BTMFX	101156503	BOSTON TRUST MIDCAP FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	BTSMX	101156883	BOSTON TRUST SMID CAP FUND	T+		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	WSBFX	101156701	BOSTON TRUST WALDEN BALANCED FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	WSEFX	101156107	BOSTON TRUST WALDEN EQUITY FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	WAMFX	101156800	BOSTON TRUST WALDEN MID-CAP FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	BOSOX	101156602	BOSTON TRUST WALDEN SMALL-CAP FUND	T		N	4:00 PM	01
BOSTON TRUST & WALDEN FDS	WASMX	101156875	BOSTON TRUST WALDEN SMID-CAP FUND	T		N	4:00 PM	01
BOYAR FUND	BOYAX	103201109	BOYAR VALUE FUND	T		N	4:00 PM	01
BOYD FUNDS	BWDTX	66538G171	BOYD WATTERSON LIMITED DURATION ENHANCED INCOME FUND CLASS I2	T	Y	N	4:00 PM	01
BOYD FUNDS	BWDIX	66538G189	BOYD WATTERSON LIMITED DURATION ENHANCED INCOME FUND INSTL CL	T	I	N	4:00 PM	01
BRAMSHILL FUNDS	BRMSX	89832P515	BRAMSHILL INCOME PERFORMANCE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRANDES FUNDS	BCPAX	105262836	BRANDES CORE PLUS FIXED INCOME CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BCPIX	105262869	BRANDES CORE PLUS FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	BEMIX	105262752	BRANDES EMERGING MARKETS FUND VALUE FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	BEMAX	105262745	BRANDES EMERGING MARKETS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BEMCX	105262679	BRANDES EMERGING MARKETS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
BRANDES FUNDS	BGEAX	105262778	BRANDES GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BGVCX	105262687	BRANDES GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BRANDES FUNDS	BGVIX	105262794	BRANDES GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	BIEAX	105262760	BRANDES INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BIECX	105262653	BRANDES INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BRANDES FUNDS	BIIECX	105262703	BRANDES INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	BISAX	105262729	BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BINCX	105262661	BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
BRANDES FUNDS	BISMX	105262737	BRANDES INTERNATIONAL SMALL CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	SMARX	105262885	BRANDES INV PART SEPARATELY MANAGED ACCT RESERVE TRUST	T+		N	4:00 PM	01
BRANDES FUNDS	BSCAX	105262489	BRANDES SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BSCMX	105262471	BRANDES SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
BRANDES FUNDS	BUVAX	105262448	BRANDES U.S. VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
BRANDES FUNDS	BUVIX	105262430	BRANDES U.S. VALUE FUND CLASS I	T	I	N	4:00 PM	01
BRIDGEWAY FUNDS	BRAGX	108747106	BRIDGEWAY AGGRESSIVE INVESTORS 1	T		N	4:00 PM	01
BRIDGEWAY FUNDS	BRLIX	108747502	BRIDGEWAY BLUE CHIP FUND	T		N	4:00 PM	01
BRIDGEWAY FUNDS	BRBPX	108747700	BRIDGEWAY MANAGED VOLATILITY FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BRIDGEWAY FUNDS	BOSVX	108747775	BRIDGEWAY OMNI SMALL-CAP VALUE FUND	T		N	4:00 PM	01
BRIDGEWAY FUNDS	BOTSX	108747783	BRIDGEWAY OMNI TAX-MANAGED SMALL- CAP VALUE FUND	T		N	4:00 PM	01
BRIDGEWAY FUNDS	BRSVX	108747825	BRIDGEWAY SMALL-CAP VALUE FUND	T		N	4:00 PM	01
BRIDGEWAY FUNDS	BRSIX	108747403	BRIDGEWAY ULTRA-SMALL COMPANY MARKET	T		N	4:00 PM	01
BRINKER CAPITAL FUNDS	DCFZX	10964R822	DESTINATIONS CORE FIXED INCOME FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DCFFX	10964R608	DESTINATIONS CORE FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DGEZX	10964R830	DESTINATIONS EQUITY INCOME FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DGEFX	10964R400	DESTINATIONS EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DGFZX	10964R798	DESTINATIONS GLOBAL FIXED INCOME OPPORTUNITIES FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DGFFX	10964R806	DESTINATIONS GLOBAL FIXED INCOME OPPORTUNITIES FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DIEZX	10964R848	DESTINATIONS INTERNATIONAL EQUITY FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DIEFX	10964R301	DESTINATIONS INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DLCZX	10964R863	DESTINATIONS LARGE CAP EQUITY FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DLCFX	10964R103	DESTINATIONS LARGE CAP EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DLDZX	10964R814	DESTINATIONS LOW DURATION FIXED INCOME FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DLDFX	10964R707	DESTINATIONS LOW DURATION FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DMSZX	10964R772	DESTINATIONS MULTI STRATEGY ALTERNATIVES FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DMSFX	10964R871	DESTINATIONS MULTI STRATEGY ALTERNATIVES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DMFZX	10964R780	DESTINATIONS MUNICIPAL FIXED INCOME FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DMFFX	10964R889	DESTINATIONS MUNICIPAL FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DSMZX	10964R855	DESTINATIONS SMALL MID CAP EQUITY FUND CLASS Z	T+	Z	N	4:00 PM	01
BRINKER CAPITAL FUNDS	DSMFX	10964R202	DESTINATIONS SMALL- MID CAP EQUITY FUND NSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROOKFIELD FUNDS	BGLAX	112740501	BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS A	NTF	A	N	4:00 PM	02
BROOKFIELD FUNDS	BGLCX	112740600	BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	02
BROOKFIELD FUNDS	BGLYX	112740709	BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	02
BROOKFIELD FUNDS	BLRAX	112740105	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS A	NTF	A	N	4:00 PM	02
BROOKFIELD FUNDS	BLRCX	112740204	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	02
BROOKFIELD FUNDS	BLRYX	112740303	BROOKFIELD GLOBAL LISTED REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	02
BROOKFIELD FUNDS	RASAX	112740758	BROOKFIELD REAL ASSETS SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	02
BROOKFIELD FUNDS	RASCX	112740741	BROOKFIELD REAL ASSETS SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	02
BROOKFIELD FUNDS	RASYX	112740725	BROOKFIELD REAL ASSETS SECURITIES FUND CLASS I	T	I	N	4:00 PM	02
BROOKFIELD FUNDS	CCCAX	112740667	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	02
BROOKFIELD FUNDS	CCCCX	112740659	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	02
BROOKFIELD FUNDS	CCCNX	112740626	CENTER COAST BROOKFIELD MIDSTREAM FOCUS FUND CLASS I	T	I	N	4:00 PM	02
BROOKFIELD FUNDS	OEQIX	112740543	OAKTREE EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	02
BROWN ADVISORY FUNDS	BVALX	115233421	BROWN ADVISORY BEUTEL GOODMAN LARGE CAP VALUE FUND INSTITUTIONAL	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAQAX	115233637	BROWN ADVISORY EMERGING MARKETS SELECT FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFQX	115233652	BROWN ADVISORY EMERGING MARKETS SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAQX	115233645	BROWN ADVISORY EMERGING MARKETS SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BROWN ADVISORY FUNDS	BADAX	115233678	BROWN ADVISORY EQUITY INCOME FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFDX	115233660	BROWN ADVISORY EQUITY INCOME FUND INSTL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIADX	115233686	BROWN ADVISORY EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFFX	115233843	BROWN ADVISORY FLEXIBLE EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFAX	115233850	BROWN ADVISORY FLEXIBLE VALUE FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAFX	115233868	BROWN ADVISORY FLEXIBLE VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFLX	115233355	BROWN ADVISORY GLOBAL LEADERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIALX	115233462	BROWN ADVISORY GLOBAL LEADERS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAGAX	115233603	BROWN ADVISORY GROWTH EQUITY FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFGX	115233702	BROWN ADVISORY GROWTH EQUITY FUND INST CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAGX	115233504	BROWN ADVISORY GROWTH EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAIAX	115233736	BROWN ADVISORY INTERMEDIATE INCOME FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAIX	115233744	BROWN ADVISORY INTERMEDIATE INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAMX	115233751	BROWN ADVISORY MARYLAND BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFMX	115233413	BROWN ADVISORY MID CAP GROWTH FUND INSTL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BMIDX	115233439	BROWN ADVISORY MID CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFZX	115233546	BROWN ADVISORY MORTGAGE SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAZX	115233587	BROWN ADVISORY MORTGAGE SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BASAX	115233827	BROWN ADVISORY SMALL-CAP FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAUAX	115233785	BROWN ADVISORY SMALL-CAP FUNDAMENTAL VALUE FUND ADVISOR CLASS	NTF	O	Y	4:00 PM	01
BROWN ADVISORY FUNDS	BAUUX	115233777	BROWN ADVISORY SMALL-CAP FUNDAMENTAL VALUE FUND INST CLASS	T	I	Y	4:00 PM	01
BROWN ADVISORY FUNDS	BIAUX	115233793	BROWN ADVISORY SMALL-CAP FUNDAMENTAL VALUE FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
BROWN ADVISORY FUNDS	BIASX	115233835	BROWN ADVISORY SMALL-CAP GROWTH FD INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFSX	115233819	BROWN ADVISORY SMALL-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAHAX	115233595	BROWN ADVISORY STRATEGIC EUROPEAN EQUITY FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFHX	115233629	BROWN ADVISORY STRATEGIC EUROPEAN EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAHX	115233611	BROWN ADVISORY STRATEGIC EUROPEAN EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAISX	115233389	BROWN ADVISORY SUSTAINABLE BOND FUND INSTL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BASBX	115233447	BROWN ADVISORY SUSTAINABLE BOND FUND INVESTOR CL	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAWAX	115233405	BROWN ADVISORY SUSTAINABLE GROWTH FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFWX	115233207	BROWN ADVISORY SUSTAINABLE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAWX	115233306	BROWN ADVISORY SUSTAINABLE GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIAEX	115233108	BROWN ADVISORY TAX EXEMPT BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BTEIX	115233371	BROWN ADVISORY TAX-EXEMPT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BITEX	115233348	BROWN ADVISORY TAX-EXEMPT SUSTAINABLE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN ADVISORY FUNDS	BAFTX	115233538	BROWN ADVISORY TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN ADVISORY FUNDS	BIATX	115233520	BROWN ADVISORY TOTAL RETURN FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
BROWN CAPITAL FUNDS	BCIIX	115291858	BROWN CAP MANAGE INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN CAPITAL FUNDS	BCISX	115291767	BROWN CAPITAL MANAGEMENT INTERNATIONAL EQUITY FUND INST CL	T	I	N	4:00 PM	01
BROWN CAPITAL FUNDS	BCMIX	115291783	BROWN CAPITAL MANAGEMENT MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN CAPITAL FUNDS	BCMSX	115291809	BROWN CAPITAL MANAGEMENT MID CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BROWN CAPITAL FUNDS	BCSSX	115291403	BROWN CAPITAL MANAGEMENT SMALL COMPANY FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
BROWN CAPITAL FUNDS	BCSIX	115291833	BROWN CAPITAL SMALL COMPANY FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
BROWN CAPITAL FUNDS	BCSFX	115291759	BROWN INTERNATIONAL SMALL COMPANY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BROWN CAPITAL FUNDS	BCSVX	115291742	BROWN INTERNATIONAL SMALL COMPANY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
BTS FUNDS	BTSAX	66538J712	BTS MANAGED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BTS FUNDS	BTSCX	66538J696	BTS MANAGED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BTS FUNDS	BTSIX	66538J688	BTS MANAGED INCOME FUND CLASS I	T	I	N	4:00 PM	01
BTS FUNDS	BTSRX	66538J670	BTS MANAGED INCOME FUND CLASS R	T	R	N	4:00 PM	01
BTS FUNDS	BTFAX	66538B602	BTS TACTICAL FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
BTS FUNDS	BTFCX	66538B800	BTS TACTICAL FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
BTS FUNDS	BTFIX	66538B701	BTS TACTICAL FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
BTS FUNDS	BTFRX	66538B461	BTS TACTICAL FIXED INCOME FUND CLASS R	T	R	N	4:00 PM	01
BUFFALO FUNDS	BUFTX	119530103	BUFFALO DISCOVERY FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUITX	119530707	BUFFALO DISCOVERY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFDX	119530608	BUFFALO DIVIDEND FOCUS FUND	T		N	4:00 PM	01
BUFFALO FUNDS	BUIDX	119530806	BUFFALO DIVIDEND FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFOX	119530301	BUFFALO EMERGING OPPORTUNITIES FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUIOX	119530889	BUFFALO EMERGING OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFBX	119428100	BUFFALO FLEXIBLE INCOME FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUIBX	119530871	BUFFALO FLEXIBLE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFGX	119826105	BUFFALO GROWTH FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BIIGX	119530863	BUFFALO GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUIHX	119530855	BUFFALO HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFHX	119539104	BUFFALO HIGH-YIELD FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUFIX	119530509	BUFFALO INTERNATIONAL FUND	T		N	4:00 PM	01
BUFFALO FUNDS	BUIIX	119530848	BUFFALO INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUIEX	119530830	BUFFALO LARGE CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFEX	119628105	BUFFALO LARGE-CAP FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUIMX	119530822	BUFFALO MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFMX	119530202	BUFFALO MID-CAP FUND	NTF		N	4:00 PM	01
BUFFALO FUNDS	BUI SX	119530814	BUFFALO SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
BUFFALO FUNDS	BUFSX	119804102	BUFFALO SMALL-CAP FUND	NTF		N	4:00 PM	01
CALAMOS FUNDS	CCVIX	128119401	CALAMOS CONVERTIBLE FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CCVCX	128119823	CALAMOS CONVERTIBLE FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CICVX	128119864	CALAMOS CONVERTIBLE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CALAMOS FUNDS	CADVX	128120839	CALAMOS DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CCDVX	128120821	CALAMOS DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CIDVX	128120813	CALAMOS DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CNWXG	128119161	CALAMOS EVOLVING WORLD GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CNWDX	128119146	CALAMOS EVOLVING WORLD GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CNWIX	128119138	CALAMOS EVOLVING WORLD GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CAGCX	128120748	CALAMOS GLOBAL CONVERTIBLE FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CCGCX	128120730	CALAMOS GLOBAL CONVERTIBLE FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CXGCX	128120722	CALAMOS GLOBAL CONVERTIBLE FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CAGEX	128119484	CALAMOS GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CCGEX	128119468	CALAMOS GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CIGEX	128119450	CALAMOS GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CVLOX	128119500	CALAMOS GLOBAL GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CVLCX	128119708	CALAMOS GLOBAL GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CGCIX	128119609	CALAMOS GLOBAL GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CAGSX	128120292	CALAMOS GLOBAL SUSTAINABLE EQUITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CGCSX	128120284	CALAMOS GLOBAL SUSTAINABLE EQUITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CGSIX	128120276	CALAMOS GLOBAL SUSTAINABLE EQUITIES FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CVTCX	128119831	CALAMOS GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CVTRX	128119104	CALAMOS GROWTH AND INCOME CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CGIIX	128119872	CALAMOS GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CVGRX	128119302	CALAMOS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CVGCX	128119856	CALAMOS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CGRIX	128119807	CALAMOS GROWTH FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
CALAMOS FUNDS	CAHEX	128120698	CALAMOS HEDGED EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CHEX	128120680	CALAMOS HEDGED EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CIHEX	128120672	CALAMOS HEDGED EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CHYDX	128119815	CALAMOS HIGH INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CCHYX	128119799	CALAMOS HIGH INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CIHYX	128119781	CALAMOS HIGH INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CIGRX	128119575	CALAMOS INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CIGCX	128119559	CALAMOS INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CIGIX	128119542	CALAMOS INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CVSIX	128119203	CALAMOS MARKET NEUTRAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CVSCX	128119849	CALAMOS MARKET NEUTRAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CMNIX	128119880	CALAMOS MARKET NEUTRAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CPLSX	128120656	CALAMOS PHINEUS LONG/SHORT FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CPCLX	128120649	CALAMOS PHINEUS LONG/SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CPLIX	128120631	CALAMOS PHINEUS LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CALAMOS FUNDS	CVAAX	128119666	CALAMOS SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CVACX	128119641	CALAMOS SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CVAIX	128119633	CALAMOS SELECT FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CSTBX	128120441	CALAMOS SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CSTIX	128120433	CALAMOS SHORT TERM BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CALAMOS FUNDS	CTASX	128120417	CALAMOS TIMPANI SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CTSIX	128120391	CALAMOS TIMPANI SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CTCSX	128120318	CALAMOS TIMPANI SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CTAGX	128120375	CALAMOS TIMPANI SMID GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CALAMOS FUNDS	CTIGX	128120367	CALAMOS TIMPANI SMID GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CALAMOS FUNDS	CTRAX	128119310	CALAMOS TOTAL RETURN BOND FUND CLASS A	NTF	A	N	4:00 PM	01
CALAMOS FUNDS	CTRCX	128119286	CALAMOS TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CALAMOS FUNDS	CTRIX	128119278	CALAMOS TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
CALDWELL & ORKIN FUNDS	COAGX	128819307	CALDWELL & ORKIN GATOR CAPITAL LONG SHORT FUND	T		N	4:00 PM	01
CALVERT FUNDS	CSIFX	131618100	CALVERT BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CSGCX	131618506	CALVERT BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CBAIX	131618829	CALVERT BALANCED FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CSIBX	131618407	CALVERT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CSBCX	131618605	CALVERT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CBDIX	131618787	CALVERT BOND FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CCLAX	131618696	CALVERT CONSERVATIVE ALLOCATION FD CL A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CALCX	131618670	CALVERT CONSERVATIVE ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CFAIX	131618662	CALVERT CONSERVATIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CLDAX	131582710	CALVERT CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CLDIX	131582330	CALVERT CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CEFAX	131649733	CALVERT EMERGING MARKETS ADVANCEMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CEFIX	131649717	CALVERT EMERGING MARKETS ADVANCEMENT FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CVMAX	131649816	CALVERT EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
CALVERT FUNDS	CVMCX	131649790	CALVERT EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
CALVERT FUNDS	CVMIX	131649774	CALVERT EMERGING MARKETS EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
CALVERT FUNDS	CSIEX	131618308	CALVERT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CSECX	131618704	CALVERT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CEYIX	131618795	CALVERT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CUBAX	13161X105	CALVERT FLEXIBLE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CUBCX	13161X204	CALVERT FLEXIBLE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CUBIX	13161X303	CALVERT FLEXIBLE BOND FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CFOAX	13161X808	CALVERT FLOATING- RATE ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CFOIX	13161X873	CALVERT FLOATING- RATE ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CGAEX	13161P847	CALVERT GLOBAL ENERGY SOLUTIONS FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CALVERT FUNDS	CGACX	13161P821	CALVERT GLOBAL ENERGY SOLUTIONS FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CAEIX	13161P813	CALVERT GLOBAL ENERGY SOLUTIONS FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CFWAX	13161P797	CALVERT GLOBAL WATER FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CFWCX	13161P789	CALVERT GLOBAL WATER FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CFWIX	13161P698	CALVERT GLOBAL WATER FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CGAFX	13161P730	CALVERT GREEN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CGBIX	13161P714	CALVERT GREEN BOND FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CAAAX	131618647	CALVERT GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CAACX	131618621	CALVERT GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CAGIX	131618613	CALVERT GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CYBAX	131582454	CALVERT HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CHBCX	131582447	CALVERT HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CYBIX	131582439	CALVERT HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CFICX	131582207	CALVERT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CIFCX	131582744	CALVERT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CINCX	131582793	CALVERT INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CWVGX	131649105	CALVERT INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CWVCX	131649204	CALVERT INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CWVIX	131649808	CALVERT INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	COIIX	131649857	CALVERT INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CIOAX	131649881	CALVERT INTERNATIONAL OPPORTUNITY FD CL A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CDHAX	13161Y509	CALVERT INTERNATIONAL RESPONSIBLE INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
CALVERT FUNDS	CDHIX	13161Y707	CALVERT INTERNATIONAL RESPONSIBLE INDEX FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	COICX	131649865	CALVERT INT'L OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CCAFX	131649303	CALVERT MID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CCACX	131649402	CALVERT MID-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CCPIX	131649709	CALVERT MID-CAP FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CMAAX	131618738	CALVERT MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CMACX	131618712	CALVERT MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CLAIX	131618654	CALVERT MODERATE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CTTLX	131620304	CALVERT RESPONSIBLE MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CTTCX	13161X501	CALVERT RESPONSIBLE MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CTTIX	13161X600	CALVERT RESPONSIBLE MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CSDAX	13161T104	CALVERT SHORT DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CDICX	13161T302	CALVERT SHORT DURATION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CDSIX	13161T401	CALVERT SHORT DURATION INCOME FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CCVAX	13161P508	CALVERT SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CSVIX	13161P862	CALVERT SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CSCCX	13161P805	CALVERT SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CALVERT FUNDS	CSXAX	131582785	CALVERT U.S. LARGE CAP CORE RESPONSIBLE INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
CALVERT FUNDS	CSXCX	131582769	CALVERT U.S. LARGE CAP CORE RESPONSIBLE INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
CALVERT FUNDS	CISIX	131582751	CALVERT U.S. LARGE CAP CORE RESPONSIBLE INDEX FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CGJAX	13162A104	CALVERT U.S. LARGE CAP GROWTH RESPONSIBLE FUND CLASS A	NTF	A	N	4:00 PM	01
CALVERT FUNDS	CGJIX	13162A807	CALVERT U.S. LARGE CAP GROWTH RESPONSIBLE INDEX FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CFJAX	13162A401	CALVERT U.S. LARGE CAP VALUE RESPONSIBLE INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
CALVERT FUNDS	CFJIX	13162A708	CALVERT U.S. LARGE CAP VALUE RESPONSIBLE INDEX FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CMJIX	13161Y301	CALVERT U.S. MID CAP CORE RESPONSIBLE INDEX FUND CLASS I	T	I	N	4:00 PM	01
CALVERT FUNDS	CMJAX	13161Y103	CALVERT U.S. MID CAP CORE RSPNB IDX FUND CLASS A	NTF	A	N	4:00 PM	01
CALVERT FUNDS	CULAX	131582611	CALVERT ULTRA SHORT DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CALVERT FUNDS	CULIX	131582348	CALVERT ULTRA-SHORT DURATION INCOME FUND CLASS I	T	I	N	4:00 PM	01
CAMPBELL FUNDS	EBSAX	74933W841	CAMPBELL SYSTEMATIC MACRO FUND CLASS A	NTF^	A	N	4:00 PM	01
CAMPBELL FUNDS	EBSCX	74933W817	CAMPBELL SYSTEMATIC MACRO FUND CLASS C	NTF^	C	N	4:00 PM	01
CAMPBELL FUNDS	EBSIX	74933W833	CAMPBELL SYSTEMATIC MACRO FUND CLASS I	T	I	N	4:00 PM	01
CANTOR FBP FUNDS	FBPBX	969557107	CANTOR FBP APPRECIATION & INCOME OPPORTUNITIES FUND	T		N	4:00 PM	01
CANTOR FBP FUNDS	FBPEX	969557206	CANTOR FBP EQUITY & DIVIDEND PLUS FUND	T		N	4:00 PM	01
CAPITAL ADVISORS FUNDS	CIAOX	007989783	CAPITAL ADVISORS GROWTH FUND	T		N	4:00 PM	01
CARILLON FUNDS	HRCPX	14214L106	CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	HRCX	14214L205	CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	HRCIX	14214L304	CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	HRCYX	14214L700	CARILLON CLARIVEST CAPITAL APPRECIATION FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	EISAX	14214L825	CARILLON CLARIVEST INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	EISDX	14214L817	CARILLON CLARIVEST INTERNATIONAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	EISIX	14214L791	CARILLON CLARIVEST INTERNATIONAL STOCK FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	EISYX	14214L759	CARILLON CLARIVEST INTERNATIONAL STOCK FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	HRCVX	14214L809	CARILLON EAGLE GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	HIGCX	14214L882	CARILLON EAGLE GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	HIGJX	14214L874	CARILLON EAGLE GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	HIGYX	14214L833	CARILLON EAGLE GROWTH & INCOME FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	HAGAX	14214L668	CARILLON EAGLE MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	HAGCX	14214L650	CARILLON EAGLE MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	HAGIX	14214L643	CARILLON EAGLE MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	HAREX	14214L635	CARILLON EAGLE MID CAP GROWTH FUND CLASS R3	T	R	N	4:00 PM	01
CARILLON FUNDS	HRAYX	14214L593	CARILLON EAGLE MID CAP GROWTH FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	HRSCX	14214L510	CARILLON EAGLE SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	HSCCX	14214L494	CARILLON EAGLE SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	HSIIX	14214L486	CARILLON EAGLE SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	HSRRX	14214L478	CARILLON EAGLE SMALL CAP GROWTH FUND CLASS R3	T	R	N	4:00 PM	01
CARILLON FUNDS	HSRYX	14214L445	CARILLON EAGLE SMALL CAP GROWTH FUND CLASS Y	NTF	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CARILLON FUNDS	CRCBX	14214L270	CARILLON REAMS CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	CRCDX	14214L262	CARILLON REAMS CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	SCCIX	14214L254	CARILLON REAMS CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	SCCYX	14214L213	CARILLON REAMS CORE BOND FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	SCPDX	14214M666	CARILLON REAMS CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	SCPEX	14214M658	CARILLON REAMS CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	SCPZX	14214M641	CARILLON REAMS CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	SCPYX	14214M591	CARILLON REAMS CORE PLUS BOND FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	SUBDX	14214M740	CARILLON REAMS UNCONSTRAINED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	SUBEX	14214M732	CARILLON REAMS UNCONSTRAINED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	SUBFX	14214M724	CARILLON REAMS UNCONSTRAINED BOND FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	SUBYX	14214M674	CARILLON REAMS UNCONSTRAINED BOND FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	CSIGX	14214L197	CARILLON SCOUT INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	CSIHX	14214L189	CARILLON SCOUT INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	UMBWX	14214L171	CARILLON SCOUT INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	CSIZX	14214L130	CARILLON SCOUT INTERNATIONAL FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	CSMEX	14214M807	CARILLON SCOUT MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	CSMFX	14214M880	CARILLON SCOUT MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	UMBMX	14214M872	CARILLON SCOUT MID CAP FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	CSMZX	14214M831	CARILLON SCOUT MID CAP FUND CLASS Y	NTF	Y	N	4:00 PM	01
CARILLON FUNDS	CSSAX	14214M823	CARILLON SCOUT SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
CARILLON FUNDS	CSSJX	14214M815	CARILLON SCOUT SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
CARILLON FUNDS	UMBHX	14214M799	CARILLON SCOUT SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
CARILLON FUNDS	CSSQX	14214M781	CARILLON SCOUT SMALL CAP FUND CLASS R3	T	R	N	4:00 PM	01
CARILLON FUNDS	CSSWX	14214M757	CARILLON SCOUT SMALL CAP FUND CLASS Y	NTF	Y	N	4:00 PM	01
CATALYST FUNDS	CPEAX	62827L344	CATALYST DYNAMIC ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CPECX	62827L336	CATALYST DYNAMIC ALPHA FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CPEIX	62827M516	CATALYST DYNAMIC ALPHA FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	MLXAX	62827M268	CATALYST ENERGY INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	MLXCX	62827M250	CATALYST ENERGY INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	MLXIX	62827M243	CATALYST ENERGY INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	EIXAX	62827P451	CATALYST ENHANCED INCOME STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	EIXCX	62827P444	CATALYST ENHANCED INCOME STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	EIXIX	62827P436	CATALYST ENHANCED INCOME STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	CFRAX	62827M300	CATALYST FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CFRCX	62827M805	CATALYST FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CFRIX	62827M888	CATALYST FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	HIIFX	62827L609	CATALYST HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	HIICX	62827L708	CATALYST HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CATALYST FUNDS	ACXAX	62827P865	CATALYST INCOME AND MULTI-STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	ACXCX	62827P857	CATALYST INCOME AND MULTI-STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	ACXIX	62827P840	CATALYST INCOME AND MULTI-STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	INSAX	62827L492	CATALYST INSIDER BUYING FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	INSCX	62827L484	CATALYST INSIDER BUYING FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	INSIX	62827M573	CATALYST INSIDER BUYING FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	IIXAX	62827M466	CATALYST INSIDER INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	IIXCX	62827M458	CATALYST INSIDER INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	IIXIX	62827M441	CATALYST INSIDER INCOME FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	CLPAX	62827L187	CATALYST NASDAQ-100 HEDGED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CLPCX	62827L179	CATALYST NASDAQ-100 HEDGED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CLPFX	62827M532	CATALYST NASDAQ-100 HEDGED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	BUYAX	62827M730	CATALYST PIVOTAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	BUYCX	62827M722	CATALYST PIVOTAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	BUYIX	62827M714	CATALYST PIVOTAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	ATRAX	62827M433	CATALYST SYSTEMATIC ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	ATRCX	62827M425	CATALYST SYSTEMATIC ALPHA FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	ATRFX	62827M417	CATALYST SYSTEMATIC ALPHA FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	TRIFX	62827L807	CATALYST TOTAL RETURN INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	TRICX	62827L880	CATALYST TOTAL RETURN INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	DCXIX	62827P873	CATALYST.MILLBURN DYNAMIC COMMODITY STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	SHIEX	62827P642	CATALYST/EXCEED BUFFERED SHIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	SHINX	62827P634	CATALYST/EXCEED BUFFERED SHIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	SHIIX	62827P626	CATALYST/EXCEED BUFFERED SHIELD FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	CLTAX	62827L211	CATALYST/LYONS TACTICAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CLTCX	62827L195	CATALYST/LYONS TACTICAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CLTIX	62827M524	CATALYST/LYONS TACTICAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	TRXAX	62827L450	CATALYST/MAP GLOBAL BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	TRXCX	62827L443	CATALYST/MAP GLOBAL BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	TRXIX	62827M557	CATALYST/MAP GLOBAL BALANCED FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	CAXAX	62827L435	CATALYST/MAP GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CAXCX	62827L427	CATALYST/MAP GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CAXIX	62827M540	CATALYST/MAP GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	DCXAX	62827P808	CATALYST/MILLBURN DYNAMIC COMMODITY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	DCXCX	62827P881	CATALYST/MILLBURN DYNAMIC COMMODITY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	MBXAX	62827P832	CATALYST/MILLBURN HEDGE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	MBXCX	62827P824	CATALYST/MILLBURN HEDGE STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	MBXIX	62827P816	CATALYST/MILLBURN HEDGE STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	HIIIX	62827M847	CATALYST/SMH HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CATALYST FUNDS	TRIIX	62827M839	CATALYST/SMH TOTAL RETURN INCOME FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	IOXAX	62827M391	CATALYST/STONE BEACH INCOME OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	IOXCX	62827M383	CATALYST/STONE BEACH INCOME OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	IOXIX	62827M375	CATALYST/STONE BEACH INCOME OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	TEZAX	62827P329	CATALYST/TEZA ALGORITHMIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	TEZCX	62827P311	CATALYST/TEZA ALGORITHMIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	TEZIX	62827P295	CATALYST/TEZA ALGORITHMIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
CATALYST FUNDS	CWXAX	62827P220	CATALYST/WARRINGTON STRATEGIC PROGRAM FUND CLASS A	NTF^	A	N	4:00 PM	01
CATALYST FUNDS	CWXCX	62827P238	CATALYST/WARRINGTON STRATEGIC PROGRAM FUND CLASS C	NTF^	C	N	4:00 PM	01
CATALYST FUNDS	CWXIX	62827P246	CATALYST/WARRINGTON STRATEGIC PROGRAM FUND CLASS I	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CCENX	14951G104	CAUSEWAY CONCENTRATED EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CCEVX	14951G203	CAUSEWAY CONCENTRATED EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CAUSEWAY FUNDS	CEMIX	149498107	CAUSEWAY EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CEMVX	149498206	CAUSEWAY EMERGING MARKETS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAUSEWAY FUNDS	CGVIX	14949P307	CAUSEWAY GLOBAL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CGVVX	14949P604	CAUSEWAY GLOBAL VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAUSEWAY FUNDS	CIISX	14949P703	CAUSEWAY INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CVISX	14949P802	CAUSEWAY INTERNATIONAL SMALL CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAUSEWAY FUNDS	CIOIX	14949Q107	CAUSEWAY INTL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CIOVX	14949Q206	CAUSEWAY INTL OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAUSEWAY FUNDS	CIVIX	14949P208	CAUSEWAY INTL VALUE FUND (INST CLASS)	T	I	N	4:00 PM	01
CAUSEWAY FUNDS	CIVVX	14949P109	CAUSEWAY INTL VALUE FUND (INVESTOR) CLASS	NTF	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AABOX	14956P661	CAVANAL HILL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	AIBNX	14956P851	CAVANAL HILL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APBDX	14956P869	CAVANAL HILL BOND NO LOAD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AALIX	14956P448	CAVANAL HILL HEDGED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	AILIX	14956P422	CAVANAL HILL HEDGED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APLIX	14956P430	CAVANAL HILL HEDGED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AASTX	14956P638	CAVANAL HILL LIMITED DURATION FD CL A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	APSTX	14956P307	CAVANAL HILL LIMITED DURATION FD INVESTOR CL	NTF	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AISTX	14956P406	CAVANAL HILL LIMITED DURATION FUND INSTL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	AAWVX	14956P489	CAVANAL HILL MID CAP CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	ACWVX	14956P471	CAVANAL HILL MID CAP CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CAVANAL HILL FUNDS	AIWVX	14956P455	CAVANAL HILL MID CAP CORE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APWVX	14956P463	CAVANAL HILL MID CAP CORE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CAVANAL HILL FUNDS	APFBX	14956P752	CAVANAL HILL MODERATE DURATION FD INVESTOR CL	NTF	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AAIBX	14956P653	CAVANAL HILL MODERATE DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	AIFBX	14956P745	CAVANAL HILL MODERATE DURATION FUND INST CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	AAOPX	14956P612	CAVANAL HILL OPPORTUNISTIC FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CAVANAL HILL FUNDS	AIOPX	14956P588	CAVANAL HILL OPPORTUNISTIC FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APOPX	14956P596	CAVANAL HILL OPPORTUNISTIC FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AAENX	14956P166	CAVANAL HILL STRATEGIC ENHANCED YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	AIENX	14956P141	CAVANAL HILL STRATEGIC ENHANCED YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APENX	14956P158	CAVANAL HILL STRATEGIC ENHANCED YIELD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AACOX	14956P513	CAVANAL HILL U.S. OPPORTUNISTIC FUND CLASS C	NTF^	C	N	4:00 PM	01
CAVANAL HILL FUNDS	AAUSX	14956P133	CAVANAL HILL ULTRA SHORT TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	AIUSX	14956P117	CAVANAL HILL ULTRA SHORT TAX-FREE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APUSX	14956P125	CAVANAL HILL ULTRA SHORT TAX-FREE INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CAVANAL HILL FUNDS	AAWEX	14956P570	CAVANAL HILL WORLD ENERGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CAVANAL HILL FUNDS	ACWEX	14956P562	CAVANAL HILL WORLD ENERGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CAVANAL HILL FUNDS	AIWEX	14956P547	CAVANAL HILL WORLD ENERGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CAVANAL HILL FUNDS	APWEX	14956P554	CAVANAL HILL WORLD ENERGY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CBOE VEST FUNDS	BTCLX	98148L209	CBOE VEST BITCOIN STRATEGY MANAGED VOLATILITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CBOE VEST FUNDS	BTCYX	98148L407	CBOE VEST BITCOIN STRATEGY MANAGED VOLATILITY STRATEGY FUND CLASS	T	Y	N	4:00 PM	01
CBOE VEST FUNDS	BTCVX	98148L308	CBOE VEST BITCOIN STRATEGY MANAGED VOLATILITY STRATEGY FUND INST C	T	I	N	4:00 PM	01
CBOE VEST FUNDS	BUAGX	98148J774	CBOE VEST S&P 500 BUFFER STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CBOE VEST FUNDS	BUGGX	98148J766	CBOE VEST S&P 500 BUFFER STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CBOE VEST FUNDS	BUYGX	98148J162	CBOE VEST S&P 500 BUFFER STRATEGY FUND CLASS Y	T	Y	N	4:00 PM	01
CBOE VEST FUNDS	BUIGX	98148J758	CBOE VEST S&P 500 BUFFER STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CBOE VEST FUNDS	BUMGX	98148J741	CBOE VEST S&P 500 BUFFER STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CBOE VEST FUNDS	KNGYX	98148J147	CBOE VEST S&P 500 DIV ARISTO TARGET INCOME STRAT FD CL Y	T	Y	N	4:00 PM	01
CBOE VEST FUNDS	KNGAX	98148K359	CBOE VEST S&P 500 DIVIDEND ARISTOCRATS TARGET INC FD CL A	NTF^	A	N	4:00 PM	01
CBOE VEST FUNDS	KNGIX	98148K326	CBOE VEST S&P 500 DIVIDEND ARISTOCRATS TARGET INC FD INST CL	T	I	N	4:00 PM	01
CBOE VEST FUNDS	KNGLX	98148K334	CBOE VEST S&P 500 DIVIDEND ARISTOCRATS TARGET INC FD INVESTOR CL	T	V	N	4:00 PM	01
CBOE VEST FUNDS	KNGCX	98148K342	CBOE VEST S&P 500 DIVIDEND ARISTOCRATS TARGET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CBOE VEST FUNDS	ENGYX	98148J154	CBOE VEST S&P 500 ENHANCED GROWTH STRATEGY FD CL Y	T	Y	N	4:00 PM	01
CBOE VEST FUNDS	ENGAX	98148K102	CBOE VEST S&P 500 ENHANCED GROWTH STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CBOE VEST FUNDS	ENGCX	98148K201	CBOE VEST S&P 500 ENHANCED GROWTH STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CBOE VEST FUNDS	ENGIX	98148K300	CBOE VEST S&P 500 ENHANCED GROWTH STRATEGY FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
CBOE VEST FUNDS	ENGLX	98148K409	CBOE VEST S&P 500 ENHANCED GROWTH STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CENTAUR FUNDS	TILDY	15136Y100	DCM/INNOVA HIGH EQUITY INCOME INNOVATION FUND	T		N	4:00 PM	01
CENTAUR FUNDS	LETAX	15136Y308	LEBENTHAL ULTRA SHORT TAX-FREE INCOME FUND CLASS I	T	I	N	4:00 PM	01
CENTERSTONE FUNDS	CSIAI	66538R672	CENTERSTONE INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
CENTERSTONE FUNDS	CSINX	66538R664	CENTERSTONE INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
CENTERSTONE FUNDS	CINTX	66538R656	CENTERSTONE INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
CENTERSTONE FUNDS	CETAX	66538R714	CENTERSTONE INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
CENTERSTONE FUNDS	CENNX	66538R698	CENTERSTONE INVESTORS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CENTERSTONE FUNDS	CENTX	66538R680	CENTERSTONE INVESTORS FUND CLASS I	T	I	N	4:00 PM	01
CENTRE FUNDS	DHANX	156287203	CENTRE AMERICAN SELECT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CENTRE FUNDS	DHAMX	156287104	CENTRE AMERICAN SELECT EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CENTRE FUNDS	DHINX	156287831	CENTRE GLOBAL INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CENTRE FUNDS	DHIVX	156287849	CENTRE GLOBAL INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CGM FUNDS	LOMMX	125325100	*CGM MUTUAL FUND	T+		N	11:55	00
CGM FUNDS	CGMFX	125325506	CGM FOCUS FUND	T+		N	11:55	00
CGM FUNDS	CGMRX	125325407	CGM REALTY FUND	T+		N	11:55	00
CHARTWELL FUNDS	BERIX	16140T202	CHARTWELL INCOME FUND	T		N	4:00 PM	01
CHARTWELL FUNDS	BERCX	16140T301	CHARTWELL MID-CAP VALUE FUND	T		N	4:00 PM	01
CHARTWELL FUNDS	CWSDX	16140T707	CHARTWELL SHORT DURATION BOND FUND	T		N	4:00 PM	01
CHARTWELL FUNDS	CWFIX	16140T400	CHARTWELL SHORT DURATION HIGH YIELD FUND	T		N	4:00 PM	01
CHARTWELL FUNDS	CWSGX	16140T608	CHARTWELL SMALL-CAP GROWTH FUND	T		N	4:00 PM	01
CHARTWELL FUNDS	CWSIX	16140T509	CHARTWELL SMALL-CAP VALUE FUND	T		N	4:00 PM	01
CHASE FUNDS	CHASX	007989809	CHASE GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
CHASE FUNDS	CHAIX	007989395	CHASE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CLIPPER FUNDS	CFIMX	188850101	CLIPPER FUND	NTF		N	4:00 PM	01
CMG FUNDS	GEFIX	66537X233	CMG MAULDIN CORE FUND CLASS I	T	I	N	4:00 PM	01
CMG FUNDS	GEFAX	66537X241	CMG MAULDIN SOLUTIONS FUND CLASS A	NTF	A	N	4:00 PM	01
CMG FUNDS	CMGQX	66537X159	CMG TACTICAL ALL ASSET STRATEGY FUND CLASS A	NTF	A	N	4:00 PM	01
CMG FUNDS	CMGHX	66537X142	CMG TACTICAL ALL ASSET STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CMG FUNDS	CHYAX	66538B107	CMG TACTICAL BOND BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
CMG FUNDS	CHYOX	66538B206	CMG TACTICAL BOND FUND CLASS I	T	I	N	4:00 PM	01
CNI/ROCHDALE FUNDS	CCTEX	17800P555	CITY NATIONAL ROCHDALE CALIFORNIA TAX-EXEMPT BOND FUND CLASS N	NTF	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	CCBAX	17800P522	CITY NATIONAL ROCHDALE CORPORATE BOND FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	RIMHX	17800P704	CITY NATIONAL ROCHDALE EQUITY INCOME FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	RIMOX	17800P506	CITY NATIONAL ROCHDALE FIXED INCOME OPPORTUNITIES FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	CGBAX	17800P498	CITY NATIONAL ROCHDALE GOVERNMENT BOND FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	RIMCX	17800P605	CITY NATIONAL ROCHDALE INTERMEDIATE FIXED INCOME FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	CNRNX	17800P449	CITY NATIONAL ROCHDALE MUNI HIGH INCOME FUND CLASS N	T	N	N	4:00 PM	01
CNI/ROCHDALE FUNDS	CNRWX	17800P803	CITY NATIONAL ROCHDALE U.S. CORE EQUITY FUND CLASS N	T	N	N	4:00 PM	01
COHEN & STEERS FUNDS	DVFAX	19248L105	COHEN & STEERS ALTERNATIVE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	DVFCX	19248L204	COHEN & STEERS ALTERNATIVE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	DVFIX	19248L303	COHEN & STEERS ALTERNATIVE INCOME FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CSUAX	19248B107	COHEN & STEERS GLOB INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	CSUCX	19248B305	COHEN & STEERS GLOB INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	CSUIX	19248B404	COHEN & STEERS GLOBAL INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CSFAX	19247N102	COHEN & STEERS GLOBAL REALTY SHARES FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COHEN & STEERS FUNDS	CSFCX	19247N300	COHEN & STEERS GLOBAL REALTY SHARES FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	CSSPX	19247N409	COHEN & STEERS GLOBAL REALTY SHARES FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CSRIX	19247U106	COHEN & STEERS INSTITUTIONAL REALTY SHARES FUND	T		N	4:00 PM	01
COHEN & STEERS FUNDS	IRFAX	19248H104	COHEN & STEERS INTERNATIONAL REALTY FUND CLASS A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	IRFCX	19248H302	COHEN & STEERS INTERNATIONAL REALTY FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	IRFIX	19248H401	COHEN & STEERS INTERNATIONAL REALTY FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	LPXAX	19249L104	COHEN & STEERS LOW DURATION PREFERRED AND INCOME FD CL A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	LPXIX	19249L302	COHEN & STEERS LOW DURATION PREFERRED AND INCOME FD CL I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	LPXCX	19249L203	COHEN & STEERS LOW DURATION PREFERRED AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	MLOAX	19249F107	COHEN & STEERS MLP & ENERGY OPPORTUNITY FD CL A	NTF	A	N	4:00 PM	01
COHEN & STEERS FUNDS	MLOCX	19249F206	COHEN & STEERS MLP & ENERGY OPPORTUNITY FD CL C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	MLOIX	19249F305	COHEN & STEERS MLP & ENERGY OPPORTUNITY FD CL I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CPXAX	19248X109	COHEN & STEERS PREFERRED SECURITIES & INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
COHEN & STEERS FUNDS	CPXFX	19248X604	COHEN & STEERS PREFERRED SECURITIES AND INCOME FUND CLASS F	T+	F	N	4:00 PM	01
COHEN & STEERS FUNDS	CPXCX	19248X208	COHEN & STEERS PREFERRED SECURITIES INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	CPXIX	19248X307	COHEN & STEERS PREFERRED SECURITIES INCOME FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	RAPAX	19249C104	COHEN & STEERS REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	RAPCX	19249C203	COHEN & STEERS REAL ASSETS FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	RAPIX	19249C302	COHEN & STEERS REAL ASSETS FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CSEIX	191912104	COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	Y	4:00 PM	01
COHEN & STEERS FUNDS	CSCIX	191912302	COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	Y	4:00 PM	01
COHEN & STEERS FUNDS	CSDIX	191912401	COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I	T	I	Y	4:00 PM	01
COHEN & STEERS FUNDS	CREFX	191912708	COHEN & STEERS REAL ESTATE SECURITIES INC. FUND CLASS F	T+	F	N	4:00 PM	01
COHEN & STEERS FUNDS	CSJAX	192476208	COHEN & STEERS REALTY SHARES FUND CLASS A	NTF^	A	N	4:00 PM	01
COHEN & STEERS FUNDS	CSJCX	192476307	COHEN & STEERS REALTY SHARES FUND CLASS C	NTF^	C	N	4:00 PM	01
COHEN & STEERS FUNDS	CSJIX	192476505	COHEN & STEERS REALTY SHARES FUND CLASS I	T	I	N	4:00 PM	01
COHEN & STEERS FUNDS	CSRSX	192476109	COHEN & STEERS REALTY SHARES FUND CLASS L	NTF	L	N	4:00 PM	01
COHO FUNDS	COHOX	56166Y636	COHO RELATIVE VALUE EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLORADO BOND SHARES	HICOX	196332100	COLORADO BOND SHARES A TAX-EXEMPT FUND	T+		N	4:00 PM	02
COLUMBIA FUNDS	CRRVX	19766D337	COLOMBIA REAL ESTATE EQUITY FUND INST2 CLASS	T	Y	Y	4:00 PM	01
COLUMBIA FUNDS	CLOFX	197199292	COLUMBIA ACORN EUROPEAN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAEAX	197199573	COLUMBIA ACORN EUROPEAN FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CAECX	197199565	COLUMBIA ACORN EUROPEAN FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CAEEX	197199516	COLUMBIA ACORN EUROPEAN FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CAEYX	197199284	COLUMBIA ACORN EUROPEAN FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CEARX	197199490	COLUMBIA ACORN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LACAX	197199102	COLUMBIA ACORN FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LIACX	197199300	COLUMBIA ACORN FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CRBRX	197199482	COLUMBIA ACORN FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CRBYX	197199474	COLUMBIA ACORN FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCIRX	197199466	COLUMBIA ACORN INTERNATIONAL FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LAIAX	197199847	COLUMBIA ACORN INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LAICX	197199821	COLUMBIA ACORN INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CACRX	197199649	COLUMBIA ACORN INTERNATIONAL FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CAIRX	197199631	COLUMBIA ACORN INTERNATIONAL FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CCYIX	197199458	COLUMBIA ACORN INTERNATIONAL FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CILRX	197199441	COLUMBIA ACORN INTERNATIONAL SELECT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LAFAX	197199797	COLUMBIA ACORN INTERNATIONAL SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LFFCX	197199771	COLUMBIA ACORN INTERNATIONAL SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CRIRX	197199433	COLUMBIA ACORN INTERNATIONAL SELECT FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CUSAX	197199375	COLUMBIA ACORN USA FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LAUAX	197199508	COLUMBIA ACORN USA FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LAUCX	197199706	COLUMBIA ACORN USA FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CYSRX	197199367	COLUMBIA ACORN USA FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CARGX	19767X100	COLUMBIA ADAPTIVE RETIREMENT 2020 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAAHX	19767X845	COLUMBIA ADAPTIVE RETIREMENT 2025 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAIDX	19767X837	COLUMBIA ADAPTIVE RETIREMENT 2025 FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CARLX	19767X308	COLUMBIA ADAPTIVE RETIREMENT 2030 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CARJX	19767X829	COLUMBIA ADAPTIVE RETIREMENT 2035 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAIEX	19767X811	COLUMBIA ADAPTIVE RETIREMENT 2035 FUND INSTL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CAROX	19767X506	COLUMBIA ADAPTIVE RETIREMENT 2040 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CARPX	19767X795	COLUMBIA ADAPTIVE RETIREMENT 2045 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAIHX	19767X787	COLUMBIA ADAPTIVE RETIREMENT 2045 FUND INSTL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CARSX	19767X704	COLUMBIA ADAPTIVE RETIREMENT 2050 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CARFX	19767X779	COLUMBIA ADAPTIVE RETIREMENT 2055 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CAIJX	19767X761	COLUMBIA ADAPTIVE RETIREMENT 2055 FUND INSTL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CARKX	19767X886	COLUMBIA ADAPTIVE RETIREMENT 2060 FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CARRX	19766D170	COLUMBIA ADAPTIVE RISK ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CRAAX	19765Y258	COLUMBIA ADAPTIVE RISK ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CRACX	19765Y241	COLUMBIA ADAPTIVE RISK ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CRKRX	19765Y225	COLUMBIA ADAPTIVE RISK ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CRDRX	19765Y191	COLUMBIA ADAPTIVE RISK ALLOCATION FUND INSTITUTIONAL2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	LCTCX	19765N302	COLUMBIA AMT-FREE CONNECTICUT INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CBDRX	19766M105	COLUMBIA BALANCED FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CBLAX	19765P471	COLUMBIA BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CBLCX	19765P455	COLUMBIA BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CBLRX	19766D105	COLUMBIA BALANCED FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CLREX	19766D444	COLUMBIA BALANCED FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CBDYX	19766M204	COLUMBIA BALANCED FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CNDRX	19766M303	COLUMBIA BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CNDAX	19765Y704	COLUMBIA BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CNDCX	19765Y803	COLUMBIA BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CNDTX	19766D428	COLUMBIA BOND FUND CLASS V	T	V	N	4:00 PM	01
COLUMBIA FUNDS	CNFRX	19766M402	COLUMBIA BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	NACMX	19765J715	COLUMBIA CALIFORNIA INTERMEDIATE MUNI BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CCICX	19765J681	COLUMBIA CALIFORNIA INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CNBRX	19766B562	COLUMBIA CALIFORNIA INTERMEDIATE MUNI BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CCBYX	19766P827	COLUMBIA CALIFORNIA INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCMRX	19766B158	COLUMBIA CALIFORNIA INTERMEDIATE MUNICIPAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CPDAX	19766C248	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	AXBAX	19766G611	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RBGCX	19766G587	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CPARX	19766G579	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CPANX	19766N707	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPDIX	19766N806	COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CPCYX	19766C263	COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	ABDAX	19766G546	COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RPCCX	19766G520	COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CPAOX	19766N301	COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORT INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPDHX	19766N400	COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORTFOLIO INST3 CL	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CGBRX	19766B489	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT ADV CL	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NBICX	19765H776	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT FUNC CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	NBIAX	19765H792	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CLBRX	19765M528	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT FUND CLASS I	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLHRX	19766B471	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT INST2 CL	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPHNX	19766B224	COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORT INST3 CL	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CHWRX	19766B448	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT ADV CL	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NLGAX	19765H503	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT FUND CLAS	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NIICX	19765H701	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT FUND CLAS	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CLIRX	19765M510	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT FUND CLAS	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CPDGX	19766B232	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT FUND INST:	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLRRX	19766B430	COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORT INST2 CLAS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPCZX	19766C255	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	ABUAX	19766G348	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	AMTCX	19766G322	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CBMRX	19766G314	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CPAMX	19766N509	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO INST2 CL	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPDMX	19766N608	COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO INST3 CL	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCOMX	19766N889	COLUMBIA COMMODITY STRATEGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CCSAX	19766J771	COLUMBIA COMMODITY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CCSCX	19766J763	COLUMBIA COMMODITY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CADLX	19766J714	COLUMBIA COMMODITY STRATEGY FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	LCTAX	19765N104	COLUMBIA CONNECTICUT INTERMEDIATE MUNI BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CCTYX	19767A563	COLUMBIA CONNECTICUT INTERMEDIATE MUNICIPAL BOND FUND	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCTMX	19766M477	COLUMBIA CONNECTICUT INTERMEDIATE MUNICIPAL BOND FUND ADVISOR CLA	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CORRX	19766M501	COLUMBIA CONTRARIAN CORE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LCCAX	19765P109	COLUMBIA CONTRARIAN CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LCCCX	19765P307	COLUMBIA CONTRARIAN CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CCCRX	19766D709	COLUMBIA CONTRARIAN CORE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	SGIEX	19765P505	COLUMBIA CONTRARIAN CORE FUND CLASS V	T	V	Y	4:00 PM	01
COLUMBIA FUNDS	COFRX	19766M600	COLUMBIA CONTRARIAN CORE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	COFYX	19766M709	COLUMBIA CONTRARIAN CORE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	PACIX	19765H750	COLUMBIA CONVERTIBLE SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	PHIKX	19765H735	COLUMBIA CONVERTIBLE SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	COVRX	19766B554	COLUMBIA CONVERTIBLE SECURITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COCRX	19766B547	COLUMBIA CONVERTIBLE SECURITIES FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CSFYX	19766P702	COLUMBIA CONVERTIBLE SECURITIES FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CIFRX	19766M808	COLUMBIA CORPORATE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LIAX	19765N542	COLUMBIA CORPORATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CIOCX	19765N526	COLUMBIA CORPORATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CPIRX	19766M881	COLUMBIA CORPORATE INCOME FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CRIYX	19766M873	COLUMBIA CORPORATE INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLCQX	19766N863	COLUMBIA DISCIPLINED CORE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	AQEAX	19763T640	COLUMBIA DISCIPLINED CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RDCEX	19763T624	COLUMBIA DISCIPLINED CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	RSIPX	19763T590	COLUMBIA DISCIPLINED CORE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGQFX	19766J557	COLUMBIA DISCIPLINED GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	RDLAX	19763T749	COLUMBIA DISCIPLINED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RDLCX	19763T723	COLUMBIA DISCIPLINED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGQRX	19763T699	COLUMBIA DISCIPLINED GROWTH FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CQURX	19766C438	COLUMBIA DISCIPLINED GROWTH FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	COLEX	19766J532	COLUMBIA DISCIPLINED VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	RLCAX	19763T558	COLUMBIA DISCIPLINED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	RDCCX	19763T533	COLUMBIA DISCIPLINED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CVQTX	19763T483	COLUMBIA DISCIPLINED VALUE FUND CLASS V	T	V	N	4:00 PM	01
COLUMBIA FUNDS	COLVX	19766J524	COLUMBIA DISCIPLINED VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	COLYX	19766J516	COLUMBIA DISCIPLINED VALUE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CVIRX	19766M865	COLUMBIA DIVIDEND INCOME FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
COLUMBIA FUNDS	LBSAX	19765N278	COLUMBIA DIVIDEND INCOME FUND CLASS A	NTF^	A	Y	4:00 PM	01
COLUMBIA FUNDS	LBSCX	19765N252	COLUMBIA DIVIDEND INCOME FUND CLASS C	NTF^	C	Y	4:00 PM	01
COLUMBIA FUNDS	CDIRX	19765Y308	COLUMBIA DIVIDEND INCOME FUND CLASS R	T	R	Y	4:00 PM	01
COLUMBIA FUNDS	CDDRX	19766M857	COLUMBIA DIVIDEND INCOME FUND INST2 CLASS	T	Y	Y	4:00 PM	01
COLUMBIA FUNDS	CDDYX	19766M840	COLUMBIA DIVIDEND INCOME FUND INST3 CLASS	T+	Z	Y	4:00 PM	01
COLUMBIA FUNDS	CDORX	19766C578	COLUMBIA DIVIDEND OPPORTUNITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	INUTX	19763P283	COLUMBIA DIVIDEND OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	ACUIX	19763P267	COLUMBIA DIVIDEND OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	RSOXX	19763P242	COLUMBIA DIVIDEND OPPORTUNITY FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	RSDFX	19763P226	COLUMBIA DIVIDEND OPPORTUNITY FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CDOYX	19766C560	COLUMBIA DIVIDEND OPPORTUNITY FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CEBSX	19766N871	COLUMBIA EMERGING MARKETS BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	REBAX	19763P184	COLUMBIA EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	REBCX	19763P168	COLUMBIA EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CEBRX	19766C552	COLUMBIA EMERGING MARKETS BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CEMHX	19766M469	COLUMBIA EMERGING MARKETS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	EEMAX	19765Y878	COLUMBIA EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	EEMCX	19765Y860	COLUMBIA EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CEMRX	19766D832	COLUMBIA EMERGING MARKETS FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CEKRX	19766M832	COLUMBIA EMERGING MARKETS FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CEKYX	19766M824	COLUMBIA EMERGING MARKETS FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CFCRX	19766C537	COLUMBIA FLEXIBLE CAPITAL INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CFIAX	19766J888	COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CFIGX	19766J870	COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CFIRX	19766J854	COLUMBIA FLEXIBLE CAPITAL INCOME FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CFXRX	19766C529	COLUMBIA FLEXIBLE CAPITAL INCOME FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CFCYX	19766J466	COLUMBIA FLEXIBLE CAPITAL INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CFLRX	19766C313	COLUMBIA FLOATING RATE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	RFRAX	19766F696	COLUMBIA FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RFRGX	19766F670	COLUMBIA FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CFRRX	19766F654	COLUMBIA FLOATING RATE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	RFRFX	19766F639	COLUMBIA FLOATING RATE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CFRYX	19766J573	COLUMBIA FLOATING RATE FUND INSTITUTIONAL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	RSEVX	19766F829	COLUMBIA GLOBAL EQUITY VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	IEVAX	19766F878	COLUMBIA GLOBAL EQUITY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	REVCX	19766F852	COLUMBIA GLOBAL EQUITY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	REVRX	19766F837	COLUMBIA GLOBAL EQUITY VALUE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	RSEYX	19766F795	COLUMBIA GLOBAL EQUITY VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CEVYX	19766J730	COLUMBIA GLOBAL EQUITY VALUE FUND INST3 CLASS	T+	Z	Y	4:00 PM	01
COLUMBIA FUNDS	CSDRX	19766C362	COLUMBIA GLOBAL OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	IMRFX	19766H221	COLUMBIA GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RSSCX	19766H197	COLUMBIA GLOBAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSARX	19766H171	COLUMBIA GLOBAL OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLNRX	19766C354	COLUMBIA GLOBAL OPPORTUNITIES FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGOYX	19766J441	COLUMBIA GLOBAL OPPORTUNITIES FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CTYRX	19766M568	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CTCAX	19765P539	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CTHCX	19765P513	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CTHRX	19766M550	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGTUX	19767A647	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND INSTITUTIONAL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CGCHX	19766M444	COLUMBIA GREATER CHINA FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NGCAX	19765L546	COLUMBIA GREATER CHINA FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NGCCX	19765L520	COLUMBIA GREATER CHINA FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGCRX	19766M790	COLUMBIA GREATER CHINA FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGCYX	19767A464	COLUMBIA GREATER CHINA FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CYLRX	19766F225	COLUMBIA HIGH YIELD BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	INEAX	19766F274	COLUMBIA HIGH YIELD BOND FUND CL A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	APECX	19766F258	COLUMBIA HIGH YIELD BOND FUND CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CHBRX	19766F233	COLUMBIA HIGH YIELD BOND FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	RSHRX	19766F191	COLUMBIA HIGH YIELD BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CHYYX	19766C495	COLUMBIA HIGH YIELD BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	LHIAX	19765P158	COLUMBIA HIGH YIELD MUNI FD CL A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CHMCX	19765P133	COLUMBIA HIGH YIELD MUNI FD CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CHIYX	19766M436	COLUMBIA HIGH YIELD MUNICIPAL FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CHMYX	19766M782	COLUMBIA HIGH YIELD MUNICIPAL FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CHHYX	19767A373	COLUMBIA HIGH YIELD MUNICIPAL FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CNMRX	19766C487	COLUMBIA INCOME BUILDER FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	RBBAX	19766F167	COLUMBIA INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RBBCX	19766F142	COLUMBIA INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CBURX	19766F134	COLUMBIA INCOME BUILDER FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CKKRX	19766C479	COLUMBIA INCOME BUILDER FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CIBYX	19766J425	COLUMBIA INCOME BUILDER FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CPPRX	19766C461	COLUMBIA INCOME OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	AIOAX	19763T103	COLUMBIA INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RIOCX	19763T301	COLUMBIA INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CIORX	19763T509	COLUMBIA INCOME OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CEPRX	19766C453	COLUMBIA INCOME OPPORTUNITIES FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CIOYX	19763T806	COLUMBIA INCOME OPPORTUNITIES FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	LITCX	19765L660	COLUMBIA INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CTMRX	19766M741	COLUMBIA INTERMEDIATE MUNI BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CIMYX	19767A555	COLUMBIA INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CIMRX	19766M428	COLUMBIA INTERMEDIATE MUNICIPAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LITAX	19765L686	COLUMBIA INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CGOLX	19766M451	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CSVAX	19765P216	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CSRCX	19765P182	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSGRX	19766D519	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CADPX	19766M337	COLUMBIA INTERNATIONAL DIVIDEND INCOME FUND INSTITUTIONAL 2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CECFX	19766P801	COLUMBIA LARGE CAP ENHANCED CORE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NMIAX	19765H354	COLUMBIA LARGE CAP ENHANCED CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CCERX	19765M544	COLUMBIA LARGE CAP ENHANCED CORE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLNCX	19766P504	COLUMBIA LARGE CAP ENHANCED CORE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CECYX	19765M221	COLUMBIA LARGE CAP ENHANCED CORE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCGRX	19766M733	COLUMBIA LARGE CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LEGAX	19765P695	COLUMBIA LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LEGCX	19765P679	COLUMBIA LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGWRX	19766D725	COLUMBIA LARGE CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLWFX	19766D378	COLUMBIA LARGE CAP GROWTH FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGFYX	19765Y365	COLUMBIA LARGE CAP GROWTH FUND INSTITUTIONAL 3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	NEIAX	19765H339	COLUMBIA LARGE CAP INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CLXRX	19766B497	COLUMBIA LARGE CAP INDEX FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CLPYX	19766P736	COLUMBIA LARGE CAP INDEX FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	RDERX	19763P333	COLUMBIA LARGE CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	INDZX	19763P390	COLUMBIA LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	ADECX	19763P374	COLUMBIA LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	RSEDX	19763P317	COLUMBIA LARGE CAP VALUE FUND INSTITUTIONAL 2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CSFRX	19766B414	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NFEAX	19765H263	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NFECX	19765H248	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CLGPX	19766P843	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CADRX	19766P207	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND INSTITUTIONAL 2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CLRYX	19766P744	COLUMBIA LARGE-CAP GROWTH OPPORTUNITY FUND INSTITUTIONAL 3 CLASS	T+	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CDLRX	19766C297	COLUMBIA LIMITED DURATION CREDIT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	ALDAX	19763T459	COLUMBIA LIMITED DURATION CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RDCLX	19763T434	COLUMBIA LIMITED DURATION CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CTLRX	19766C420	COLUMBIA LIMITED DURATION CREDIT FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CLDYX	19766C271	COLUMBIA LIMITED DURATION CREDIT FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CMANX	19766M410	COLUMBIA MASSACHUSETTS INTERMEDIATE BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LMIAX	19765N708	COLUMBIA MASSACHUSETTS INTERMEDIATE MUNI BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LMICX	19765N880	COLUMBIA MASSACHUSETTS INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	GMBAX	19765N864	COLUMBIA MASSACHUSETTS INTERMEDIATE MUNI BOND FUND CLASS V	T	V	N	4:00 PM	01
COLUMBIA FUNDS	CMAUX	19767A662	COLUMBIA MASSACHUSETTS INTERMEDIATE MUNI BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CMMYX	19767A548	COLUMBIA MASSACHUSETTS INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CPGRX	19766M725	COLUMBIA MID CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CBSAX	19765P299	COLUMBIA MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CMCCX	19765P273	COLUMBIA MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CMGRX	19765P224	COLUMBIA MID CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CMGVX	19766D352	COLUMBIA MID CAP GROWTH FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	NTIAX	19765J509	COLUMBIA MID CAP INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CPXRX	19766B364	COLUMBIA MID CAP INDEX FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CMDYX	19766P728	COLUMBIA MID CAP INDEX FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CMGYX	19765Y357	COLUMBIA MID-CAP GROWTH FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLONX	19766N855	COLUMBIA MINNESOTA TAX-EXEMPT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	IMNTX	19763T186	COLUMBIA MINNESOTA TAX-EXEMPT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RMTCX	19763T160	COLUMBIA MINNESOTA TAX-EXEMPT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CADOX	19766J672	COLUMBIA MINNESOTA TAX-EXEMPT FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CMNYX	19766J391	COLUMBIA MINNESOTA TAX-EXEMPT FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLMFX	19764F771	COLUMBIA MORTGAGE OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CLMAX	19764F813	COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CLMCX	19764F797	COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CMOYX	19766J383	COLUMBIA MORTGAGE OPPORTUNITIES FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLMVX	19764F763	COLUMBIA MORTGAGE OPPORTUNITIES FUND INSTITUTIONAL 2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CLFUX	19766M261	COLUMBIA MULTI STRATEGY ALTERNATIVES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CLAAX	19766M311	COLUMBIA MULTI STRATEGY ALTERNATIVES FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CLABX	19766M295	COLUMBIA MULTI STRATEGY ALTERNATIVES FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CRRLX	19766M279	COLUMBIA MULTI STRATEGY ALTERNATIVES FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLIVX	19766M253	COLUMBIA MULTI STRATEGY ALTERNATIVES FUND INSTITUTIONAL 2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CNCYX	19766P785	COLUMBIA N.CAROLINA INTERMEDIATE BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CNCEX	19766B141	COLUMBIA N.CAROLINA INTERMEDIATE MUNI BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NNCIX	19765J483	COLUMBIA N.CAROLINA INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	NNINX	19765J467	COLUMBIA N.CAROLINA INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	GANYX	19765N732	COLUMBIA NEW YORK INTERMEDIATE BOND MUNIICIPAL BOND FUND CLASS V	T	V	Y	4:00 PM	01
COLUMBIA FUNDS	CNYIX	19766M394	COLUMBIA NEW YORK INTERMEDIATE MUNI BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LNKX	19765N757	COLUMBIA NEW YORK INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CNYUX	19767A654	COLUMBIA NEW YORK INTERMEDIATE MUNI BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CNYYX	19767A530	COLUMBIA NEW YORK INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	LNKAX	19765N773	COLUMBIA NEW YORK INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CORCX	19765P398	COLUMBIA OREGON INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CODRX	19766M691	COLUMBIA OREGON INTERMEDIATE MUNI BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CORYX	19767A522	COLUMBIA OREGON INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CORMX	19766M378	COLUMBIA OREGON INTERMEDIATE MUNICIPAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COEAX	19765P422	COLUMBIA OREGON INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	COSDX	19766J292	COLUMBIA OVERSEAS CORE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COSAX	19766J318	COLUMBIA OVERSEAS CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	COSCX	19766J284	COLUMBIA OVERSEAS CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	COSRX	19766J243	COLUMBIA OVERSEAS CORE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	COSTX	19766J268	COLUMBIA OVERSEAS CORE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	OSCBX	19767X712	COLUMBIA OVERSEAS SMA COMPLETION PORTFOLIO	T+		N	4:00 PM	01
COLUMBIA FUNDS	COSVX	19766P884	COLUMBIA OVERSEAS VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COAVX	19765M338	COLUMBIA OVERSEAS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	COCVX	19765M320	COLUMBIA OVERSEAS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	COVUX	19765M312	COLUMBIA OVERSEAS VALUE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	COSSX	19766P876	COLUMBIA OVERSEAS VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	COSYX	19766P868	COLUMBIA OVERSEAS VALUE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	PISKX	19766Q783	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	PISDX	19766Q825	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	PISEX	19766Q817	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	PISJX	19766Q791	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	PISOX	19766Q767	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	PISQX	19766Q759	COLUMBIA PYRFORD INTERNATIONAL STOCK FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CUVRX	19766C347	COLUMBIA QUALITY INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	AUGAX	19766J102	COLUMBIA QUALITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	AUGCX	19766J300	COLUMBIA QUALITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGVRX	19766C339	COLUMBIA QUALITY INCOME FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CUGYX	19766J581	COLUMBIA QUALITY INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CRERX	19766M683	COLUMBIA REAL ESTATE EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CREAX	19765P588	COLUMBIA REAL ESTATE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CRECX	19765P562	COLUMBIA REAL ESTATE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CRSRX	19766D659	COLUMBIA REAL ESTATE EQUITY FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CREYX	19767A423	COLUMBIA REAL ESTATE EQUITY FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSOYX	19766P777	COLUMBIA S.CAROLINA INTERMEDIATE MUNI BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSICX	19766B174	COLUMBIA S.CAROLINA INTERMEDIATE MUNICIPAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NSCIX	19765J574	COLUMBIA S.CAROLINA INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NSICX	19765J558	COLUMBIA S.CAROLINA INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSGVX	19766N772	COLUMBIA SELECT GLOBAL EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	IGLGX	19763T780	COLUMBIA SELECT GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RGCEX	19763T764	COLUMBIA SELECT GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGERX	19763T673	COLUMBIA SELECT GLOBAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	RGERX	19763T228	COLUMBIA SELECT GLOBAL EQUITY FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CSEYX	19766J375	COLUMBIA SELECT GLOBAL EQUITY FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CLSRX	19766P660	COLUMBIA SELECT LARGE CAP EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NSGAX	19765H313	COLUMBIA SELECT LARGE CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NSGCX	19765H289	COLUMBIA SELECT LARGE CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CLCRX	19766B513	COLUMBIA SELECT LARGE CAP EQUITY FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CLEYX	19766P694	COLUMBIA SELECT LARGE CAP EQUITY FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSRRX	19766M675	COLUMBIA SELECT LARGE CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	ELGAX	19765Y712	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	ELGCX	19765Y696	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CGTRX	19766M667	COLUMBIA SELECT LARGE CAP GROWTH FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CCWRX	19766M659	COLUMBIA SELECT LARGE CAP GROWTH FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSERX	19766C396	COLUMBIA SELECT LARGE-CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	SLVAX	19766H619	COLUMBIA SELECT LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	SVLCX	19766H585	COLUMBIA SELECT LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	SLVRX	19766H569	COLUMBIA SELECT LARGE-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CSRYX	19766J615	COLUMBIA SELECT LARGE-CAP VALUE FUND I3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	SLVIX	19766H544	COLUMBIA SELECT LARGE-CAP VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CFDRX	19766B356	COLUMBIA SELECT MID-CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CMUAX	19765J863	COLUMBIA SELECT MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CMUCX	19765J848	COLUMBIA SELECT MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CMVRX	19765M619	COLUMBIA SELECT MID-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CVERX	19766B349	COLUMBIA SELECT MID-CAP VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CMVYX	19765M239	COLUMBIA SELECT MID-CAP VALUE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSPRX	19766C388	COLUMBIA SELECT SMALL CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	SSCVX	19766H510	COLUMBIA SELECT SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	SVMCX	19766H486	COLUMBIA SELECT SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	SSVIX	19766H445	COLUMBIA SELECT SMALL CAP VALUE FUND INST2 CLASS	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CSSYX	19766J599	COLUMBIA SELECT SMALL-CAP VALUE FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	SLMCX	19766H429	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FD CL A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	SCIOX	19766H361	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FUND ADVISOR CL	T	O	N	4:00 PM	01
COLUMBIA FUNDS	SCICX	19766H395	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	SCIRX	19766H379	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	SCMIX	19766H346	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CCOYX	19766J367	COLUMBIA SELIGMAN COMMUNICATIONS AND INFORMATION FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	SGTRX	19766H270	COLUMBIA SELIGMAN GLOBAL TECH FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CCHRX	19766C370	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	SHGTX	19766H320	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	SHTCX	19766H296	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	SGTTX	19766H254	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CGTYX	19766J359	COLUMBIA SELIGMAN GLOBAL TECHNOLOGY FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	NSTIX	19765H370	COLUMBIA SHORT TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSBRX	19766B752	COLUMBIA SHORT TERM BOND FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CSBYX	19765M213	COLUMBIA SHORT TERM BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	NSMUX	19765J335	COLUMBIA SHORT TERM MUNICIPAL BOND FD CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSMYX	19766P686	COLUMBIA SHORT TERM MUNICIPAL BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CMDRX	19766B315	COLUMBIA SHORT-TERM BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NSTRX	19765H396	COLUMBIA SHORT-TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CCBRX	19766B299	COLUMBIA SHORT-TERM BOND FUND INSTITUTIONAL 2 CL	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CSMTX	19766B182	COLUMBIA SHORT-TERM MUNICIPAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NSMMX	19765J350	COLUMBIA SHORT-TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CNNRX	19766B281	COLUMBIA SHORT-TERM MUNICIPAL BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	NMSAX	19765J822	COLUMBIA SMALL CAP INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CSPYX	19766P678	COLUMBIA SMALL CAP INDEX FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSVRX	19766D550	COLUMBIA SMALL CAP VALUE FUND I CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CLURX	19766B265	COLUMBIA SMALL CAP VALUE FUND II ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COVAX	19765J798	COLUMBIA SMALL CAP VALUE FUND II CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	COVCX	19765J772	COLUMBIA SMALL CAP VALUE FUND II CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CRRRX	19766B257	COLUMBIA SMALL CAP VALUE FUND II FUND INSTITUTIONAL 2 CL	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CRRYX	19766B240	COLUMBIA SMALL CAP VALUE FUND II INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CSSCX	19765N575	COLUMBIA SMALL-CAP VALUE FUND I CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CVVRX	19766M592	COLUMBIA SMALL-CAP VALUE I FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CSMIX	19765N591	COLUMBIA SMALL-CAP VALUE I FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CUURX	19766M584	COLUMBIA SMALL-CAP VALUE I FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CSVYX	19765Y332	COLUMBIA SMALL-CAP VALUE I FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCAOX	19765L306	COLUMBIA STRATEGIC CA MUNI INCOME FUND CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CCAUX	19767A670	COLUMBIA STRATEGIC CA MUNI INCOME FUND INST2 CL	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	CCXYX	19767A514	COLUMBIA STRATEGIC CALIFORNIA MUNI INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CCARX	19766M485	COLUMBIA STRATEGIC CALIFORNIA MUNICIPAL INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CLMPX	19765L108	COLUMBIA STRATEGIC CALIFORNIA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CMNRX	19766M576	COLUMBIA STRATEGIC INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COSIX	19765L801	COLUMBIA STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CLSCX	19765L876	COLUMBIA STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CSNRX	19766D543	COLUMBIA STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CTIVX	19766D311	COLUMBIA STRATEGIC INCOME FUND INST2 CL	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CPHUX	19766M345	COLUMBIA STRATEGIC INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CATRX	19766N202	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	INTAX	19763P622	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	RTCEX	19763P598	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CADNX	19766J722	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CATYX	19766J342	COLUMBIA STRATEGIC MUNICIPAL INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CNYRX	19766M717	COLUMBIA STRATEGIC NEW YORK MUNICIPAL INCOME FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CNTYX	19767A449	COLUMBIA STRATEGIC NEW YORK MUNICIPAL INCOME FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CNYEX	19766M386	COLUMBIA STRATEGIC NY MUNI INCOME FUND ADVISOR CL	T	O	N	4:00 PM	01
COLUMBIA FUNDS	COLNX	19765L199	COLUMBIA STRATEGIC NY MUNI INCOME FUND CL A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CNYCX	19765L173	COLUMBIA STRATEGIC NY MUNI INCOME FUND CL C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	COLTX	19765L850	COLUMBIA TAX EXEMPT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	COLCX	19765L835	COLUMBIA TAX EXEMPT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CTERX	19766M352	COLUMBIA TAX-EXEMPT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CADMX	19766M329	COLUMBIA TAX-EXEMPT FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CTEYX	19767A415	COLUMBIA TAX-EXEMPT FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CTORX	197199342	COLUMBIA THERMOSTAT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CTFAX	197199755	COLUMBIA THERMOSTAT FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CTFDX	197199730	COLUMBIA THERMOSTAT FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CQTRX	197199334	COLUMBIA THERMOSTAT FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CYYYX	197199326	COLUMBIA THERMOSTAT FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CBNRX	19766M774	COLUMBIA TOTAL RETURN BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	LIBAX	19765N492	COLUMBIA TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LIBCX	19765N476	COLUMBIA TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CIBRX	19765N450	COLUMBIA TOTAL RETURN BOND FUND CLASS R	T	R	N	4:00 PM	01
COLUMBIA FUNDS	CTBRX	19766M766	COLUMBIA TOTAL RETURN BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CTBYX	19766M758	COLUMBIA TOTAL RETURN BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CONFX	19767A878	COLUMBIA U.S. SOCIAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CONAX	19767A803	COLUMBIA U.S. SOCIAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	CONCX	19767A886	COLUMBIA U.S. SOCIAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
COLUMBIA FUNDS	COVNX	19767A860	COLUMBIA U.S. SOCIAL BOND FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CONYX	19767A399	COLUMBIA U.S. SOCIAL BOND FUND INST3 CL	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	LUTAX	19765N641	COLUMBIA U.S. TREASURY INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	LUTCX	19765N625	COLUMBIA U.S. TREASURY INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CUTRX	19766M543	COLUMBIA U.S. TREASURY INDEX FUND INST2 CLASS	T	Y	N	4:00 PM	01
COLUMBIA FUNDS	CUTYX	19767A381	COLUMBIA U.S. TREASURY INDEX FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COLUMBIA FUNDS	CUSHX	19767X746	COLUMBIA ULTRA SHORT TERM BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	CUSOX	19767X720	COLUMBIA ULTRA SHORT TERM BOND FUND CLASS A	T+	A	N	4:00 PM	01
COLUMBIA FUNDS	CAIVX	19766B166	COLUMBIA VIRGINIA INTERMEDIATE MUNI BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
COLUMBIA FUNDS	NVAFX	19765J392	COLUMBIA VIRGINIA INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
COLUMBIA FUNDS	NVRCX	19765J376	COLUMBIA VIRGINIA INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
COLUMBIA FUNDS	CVAYX	19766P769	COLUMBIA VIRGINIA INTERMEDIATE MUNICIPAL BOND FUND INST3 CLASS	T+	Z	N	4:00 PM	01
COMMERCE FUNDS	CFBNX	200626208	COMMERCE BOND FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFGRX	200626406	COMMERCE GROWTH FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	KTXIX	200626786	COMMERCE KS TAX FREE INTERMEDIATE BOND FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFAGX	200626505	COMMERCE MID CAP FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFMOX	200626802	COMMERCE MISSOURI TAX-FREE BOND FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFNLX	200626703	COMMERCE NATIONAL TAX-FREE FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFSTX	200626109	COMMERCE SHORT TERM GOVERNMENT FUND	T+		N	4:00 PM	01
COMMERCE FUNDS	CFVLX	200626828	COMMERCE VALUE FUND	T+		N	4:00 PM	01
COMMONWEALTH FUNDS	CAFRX	203042502	COMMONWEALTH AFRICA FUND	T		N	4:00 PM	01
COMMONWEALTH FUNDS	CNZLX	203042205	COMMONWEALTH AUSTRALIA/NEW ZEALAND FUND	T		N	4:00 PM	01
COMMONWEALTH FUNDS	CNGLX	203042304	COMMONWEALTH GLOBAL FUND	T		N	4:00 PM	01
COMMONWEALTH FUNDS	CNJFX	203042106	COMMONWEALTH JAPAN FUND	T		N	4:00 PM	01
COMMONWEALTH FUNDS	CNREX	203042403	COMMONWEALTH REAL ESTATE SECURITIES FUND	T		N	4:00 PM	01
CONDUCTOR GLOBAL FUNDS	RAALX	90213U586	CONDUCTOR GLOBAL EQUITY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
CONDUCTOR GLOBAL FUNDS	RAILX	90213U560	CONDUCTOR GLOBAL EQUITY VALUE FUND CLASS I	T	I	N	4:00 PM	01
CONDUCTOR GLOBAL FUNDS	RAYLX	90213U263	CONDUCTOR GLOBAL EQUITY VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
CONDUCTOR GLOBAL FUNDS	RIALX	90214Q758	CONDUCTOR INTERNATIONAL EQUITY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
CONDUCTOR GLOBAL FUNDS	RIYLX	90214Q741	CONDUCTOR INTERNATIONAL EQUITY VALUE FUND CLASS I	T	I	N	4:00 PM	01
CONESTOGA FUNDS	CCALX	207019704	CONESTOGA SMALL CAP FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
CONESTOGA FUNDS	CCASX	207019100	CONESTOGA SMALL CAP FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
CONESTOGA FUNDS	CCSGX	207019605	CONESTOGA SMID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CONESTOGA FUNDS	CCSMX	207019506	CONESTOGA SMID CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
CONGRESS FUNDS	CMLIX	74316J789	CONGRESS LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
CONGRESS FUNDS	CAMLX	742935216	CONGRESS LARGE CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CONGRESS FUNDS	IMIDX	74316J458	CONGRESS MID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CONGRESS FUNDS	CMIDX	74316J466	CONGRESS MID CAP GROWTH FUND RETAIL CLASS	T	R	N	4:00 PM	01
CONGRESS FUNDS	CSMVX	74316P728	CONGRESS SMALL CAP GROWTH FUND RETAIL CLASS	T	R	N	4:00 PM	01
CONGRESS FUNDS	CSMCX	74316P710	CONGRESS SMALL CAP GROWTH INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CONVERGENCE FUNDS	MARNX	89833W535	CONVERGENCE LONG SHORT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
COPELAND FUNDS	CDGRX	21724W209	COPELAND DIVIDEND GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
COPELAND FUNDS	CDCRX	21724W100	COPELAND DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
COPELAND FUNDS	CDIVX	21724W605	COPELAND DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
COPELAND FUNDS	CISAX	21724W860	COPELAND INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
COPELAND FUNDS	CSIIIX	21724W852	COPELAND INTERNATIONAL SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
COPELAND FUNDS	CSDGX	21724W878	COPELAND SMID CAP DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
COPELAND FUNDS	CSMDX	21724W886	COPELAND SMID CAP DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
COPLEY FUND	COPLX	217458108	COPLEY FUND	T		N	4:00 PM	01
CORNERCAP FUNDS	CSCJX	218920403	CORNERCAP SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
CORNERCAP FUNDS	CSCVX	218920304	CORNERCAP SMALL CAP VALUE FUND INVESTOR SHARES CLASS	T+	V	N	4:00 PM	01
COUNTERPOINT FUNDS	CPAEX	66538G429	COUNTERPOINT TACTICAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
COUNTERPOINT FUNDS	CPCEX	66538G411	COUNTERPOINT TACTICAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
COUNTERPOINT FUNDS	CPIEX	66538G395	COUNTERPOINT TACTICAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
COUNTERPOINT FUNDS	CPATX	66538G742	COUNTERPOINT TACTICAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
COUNTERPOINT FUNDS	CPCTX	66538G734	COUNTERPOINT TACTICAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
COUNTERPOINT FUNDS	CPITX	66538G759	COUNTERPOINT TACTICAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
COUNTERPOINT FUNDS	TMNAX	66538R102	COUNTERPOINT TACTICAL MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
COUNTERPOINT FUNDS	TMNCX	66538R201	COUNTERPOINT TACTICAL MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
COUNTERPOINT FUNDS	TMNIX	66538R300	COUNTERPOINT TACTICAL MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
COVE STREET FUNDS	CSCAX	56166Y875	COVE STREET CAPITAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CRA FUNDS	CCMNX	20368N400	ALTERNATIVE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CRA FUNDS	CRAIX	20368N103	CCM COMMUNITY IMPACT BOND FUND CRA CLASS	NTF		N	4:00 PM	01
CRA FUNDS	CRANX	20368N202	CCM COMMUNITY IMPACT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CRA FUNDS	CRATX	20368N301	CCM COMMUNITY IMPACT BOND FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
CRAWFORD FUNDS	CDGCX	90470K818	CRAWFORD LARGE-CAP DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
CRAWFORD FUNDS	CDGIX	90470K826	CRAWFORD LARGE-CAP DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
CRAWFORD FUNDS	CMALX	90470L733	CRAWFORD MULTI ASSET INCOME FUND	T		N	4:00 PM	01
CRAWFORD FUNDS	CDOFX	90470L402	CRAWFORD SMALL CAP DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
CREDIT SUISSE FUNDS	CCRSX	22544K888	CREDIT SUISSE TRUST COMMODITY RETURN STRATEGY PORTFOLIO	T		N	4:00 PM	01
CREDIT SUISSE FUNDS	CRSAX	22544R107	CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
CREDIT SUISSE FUNDS	CRSCX	22544R206	CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
CREDIT SUISSE FUNDS	CRSOX	22544R305	CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CREDIT SUISSE FUNDS	CHIAX	22540S877	CREDIT SUISSE FLOATING RATE HIGH INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
CREDIT SUISSE FUNDS	CHICX	22540S851	CREDIT SUISSE FLOATING RATE HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CREDIT SUISSE FUNDS	CSHIX	22540S836	CREDIT SUISSE FLOATING RATE HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSAAX	22540S737	CREDIT SUISSE MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSACX	22540S729	CREDIT SUISSE MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSAIX	22540S711	CREDIT SUISSE MANAGED FUTURES STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSQAX	22540S794	CREDIT SUISSE MULTIALTERNATIVE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSQIX	22540S778	CREDIT SUISSE MULTIALTERNATIVE STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSOAX	22540S760	CREDIT SUISSE STRATEGIC INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSOCX	22540S752	CREDIT SUISSE STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CREDIT SUISSE FUNDS	CSOIX	22540S745	CREDIT SUISSE STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
CRM FUNDS	CRIEX	12626X833	CRM ALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CRM FUNDS	CRMEX	12626X841	CRM ALL CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
CRM FUNDS	CRIMX	92934R769	CRM MID CAP VALUE FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
CRM FUNDS	CRMMX	92934R777	CRM MID CAP VALUE INVESTOR SHARES	NTF	V	N	4:00 PM	01
CRM FUNDS	CRISX	92934R785	CRM SMALL CAP VALUE FD INST'L SHARES	T	I	N	4:00 PM	01
CRM FUNDS	CRMSX	92934R793	CRM SMALL CAP VALUE FD INVESTOR SHARES	NTF	V	N	4:00 PM	01
CRM FUNDS	CRIAX	92934R116	CRM SMALL/MID CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CRM FUNDS	CRMAX	92934R124	CRM SMALL/MID CAP VALUE FUND INVESTOR SHARES	NTF	V	N	4:00 PM	01
CROSSINGBRIDGE FUNDS	CBLDX	89834G604	CROSSINGBRIDGE LOW DURATION HIGH YIELD FUND INST CLASS	T	I	N	4:00 PM	01
CULLEN FUNDS	CEMGX	230001760	CULLEN EMERGING MARKETS HIGH DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	CEMFX	230001752	CULLEN EMERGING MARKETS HIGH DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
CULLEN FUNDS	CEMDX	230001745	CULLEN EMERGING MARKETS HIGH DIVIDEND FUND RETAIL CLASS	T	R	N	4:00 PM	01
CULLEN FUNDS	ENHCX	230001711	CULLEN ENHANCED EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	ENHNX	230001729	CULLEN ENHANCED EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
CULLEN FUNDS	ENHRX	230001737	CULLEN ENHANCED EQUITY INCOME FUND RETAIL CLASS	T	R	N	4:00 PM	01
CULLEN FUNDS	CHVCX	230001307	CULLEN HIGH DIVIDEND EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	CHDVX	230001406	CULLEN HIGH DIVIDEND EQUITY FUND CLASS I	T	I	N	4:00 PM	01
CULLEN FUNDS	CHDRX	230001877	CULLEN HIGH DIVIDEND EQUITY FUND CLASS R1	T	G	N	4:00 PM	01
CULLEN FUNDS	CHDEX	230001208	CULLEN HIGH DIVIDEND EQUITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
CULLEN FUNDS	CIHCX	230001604	CULLEN INTERNATIONAL HIGH DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	CIHIX	230001703	CULLEN INTERNATIONAL HIGH DIVIDEND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CULLEN FUNDS	CIHDX	230001505	CULLEN INTERNATIONAL HIGH DIVIDEND FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
CULLEN FUNDS	CUSIX	230001836	CULLEN SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
CULLEN FUNDS	CUSRX	230001851	CULLEN SMALL CAP VALUE FUND RETAIL CLASS	T	R	N	4:00 PM	01
CULLEN FUNDS	CUSCX	230001844	CULLEN SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	CVLFX	230001794	CULLEN VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
CULLEN FUNDS	CVLVX	230001786	CULLEN VALUE FUND CLASS I	T	I	N	4:00 PM	01
CULLEN FUNDS	CVLEX	230001778	CULLEN VALUE FUND RETAIL CLASS	T	R	N	4:00 PM	01
CUSHING FUNDS	CGCAX	37891W103	CUSHING GLOBAL CLEAN EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
CUSHING FUNDS	CGCNX	37891W202	CUSHING GLOBAL CLEAN EQUITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
CUSHING FUNDS	NXGAX	231632100	CUSHING NEXTGEN INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
CUSHING FUNDS	NXGNX	231632308	CUSHING NEXTGEN INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
CUTLER FUNDS	DIVHX	232213603	CUTLER EQUITY FUND CLASS II	T		N	4:00 PM	01
DANA FUNDS	ESGIX	92046L452	DANA EPIPHANY ESG EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DANA FUNDS	DSCIX	92046L486	DANA EPIPHANY ESG SMALL-CAP EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DANA FUNDS	DLCIX	92046L619	DANA LARGE CAP EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DANA FUNDS	DLCEX	92046L635	DANA LARGE-CAP EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DAVENPORT FUNDS	DBALX	969557750	DAVENPORT BALANCED INCOME FUND	T		N	4:00 PM	01
DAVENPORT FUNDS	DAVPX	969557834	DAVENPORT CORE FUND	T		N	4:00 PM	01
DAVENPORT FUNDS	DEOPX	969557776	DAVENPORT EQUITY OPPORTUNITIES FUND	T		N	4:00 PM	01
DAVENPORT FUNDS	DSCPX	969557768	DAVENPORT SMALL CAP FOCUS FUND	T		N	4:00 PM	01
DAVENPORT FUNDS	DVIPX	969557784	DAVENPORT VALUE & INCOME FUND	T		N	4:00 PM	01
DAVIDSON FUNDS	DFMAX	007989239	DAVIDSON MULTI CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	02
DAVIDSON FUNDS	DFMIX	00770X725	DAVIDSON MULTI CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	02
DAVIS FUNDS	RPFCX	239103880	DAVIS APPRECIATION & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DCSCX	239103732	DAVIS APPRECIATION & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DCSYX	239103773	DAVIS APPRECIATION & INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	DVFYX	239103815	DAVIS FINANCIAL CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	RPFGX	239103500	DAVIS FINANCIAL FUND CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DFFCX	239103740	DAVIS FINANCIAL FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DGFAX	239080872	DAVIS GLOBAL FD CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DGFCX	239080856	DAVIS GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DGFYX	239080849	DAVIS GLOBAL FUND CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	RFBAX	239103708	DAVIS GOVERNMENT BOND CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DGVYX	239103799	DAVIS GOVERNMENT BOND CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	DGVCX	239103757	DAVIS GOVERNMENT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DILAX	239080831	DAVIS INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DILCX	239080815	DAVIS INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DILYX	239080799	DAVIS INTERNATIONAL FUND CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	NYVTX	239080104	DAVIS NEW YORK VENTURE CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	NYVCX	239080302	DAVIS NEW YORK VENTURE CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DNVYX	239080401	DAVIS NEW YORK VENTURE CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	RPEAX	239103104	DAVIS OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DGO CX	239103765	DAVIS OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DAVIS FUNDS	DGOYX	239103831	DAVIS OPPORTUNITY FUND CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	RPFRX	239103302	DAVIS REAL ESTATE CLASS A	NTF^	A	N	4:00 PM	01
DAVIS FUNDS	DREYX	239103823	DAVIS REAL ESTATE CLASS Y	T	Y	N	4:00 PM	01
DAVIS FUNDS	DRECX	239103724	DAVIS REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
DEAN FUNDS	DALCX	90470K321	DEAN MID CAP VALUE FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DEAN FUNDS	DASCX	90470K313	DEAN SMALL CAP VALUE FUND	T		N	4:00 PM	01
DEARBORN FUNDS	DRDAX	89834E682	DEARBORN PARTNERS RISING DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	02
DEARBORN FUNDS	DRDCX	89834E674	DEARBORN PARTNERS RISING DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	02
DEARBORN FUNDS	DRDIX	89834E666	DEARBORN PARTNERS RISING DIVIDEND FUND CLASS I	T	I	N	4:00 PM	02
DELAWARE FUNDS	DGCAX	245908785	DELAWARE CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DGCCX	245908769	DELAWARE CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DGCRX	245908744	DELAWARE CORPORATE BOND FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DGCIX	245908751	DELAWARE CORPORATE BOND FUND INST'L CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FRCCX	24611D102	DELAWARE COVERED CALL STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FRCDX	24611D201	DELAWARE COVERED CALL STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DPDFX	246248744	DELAWARE DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DPCFX	246248595	DELAWARE DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DPFFX	246248587	DELAWARE DIVERSIFIED INCOME FUND CLASS I	T	I	N	4:00 PM	01
DELAWARE FUNDS	DPRFX	246248553	DELAWARE DIVERSIFIED INCOME FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DEDAX	246094841	DELAWARE EMERGING MARKETS DEBT CORPORATE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEDCX	246094833	DELAWARE EMERGING MARKETS DEBT CORPORATE FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DEDIX	246094817	DELAWARE EMERGING MARKETS DEBT CORPORATE FUND INSTL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEMIX	245914817	DELAWARE EMERGING MARKETS FUND (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEMAX	245914841	DELAWARE EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEMIX	245914825	DELAWARE EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DEMIX	245914569	DELAWARE EMERGING MARKETS FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	FIUTX	24611D409	DELAWARE EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FIUUX	24611D508	DELAWARE EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEEAX	245908835	DELAWARE EXTENDED DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEECX	245908819	DELAWARE EXTENDED DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DEERX	245908728	DELAWARE EXTENDED DURATION BOND FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DEEIX	245908793	DELAWARE EXTENDED DURATION BOND FUND INST'L CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DDFAX	245908660	DELAWARE FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DDFCX	245908652	DELAWARE FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DDFFX	245908645	DELAWARE FLOATING RATE FUND CLASS R	T	R	N	4:00 PM	01
DELAWARE FUNDS	DDFLX	245908637	DELAWARE FLOATING RATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FIISX	24611D706	DELAWARE GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FIITX	24611D805	DELAWARE GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DPREX	246248868	DELAWARE GLOBAL LISTED REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DPRCX	246248793	DELAWARE GLOBAL LISTED REAL ASSETS FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DPRSX	246248777	DELAWARE GLOBAL LISTED REAL ASSETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FGINX	24611D870	DELAWARE GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FGIPX	24611D862	DELAWARE GROWTH AND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FICGX	24611D714	DELAWARE GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DELAWARE FUNDS	FICHX	24611D698	DELAWARE GROWTH EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DLHIX	24610E408	DELAWARE HEALTHCARE FUND CLASS I	T	I	N	4:00 PM	01
DELAWARE FUNDS	DLHAX	24610E101	DELAWARE HEALTHCARE FUNDS CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DLHCX	24610E200	DELAWARE HEALTHCARE FUNDS CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DLRHX	24610E309	DELAWARE HEALTHCARE FUNDS CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	FHEJX	24611D847	DELAWARE HEDGED U.S. EQUITY OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FHEKX	24611D839	DELAWARE HEDGED U.S. EQUITY OPPORTUNITIES FUND OPPORTUNITIES FUND	T	I	N	4:00 PM	01
DELAWARE FUNDS	DHOAX	245908876	DELAWARE HIGH YIELD OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DHOCX	245908850	DELAWARE HIGH YIELD OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DHIRX	245908736	DELAWARE HIGH YIELD OPPORTUNITY FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DHOIX	245908843	DELAWARE HIGH-YIELD OPPORTUNITIES FUND (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
DELAWARE FUNDS	DGGAX	246118541	DELAWARE INTERNATIONAL SMALL CAP CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DGGCX	246118533	DELAWARE INTERNATIONAL SMALL CAP CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DGGRX	246118525	DELAWARE INTERNATIONAL SMALL CAP CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DGGIX	246118517	DELAWARE INTERNATIONAL SMALL CAP INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEGIX	245914106	DELAWARE INTERNATIONAL VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEGCX	245914858	DELAWARE INTERNATIONAL VALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DEQIX	245914403	DELAWARE INTERNATIONAL VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
DELAWARE FUNDS	DIVRX	245914577	DELAWARE INT'L VALUE EQUITY FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DTICX	245912704	DELAWARE LIMITED- TERM DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DLTRX	245912803	DELAWARE LIMITED-TERM DIVERS INCOME FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DTRIX	245912308	DELAWARE LIMITED-TERM DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DTINX	245912506	DELAWARE LIMITED-TERM DIVERSIFIED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DLMCX	246093850	DELAWARE MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DLMIX	246093835	DELAWARE MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
DELAWARE FUNDS	DLMRX	246093843	DELAWARE MID CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DLMAX	246093868	DELAWARE MIDCAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVMHX	928928316	DELAWARE MINNESOTA HIGH YIELD MUNI BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVMMX	928928282	DELAWARE MINNESOTA HIGH YIELD MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DMHIX	928928175	DELAWARE MINNESOTA HIGH YIELD MUNICIPAL BOND FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
DELAWARE FUNDS	CXHIX	928928241	DELAWARE NATIONAL HIGH YIELD MUNI BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVHCX	928928225	DELAWARE NATIONAL HIGH YIELD MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DVHIX	24610H302	DELAWARE NATIONAL HIGH YIELD MUNICIPAL BOND FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FIUSX	24611D771	DELAWARE OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FIVUX	24611D763	DELAWARE OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FPIKX	24611D748	DELAWARE PREMIUM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FPILX	24611D730	DELAWARE PREMIUM INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DVEAX	928931104	DELAWARE SELECT GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVECX	928931203	DELAWARE SELECT GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DELAWARE FUNDS	VAGGX	928931757	DELAWARE SELECT GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DCCAX	24610B883	DELAWARE SMALL CAP CORE FUND CLASS A	NTF^	A	Y	4:00 PM	01
DELAWARE FUNDS	DCCCX	24610B867	DELAWARE SMALL CAP CORE FUND CLASS C	NTF^	C	Y	4:00 PM	01
DELAWARE FUNDS	DCCRX	24610B834	DELAWARE SMALL CAP CORE FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DCCIX	24610B859	DELAWARE SMALL CAP CORE FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
DELAWARE FUNDS	DSGDY	24610A604	DELAWARE SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DSGEX	24610A703	DELAWARE SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DSGFX	24610A885	DELAWARE SMALL CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DSGGX	24610A802	DELAWARE SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEVLY	246097109	DELAWARE SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEVEX	246097406	DELAWARE SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DVLRX	246097505	DELAWARE SMALL CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DEVIX	246097208	DELAWARE SMALL-CAP VALUE FUND (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
DELAWARE FUNDS	DFCIX	245906102	DELAWARE SMID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DEEVX	245906409	DELAWARE SMID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DFRIX	245906508	DELAWARE SMID CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DFDIX	245906201	DELAWARE SMID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DFBAX	245918503	DELAWARE STRATEGIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DFBCX	245918701	DELAWARE STRATEGIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DFFIX	245918800	DELAWARE STRATEGIC ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEGGX	246094205	DELAWARE STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DUGCX	246094700	DELAWARE STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DUGRX	246094809	DELAWARE STRATEGIC INCOME FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DUGIX	246094502	DELAWARE STRATEGIC INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DVFTX	928928795	DELAWARE TAX FREE CALIFORNIA FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DELIX	233216100	DELAWARE TAX FREE PENNSYLVANIA FD CL A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DPTCX	233216308	DELAWARE TAX FREE PENNSYLVANIA FD CL C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DTPIX	24609H701	DELAWARE TAX FREE PENNSYLVANIA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DUSCX	245909700	DELAWARE TAX FREE USA FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DUICX	245909882	DELAWARE TAX FREE USA INTERM FD CL C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	VAZIX	928916204	DELAWARE TAX-FREE ARIZONA FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVACX	928916501	DELAWARE TAX-FREE ARIZONA FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DAZIX	928916873	DELAWARE TAX-FREE ARIZONA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DVTAX	928928829	DELAWARE TAX-FREE CALIFORNIA FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DCTIX	928928167	DELAWARE TAX-FREE CALIFORNIA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	VCTFX	928920107	DELAWARE TAX-FREE COLORADO FD CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVCTX	92907R101	DELAWARE TAX-FREE COLORADO FD CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DCOIX	92907R200	DELAWARE TAX-FREE COLORADO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	VIDAX	928928704	DELAWARE TAX-FREE IDAHO FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DELAWARE FUNDS	DVICX	928928803	DELAWARE TAX-FREE IDAHO FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DTIDX	928928159	DELAWARE TAX-FREE IDAHO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DEFFX	928918101	DELAWARE TAX-FREE MINNESOTA FD CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DMOCX	928918408	DELAWARE TAX-FREE MINNESOTA FD CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DMNIX	928918705	DELAWARE TAX-FREE MINNESOTA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DXCCX	928930106	DELAWARE TAX-FREE MINNESOTA INTERM FD CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DVSCX	928930205	DELAWARE TAX-FREE MINNESOTA INTERM FD CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DMIIX	92910U109	DELAWARE TAX-FREE MINNESOTA INTERMEDIATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FINJX	245912779	DELAWARE TAX-FREE NEW JERSEY FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FINLX	245912761	DELAWARE TAX-FREE NEW JERSEY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DVFNX	928928258	DELAWARE TAX-FREE NEW YORK FD CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	FTNYX	928928274	DELAWARE TAX-FREE NEW YORK FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DTNIX	928928142	DELAWARE TAX-FREE NEW YORK FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FTORX	245912712	DELAWARE TAX-FREE OREGON FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FTOTX	245912696	DELAWARE TAX-FREE OREGON FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DMTFX	245909106	DELAWARE TAX-FREE USA FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DTFIX	24610H104	DELAWARE TAX-FREE USA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DMUSX	245909304	DELAWARE TAX-FREE USA INTERMEDIATE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DUSIX	24610H203	DELAWARE TAX-FREE USA INTERMEDIATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	FITRX	24611D649	DELAWARE TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	FITUX	24611D631	DELAWARE TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DLTAX	245910609	DELAWARE ULTRASHORT FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DLTCX	245910708	DELAWARE ULTRASHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DULTX	245910500	DELAWARE ULTRASHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DELAWARE FUNDS	DDVAX	24610C881	DELAWARE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DDVCX	24610C865	DELAWARE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DDVIX	24610C857	DELAWARE VALUE FUND CLASS I	T	I	N	4:00 PM	01
DELAWARE FUNDS	DDVRX	245907860	DELAWARE VALUE FUND CLASS R	T	R	N	4:00 PM	01
DELAWARE FUNDS	DDIAX	24610B107	DELAWARE WEALTH BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
DELAWARE FUNDS	DDICX	24610B305	DELAWARE WEALTH BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
DELAWARE FUNDS	DDDRX	24610B842	DELAWARE WEALTH BUILDER FUND CLASS R	T	R	Y	4:00 PM	01
DELAWARE FUNDS	DDIIX	24610B404	DELAWARE WEALTH BUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DESTRA FUNDS	DPIAX	25064T106	DESTRA FLAHERTY & CRUMRINE PREFERRED AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	02
DESTRA FUNDS	DPICX	25064T205	DESTRA FLAHERTY & CRUMRINE PREFERRED AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	02
DESTRA FUNDS	DPIIX	25064T403	DESTRA FLAHERTY & CRUMRINE PREFERRED AND INCOME FUND CLASS I	T	I	N	4:00 PM	02
DESTRA FUNDS	DGASX	25064R753	DESTRA GRANAHAN SMALL CAP ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
DESTRA FUNDS	DGISX	25064R738	DESTRA GRANAHAN SMALL CAP ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHLTX	25264S759	DIAMOND HILL ALL CAP SELECT FUND CL I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHTAX	25264S775	DIAMOND HILL ALL-CAP SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DIAMOND HILL FUNDS	DHRIX	25264S510	DIAMOND HILL CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHRAX	25264S528	DIAMOND HILL CORE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DIAMOND HILL FUNDS	DHIIX	25264S445	DIAMOND HILL INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHIAX	25264S452	DIAMOND HILL INTERNATIONAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DIAMOND HILL FUNDS	DHFIX	25264S411	DIAMOND HILL LARGE-CAP CONCENTRATED FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHLRX	25264S841	DIAMOND HILL LARGE-CAP FUND CLASS I	T	I	Y	4:00 PM	01
DIAMOND HILL FUNDS	DHLYX	25264S676	DIAMOND HILL LARGE-CAP FUND CLASS Y	T+	Y	Y	4:00 PM	02
DIAMOND HILL FUNDS	DHLAX	25264S502	DIAMOND HILL LARGE-CAP FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
DIAMOND HILL FUNDS	DHLSX	25264S833	DIAMOND HILL LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DIAMX	25264S403	DIAMOND HILL LONG/SHORT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DIAMOND HILL FUNDS	DHPIX	25264S619	DIAMOND HILL MID CAP FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHPAX	25264S635	DIAMOND HILL MID-CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DIAMOND HILL FUNDS	DHEIX	25264S544	DIAMOND HILL SHORT DURATION SECURITIZED BOND FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHEAX	25264S551	DIAMOND HILL SHORT DURATION SECURITIZED BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DIAMOND HILL FUNDS	DHSIX	25264S858	DIAMOND HILL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
DIAMOND HILL FUNDS	DHMIX	25264S783	DIAMOND HILL SMALL- MID CAP FUND CLASS I	T	I	Y	4:00 PM	01
DIAMOND HILL FUNDS	DHMYX	25264S684	DIAMOND HILL SMALL MID CAP FUND CLASS Y	T+	Y	N	4:00 PM	02
DIAMOND HILL FUNDS	DHMAX	25264S817	DIAMOND HILL SMALL/MID-CAP FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
DIAMOND HILL FUNDS	DHSCX	25264S304	DIAMOND HILL SMALL-CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DIMENSIONAL FUNDS	DFCFX	25434D872	AAM/DFA TWO YEAR FIXED INCOME PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFYGX	25434D864	AAM/DFA TWO-YEAR GOVERNMENT PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFRSX	233203850	DFA ASIA PACIFIC SMALL-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DCIBX	23320G430	DFA CALIFORNIA INTERMEDIATE-TERM MUNICIPAL BOND PORT FUND INST CLAS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DCARX	25239Y394	DFA CALIFORNIA MUNICIPAL REAL RETURN PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFCMX	233203330	DFA CALIFORNIA SHORT-TERM MUNI BOND PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DCMSX	23320G463	DFA COMMODITY STRATEGY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFCSX	233203702	DFA CONTINENTAL SMALL-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFXIX	23320G166	DFA DIVERSIFIED FIXED INCOME PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFCEX	233203421	DFA EMERGING MARKETS CORE EQUITY PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DAADX	25239Y287	DFA EMERGING MARKETS EX-CHINA CORE EQUITY PORTFOLIO INSTITUTIONAL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFEMX	233203785	DFA EMERGING MARKETS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DEMSX	233203611	DFA EMERGING MARKETS SMALL-CAP PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFESX	233203363	DFA EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO FD INSTITUTIONAL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DESIX	25239Y378	DFA EMERGING MARKETS SUSTAINABILITY CORE 1 PORT FUND INSTL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DEMGX	23320G117	DFA EMERGING MARKETS TARGETED VALUE PORTFOLIO FUND INSTITUTIONAL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFEVX	233203587	DFA EMERGING MARKETS VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFELX	233203637	DFA ENHANCED U.S. LARGE-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFGBX	233203884	DFA FIVE YEAR GLOBAL FIXED-INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DIMENSIONAL FUNDS	DGTSX	25434D633	DFA GLOBAL ALLOCATION 25/75 PORT INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGSIX	25434D658	DFA GLOBAL ALLOCATION 60/40 PORT INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGCFX	25239Y386	DFA GLOBAL CORE PLUS FIXED INCOME PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFAAX	25239Y295	DFA GLOBAL CORE PLUS REAL RETURN PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGEIX	25434D674	DFA GLOBAL EQUITY PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFGEX	23320G554	DFA GLOBAL REAL ESTATE SECURITIES PORTFOLIO	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGLIX	23320G158	DFA GLOBAL SMALL COMPANY PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGBEX	25239Y337	DFA GLOBAL SOCIAL CORE EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DGSFX	25239Y360	DFA GLOBAL SUSTAINABILITY FIXED INCOME PORTFOLIO FD INSTL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DIP SX	233203355	DFA INFLATION-PROTECTED SECURITIES PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFIGX	233203876	DFA INTERMEDIATE GOVERNMENT FIXED INCOME FUND INSTL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFTEX	23320G513	DFA INTERMEDIATE- TERM EXTENDED QUALITY PORTFOLIO INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFTIX	23320G315	DFA INTERMEDIATE- TERM MUNICIPAL BOND PORTFOLIO FUND INSTITUTIONAL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFIEX	233203371	DFA INTERNATIONAL CORE EQUITY PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DIHRX	23320G141	DFA INTERNATIONAL HIGH RELATIVE PROFITABILITY PORTFOLIO	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DILRX	23320G265	DFA INTERNATIONAL LARGE CAP GROWTH PORTFOLIO FUND INSTITUTIONAL C	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFITX	233203348	DFA INTERNATIONAL REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DISMX	23320G257	DFA INTERNATIONAL SMALL CAP GROWTH PORTFOLIO FUND INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DISVX	233203736	DFA INTERNATIONAL SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFISX	233203629	DFA INTERNATIONAL SMALL-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DSCLX	25239Y626	DFA INTERNATIONAL SOCIAL CORE EQUITY PORTFOLIO FUND INSTITUTIONAL C	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSPX	233203199	DFA INTERNATIONAL SUSTAINABILITY CORE 1 PORTFOLIO FD	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFIVX	25434D203	DFA INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFVIX	25434D708	DFA INTERNATIONAL VALUE PORTFOLIO III	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFVQX	23320G539	DFA INTERNATIONAL VECTOR EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFAPX	23320G448	DFA INVESTMENT GRADE PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFJSX	233203108	DFA JAPANESE SMALL-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFALX	233203868	DFA LARGE-CAP INTERNATIONAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRXIX	25239Y873	DFA LTIP PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DMNBX	25239Y410	DFA MN MUNICIPAL BOND PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFMPX	23320G232	DFA MUNICIPAL BOND PORT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DMREX	25239Y550	DFA MUNICIPAL REAL RETURN PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DNYSX	23320G224	DFA NY MUNICIPAL BOND PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFIHX	233203603	DFA ONE YEAR FIXED INCOME PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DOGMX	25239Y352	DFA OREGON MUNICIPAL BOND PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFREX	233203835	DFA REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	TDIFX	23320G190	DFA RETIREMENT INCOME PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DSSMX	25239Y345	DFA SELECTIVE STATE MUNICIPAL BOND PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DIMENSIONAL FUNDS	DSHGX	23320G331	DFA SELECTIVELY HEDGED GLOBAL EQUITY PORTFOLIO FUND INST CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSHX	233203223	DFA SELECTIVELY HEDGED GLOBAL FIXED INCOME PROTFOLO FD INSTITUTION	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFAIX	25239Y576	DFA SHORT-DURATION REAL RETURN PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFEQX	23320G521	DFA SHORT-TERM EXTENDED QUALITY PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFFGX	233203405	DFA SHORT-TERM GOVERNMENT PORT INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSMX	233203439	DFA SHORT-TERM MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DSFIX	25239Y428	DFA SOCIAL FIXED INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DTCPX	23320G216	DFA TARGETED CREDIT PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFMVX	25434D831	DFA TAX-MANAGED U.S. MARKETWIDE VALUE II PORTFOLIO	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFGFX	233203645	DFA TWO YEAR GLOBAL FIXED-INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFEOX	233203413	DFA U.S. CORE EQUITY 1 PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFQTX	233203397	DFA U.S. CORE EQUITY 2 PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DUSQX	23320G240	DFA U.S. LARGE CAP EQUITY PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DUSLX	23320G281	DFA U.S. LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFUVX	25434D807	DFA U.S. LARGE CAP VALUE PORTFOLIO III	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFUSX	25434D823	DFA U.S. LARGE COMPANY PORTFOLIO	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFLVX	233203827	DFA U.S. LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSCX	233203504	DFA U.S. MICRO-CAP FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DSCGX	23320G273	DFA U.S. SMALL CAP GROWTH PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSTX	233203843	DFA U.S. SMALL-CAP FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSVX	233203819	DFA U.S. SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFUEX	233203298	DFA U.S. SOCIAL CORE EQUITY 2 PORTFOLIO FUND	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFSIX	233203215	DFA U.S. SUSTAINABILITY CORE 1 PORTFOLIO FD	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DAABX	25239Y311	DFA U.S. SUSTAINABILITY TARGETED VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFVFX	233203595	DFA U.S. TARGET VALUE PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFVEX	233203389	DFA U.S. VECTOR EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFUKX	233203207	DFA UNITED KINGDOM SMALL-COMPANY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DREIX	25239Y105	DFA WORLD CORE EQUITY PORTFOLIO INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFWIX	25239Y592	DFA WORLD EX U.S. CORE EQUITY PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DWFIX	23320G323	DFA WORLD EX U.S. GOVERNMENT FIXED INCOME PORTFOLIO INST CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DWUSX	25239Y618	DFA WORLD EX U.S. TARGETED VALUE PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DFWVX	23320G471	DFA WORLD EX U.S. VALUE PORT INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIBX	23320G174	DIMENSIONAL 2010 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIQX	25239Y535	DIMENSIONAL 2015 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIRX	25239Y527	DIMENSIONAL 2020 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIUX	25239Y519	DIMENSIONAL 2025 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIWX	25239Y493	DIMENSIONAL 2030 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIGX	25239Y485	DIMENSIONAL 2035 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIHX	25239Y477	DIMENSIONAL 2040 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DIMENSIONAL FUNDS	DRIIX	25239Y469	DIMENSIONAL 2045 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIJX	25239Y451	DIMENSIONAL 2050 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRIKX	25239Y444	DIMENSIONAL 2055 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DRILX	25239Y436	DIMENSIONAL 2060 TARGET DATE RETIREMENT INCOME FD INSTITUTIONAL CL	T+	I	N	4:00 PM	01
DIMENSIONAL FUNDS	DURPX	23320G133	U.S. HIGH RELATIVE PROFITABILITY PORTFOLIO FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DIREXION FUNDS	HCYIX	254939168	DIREXION HILTON TACTICAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	1530	01
DIREXION FUNDS	HCYAX	254939176	DIREXION HILTON TACTICAL INCOME FUND INVESTOR CLASS	T	V	N	1530	01
DIREXION FUNDS	DXKSX	254939184	DIREXION MONTHLY 7-10 YEAR TREASURY BEAR 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXKLX	254939689	DIREXION MONTHLY 7-10 YEAR TREASURY BULL 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXELX	254939630	DIREXION MONTHLY EMERGING MARKETS BULL 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXHYX	254939127	DIREXION MONTHLY HIGH YIELD BULL 1.2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXQLX	254939200	DIREXION MONTHLY NASDAQ 100 BULL 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXNLX	25460D101	DIREXION MONTHLY NASDAQ-100 BULL 1.25X FUND	NTF		N	1530	01
DIREXION FUNDS	DXSSX	254939218	DIREXION MONTHLY S&P 500 BEAR 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXSLX	254939705	DIREXION MONTHLY S&P 500 BULL 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXRSX	254939192	DIREXION MONTHLY SMALL CAP BEAR 2X FUND	NTF		N	1530	01
DIREXION FUNDS	DXRLX	254939838	DIREXION MONTHLY SMALL CAP BULL 2X FUND	NTF		N	1530	01
DIVIDEND/PREFERRED FUNDS	IPDPX	19423L508	DIVIDEND PERFORMERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DIVIDEND/PREFERRED FUNDS	IPPPX	19423L300	PREFERRED PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DODGE & COX FUNDS	DODBX	256201104	DODGE & COX BALANCED FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODEX	256206400	DODGE & COX EMERGING MARKETS STOCK FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODLX	256206301	DODGE & COX GLOBAL BOND FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODWX	256206202	DODGE & COX GLOBAL STOCK FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODIX	256210105	DODGE & COX INCOME FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODFX	256206103	DODGE & COX INTERNATIONAL FUND	T+		N	4:00 PM	01
DODGE & COX FUNDS	DODGX	256219106	DODGE & COX STOCK FUND	T+		N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSBYX	257132795	DOMINI IMPACT BOND FUND CLASS Y	T	Y	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSBIX	257132829	DOMINI IMPACT BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSBFX	257132209	DOMINI IMPACT BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSEPX	257132860	DOMINI IMPACT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSFRX	257132308	DOMINI IMPACT EQUITY FUND CLASS Y SHARES	T	Y	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DIEQX	257132852	DOMINI IMPACT EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DSEFX	257132100	DOMINI IMPACT EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DOMAX	257132886	DOMINI IMPACT INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DOMYX	257132787	DOMINI IMPACT INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DOMOX	257132811	DOMINI IMPACT INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DOMINI SOCIAL FUNDS	DOMIX	257132704	DOMINI IMPACT INTERNATIONAL EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DOMINI SOCIAL FUNDS	LEADX	257132746	DOMINI INTERNATIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01

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DOMINI SOCIAL FUNDS	RISEX	257132753	DOMINI INTERNATIONAL OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DOMINI SOCIAL FUNDS	LIFEX	257132779	DOMINI SUSTAINABLE SOLUTIONS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
DOMINI SOCIAL FUNDS	CAREX	257132761	DOMINI SUSTAINABLE SOLUTIONS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
DONOGHUE FUNDS	PWDAX	66538B834	DONOGHUE FORLINES DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
DONOGHUE FUNDS	PWDCX	66538B537	DONOGHUE FORLINES DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
DONOGHUE FUNDS	PWDIX	66538B826	DONOGHUE FORLINES DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
DONOGHUE FUNDS	PWRAX	66537V294	DONOGHUE FORLINES INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DONOGHUE FUNDS	PWRCX	66537V286	DONOGHUE FORLINES INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DONOGHUE FUNDS	PWRIX	66537V278	DONOGHUE FORLINES INCOME FUND CLASS I	T	I	N	4:00 PM	01
DONOGHUE FUNDS	MOJAX	66539A108	DONOGHUE FORLINES MOMENTUM FUND CLASS A	NTF^	A	N	4:00 PM	01
DONOGHUE FUNDS	MOJCX	66539A207	DONOGHUE FORLINES MOMENTUM FUND CLASS C	NTF^	C	N	4:00 PM	01
DONOGHUE FUNDS	MOJOX	66539A306	DONOGHUE FORLINES MOMENTUM FUND CLASS I	T	I	N	4:00 PM	01
DONOGHUE FUNDS	FLOAX	66538J795	DONOGHUE FORLINES RISK MANAGED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DONOGHUE FUNDS	FLOCX	66538J787	DONOGHUE FORLINES RISK MANAGED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DONOGHUE FUNDS	FLOTX	66538J779	DONOGHUE FORLINES RISK MANAGED INCOME FUND CLASS I	T	I	N	4:00 PM	01
DONOGHUE FUNDS	GTAAX	66538J662	DONOGHUE FORLINES TACTICAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
DONOGHUE FUNDS	GLACX	66538J654	DONOGHUE FORLINES TACTICAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
DONOGHUE FUNDS	GTAIX	66538J647	DONOGHUE FORLINES TACTICAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DBLFX	258620301	DOUBLELINE CORE FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLFNX	258620400	DOUBLELINE CORE FIXED INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLEX	258620509	DOUBLELINE EMERGING MARKETS FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLENX	258620608	DOUBLELINE EMERGING MARKETS FIXED INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBELX	258620582	DOUBLELINE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLELX	258620574	DOUBLELINE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DFLEX	258620798	DOUBLELINE FLEXIBLE INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLINX	258620780	DOUBLELINE FLEXIBLE INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBFRX	258620848	DOUBLELINE FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLFRX	258620830	DOUBLELINE FLOATING RATE FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLGX	258620699	DOUBLELINE GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLGBX	258620681	DOUBLELINE GLOBAL BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLIX	258620517	DOUBLELINE INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DBLNX	258620491	DOUBLELINE INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	BILDx	258620673	DOUBLELINE INFRASTRUCTURE INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	BILTx	258620665	DOUBLELINE INFRASTRUCTURE INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLDx	258620749	DOUBLELINE LONG DURATION TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLLDx	258620731	DOUBLELINE LONG DURATION TOTAL RETURN BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLSx	258620863	DOUBLELINE LOW DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLSNx	258620855	DOUBLELINE LOW DURATION BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLLx	258620772	DOUBLELINE LOW DURATION EMERGING MARKETS FIXED INCOME FUND CLASS	T	I	N	4:00 PM	01

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DOUBLELINE FUNDS	DELNX	258620764	DOUBLELINE LOW DURATION EMERGING MARKETS FIXED INCOME FUND CLASS	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DMLAX	258620707	DOUBLELINE MULTI-ASSET GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DOUBLELINE FUNDS	DMLIX	258620889	DOUBLELINE MULTI-ASSET GROWTH FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DBMOX	258620483	DOUBLELINE MULTI-ASSET TREND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLMOX	258620475	DOUBLELINE MULTI-ASSET TREND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBRIX	258620616	DOUBLELINE REAL ESTATE AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLREX	258620590	DOUBLELINE REAL ESTATE AND INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DSEEX	258620822	DOUBLELINE SHILLER ENHANCED CAPE FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DSENX	258620814	DOUBLELINE SHILLER ENHANCED CAPE FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DSEUX	258620632	DOUBLELINE SHILLER ENHANCED INTERNATIONAL CAPE FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLEUX	258620624	DOUBLELINE SHILLER ENHANCED INTERNATIONAL CAPE FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBCXM	258620715	DOUBLELINE STRATEGIC COMMODITY FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLCMX	258620723	DOUBLELINE STRATEGIC COMMODITY FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBLTX	258620103	DOUBLELINE TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLTNX	258620202	DOUBLELINE TOTAL RETURN BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DOUBLELINE FUNDS	DBULX	258620657	DOUBLELINE ULTRA SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01
DOUBLELINE FUNDS	DLUSX	258620640	DOUBLELINE ULTRA SHORT BOND FUND CLASS N	NTF	N	N	4:00 PM	01
DRIEHAUS FUNDS	DIEMX	262028756	DRIEHAUS EMERGING MARKETS GROWTH FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
DRIEHAUS FUNDS	DREGX	262028301	DRIEHAUS EMERGING MARKETS GROWTH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
DRIEHAUS FUNDS	DMAGX	262028764	DRIEHAUS EMERGING MARKETS OPPORTUNITY FUND	T		N	4:00 PM	01
DRIEHAUS FUNDS	DRESX	262028830	DRIEHAUS EMERGING MARKETS SMALL CAP GROWTH FUND	NTF		N	4:00 PM	01
DRIEHAUS FUNDS	DEVDX	262028814	DRIEHAUS EVENT DRIVEN FUND	T		N	4:00 PM	01
DRIEHAUS FUNDS	DRIOX	262028806	DRIEHAUS INTERNATIONAL SMALL CAP GROWTH FUND	T		N	4:00 PM	01
DRIEHAUS FUNDS	DNSMX	262028731	DRIEHAUS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DRIEHAUS FUNDS	DVSMX	262028749	DRIEHAUS SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
DRIEHAUS FUNDS	DSMDX	262028723	DRIEHAUS SMALL/MID-CAP GROWTH FUND	T		N	4:00 PM	01
DUNHAM FUNDS	DACGX	265458109	DUNHAM CORPORATE/ GOVERNMENT BOND FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCCGX	265458208	DUNHAM CORPORATE/ GOVERNMENT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNCGX	265458307	DUNHAM CORPORATE/ GOVERNMENT BOND FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAAVX	265458612	DUNHAM DYNAMIC MACRO FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCAVX	265458596	DUNHAM DYNAMIC MACRO FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNAVX	265458588	DUNHAM DYNAMIC MACRO FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAEMX	265458679	DUNHAM EMERGING MARKETS STOCK FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCEMX	265458661	DUNHAM EMERGING MARKETS STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNEMX	265458653	DUNHAM EMERGING MARKETS STOCK FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAFRX	265458430	DUNHAM FLOATING RATE BOND FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCFRX	265458422	DUNHAM FLOATING RATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNFRX	265458414	DUNHAM FLOATING RATE BOND FUND CLASS N	T	N	N	4:00 PM	01

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DUNHAM FUNDS	DAFGX	265458570	DUNHAM FOCUSED LARGE CAP GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCFGX	265458562	DUNHAM FOCUSED LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNFGX	265458554	DUNHAM FOCUSED LARGE CAP GROWTH FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAHXX	265458406	DUNHAM HIGH YIELD BOND FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCHXX	265458505	DUNHAM HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNHXX	265458604	DUNHAM HIGH YIELD BOND FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAIOX	265458463	DUNHAM INTERNATIONAL OPPORTUNITY BOND FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCIOX	265458455	DUNHAM INTERNATIONAL OPPORTUNITY BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNIOX	265458448	DUNHAM INTERNATIONAL OPPORTUNITY BOND FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAINX	265458810	DUNHAM INTERNATIONAL STOCK FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCINX	265458794	DUNHAM INTERNATIONAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNINX	265458786	DUNHAM INTERNATIONAL STOCK FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DALVX	265458877	DUNHAM LARGE CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCLVX	265458869	DUNHAM LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNLVX	265458851	DUNHAM LARGE CAP VALUE FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAAIX	265458703	DUNHAM LONG/SHORT CREDIT FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCAIX	265458802	DUNHAM LONG/SHORT CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNAIX	265458885	DUNHAM LONG/SHORT CREDIT FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAMDX	265458646	DUNHAM MONTHLY DISTRIBUTION FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCMDX	265458638	DUNHAM MONTHLY DISTRIBUTION FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNMDX	265458620	DUNHAM MONTHLY DISTRIBUTION FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DAREX	265458844	DUNHAM REAL ESTATE STOCK FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCREX	265458836	DUNHAM REAL ESTATE STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNREX	265458828	DUNHAM REAL ESTATE STOCK FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DADGX	265458711	DUNHAM SMALL CAP GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCDGX	265458695	DUNHAM SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNDGX	265458687	DUNHAM SMALL CAP GROWTH FUND CLASS N	T	N	N	4:00 PM	01
DUNHAM FUNDS	DASVX	265458778	DUNHAM SMALL CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
DUNHAM FUNDS	DCSVX	265458760	DUNHAM SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
DUNHAM FUNDS	DNSVX	265458752	DUNHAM SMALL CAP VALUE FUND CLASS N	T	N	N	4:00 PM	01
DUPREE FUNDS	KYTFX	266155100	DUPREE KENTUCKY TAX-FREE INCOME SERIES FUND	T		N	4:00 PM	01
DUPREE FUNDS	KYSMX	266155209	DUPREE KENTUCKY TAX-FREE SHORT-TO-MEDIUM SERIES FUND	T		N	4:00 PM	01
DUPREE FUNDS	NTFIX	266155704	DUPREE NORTH CAROLINA TAX-FREE INCOME SERIES FUND	T		N	4:00 PM	01
DUPREE FUNDS	NTSMX	266155803	DUPREE NORTH CAROLINA TAX-FREE SHORT-TO-MEDIUM SERIES FUND	T		N	4:00 PM	01
DUPREE FUNDS	TNTIX	266155506	DUPREE TENNESSEE TAX-FREE INCOME SERIES FUND	T		N	4:00 PM	01
DWS FUNDS	SUIIX	25156G731	DEUTSCHE CROCI INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SLAFX	25156G798	DEUTSCHE LATIN AMERICA EQUITY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	RRRRX	25159L737	DEUTSCHE REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KCTAX	25158X104	DWS CALIFORNIA TAX-FREE INCOME FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DWS FUNDS	KCTCX	25158X302	DWS CALIFORNIA TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SDCSX	25158X401	DWS CALIFORNIA TAX-FREE INCOME FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DCLIX	25158X815	DWS CALIFORNIA TAX-FREE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SDGAX	25157M109	DWS CAPITAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SDGCX	25157M307	DWS CAPITAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCGSX	25157M406	DWS CAPITAL GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SDGTX	25157M760	DWS CAPITAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	TISHX	25159L729	DWS COMMUNICATIONS FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	FTICX	25159L695	DWS COMMUNICATIONS FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	FLICX	25159L687	DWS COMMUNICATIONS FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SUWAX	25157M729	DWS CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SUWCX	25157M661	DWS CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCDGX	25157M679	DWS CORE EQUITY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SUWIX	25157M687	DWS CORE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KDHIX	25159G779	DWS CROCI EQUITY DIVIDEND FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KDHAX	25159G811	DWS CROCI EQUITY DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	KDHCX	25159G746	DWS CROCI EQUITY DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	KDHSX	25159G761	DWS CROCI EQUITY DIVIDEND FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SUIAX	25156G673	DWS CROCI INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SUICX	25156G699	DWS CROCI INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCINX	25156G715	DWS CROCI INTERNATIONAL FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DCUAX	25157M588	DWS CROCI U.S. FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	DCUCX	25157M570	DWS CROCI U.S. FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	DCUSX	25157M547	DWS CROCI U.S. FUND CLASS S	T	S	N	4:00 PM	01
DWS FUNDS	DCUIX	25157M554	DWS CROCI U.S. FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SEKAX	25156G103	DWS EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SEKCX	25156G301	DWS EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SEMGX	25156G400	DWS EMERGING MARKETS EQUITY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SEKIX	25156G780	DWS EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SZEAX	25156A502	DWS EMERGING MARKETS FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SZECX	25156A783	DWS EMERGING MARKETS FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCEMX	25156A726	DWS EMERGING MARKETS FIXED INCOME FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SZEIX	25156A841	DWS EMERGING MARKETS FIXED INCOME FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SKNRX	25159L877	DWS ENHANCED COMMODITY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SKCRX	25159L851	DWS ENHANCED COMMODITY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SKSRX	25159L844	DWS ENHANCED COMMODITY STRATEGY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SKIRX	25159L836	DWS ENHANCED COMMODITY STRATEGY FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	BTIEX	25159R205	DWS EQUITY 500 INDEX FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	BTIIX	25159R106	DWS EQUITY 500 INDEX FUND INSTL CLASS	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DWS FUNDS	SUPAX	25158W783	DWS EQUITY SECTOR STRATEGY FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SUPCX	25158W817	DWS EQUITY SECTOR STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SPGRX	25158W825	DWS EQUITY SECTOR STRATEGY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	MIDVX	25159G852	DWS ESG CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	MIDZX	25159G878	DWS ESG CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	MIDTX	25159G886	DWS ESG CORE EQUITY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	MIDIX	25159G704	DWS ESG CORE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SZGAX	25156A734	DWS ESG GLOBAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SZGCX	25156A759	DWS ESG GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SSTGX	25156A767	DWS ESG GLOBAL BOND FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DGBIX	25156A569	DWS ESG GLOBAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	DURAX	25156A684	DWS ESG INTERNATIONAL CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	DURCX	25156A676	DWS ESG INTERNATIONAL CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	DURSX	25156A650	DWS ESG INTERNATIONAL CORE EQUITY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DURIX	25156A668	DWS ESG INTERNATIONAL CORE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	DFRAX	25157W602	DWS FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	DFRCX	25157W701	DWS FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	DFRPX	25157W883	DWS FLOATING RATE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DFRTX	25157W800	DWS FLOATING RATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SGHAX	25155T882	DWS GLOBAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SGHCX	25155T700	DWS GLOBAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SGHSX	25155T601	DWS GLOBAL HIGH INCOME FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	MGHYX	25155T502	DWS GLOBAL HIGH INCOME FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KTRAX	25159K820	DWS GLOBAL INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	KTRCX	25159K796	DWS GLOBAL INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	KTRSX	25159K788	DWS GLOBAL INCOME BUILDER FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KTRIX	25159K770	DWS GLOBAL INCOME BUILDER FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	DBISX	25156G871	DWS GLOBAL MACRO FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	DBICX	25156G806	DWS GLOBAL MACRO FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	DBIVX	25156G608	DWS GLOBAL MACRO FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	MGINX	25156G509	DWS GLOBAL MACRO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KGDAX	25156A106	DWS GLOBAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	KGDCX	25156A304	DWS GLOBAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SGSCX	25156A403	DWS GLOBAL SMALL CAP FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KGDIX	25156A601	DWS GLOBAL SMALL CAP FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	GGGGX	25155T718	DWS GNMA FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	GCGGX	25155T692	DWS GNMA FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SGINX	25155T684	DWS GNMA FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	GIGGX	25155T676	DWS GNMA FUND INSTL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DWS FUNDS	SUHAX	25159L620	DWS HEALTH AND WELLNESS FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SUHCX	25159L596	DWS HEALTH AND WELLNESS FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCHLX	25159L588	DWS HEALTH AND WELLNESS FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SUHIX	25159L570	DWS HEALTH AND WELLNESS FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	KHYAX	25155T668	DWS HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	KHYCX	25155T643	DWS HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	KHYSX	25155T106	DWS HIGH INCOME FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KHYIX	25155T635	DWS HIGH INCOME FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SZMAX	25159H108	DWS INTERMEDIATE TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SZMCX	25159H306	DWS INTERMEDIATE TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCMTX	25159H405	DWS INTERMEDIATE TAX-FREE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SZMIX	25159H504	DWS INTERMEDIATE TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SGQAX	25156A775	DWS INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SGQCX	25156A817	DWS INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCOBX	25156A833	DWS INTERNATIONAL GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SGQIX	25156A700	DWS INTERNATIONAL GROWTH FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SGGAX	25157M695	DWS LARGE CAP FOCUS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SGGCX	25157M737	DWS LARGE CAP FOCUS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCQGX	25157M745	DWS LARGE CAP FOCUS GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SGGIX	25157M752	DWS LARGE CAP FOCUS GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SLANX	25156G756	DWS LATIN AMERICA EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SLAPX	25156G772	DWS LATIN AMERICA EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SLARX	25156G574	DWS LATIN AMERICA EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	DLTVX	25155T387	DWS LIMITED MATURITY QUALITY INCOME FD INV CL	T	V	N	4:00 PM	01
DWS FUNDS	SMLAX	25158T608	DWS MANAGED MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SMLCX	25158T822	DWS MANAGED MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCMBX	25158T848	DWS MANAGED MUNICIPAL BOND FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SMLIX	25158T855	DWS MANAGED MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SQMAX	25158X880	DWS MASSACHUSETTS TAX- FREE FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SQMCX	25158X864	DWS MASSACHUSETTS TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCMAX	25158X856	DWS MASSACHUSETTS TAX-FREE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DMAIX	25158X781	DWS MASSACHUSETTS TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SPDAX	25158W106	DWS MULTI- ASSET CONSERVATIVE ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SPDCX	25158W304	DWS MULTI- ASSET CONSERVATIVE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SPBAX	25158W403	DWS MULTI- ASSET CONSERVATIVE ALLOCATION FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	PLUSX	25158W833	DWS MULTI- ASSET MODERATE ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	PLSCX	25158W866	DWS MULTI- ASSET MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	PPLSX	25158W874	DWS MULTI- ASSET MODERATE ALLOCATION FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KNTAX	25158X500	DWS NEW YORK TAX-FREE INCOME FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DWS FUNDS	KNTCX	25158X708	DWS NEW YORK TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SNWYX	25158X807	DWS NEW YORK TAX-FREE INCOME FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	DNTIX	25158X799	DWS NEW YORK TAX-FREE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	TOLLX	25156A874	DWS RREEF GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	TOLCX	25156A866	DWS RREEF GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	TOLSX	25156A809	DWS RREEF GLOBAL INFRASTRUCTURE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	TOLIX	25156A858	DWS RREEF GLOBAL INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	RRGAX	25159L109	DWS RREEF GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	RRGCX	25159L208	DWS RREEF GLOBAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	RRGTX	25159L307	DWS RREEF GLOBAL REAL ESTATE SECURITIES FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	RRGIX	25159L406	DWS RREEF GLOBAL REAL ESTATE SECURITIES FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	AAAAX	25159K879	DWS RREEF REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	AAAPX	25159K887	DWS RREEF REAL ASSETS FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	AAASX	25159K804	DWS RREEF REAL ASSETS FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	AAAZX	25159K705	DWS RREEF REAL ASSETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	RRRAX	25159L505	DWS RREEF REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	RRRCX	25159L703	DWS RREEF REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	RRREX	25159L885	DWS RREEF REAL ESTATE SECURITIES FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SXPAX	25159R700	DWS S&P 500 INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SXPCX	25159R882	DWS S&P 500 INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCPIX	25159R874	DWS S&P 500 INDEX FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KTCAX	25159L562	DWS SCIENCE AND TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	KTCCX	25159L547	DWS SCIENCE AND TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	KTCSX	25159L539	DWS SCIENCE AND TECHNOLOGY FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	KTCIX	25159L521	DWS SCIENCE AND TECHNOLOGY FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	PPIAX	25155T627	DWS SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	PPLCX	25155T593	DWS SHORT DURATION FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	DBPIX	25155T585	DWS SHORT DURATION FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	PPILX	25155T577	DWS SHORT DURATION FUND INSTL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SRMAX	25158T863	DWS SHORT- TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SRMCX	25158T889	DWS SHORT-TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SRMSX	25158T806	DWS SHORT-TERM MUNICIPAL BOND FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	MGSMX	25158T830	DWS SHORT-TERM MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SZCAX	25157M778	DWS SMALL CAP CORE FUND CLASS A	NTF	A	N	4:00 PM	01
DWS FUNDS	SZCCX	25157M794	DWS SMALL CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SSLCX	25157M810	DWS SMALL CAP CORE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SZCIX	25157M521	DWS SMALL CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SSDAX	25157M885	DWS SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SSDCX	25157M703	DWS SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
DWS FUNDS	SSDSX	25157M604	DWS SMALL CAP GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SSDIX	25157M505	DWS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	NOTAX	25158T103	DWS STRATEGIC HIGH YIELD TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	NOTCX	25158T301	DWS STRATEGIC HIGH YIELD TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SHYTX	25158T400	DWS STRATEGIC HIGH YIELD TAX-FREE FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	NOTIX	25158T509	DWS STRATEGIC HIGH YIELD TAX-FREE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	SZIAX	25157W107	DWS TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
DWS FUNDS	SZICX	25157W305	DWS TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
DWS FUNDS	SCSBX	25157W404	DWS TOTAL RETURN BOND FUND CLASS S	NTF	S	N	4:00 PM	01
DWS FUNDS	SZIIX	25157W503	DWS TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
DWS FUNDS	DMFJX	25159R767	DWS U.S. MULTI CLASS FACTOR FUND INSTL CLASS	T	I	N	4:00 PM	01
DYNAMIC FUNDS	AXMIX	66538F330	ACCLIVITY MID-CAP MULTI-STYLE FUND CLASS I	T	I	N	4:00 PM	01
DYNAMIC FUNDS	AXVIX	66538F363	ACCLIVITY SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
DYNAMIC FUNDS	AXVNX	66538F355	ACCLIVITY SMALL CAP VALUE FUND CLASS N	T	N	N	4:00 PM	01
DYNAMIC FUNDS	ICCIX	66538A828	DYNAMIC INTERNATIONAL OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
DYNAMIC FUNDS	ICCNX	66538A810	DYNAMIC INTERNATIONAL OPPORTUNITY FUND CLASS N	NTF	N	N	4:00 PM	01
DYNAMIC FUNDS	ICSIX	66538A794	DYNAMIC U.S. OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
DYNAMIC FUNDS	ICSNX	66538A786	DYNAMIC U.S. OPPORTUNITY FUND CLASS N	NTF	N	N	4:00 PM	01
EASTERLY FUNDS	JEHIX	470258781	EASTERLY EHS FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	JAREX	470258815	EASTERLY GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	JACRX	470258831	EASTERLY GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	JARIX	470258823	EASTERLY GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	JDAEX	470258880	EASTERLY HEDGED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	JDCEX	470258807	EASTERLY HEDGED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	JDIEX	470258708	EASTERLY HEDGED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	JASVX	470258740	EASTERLY INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	JSVCX	470258757	EASTERLY INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	JSVIX	470258773	EASTERLY INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	GRRIX	470258799	EASTERLY MACRO FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	JAAMX	470258856	EASTERLY MULTI-STRATEGY ALTERNATIVE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	JACMX	470258864	EASTERLY MULTI-STRATEGY ALTERNATIVE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	JAIMX	470258849	EASTERLY MULTI-STRATEGY ALTERNATIVE INCOME FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	SNOAX	470258534	EASTERLY SNOW LONG/SHORT OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	SNOCX	470258526	EASTERLY SNOW LONG/SHORT OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	SNOIX	470258518	EASTERLY SNOW LONG/SHORT OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	SNWAX	470258575	EASTERLY SNOW SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
EASTERLY FUNDS	SNWCX	470258567	EASTERLY SNOW SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
EASTERLY FUNDS	SNWIX	470258559	EASTERLY SNOW SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
EASTERLY FUNDS	JTHIX	470258591	EASTERLY TOTAL HEDGE PORTFOLIO CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	ECASX	277902615	EATON VANCE - ATLANTA CAPITAL SMID-CAP FUND CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETMBX	277911764	EATON VANCE AMT- FREE MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMBX	277923827	EATON VANCE AMT- FREE MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EVMBX	277911749	EATON VANCE AMT- FREE MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETAZX	27826L645	EATON VANCE ARIZONA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECAZX	27826L215	EATON VANCE ARIZONA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EAGCX	277902110	EATON VANCE ATLANTA CAPITAL FOCUSED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ESEAX	277902466	EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ESECX	277902268	EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ESEIX	277902458	EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERSMX	277902680	EATON VANCE ATLANTA CAPITAL SMALL-CAP FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EAASX	277902656	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EISMX	277902698	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIAZX	27826Y688	EATON VANCE AZ MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EVIFX	277905865	EATON VANCE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECIFX	277905840	EATON VANCE BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIIFX	277905253	EATON VANCE BALANCED FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERIFX	277905147	EATON VANCE BALANCED FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EACAX	27826L256	EATON VANCE CA MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECCAX	27826L231	EATON VANCE CA MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EICAX	27826Y795	EATON VANCE CA MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECHMX	27826L512	EATON VANCE CLASSIC NATIONAL MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETCTX	27826L314	EATON VANCE CONNECTICUT MUNI INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECCTX	27826L199	EATON VANCE CONNECTICUT MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EICTX	27826Y787	EATON VANCE CONNECTICUT MUNI INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAGIX	277905436	EATON VANCE CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EVTMX	277905832	EATON VANCE DIVIDEND BUILDER CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECTMX	277905816	EATON VANCE DIVIDEND BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIUTX	277905634	EATON VANCE DIVIDEND BUILDER FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EACOX	27826A789	EATON VANCE EMERGING & FRONTIER COUNTRIES EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EICOX	27826A763	EATON VANCE EMERGING & FRONTIER COUNTRIES EQUITY FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EEIDX	27826A698	EATON VANCE EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EADOX	27827V204	EATON VANCE EMERGING MARKETS DEBT OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EIDOX	27827V402	EATON VANCE EMERGING MARKETS DEBT OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EEIAX	277923694	EATON VANCE EMERGING MARKETS LOCAL INCOME FD CL A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EEICX	277923298	EATON VANCE EMERGING MARKETS LOCAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EEIIX	277923447	EATON VANCE EMERGING MARKETS LOCAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAFAX	277923660	EATON VANCE FLOATING RATE ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EABLX	277911533	EATON VANCE FLOATING RATE FUND (ADVISOR CLASS)	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	EIBLX	277911491	EATON VANCE FLOATING RATE FUND (INSTITUTIONAL SHARES)	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EVBLX	277911129	EATON VANCE FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECBLX	277911517	EATON VANCE FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EAFHX	277911574	EATON VANCE FLOATING RATE HIGH INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
EATON VANCE FUNDS	EVFHX	277911137	EATON VANCE FLOATING RATE HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECFHX	277911558	EATON VANCE FLOATING RATE HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIFHX	277911541	EATON VANCE FLOATING RATE HIGH INCOME INSTIT. CLASS	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EVFAX	277923678	EATON VANCE FLOATING-RATE ADVANTAGE FD ADV CL	T	O	N	4:00 PM	01
EATON VANCE FUNDS	ECFAX	277923645	EATON VANCE FLOATING-RATE ADVANTAGE FD CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIFAX	277923637	EATON VANCE FLOATING-RATE ADVANTAGE FD CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EFGAX	27830N827	EATON VANCE FOCUSED GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EFGIX	27830N306	EATON VANCE FOCUSED GLOBAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAFGX	277902565	EATON VANCE FOCUSED GROWTH OPP FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECFGX	277902557	EATON VANCE FOCUSED GROWTH OPP FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIFGX	277902540	EATON VANCE FOCUSED GROWTH OPP FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECFVX	277902524	EATON VANCE FOCUSED VALUE OPP FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EAFVX	277902532	EATON VANCE FOCUSED VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EIFVX	277902516	EATON VANCE FOCUSED VALUE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETGAX	27826L595	EATON VANCE GEORGIA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECGAX	27826Y308	EATON VANCE GEORGIA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIGAX	27826Y779	EATON VANCE GEORGIA MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAIIX	277923710	EATON VANCE GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECIMX	277923249	EATON VANCE GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIIMX	277923231	EATON VANCE GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EDIIX	277923843	EATON VANCE GLOBAL INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EDIRX	277923835	EATON VANCE GLOBAL INCOME BUILDER FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EGRAX	277923280	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN ADV FUND CL A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EGRCX	277923272	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN ADV FUND CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EGRIX	277923264	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN ADV FUND CL I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAGMX	277923736	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECGMX	277923488	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIGMX	277923728	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERGMX	277923314	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EVGOX	277911103	EATON VANCE GOVERNMENT OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECGOX	277911301	EATON VANCE GOVERNMENT OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIGOX	277923587	EATON VANCE GOVERNMENT OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERGOX	277911111	EATON VANCE GOVERNMENT OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EVCGX	277902201	EATON VANCE GREATER CHINA GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECCGX	277902409	EATON VANCE GREATER CHINA GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	EICGX	277902649	EATON VANCE GREATER CHINA GROWTH FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETGIX	277905501	EATON VANCE GREATER INDIA FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECGIX	277905592	EATON VANCE GREATER INDIA FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EGIIX	277905394	EATON VANCE GREATER INDIA FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EALCX	277905691	EATON VANCE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECLCX	277905675	EATON VANCE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ELCIX	277905527	EATON VANCE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIHIX	277923546	EATON VANCE HIGH INCOME OPPORTUNITIES CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECHIX	277911608	EATON VANCE HIGH INCOME OPPORTUNITIES FD CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETHIX	277923405	EATON VANCE HIGH INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ETHYX	27826M882	EATON VANCE HIGH YIELD MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECHYX	27826M874	EATON VANCE HIGH YIELD MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIHYX	27826M841	EATON VANCE HIGH YIELD MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EVIBX	277907101	EATON VANCE INCOME FUND OF BOSTON CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECIBX	277907408	EATON VANCE INCOME FUND OF BOSTON CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ERIBX	277907507	EATON VANCE INCOME FUND OF BOSTON CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EIBIX	277907200	EATON VANCE INCOME FUND OF BOSTON INSTITUTIONAL	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EILAX	27830N702	EATON VANCE INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EILIX	27830N884	EATON VANCE INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIGIX	277905618	EATON VANCE INVESTMENT GRADE INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EILVX	277905642	EATON VANCE LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EHSTX	277905808	EATON VANCE LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ERSTX	277905659	EATON VANCE LARGE-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	ECSTX	277905881	EATON VANCE LARGE-CAP VALUE FUND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETMDX	27826L579	EATON VANCE MARYLAND MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMDX	27826Y506	EATON VANCE MARYLAND MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIMDX	27826Y761	EATON VANCE MARYLAND MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETMAX	27826L561	EATON VANCE MASSACHUSETTS MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMMX	27826Y829	EATON VANCE MASSACHUSETTS MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIMAX	27826L660	EATON VANCE MASSACHUSETTS MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETMNX	27826L546	EATON VANCE MINNESOTA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMNX	27826L173	EATON VANCE MINNESOTA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETMOX	27826L520	EATON VANCE MISSOURI MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMOX	27826Y605	EATON VANCE MISSOURI MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIMNX	27826Y647	EATON VANCE MN MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIMOX	27826Y639	EATON VANCE MO MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAAMX	27826A102	EATON VANCE MULTI-ASSET CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECAMX	27826A201	EATON VANCE MULTI-ASSET CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIAMX	27826A300	EATON VANCE MULTI-ASSET CREDIT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	EMOAX	27826Y571	EATON VANCE MUNI OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EMOIX	27826Y555	EATON VANCE MUNI OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EMOCX	27826Y563	EATON VANCE MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EINAX	27826H487	EATON VANCE NATIONAL LIMITED MUNI INCOME FD CL I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EZNAX	27826H784	EATON VANCE NATIONAL LTD MAT MUNI INCOME FD CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EXNAX	27826H594	EATON VANCE NATIONAL LTD MAT MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EANAX	27826L330	EATON VANCE NATIONAL MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EIHMx	27826L249	EATON VANCE NATIONAL MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAMLX	27826H420	EATON VANCE NATIONAL ULTRA SHORT MUNICIPAL INCOME FUND ADVISOR CL	T	O	N	4:00 PM	01
EATON VANCE FUNDS	EXFLX	27826H636	EATON VANCE NATIONAL ULTRA SHORT MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EILMX	27826H479	EATON VANCE NATIONAL ULTRA SHORT MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETNJX	27826L298	EATON VANCE NEW JERSEY MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECNJX	27826L165	EATON VANCE NEW JERSEY MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EINJX	27826Y753	EATON VANCE NEW JERSEY MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETNYX	27826L322	EATON VANCE NEW YORK MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECNYX	27826L223	EATON VANCE NEW YORK MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EINYX	27826Y746	EATON VANCE NEW YORK MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETNCX	27826L470	EATON VANCE NORTH CAROLINA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECNCX	27826Y704	EATON VANCE NORTH CAROLINA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EINCX	27826Y738	EATON VANCE NORTH CAROLINA MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETOHX	27826L462	EATON VANCE OHIO MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECOHX	27826Y845	EATON VANCE OHIO MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIOHX	27826Y621	EATON VANCE OHIO MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETORX	27826L454	EATON VANCE OREGON MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EIORX	27826Y613	EATON VANCE OREGON MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECORX	27826Y803	EATON VANCE OREGON MUNICIPALS INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EAPDX	27826A821	EATON VANCE PARAMETRIC DIVIDEND INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
EATON VANCE FUNDS	ERISX	27826A110	EATON VANCE PARAMETRIC INTERNATIONAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EIVPX	27826A144	EATON VANCE PARAMETRIC VOL RISK PREMIUMDEF FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETPAX	27826L280	EATON VANCE PENNSYLVANIA MUNI INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECPAX	27826L157	EATON VANCE PENNSYLVANIA MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIPAX	27826Y696	EATON VANCE PENNSYLVANIA MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EARAX	277902490	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECRAX	277902482	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIRAX	277902474	EATON VANCE RICHARD BERNSTEIN ALL ASSET STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERBAX	277902599	EATON VANCE RICHARD BERNSTEIN EQUITY STRAT FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ERBCX	277902581	EATON VANCE RICHARD BERNSTEIN EQUITY STRAT FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	ERBIX	277902573	EATON VANCE RICHARD BERNSTEIN EQUITY STRAT FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EALDX	277911160	EATON VANCE SHORT DURATION GOVERNMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECLDX	277911145	EATON VANCE SHORT DURATION GOVERNMENT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EILDY	277923561	EATON VANCE SHORT DURATION GOVERNMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ESHAX	27826A854	EATON VANCE SHORT DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ESHIX	27826A839	EATON VANCE SHORT DURATION HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EASDX	27826A557	EATON VANCE SHORT DURATION INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
EATON VANCE FUNDS	ETSIX	277911772	EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECSIX	277911855	EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ESIIX	277923579	EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERSIX	277923553	EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	ECSMX	277905741	EATON VANCE SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETEGX	277905790	EATON VANCE SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EISGX	277905444	EATON VANCE SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EASCX	27826L355	EATON VANCE SOUTH CAROLINA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECSCX	27826Y886	EATON VANCE SOUTH CAROLINA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EISCX	27826Y720	EATON VANCE SOUTH CAROLINA MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EVSEX	277905105	EATON VANCE SPECIAL EQUITIES FUND CL A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECSEX	277905709	EATON VANCE SPECIAL EQUITIES FUND CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EISEX	277905261	EATON VANCE SPECIAL EQUITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAERX	277911285	EATON VANCE STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECERX	277923520	EATON VANCE STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIERX	277923744	EATON VANCE STOCK FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECEAX	277911178	EATON VANCE TAX- MANAGED EQUITY ASSET ALLOC CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EAEAX	277911194	EATON VANCE TAX- MANAGED EQUITY ASSET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EADIX	277923108	EATON VANCE TAX- MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECDIX	277923306	EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIDIX	277923686	EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETTGX	277911830	EATON VANCE TAX- MANAGED GROWTH FUND 1.1 CLASS A	NTF^	A	Y	4:00 PM	01
EATON VANCE FUNDS	ECTGX	277911822	EATON VANCE TAX MANAGED GROWTH FUND 1.1 CLASS C	NTF^	C	Y	4:00 PM	01
EATON VANCE FUNDS	EXTGX	277911483	EATON VANCE TAX MANAGED GROWTH FUND 1.2 CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EZTGX	277911467	EATON VANCE TAX MANAGED GROWTH FUND 1.2 CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EITGX	277911459	EATON VANCE TAX MANAGED GROWTH FUND 1.2 INSTITUTIONAL	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EACPX	277911616	EATON VANCE TAX- MANAGED MULTI-CAP GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
EATON VANCE FUNDS	ECCPX	277911582	EATON VANCE TAX- MANAGED MULTI-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ETMGX	277911814	EATON VANCE TAX MANAGED SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECMGX	277911780	EATON VANCE TAX MANAGED SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EATVX	277911657	EATON VANCE TAX MANAGED VALUE FD CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECTVX	277911673	EATON VANCE TAX MANAGED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	EITMX	277911681	EATON VANCE TAX MANGAGED GROWTH FD INSTITUTIONAL	T	I	Y	4:00 PM	01
EATON VANCE FUNDS	EAMBX	27826A599	EATON VANCE TAXABLE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EIMBX	27826A573	EATON VANCE TAXABLE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIEAX	27826A755	EATON VANCE TAX-MANAGED EQUITY ASSET ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIMGX	277923538	EATON VANCE TAX-MANAGED SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EITVX	277923629	EATON VANCE TAX-MANAGED VALUE FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EBABX	277923470	EATON VANCE TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECBAX	277923462	EATON VANCE TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIBAX	277923454	EATON VANCE TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETVAX	27826L397	EATON VANCE VIRGINIA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECVAX	27826Y860	EATON VANCE VIRGINIA MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EVAIX	27826Y712	EATON VANCE VIRGINIA MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETHSX	277902813	EATON VANCE WORLD WIDE HEALTH SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECHSX	277902797	EATON VANCE WORLDWIDE HEALTH SCIENCES CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIHSX	277902623	EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CL I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ERHSX	277902672	EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS R	T	R	N	4:00 PM	01
EATON VANCE FUNDS	EAALX	277902664	EATON VANCE-ATLANTA CAPITAL FOCUSED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EILGX	277902722	EATON VANCE-ATLANTA CAPITAL FOCUSED GROWTH FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EDIAX	277923876	EV GLOBAL INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EDICX	277923850	EV GLOBAL INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ESVAX	277911236	EV GLOBAL SMALL-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ESVCX	277911210	EV GLOBAL SMALL-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ESVIX	277923512	EV GLOBAL SMALL-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EXNYX	27826H560	EV NEW YORK MUNICIPAL OPPORTUNITIES FD CL A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EZNYX	27826H768	EV NEW YORK MUNICIPAL OPPORTUNITIES FD CL C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	ENYIX	27826H446	EV NEW YORK MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EARRX	277905378	EV SHORT DURATION INFLATION PROTECTION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECRRX	277905360	EV SHORT DURATION INFLATION PROTECTION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIRRX	277905352	EV SHORT DURATION INFLATION PROTECTION INCOME FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EXMAX	27826H628	EV SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EZMAX	27826H818	EV SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EMAIX	27826H461	EV SHORT DURATION MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EIPCX	277923223	PARAMETRIC COMMODITY STRATEGY FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAPCX	277923132	PARAMETRIC COMMODITY STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
EATON VANCE FUNDS	EIPDX	27826A813	PARAMETRIC DIVIDEND INCOME FUND INST CLASS	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECEMX	277923769	PARAMETRIC EMERGING MARKETS FUND CL C	NTF^	C	Y	4:00 PM	01
EATON VANCE FUNDS	EIEMX	277923751	PARAMETRIC EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EAEMX	277923777	PARAMETRIC EMERGING MARKETS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
EATON VANCE FUNDS	EAISX	277923413	PARAMETRIC INTERNATIONAL EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EATON VANCE FUNDS	EISX	277923389	PARAMETRIC INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EATTX	27826M676	PARAMETRIC TABS 10-TO-20 YEAR LADDERED MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
EATON VANCE FUNDS	ECTTX	27826M668	PARAMETRIC TABS 10-TO-20 YEAR LADDERED MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EITTX	27826M643	PARAMETRIC TABS 10-TO-20 YEAR LADDERED MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EALBX	27826M684	PARAMETRIC TABS 1-TO-10 YEAR LADDERED MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
EATON VANCE FUNDS	ECLBX	27826M650	PARAMETRIC TABS 1-TO-10 YEAR LADDERED MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EILBX	27826M635	PARAMETRIC TABS 1-TO-10 YEAR LADDERED MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EALTX	27826M759	PARAMETRIC TABS 5-TO-15 YEAR LADDERED MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
EATON VANCE FUNDS	ECLTX	27826M742	PARAMETRIC TABS 5-TO-15 YEAR LADDERED MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EILTX	27826M734	PARAMETRIC TABS 5-TO-15 YEAR LADDERED MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EITAX	27826M726	PARAMETRIC TABS INTERMEDIATE-TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	EITCX	27826M718	PARAMETRIC TABS INTERMEDIATE-TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIIIX	27826M692	PARAMETRIC TABS INTERMEDIATE-TERM MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	EABSX	27826M833	PARAMETRIC TABS SHORT-TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EATON VANCE FUNDS	ECBSX	27826M775	PARAMETRIC TABS SHORT-TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EATON VANCE FUNDS	EIBSX	27826M767	PARAMETRIC TABS SHORT-TERM MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ETIGX	277911731	PARAMETRIC TAX MANAGED INT'L EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
EATON VANCE FUNDS	EITEX	277907606	PARAMETRIC TAX-MANAGED EMERGING MARKETS FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EATON VANCE FUNDS	ECIGX	277911715	PARAMETRIC TAX-MANAGED INTERNATIONAL EQUITY FD CL C	NTF^	C	Y	4:00 PM	01
EATON VANCE FUNDS	EITIX	277923611	PARAMETRIC TAX-MANAGED INT'L EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EIP FUNDS	EIPIX	268529203	EIP GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
EIP FUNDS	EIPFX	268529104	EIP GROWTH AND INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
EMERALD FUNDS	HSSAX	317609246	EMERALD BANKING & FINANCE FUND CLASS A	NTF^	A	N	4:00 PM	01
EMERALD FUNDS	HSSCX	317609238	EMERALD BANKING & FINANCE FUND CLASS C	NTF^	C	N	4:00 PM	01
EMERALD FUNDS	FFBFX	317609220	EMERALD BANKING & FINANCE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
EMERALD FUNDS	HSSIX	317609212	EMERALD BANKING AND FINANCE FUND INSTL CLASS	T	I	N	4:00 PM	01
EMERALD FUNDS	HSPGX	317609287	EMERALD GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
EMERALD FUNDS	HSPCX	317609279	EMERALD GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
EMERALD FUNDS	FGROX	317609253	EMERALD GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EMERALD FUNDS	FFGRX	317609261	EMERALD GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
EMERALD FUNDS	EFCAX	31761R831	EMERALD INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
EMERALD FUNDS	EFCX	31761R823	EMERALD INSIGHTS FUND CLASS C	NTF^	C	N	4:00 PM	01
EMERALD FUNDS	EFCIX	31761R815	EMERALD INSIGHTS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EMERALD FUNDS	EFCNX	31761R799	EMERALD INSIGHTS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
EMPIRIC FUNDS	EMCAX	62827M862	EMPIRIC 2500 FUND CLASS A	NTF	A	N	4:00 PM	01
EMPIRIC FUNDS	EMCCX	62827M854	EMPIRIC 2500 FUND CLASS C	NTF^	C	N	4:00 PM	01
EQUABLE FUNDS	EQHEX	81752T692	EQUABLE SHARES HEDGED EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EUROPAC FUNDS	EPASX	461418550	EUROPAC EMERGING MARKETS SMALL COMPANIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EUROPAC FUNDS	EPGFX	46141P677	EUROPAC GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EUROPAC FUNDS	EPGIX	46141Q196	EUROPAC GOLD FUND CLASS I	T	I	N	4:00 PM	01
EUROPAC FUNDS	EPIBX	461418618	EUROPAC INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EUROPAC FUNDS	EPIVX	461418766	EUROPAC INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
EUROPAC FUNDS	EPVIX	46141P669	EUROPAC INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
E-VALUATOR FUNDS	EVFGX	26929K603	E-VALUATOR AGGRESSIVE GROWTH (85%-99%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVAGX	26929K504	E-VALUATOR AGGRESSIVE GROWTH (85%-99%) RMS FUND SERVICE CLASS	T	S	N	4:00 PM	01
E-VALUATOR FUNDS	EVFCX	26929K801	E-VALUATOR CONSERVATIVE (15%-30%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVCLX	26929K702	E-VALUATOR CONSERVATIVE (15%-30%) RMS FUND SERVICE CLASS	T	S	N	4:00 PM	01
E-VALUATOR FUNDS	EVFTX	26929K876	E-VALUATOR CONSERVATIVE /MODERATE (30%-50%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVTTX	26929K884	E-VALUATOR CONSERVATIVE /MODERATE (30%-50%) RMS FUND SERVICE CLAS	T	S	N	4:00 PM	01
E-VALUATOR FUNDS	EVGRX	26929K850	E-VALUATOR GROWTH (70%-85%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVGLX	26929K868	E-VALUATOR GROWTH (75%-85%) RMS FUND SERVICE CLASS	T	S	N	4:00 PM	01
E-VALUATOR FUNDS	EVFMX	26929K207	E-VALUATOR MODERATE (50%-70%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVMLX	26929K108	E-VALUATOR MODERATE (50%-70%) RMS FUND SERVICE CLASS	T	S	N	4:00 PM	01
E-VALUATOR FUNDS	EVVCX	26929K405	E-VALUATOR VERY CONSERVATIVE (0%-15%) RMS FUND CLASS R4	T	K	N	4:00 PM	01
E-VALUATOR FUNDS	EVVLX	26929K306	E-VALUATOR VERY CONSERVATIVE (0%-15%) RMS FUND SERVICE CLASS	T	S	N	4:00 PM	01
EVENTIDE FUNDS	ETARX	62827P162	EVENTIDE CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCRX	62827P154	EVENTIDE CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETIRX	62827P139	EVENTIDE CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETNRX	62827P147	EVENTIDE CORE BOND FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETADX	62827P618	EVENTIDE DIVIDEND OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCDX	62827P592	EVENTIDE DIVIDEND OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETIDX	62827P584	EVENTIDE DIVIDEND OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETNDX	62827P576	EVENTIDE DIVIDEND OPPORTUNITIES FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETAEX	62827P212	EVENTIDE EXPONENTIAL TECHNOLOGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCEX	62827P188	EVENTIDE EXPONENTIAL TECHNOLOGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETIEX	62827P170	EVENTIDE EXPONENTIAL TECHNOLOGIES FUND CLASS I	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETNEX	62827P196	EVENTIDE EXPONENTIAL TECHNOLOGIES FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETAGX	62827L674	EVENTIDE GILEAD FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCGX	62827L666	EVENTIDE GILEAD FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETGLX	62827L682	EVENTIDE GILEAD FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETILX	62827L658	EVENTIDE GILEAD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETAHX	62827M409	EVENTIDE HEALTHCARE AND LIFE SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCHX	62827M508	EVENTIDE HEALTHCARE AND LIFE SCIENCES FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETIHX	62827M706	EVENTIDE HEALTHCARE AND LIFE SCIENCES FUND CLASS I	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETNHX	62827M607	EVENTIDE HEALTHCARE AND LIFE SCIENCES FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETABX	62827P469	EVENTIDE LIMITED TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETCBX	62827P519	EVENTIDE LIMITED TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETIBX	62827P493	EVENTIDE LIMITED TERM BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
EVENTIDE FUNDS	ETNBX	62827P485	EVENTIDE LIMITED-TERM BOND FUND CLASS N	T	N	N	4:00 PM	01
EVENTIDE FUNDS	ETCMX	62827P204	EVENTIDE MULT- ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
EVENTIDE FUNDS	ETAMX	62827P105	EVENTIDE MULTI- ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
EVENTIDE FUNDS	ETIMX	62827P303	EVENTIDE MULTI- ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
EVENTIDE FUNDS	ETNMX	62827P402	EVENTIDE MULTI- ASSET INCOME FUND CLASS N	T	N	N	4:00 PM	01
EVERCORE FUNDS	EWMCX	93208V304	EVERCORE EQUITY FUND	NTF		N	4:00 PM	01
EVERMORE FUNDS	EVGBX	300397106	EVERMORE GLOBAL VALUE FUN INVESTOR CLASS	NTF	V	N	4:00 PM	02
EVERMORE FUNDS	EVGIX	300397122	EVERMORE GLOBAL VALUE INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
FAM FUNDS	FAMEX	314465204	FAM DIVIDEND FOCUS FUND	NTF		N	4:00 PM	01
FAM FUNDS	FAMFX	314465501	FAM SMALL CAP FUND	NTF		N	4:00 PM	01
FAM FUNDS	FAMDY	314465600	FAM SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FAM FUNDS	FAMVX	314465105	FAM VALUE FUND	NTF		N	4:00 PM	01
FAM FUNDS	FAMWX	314465709	FAM VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHCOX	31423A473	FEDERATED CONSERVATIVE MICROSHORT FUND CLASS IS	T+	I	N	4:00 PM	01
FEDERATED FUNDS	FCBRX	31420C522	FEDERATED FUND FOR U.S. GOVERNMENT SECURITIES FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	GISIX	313912602	FEDERATED GOVERNMENT INCOME SECURITIES FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FEUNX	314082306	FEDERATED HERMES ADJUSTABLE RATE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FEUGX	314082108	FEDERATED HERMES ADJUSTABLE RATE FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	CAPAX	31420C878	FEDERATED HERMES CAPITAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	CAPCX	31420C852	FEDERATED HERMES CAPITAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	CAPFX	31420C845	FEDERATED HERMES CAPITAL INCOME FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	CAPSX	31420C621	FEDERATED HERMES CAPITAL INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	VSFAX	314172289	FEDERATED HERMES CLOVER SMALL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	VSFCX	314172271	FEDERATED HERMES CLOVER SMALL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	VSFIX	314172263	FEDERATED HERMES CLOVER SMALL VALUE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHMIX	31423A457	FEDERATED HERMES CONSERVATIVE MUNICIPAL MICROSHORT FUND CLASS IS	T+	I	N	4:00 PM	01
FEDERATED FUNDS	FGFSX	31428Q804	FEDERATED HERMES CORE BOND FUND CLASS A	NTF	A	N	4:00 PM	01
FEDERATED FUNDS	FGFIX	31428Q887	FEDERATED HERMES CORE BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FDBAX	31420F103	FEDERATED HERMES CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FDBCX	31420F301	FEDERATED HERMES CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	ISHIX	31420F400	FEDERATED HERMES CORPORATE BOND FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	FDBIX	31420F509	FEDERATED HERMES CORPORATE BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	IHIAX	31428U771	FEDERATED HERMES EMERGING MARKET DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	IHICX	31428U755	FEDERATED HERMES EMERGING MARKET DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	EMDIX	31428U615	FEDERATED HERMES EMERGING MARKET DEBT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	PIEFX	31423A598	FEDERATED HERMES EMERGING MARKETS EQUITY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	LEIFX	313915100	FEDERATED HERMES EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	LEICX	313915308	FEDERATED HERMES EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	LFEIX	313915407	FEDERATED HERMES EQUITY INCOME FUND CLASS F	T	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FEDERATED FUNDS	LEISX	313915506	FEDERATED HERMES EQUITY INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FRSAX	31420C647	FEDERATED HERMES FLOATING RATE STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FFRSX	31420C670	FEDERATED HERMES FLOATING RATE STRATEGIC INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FUSGX	31420C704	FEDERATED HERMES FOR U.S. GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FUSCX	31420C886	FEDERATED HERMES FOR U.S. GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FSTBX	314183104	FEDERATED HERMES GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FSBCX	314183302	FEDERATED HERMES GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	SBFIX	314183500	FEDERATED HERMES GLOBAL ALLOCATION FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHGIX	31423A705	FEDERATED HERMES GLOBAL EQUITY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHSIX	31423A861	FEDERATED HERMES GLOBAL SMALL CAP FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FTIIX	31420G408	FEDERATED HERMES GLOBAL TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FTIBX	31420G606	FEDERATED HERMES GLOBAL TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FGTBX	31420G879	FEDERATED HERMES GLOBAL TOTAL RETURN BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FICMX	314199100	FEDERATED HERMES GOVERNMENT INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FITSX	314199209	FEDERATED HERMES GOVERNMENT INCOME FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FGOAX	313912206	FEDERATED HERMES GOVERNMENT INCOME SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FGOCX	313912404	FEDERATED HERMES GOVERNMENT INCOME SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FGOIX	313912107	FEDERATED HERMES GOVERNMENT INCOME SECURITIES FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	FGUAX	31420B706	FEDERATED HERMES GOVERNMENT ULTRASHORT FUND CLASS A	T	A	N	4:00 PM	01
FEDERATED FUNDS	FGUSX	31420B888	FEDERATED HERMES GOVERNMENT ULTRASHORT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FEUSX	31420B805	FEDERATED HERMES GOVERNMENT ULTRASHORT FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	FHIIX	314195108	FEDERATED HERMES HIGH-INCOME BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FHICX	314195306	FEDERATED HERMES HIGH-INCOME BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FHISX	314195405	FEDERATED HERMES HIGH-INCOME BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	RRFAX	31420C779	FEDERATED HERMES INFLATION PROTECTED SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	RRFCX	31420C761	FEDERATED HERMES INFLATION PROTECTED SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	RRFIX	31420C753	FEDERATED HERMES INFLATION PROTECTED SECURITIES FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FIHBX	31420B300	FEDERATED HERMES INSTITUTIONAL HIGH YIELD BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FIIFX	31420C407	FEDERATED HERMES INTERMEDIATE CORPORATE BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	INISX	31420C506	FEDERATED HERMES INTERMEDIATE CORPORATE BOND FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FIMYX	458810603	FEDERATED HERMES INTERMEDIATE MUNICIPAL FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FIMTX	458810108	FEDERATED HERMES INTERMEDIATE MUNICIPAL FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	HIEIX	31423A739	FEDERATED HERMES INTERNATIONAL DEVELOPED EQUITY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	PMIEX	31423A713	FEDERATED HERMES INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	PIUCX	31423A697	FEDERATED HERMES INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	PIUIX	31423A689	FEDERATED HERMES INTERNATIONAL EQUITY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	PIGDY	31423A648	FEDERATED HERMES INTERNATIONAL GROWTH FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FGFAX	31428U847	FEDERATED HERMES INTERNATIONAL LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FGFCX	31428U821	FEDERATED HERMES INTERNATIONAL LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FEDERATED FUNDS	FGFLX	31428U623	FEDERATED HERMES INTERNATIONAL LEADERS FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	ISCAx	31428U748	FEDERATED HERMES INTERNATIONAL SMALL/MID COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	ISCCx	31428U722	FEDERATED HERMES INTERNATIONAL SMALL/MID COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	ISCIX	31428U631	FEDERATED HERMES INTERNATIONAL SMALL/MID COMPANY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	IVFAX	314172388	FEDERATED HERMES INTERNATIONAL STRATEGIC VALUE DIVIDEND FUND CLAS	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	IVFCx	314172370	FEDERATED HERMES INTERNATIONAL STRATEGIC VALUE DIVIDEND FUND CLAS	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	IVFIX	314172362	FEDERATED HERMES INTERNATIONAL STRATEGIC VALUE DIVIDEND FUND CLAS	T	I	N	4:00 PM	01
FEDERATED FUNDS	KAUAX	314172677	FEDERATED HERMES KAUFMANN FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	KAUCx	314172651	FEDERATED HERMES KAUFMANN FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	KAUIx	31421N873	FEDERATED HERMES KAUFMANN FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	KLCAX	314172446	FEDERATED HERMES KAUFMANN LARGE-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	KLCCx	314172438	FEDERATED HERMES KAUFMANN LARGE-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	KLCIX	314172412	FEDERATED HERMES KAUFMANN LARGE-CAP FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FKASx	314172636	FEDERATED HERMES KAUFMANN SMALL-CAP FUND CLASS A	NTF^	A	Y	4:00 PM	01
FEDERATED FUNDS	FKCSx	314172610	FEDERATED HERMES KAUFMANN SMALL-CAP FUND CLASS C	NTF^	C	Y	4:00 PM	01
FEDERATED FUNDS	FKAIX	31421N402	FEDERATED HERMES KAUFMANN SMALL-CAP FUND CLASS IS	T	I	Y	4:00 PM	01
FEDERATED FUNDS	MXCCx	31420E502	FEDERATED HERMES MAX-CAP INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FISPX	31420E106	FEDERATED HERMES MAX-CAP INDEX FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FMXSx	31420E403	FEDERATED HERMES MAX-CAP INDEX FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	QAACx	31421R106	FEDERATED HERMES MDT ALL-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCACx	31421R205	FEDERATED HERMES MDT ALL-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	QIACx	31421R304	FEDERATED HERMES MDT ALL-CAP CORE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	QABGX	31421R841	FEDERATED HERMES MDT BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCBGX	31421R833	FEDERATED HERMES MDT BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	QIBGX	31421R825	FEDERATED HERMES MDT BALANCED FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	QALGX	31421R700	FEDERATED HERMES MDT LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCLGX	31421R809	FEDERATED HERMES MDT LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	QILGX	31421R882	FEDERATED HERMES MDT LARGE-CAP GROWTH FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FSTRx	314209206	FEDERATED HERMES MDT LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCLVx	314209404	FEDERATED HERMES MDT LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FMSTx	314209701	FEDERATED HERMES MDT LARGE-CAP VALUE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FSTKx	314209800	FEDERATED HERMES MDT LARGE-CAP VALUE FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	QAMNX	31423A440	FEDERATED HERMES MDT MARKET NEUTRAL FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QQMNx	31423A432	FEDERATED HERMES MDT MARKET NEUTRAL FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FGSAX	314172107	FEDERATED HERMES MDT MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FGSCx	314172305	FEDERATED HERMES MDT MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FGSIX	314172198	FEDERATED HERMES MDT MID-CAP GROWTH FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	QASCx	31421R817	FEDERATED HERMES MDT SMALL-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCSCx	31421R791	FEDERATED HERMES MDT SMALL-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FEDERATED FUNDS	QISCX	31421R783	FEDERATED HERMES MDT SMALL-CAP CORE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	QASGX	31421R775	FEDERATED HERMES MDT SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	QCSGX	31421R767	FEDERATED HERMES MDT SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	QISGX	31421R759	FEDERATED HERMES MDT SMALL-CAP GROWTH FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	MMIFX	313923302	FEDERATED HERMES MICHIGAN INTERMEDIATE MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FMCRX	31420E882	FEDERATED HERMES MID-CAP INDEX FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FMDCX	31420E205	FEDERATED HERMES MID-CAP INDEX FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	FMUAX	31420C837	FEDERATED HERMES MUNI AND STOCK ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FMUCX	31420C811	FEDERATED HERMES MUNI AND STOCK ADVANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FMUFX	31420C720	FEDERATED HERMES MUNI AND STOCK ADVANTAGE FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	FMUIX	31420C654	FEDERATED HERMES MUNI AND STOCK ADVANTAGE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	LMSFX	313913105	FEDERATED HERMES MUNICIPAL BOND FUND CLASS A	T	A	N	4:00 PM	01
FEDERATED FUNDS	LMSCX	313913303	FEDERATED HERMES MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	LMFFX	313913402	FEDERATED HERMES MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	LMBIX	313913600	FEDERATED HERMES MUNICIPAL BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FMOAX	313923864	FEDERATED HERMES MUNICIPAL HIGH YIELD ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FMNCX	313923849	FEDERATED HERMES MUNICIPAL HIGH YIELD ADVANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FHTFX	313923831	FEDERATED HERMES MUNICIPAL HIGH YIELD ADVANTAGE FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	FMYIX	313923815	FEDERATED HERMES MUNICIPAL HIGH YIELD ADVANTAGE FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FMUUX	31417P866	FEDERATED HERMES MUNICIPAL ULTRASHORT FUND CLASS A	NTF	A	N	4:00 PM	01
FEDERATED FUNDS	FMUSX	31417P858	FEDERATED HERMES MUNICIPAL ULTRASHORT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	OMIAX	313923823	FEDERATED HERMES OHIO MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	OMIFX	313923609	FEDERATED HERMES OHIO MUNICIPAL INCOME FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	FHYAX	314197302	FEDERATED HERMES OPPORTUNISTIC HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FHYCX	314197401	FEDERATED HERMES OPPORTUNISTIC HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FHTIX	314197203	FEDERATED HERMES OPPORTUNISTIC HIGH YIELD BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHYTX	314197104	FEDERATED HERMES OPPORTUNISTIC HIGH YIELD BOND FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	PAMFX	313923708	FEDERATED HERMES PENNSYLVANIA MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	BEARX	31421N709	FEDERATED HERMES PRUDENT BEAR FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	PBRGX	31421N600	FEDERATED HERMES PRUDENT BEAR FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	PBRIX	31421N501	FEDERATED HERMES PRUDENT BEAR FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHEQX	31423A101	FEDERATED HERMES SDG ENGAGEMENT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FHESX	31423A309	FEDERATED HERMES SDG ENGAGEMENT EQUITY FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FHHIX	31423A556	FEDERATED HERMES SDG ENGAGEMENT HIGH YIELD CREDIT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FIGTX	31428P103	FEDERATED HERMES SHORT-INTERMEDIATE GOVERNMENT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FIGIX	31428P202	FEDERATED HERMES SHORT-INTERMEDIATE GOVERNMENT FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FMTAX	313907305	FEDERATED HERMES SHORT-INTERMEDIATE MUNICIPAL FUND CLASS A	T	A	N	4:00 PM	01
FEDERATED FUNDS	FSHIX	313907107	FEDERATED HERMES SHORT-INTERMEDIATE MUNICIPAL FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FSHSX	313907206	FEDERATED HERMES SHORT-INTERMEDIATE MUNICIPAL FUND CLASS SS	NTF	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FEDERATED FUNDS	FGCAX	31420B870	FEDERATED HERMES SHORT-INTERMEDIATE TOTAL RETURN BOND FUND CLAS	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FGCIX	31420B607	FEDERATED HERMES SHORT-INTERMEDIATE TOTAL RETURN BOND FUND CLAS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FGCSX	31420B508	FEDERATED HERMES SHORT-INTERMEDIATE TOTAL RETURN BOND FUND CLAS	T	S	N	4:00 PM	01
FEDERATED FUNDS	FSGVX	31428M100	FEDERATED HERMES SHORT-TERM GOVERNMENT FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FSGIX	31428M209	FEDERATED HERMES SHORT-TERM GOVERNMENT FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FSGTX	31428M308	FEDERATED HERMES SHORT-TERM GOVERNMENT FUND CLASS Y	T	Y	N	4:00 PM	01
FEDERATED FUNDS	FTIAX	31420C795	FEDERATED HERMES SHORT-TERM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	FSTYX	31420C787	FEDERATED HERMES SHORT-TERM INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FSTIX	31420C209	FEDERATED HERMES SHORT-TERM INCOME FUND CLASS SS	T	S	N	4:00 PM	01
FEDERATED FUNDS	STIAX	31417P502	FEDERATED HERMES STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	SINCX	31417P700	FEDERATED HERMES STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	STFSX	31417P809	FEDERATED HERMES STRATEGIC INCOME FUND CLASS F	T	F	N	4:00 PM	01
FEDERATED FUNDS	STISX	31417P841	FEDERATED HERMES STRATEGIC INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	SVAAX	314172586	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
FEDERATED FUNDS	SVACX	314172578	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	SVAIX	314172560	FEDERATED HERMES STRATEGIC VALUE DIVIDEND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	TLRAX	31428Q820	FEDERATED HERMES TOTAL RETURN BOND FUND CLASS A	NTF	A	N	4:00 PM	01
FEDERATED FUNDS	TLRCX	31428Q796	FEDERATED HERMES TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FEDERATED FUNDS	FTRBX	31428Q101	FEDERATED HERMES TOTAL RETURN BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FTRFX	31428Q507	FEDERATED HERMES TOTAL RETURN BOND FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FTRGX	31429A105	FEDERATED HERMES TOTAL RETURN GOVERNMENT BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FTGSX	31429A204	FEDERATED HERMES TOTAL RETURN GOVERNMENT BOND FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FHUMX	31423A499	FEDERATED HERMES U.S. SMID FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FULAX	31428Q762	FEDERATED HERMES ULTRASHORT BOND FUND CLASS A	NTF	A	N	4:00 PM	01
FEDERATED FUNDS	FULIX	31428Q747	FEDERATED HERMES ULTRASHORT BOND FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	FULBX	31428Q754	FEDERATED HERMES ULTRASHORT BOND FUND CLASS SS	NTF	S	N	4:00 PM	01
FEDERATED FUNDS	FMVPX	31421P506	FEDERATED MANAGED VOLATILITY STRATEGY PORTFOLIO	T+		N	4:00 PM	01
FEDERATED FUNDS	MMFIX	313923773	FEDERATED MICHIGAN INTERMEDIATE MUNICIPAL TRUST FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	OMIIX	313923765	FEDERATED OHIO MUNICIPAL INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FEDERATED FUNDS	PAMIX	313923757	FEDERATED PENNSYLVANIA MUNICIPAL INCOME FUND CLASS IS	T	I	N	4:00 PM	01
FIDELITY FUNDS	FXAIX	315911750	FIDELITY 500 INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FEDMX	31618Q101	FIDELITY ADV EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEMMX	31618Q309	FIDELITY ADV EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FECMX	31618Q408	FIDELITY ADV EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEQMX	31618Q200	FIDELITY ADV EMERGING MARKETS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDZFX	315796821	FIDELITY ADV FREEDOM 2065 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDZFX	315796763	FIDELITY ADV FREEDOM 2065 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDZFX	315796771	FIDELITY ADV FREEDOM 2065 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHAGX	315794487	FIDELITY ADV FREEDOM BLEND 2005 FUND CL A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FHAAX	315794461	FIDELITY ADV FREEDOM BLEND 2005 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHAFX	315794479	FIDELITY ADV FREEDOM BLEND 2005 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHABX	315794438	FIDELITY ADV FREEDOM BLEND 2010 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJAWX	315794396	FIDELITY ADV FREEDOM BLEND 2010 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FJAZX	315794420	FIDELITY ADV FREEDOM BLEND 2010 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FJAUX	315794370	FIDELITY ADV FREEDOM BLEND 2015 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJASX	315794354	FIDELITY ADV FREEDOM BLEND 2015 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FJAQX	315794347	FIDELITY ADV FREEDOM BLEND 2015 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FJATX	315794362	FIDELITY ADV FREEDOM BLEND 2015 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FJAOX	315794321	FIDELITY ADV FREEDOM BLEND 2020 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJALX	315794297	FIDELITY ADV FREEDOM BLEND 2020 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FJAJX	315794289	FIDELITY ADV FREEDOM BLEND 2020 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FJANX	315794313	FIDELITY ADV FREEDOM BLEND 2020 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FJAHX	315794263	FIDELITY ADV FREEDOM BLEND 2025 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJAEX	315794248	FIDELITY ADV FREEDOM BLEND 2025 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FJAGX	315794255	FIDELITY ADV FREEDOM BLEND 2025 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FJAMX	315794214	FIDELITY ADV FREEDOM BLEND 2030 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJTMX	315794180	FIDELITY ADV FREEDOM BLEND 2030 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTYJX	315794198	FIDELITY ADV FREEDOM BLEND 2030 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHJGX	315795104	FIDELITY ADV FREEDOM BLEND 2035 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHVCX	315795302	FIDELITY ADV FREEDOM BLEND 2035 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHBCX	315795203	FIDELITY ADV FREEDOM BLEND 2035 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHDEX	315795609	FIDELITY ADV FREEDOM BLEND 2040 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHZEX	315795807	FIDELITY ADV FREEDOM BLEND 2040 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHOEX	315795708	FIDELITY ADV FREEDOM BLEND 2040 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHGFX	315795864	FIDELITY ADV FREEDOM BLEND 2045 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHDFX	315795849	FIDELITY ADV FREEDOM BLEND 2045 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHEFX	315795856	FIDELITY ADV FREEDOM BLEND 2045 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHJKX	315795815	FIDELITY ADV FREEDOM BLEND 2050 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHXEX	315795781	FIDELITY ADV FREEDOM BLEND 2050 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHYEX	315795799	FIDELITY ADV FREEDOM BLEND 2050 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHWEX	315795773	FIDELITY ADV FREEDOM BLEND 2050 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHUEX	315795757	FIDELITY ADV FREEDOM BLEND 2055 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHREX	315795732	FIDELITY ADV FREEDOM BLEND 2055 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHQEX	315795724	FIDELITY ADV FREEDOM BLEND 2055 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHTEX	315795740	FIDELITY ADV FREEDOM BLEND 2055 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHNEX	315795690	FIDELITY ADV FREEDOM BLEND 2060 FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHLEX	315795674	FIDELITY ADV FREEDOM BLEND 2060 FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHKEX	315795666	FIDELITY ADV FREEDOM BLEND 2060 FUND CL I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FHMEX	315795682	FIDELITY ADV FREEDOM BLEND 2060 FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAZAX	315796722	FIDELITY ADV FREEDOM BLEND 2065 CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAXDX	315796698	FIDELITY ADV FREEDOM BLEND 2065 CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAXFX	315796680	FIDELITY ADV FREEDOM BLEND 2065 CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAXEX	315796714	FIDELITY ADV FREEDOM BLEND 2065 CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHAMX	315794545	FIDELITY ADV FREEDOM BLEND INC FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHAKX	315794529	FIDELITY ADV FREEDOM BLEND INC FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHAJX	315794511	FIDELITY ADV FREEDOM BLEND INC FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHALX	315794537	FIDELITY ADV FREEDOM BLEND INC FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FJADX	315794230	FIDELITY ADV REEDOM BLEND 2025 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FJEFX	315794172	FIDELITY ADV REEDOM BLEND 2030 FUND CL I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTTEX	315910331	FIDELITY ADVANTAGE TOTAL INTERNATIONAL EQUITY FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAFDX	315918813	FIDELITY ADVISOR (FOCUS) FINANCIAL SERVICES CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAFSX	315918797	FIDELITY ADVISOR (FOCUS) FINANCIAL SERVICES CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFSIX	315918789	FIDELITY ADVISOR (FOCUS) FINANCIAL SERVICES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FACDX	315918847	FIDELITY ADVISOR (FOCUS) HEALTH CARE CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FACTX	315918839	FIDELITY ADVISOR (FOCUS) HEALTH CARE CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHCIX	315918821	FIDELITY ADVISOR (FOCUS) HEALTH CARE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FADTX	315918771	FIDELITY ADVISOR (FOCUS) TECHNOLOGY CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTHCX	315918524	FIDELITY ADVISOR (FOCUS) TECHNOLOGY CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FATEX	315918763	FIDELITY ADVISOR (FOCUS) TECHNOLOGY CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FATIX	315918755	FIDELITY ADVISOR (FOCUS) TECHNOLOGY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTAAX	316069715	FIDELITY ADVISOR ASSET MANAGED 30% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTAWX	316069806	FIDELITY ADVISOR ASSET MANAGER 20% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTCWX	316069871	FIDELITY ADVISOR ASSET MANAGER 20% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTIWX	316069855	FIDELITY ADVISOR ASSET MANAGER 20% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTDWX	316069863	FIDELITY ADVISOR ASSET MANAGER 20% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCANX	316069673	FIDELITY ADVISOR ASSET MANAGER 30% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTINX	316069665	FIDELITY ADVISOR ASSET MANAGER 30% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTTNX	316069699	FIDELITY ADVISOR ASSET MANAGER 30% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFNAX	316069640	FIDELITY ADVISOR ASSET MANAGER 40% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFNCX	316069616	FIDELITY ADVISOR ASSET MANAGER 40% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFNIX	316069590	FIDELITY ADVISOR ASSET MANAGER 40% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFNTX	316069632	FIDELITY ADVISOR ASSET MANAGER 40% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFAMX	316069848	FIDELITY ADVISOR ASSET MANAGER 50% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFCMX	316069822	FIDELITY ADVISOR ASSET MANAGER 50% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFIMX	316069798	FIDELITY ADVISOR ASSET MANAGER 50% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFTMX	316069814	FIDELITY ADVISOR ASSET MANAGER 50% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSAAX	316069574	FIDELITY ADVISOR ASSET MANAGER 60% FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FSCNX	316069541	FIDELITY ADVISOR ASSET MANAGER 60% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSNIX	316069533	FIDELITY ADVISOR ASSET MANAGER 60% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSATX	316069566	FIDELITY ADVISOR ASSET MANAGER 60% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAAIX	316069475	FIDELITY ADVISOR ASSET MANAGER 70% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEYAX	316069780	FIDELITY ADVISOR ASSET MANAGER 85% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEYCX	316069764	FIDELITY ADVISOR ASSET MANAGER 85% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FEYIX	316069749	FIDELITY ADVISOR ASSET MANAGER 85% FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEYTX	316069756	FIDELITY ADVISOR ASSET MANAGER 85% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FABLX	315807818	FIDELITY ADVISOR BALANCED CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FABCX	315807735	FIDELITY ADVISOR BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAIOX	315807867	FIDELITY ADVISOR BALANCED FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAIGX	315807404	FIDELITY ADVISOR BALANCED FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FBTAX	315918516	FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FBTCX	315918474	FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FBTIX	315918466	FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FBTTX	315918490	FIDELITY ADVISOR BIOTECHNOLOGY FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCMAX	316061704	FIDELITY ADVISOR CALIFORNIA MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCMKX	316061878	FIDELITY ADVISOR CALIFORNIA MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCMQX	316061860	FIDELITY ADVISOR CALIFORNIA MUNI FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCMTX	316061803	FIDELITY ADVISOR CALIFORNIA MUNI FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FACNX	315910471	FIDELITY ADVISOR CANADA FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCCNX	315910455	FIDELITY ADVISOR CANADA FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FICCX	315910430	FIDELITY ADVISOR CANADA FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTCNX	315910448	FIDELITY ADVISOR CANADA FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDTTX	316127406	FIDELITY ADVISOR CAPITAL DEVELOPMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDECX	316127877	FIDELITY ADVISOR CAPITAL DEVELOPMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDEIX	316127851	FIDELITY ADVISOR CAPITAL DEVELOPMENT FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDTZX	316127869	FIDELITY ADVISOR CAPITAL DEVELOPMENT FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDETX	316127208	FIDELITY ADVISOR CAPITAL DEVELOPMENT FUND CLASS O	T	O	Y	4:00 PM	01
FIDELITY FUNDS	FHKAX	315910174	FIDELITY ADVISOR CHINA REGION CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCHKX	315910158	FIDELITY ADVISOR CHINA REGION CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHKIX	315910133	FIDELITY ADVISOR CHINA REGION FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHKTX	315910141	FIDELITY ADVISOR CHINA REGION FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCAJX	31641Q227	FIDELITY ADVISOR CLIMATE ACTION FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FICALX	31641Q219	FIDELITY ADVISOR CLIMATE ACTION FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCAUX	31641Q185	FIDELITY ADVISOR CLIMATE ACTION FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCAOX	31641Q193	FIDELITY ADVISOR CLIMATE ACTION FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGHMX	316391101	FIDELITY ADVISOR COMMUNICATION SERVICES CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGDMX	316390145	FIDELITY ADVISOR COMMUNICATION SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FGEMX	316390137	FIDELITY ADVISOR COMMUNICATION SERVICES FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGJMX	316391200	FIDELITY ADVISOR COMMUNICATION SVCS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCNAX	315918706	FIDELITY ADVISOR CONSUMER DISCRETIONARY CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FACPX	315918805	FIDELITY ADVISOR CONSUMER DISCRETIONARY CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCECX	315918581	FIDELITY ADVISOR CONSUMER DISCRETIONARY FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCNIX	315918888	FIDELITY ADVISOR CONSUMER DISCRETIONARY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDAGX	316390434	FIDELITY ADVISOR CONSUMER STAPLES FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDCGX	316390418	FIDELITY ADVISOR CONSUMER STAPLES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDIGX	316390384	FIDELITY ADVISOR CONSUMER STAPLES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDTGX	316390392	FIDELITY ADVISOR CONSUMER STAPLES FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FACVX	316145853	FIDELITY ADVISOR CONVERTIBLE SECURITIES FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCCVX	316145838	FIDELITY ADVISOR CONVERTIBLE SECURITIES FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTCVX	316145820	FIDELITY ADVISOR CONVERTIBLE SECURITIES FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FICVX	316145812	FIDELITY ADVISOR CONVERTIBLE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCBAX	316146588	FIDELITY ADVISOR CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCCCX	316146562	FIDELITY ADVISOR CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCBIX	316146547	FIDELITY ADVISOR CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCBTX	316146554	FIDELITY ADVISOR CORPORATE BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDVIX	315920686	FIDELITY ADVISOR DIVERSIFIED INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDVAX	315920736	FIDELITY ADVISOR DIVERSIFIED INTL FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FADCX	315920710	FIDELITY ADVISOR DIVERSIFIED INTL FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FADIX	315920694	FIDELITY ADVISOR DIVERSIFIED INTL FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDTOX	316127307	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDTCX	316127604	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDTIX	316127802	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDTEX	316127703	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDESX	316127109	FIDELITY ADVISOR DIVERSIFIED STOCK FUND CLASS O	T	O	Y	4:00 PM	01
FIDELITY FUNDS	FADAX	315805648	FIDELITY ADVISOR DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDGCX	315805614	FIDELITY ADVISOR DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDGIX	315805598	FIDELITY ADVISOR DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDGTX	315805630	FIDELITY ADVISOR DIVIDEND GROWTH FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEAAX	315920413	FIDELITY ADVISOR EMERGING ASIA FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FERCX	315920439	FIDELITY ADVISOR EMERGING ASIA FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FERIX	315920454	FIDELITY ADVISOR EMERGING ASIA FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEATX	315920447	FIDELITY ADVISOR EMERGING ASIA FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEDAX	31618H531	FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEDGX	31618H523	FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FEDIX	31618H499	FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEDTX	31618H515	FIDELITY ADVISOR EMERGING MARKETS DISCOVERY FUND CLASS M	T	M	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FANAX	315916841	FIDELITY ADVISOR ENERGY FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FNRCX	315918599	FIDELITY ADVISOR ENERGY FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FANIX	315916866	FIDELITY ADVISOR ENERGY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAGNX	315916106	FIDELITY ADVISOR ENERGY FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEBBX	31617L459	FIDELITY ADVISOR ENVIRONMENTAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEBDX	31617L442	FIDELITY ADVISOR ENVIRONMENTAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FEBFX	31617L426	FIDELITY ADVISOR ENVIRONMENTAL BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEBEX	31617L434	FIDELITY ADVISOR ENVIRONMENTAL BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	EPGAX	315805853	FIDELITY ADVISOR EQUITY GROWTH CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	EPGCX	315805754	FIDELITY ADVISOR EQUITY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	EQPGX	315805101	FIDELITY ADVISOR EQUITY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAEGX	315805200	FIDELITY ADVISOR EQUITY GROWTH FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEIAX	315808402	FIDELITY ADVISOR EQUITY INCOME CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEICX	315808501	FIDELITY ADVISOR EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	EQPIX	315808105	FIDELITY ADVISOR EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEIRX	315808204	FIDELITY ADVISOR EQUITY INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAVAX	315805366	FIDELITY ADVISOR EQUITY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAVCX	315805333	FIDELITY ADVISOR EQUITY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAIVX	315805325	FIDELITY ADVISOR EQUITY VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAVTX	315805358	FIDELITY ADVISOR EQUITY VALUE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHJMX	31618H416	FIDELITY ADVISOR EUROPE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHJVX	31618H440	FIDELITY ADVISOR EUROPE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAFCX	315918565	FIDELITY ADVISOR FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFRAX	315807594	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFRCX	315807560	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFRIX	315807552	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFRTX	315807586	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAMKX	315920280	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FMCKX	315920264	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIMKX	315920249	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTMKX	315920256	FIDELITY ADVISOR FOCUS EMERGING MARKETS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FIFOX	315911586	FIDELITY ADVISOR FOUNDERS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIFQX	315911578	FIDELITY ADVISOR FOUNDERS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIFVX	315911560	FIDELITY ADVISOR FOUNDERS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FIFPX	315911552	FIDELITY ADVISOR FOUNDERS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFAVX	315792648	FIDELITY ADVISOR FREEDOM 2005 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCFVX	315792622	FIDELITY ADVISOR FREEDOM 2005 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFIVX	315792598	FIDELITY ADVISOR FREEDOM 2005 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFTVX	315792614	FIDELITY ADVISOR FREEDOM 2005 FUND CLASS M	T	M	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FACFX	315792705	FIDELITY ADVISOR FREEDOM 2010 CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCFCX	315792887	FIDELITY ADVISOR FREEDOM 2010 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCIFX	315792861	FIDELITY ADVISOR FREEDOM 2010 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCFTX	315792879	FIDELITY ADVISOR FREEDOM 2010 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFVAX	315792580	FIDELITY ADVISOR FREEDOM 2015 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFVCX	315792564	FIDELITY ADVISOR FREEDOM 2015 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFVIX	315792549	FIDELITY ADVISOR FREEDOM 2015 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFVTX	315792556	FIDELITY ADVISOR FREEDOM 2015 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDAFX	315792853	FIDELITY ADVISOR FREEDOM 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDCFX	315792838	FIDELITY ADVISOR FREEDOM 2020 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDIFX	315792812	FIDELITY ADVISOR FREEDOM 2020 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDTFX	315792820	FIDELITY ADVISOR FREEDOM 2020 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FATWX	315792531	FIDELITY ADVISOR FREEDOM 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCTWX	315792515	FIDELITY ADVISOR FREEDOM 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FITWX	315792481	FIDELITY ADVISOR FREEDOM 2025 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTTWX	315792499	FIDELITY ADVISOR FREEDOM 2025 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCFEX	315792770	FIDELITY ADVISOR FREEDOM 2030 CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAFEX	315792796	FIDELITY ADVISOR FREEDOM 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEFIX	315792754	FIDELITY ADVISOR FREEDOM 2030 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTFEX	315792762	FIDELITY ADVISOR FREEDOM 2030 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FATHX	315792473	FIDELITY ADVISOR FREEDOM 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCTHX	315792457	FIDELITY ADVISOR FREEDOM 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FITHX	315792432	FIDELITY ADVISOR FREEDOM 2035 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTTHX	315792440	FIDELITY ADVISOR FREEDOM 2035 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCFFX	315792721	FIDELITY ADVISOR FREEDOM 2040 CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTFFX	315792713	FIDELITY ADVISOR FREEDOM 2040 CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAFFX	315792747	FIDELITY ADVISOR FREEDOM 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIFFX	315792697	FIDELITY ADVISOR FREEDOM 2040 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFFZX	315792390	FIDELITY ADVISOR FREEDOM 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFFJX	315792374	FIDELITY ADVISOR FREEDOM 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFFIX	315792358	FIDELITY ADVISOR FREEDOM 2045 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFFTX	315792366	FIDELITY ADVISOR FREEDOM 2045 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FFFLX	315792341	FIDELITY ADVISOR FREEDOM 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FFFYX	315792325	FIDELITY ADVISOR FREEDOM 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFFPX	315792291	FIDELITY ADVISOR FREEDOM 2050 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFFQX	315792317	FIDELITY ADVISOR FREEDOM 2050 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHFAX	315793844	FIDELITY ADVISOR FREEDOM 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHFCX	315793810	FIDELITY ADVISOR FREEDOM 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHFIX	315793786	FIDELITY ADVISOR FREEDOM 2055 FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FHFTX	315793794	FIDELITY ADVISOR FREEDOM 2055 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDKPX	315793760	FIDELITY ADVISOR FREEDOM 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FDKSX	315793745	FIDELITY ADVISOR FREEDOM 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FDKQX	315793737	FIDELITY ADVISOR FREEDOM 2060 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FDKTX	315793752	FIDELITY ADVISOR FREEDOM 2060 FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDFSX	315796755	FIDELITY ADVISOR FREEDOM 2065 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHADX	315794453	FIDELITY ADVISOR FREEDOM BLEND 2005 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHGDY	315795401	FIDELITY ADVISOR FREEDOM BLEND 2035 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHJFX	315795880	FIDELITY ADVISOR FREEDOM BLEND 2040 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHCFX	315795831	FIDELITY ADVISOR FREEDOM BLEND 2045 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTAFX	315792507	FIDELITY ADVISOR FREEDOM INCOME CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAFAX	315792200	FIDELITY ADVISOR FREEDOM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAAFX	315792408	FIDELITY ADVISOR FREEDOM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAAFX	315792606	FIDELITY ADVISOR FREEDOM INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGEAX	315920629	FIDELITY ADVISOR GLOBAL CAPITAL APPRECIATION FD CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FEUCX	315920595	FIDELITY ADVISOR GLOBAL CAPITAL APPRECIATION FD CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGETX	315920587	FIDELITY ADVISOR GLOBAL CAPITAL APPRECIATION FD CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEUIX	315920579	FIDELITY ADVISOR GLOBAL CAPITAL APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFGAX	31618H101	FIDELITY ADVISOR GLOBAL COMMODITY STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCGCX	31618H309	FIDELITY ADVISOR GLOBAL COMMODITY STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FFGIX	31618H507	FIDELITY ADVISOR GLOBAL COMMODITY STOCK FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FFGTX	31618H408	FIDELITY ADVISOR GLOBAL COMMODITY STOCK FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGBZX	31638R873	FIDELITY ADVISOR GLOBAL CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGBYX	31638R857	FIDELITY ADVISOR GLOBAL CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGBIX	31638R840	FIDELITY ADVISOR GLOBAL CREDIT FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGBWX	31638R865	FIDELITY ADVISOR GLOBAL CREDIT FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FBLYX	315920223	FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGTNX	315920199	FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FBUSX	315920181	FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGABX	315920215	FIDELITY ADVISOR GLOBAL EQUITY INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGHAX	31641Q805	FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGHCX	31641Q888	FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGHIX	31641Q862	FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGHTX	31641Q870	FIDELITY ADVISOR GLOBAL HIGH INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FWRAX	315918284	FIDELITY ADVISOR GLOBAL REAL ESTATE CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FWRGX	315918276	FIDELITY ADVISOR GLOBAL REAL ESTATE CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FWRIX	315918250	FIDELITY ADVISOR GLOBAL REAL ESTATE CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FWRTX	315918268	FIDELITY ADVISOR GLOBAL REAL ESTATE CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGDAX	316390376	FIDELITY ADVISOR GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FGDCX	316390350	FIDELITY ADVISOR GOLD FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGDIX	316390335	FIDELITY ADVISOR GOLD FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGDTX	316390343	FIDELITY ADVISOR GOLD FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FVIAX	31617K782	FIDELITY ADVISOR GOVERNMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FVICX	31617K766	FIDELITY ADVISOR GOVERNMENT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FVIIX	31617K741	FIDELITY ADVISOR GOVERNMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FVITX	31617K758	FIDELITY ADVISOR GOVERNMENT INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGITX	315805820	FIDELITY ADVISOR GROWTH & INCOME CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGIUX	315805739	FIDELITY ADVISOR GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGIRX	315805846	FIDELITY ADVISOR GROWTH AND INCOME CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGIOX	315805812	FIDELITY ADVISOR GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAGAX	315807834	FIDELITY ADVISOR GROWTH OPPORTUNITIES CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FACGX	315807768	FIDELITY ADVISOR GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAGCX	315807883	FIDELITY ADVISOR GROWTH OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAGOX	315807206	FIDELITY ADVISOR GROWTH OPPORTUNITIES FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FHCCX	315918557	FIDELITY ADVISOR HEALTH CARE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAHDX	315807826	FIDELITY ADVISOR HIGH INCOME ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAHEX	315807743	FIDELITY ADVISOR HIGH INCOME ADVANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAHCX	315807875	FIDELITY ADVISOR HIGH INCOME ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAHYX	315807305	FIDELITY ADVISOR HIGH INCOME ADVANTAGE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGQMX	31641Q771	FIDELITY ADVISOR HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGSMX	31641Q730	FIDELITY ADVISOR HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGTMX	31641Q722	FIDELITY ADVISOR HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGRMX	31641Q748	FIDELITY ADVISOR HIGH INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCLAX	315918870	FIDELITY ADVISOR INDUSTRIALS CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCLTX	315918862	FIDELITY ADVISOR INDUSTRIALS CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCLIX	315918854	FIDELITY ADVISOR INDUSTRIALS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCLCX	315918573	FIDELITY ADVISOR INDUSTRIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FZIAX	31638R402	FIDELITY ADVISOR INTERMEDIATE MUNI INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FZICX	31638R600	FIDELITY ADVISOR INTERMEDIATE MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FZIIX	31638R808	FIDELITY ADVISOR INTERMEDIATE MUNI INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FZITX	31638R709	FIDELITY ADVISOR INTERMEDIATE MUNI INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCPIX	315920819	FIDELITY ADVISOR INTERNATIONAL CAPITAL APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAIDX	315910661	FIDELITY ADVISOR INTERNATIONAL DISCOVERY FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCADX	315910646	FIDELITY ADVISOR INTERNATIONAL DISCOVERY FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTADX	315910638	FIDELITY ADVISOR INTERNATIONAL DISCOVERY FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FIADX	315910620	FIDELITY ADVISOR INTERNATIONAL DISCOVERY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FIIIX	315910372	FIDELITY ADVISOR INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FITGX	315910380	FIDELITY ADVISOR INTERNATIONAL GROWTH FUND CLASS M	T	M	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FIAGX	315910422	FIDELITY ADVISOR INTERNATIONAL GRWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIRAX	316389634	FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIRCX	316389618	FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIRIX	316389584	FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FIRTX	316389592	FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FIASX	315910729	FIDELITY ADVISOR INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FICSX	315910695	FIDELITY ADVISOR INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIXIX	315910679	FIDELITY ADVISOR INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTISX	315910687	FIDELITY ADVISOR INTERNATIONAL SMALL CAP FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FOPIX	315910554	FIDELITY ADVISOR INTERNATIONAL SMALL CAP OPPORTUNITIES FUND CLASS I	T	I	Y	4:00 PM	01
FIDELITY FUNDS	FOPTX	315910570	FIDELITY ADVISOR INTERNATIONAL SMALL CAP OPPORTUNITIES FUND CLASS M	T	M	Y	4:00 PM	01
FIDELITY FUNDS	FIVMX	315910547	FIDELITY ADVISOR INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIVOX	315910521	FIDELITY ADVISOR INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIVQX	315910497	FIDELITY ADVISOR INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FIVPX	315910513	FIDELITY ADVISOR INTERNATIONAL VALUE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCPAX	315920843	FIDELITY ADVISOR INTERNAT'L CAPITAL APPRECIATION CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCPCX	315920744	FIDELITY ADVISOR INTERNAT'L CAPITAL APPRECIATION CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIATX	315920827	FIDELITY ADVISOR INTERNAT'L CAPITAL APPRECIATION CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FIGCX	315910398	FIDELITY ADVISOR INT'L GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FOPAX	315910612	FIDELITY ADVISOR INT'L SMALL CAP OPPORTUNITIES CL A	NTF^	A	Y	4:00 PM	01
FIDELITY FUNDS	FOPCX	315910588	FIDELITY ADVISOR INT'L SMALL CAP OPPORTUNITIES CL C	NTF^	C	Y	4:00 PM	01
FIDELITY FUNDS	FGBAX	316146703	FIDELITY ADVISOR INVESTMENT GRADE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGBCX	316146877	FIDELITY ADVISOR INVESTMENT GRADE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGBPX	316146869	FIDELITY ADVISOR INVESTMENT GRADE BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGBTX	316146802	FIDELITY ADVISOR INVESTMENT GRADE BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FPJAX	31618H655	FIDELITY ADVISOR JAPAN FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FJPCX	31618H630	FIDELITY ADVISOR JAPAN FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FJPIX	31618H614	FIDELITY ADVISOR JAPAN FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FJPTX	31618H622	FIDELITY ADVISOR JAPAN FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FALAX	315805861	FIDELITY ADVISOR LARGE CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FLCCX	315805747	FIDELITY ADVISOR LARGE CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FALGX	315805705	FIDELITY ADVISOR LARGE CAP STOCK CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FALIX	315805887	FIDELITY ADVISOR LARGE CAP STOCK FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FLFAX	31618H713	FIDELITY ADVISOR LATIN AMERICA FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FLFCX	31618H689	FIDELITY ADVISOR LATIN AMERICA FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FLFIX	31618H671	FIDELITY ADVISOR LATIN AMERICA FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FLFTX	31618H663	FIDELITY ADVISOR LATIN AMERICA FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FLSAX	315805424	FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FLSCX	315805382	FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FLVIX	315805374	FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FLSTX	315805416	FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FDIAX	315809806	FIDELITY ADVISOR LIMITED TERM BOND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FNBCX	315809889	FIDELITY ADVISOR LIMITED TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	EFIPX	315809103	FIDELITY ADVISOR LIMITED TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTBRX	315809202	FIDELITY ADVISOR LIMITED TERM BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FISHX	316203850	FIDELITY ADVISOR LIMITED TERM MUNI INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FASHX	316203801	FIDELITY ADVISOR LIMITED TERM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCSHX	316203876	FIDELITY ADVISOR LIMITED TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTSHX	316203868	FIDELITY ADVISOR LIMITED TERM MUNICIPAL INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FRQAX	31617K337	FIDELITY ADVISOR MANAGED RETIREMENT 2010 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FRQIX	31617K295	FIDELITY ADVISOR MANAGED RETIREMENT 2010 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FARSX	31617K220	FIDELITY ADVISOR MANAGED RETIREMENT 2015 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FRASX	31617K188	FIDELITY ADVISOR MANAGED RETIREMENT 2015 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FARVX	31617L202	FIDELITY ADVISOR MANAGED RETIREMENT 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIIVX	31617L509	FIDELITY ADVISOR MANAGED RETIREMENT 2020 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FARFX	31617L855	FIDELITY ADVISOR MANAGED RETIREMENT 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIRFX	31617L822	FIDELITY ADVISOR MANAGED RETIREMENT 2025 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMRBX	31617L525	FIDELITY ADVISOR MANAGED RETIREMENT 2030 FUND SHARE CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FMRDX	31617L467	FIDELITY ADVISOR MANAGED RETIREMENT 2030 FUND SHARE CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FRAMX	31617K550	FIDELITY ADVISOR MANAGED RETIREMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FRIMX	31617K527	FIDELITY ADVISOR MANAGED RETIREMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMFAX	316390327	FIDELITY ADVISOR MATERIALS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FMFCX	316390293	FIDELITY ADVISOR MATERIALS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FMFEX	316390277	FIDELITY ADVISOR MATERIALS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMFTX	316390285	FIDELITY ADVISOR MATERIALS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGTAX	31617F502	FIDELITY ADVISOR MEGA CAP STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGRCX	31617F700	FIDELITY ADVISOR MEGA CAP STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTRIX	31617F882	FIDELITY ADVISOR MEGA CAP STOCK FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTGRX	31617F809	FIDELITY ADVISOR MEGA CAP STOCK FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FIIX	315807537	FIDELITY ADVISOR MID CAP II FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FIICX	315807511	FIDELITY ADVISOR MID CAP II FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FIIMX	315807487	FIDELITY ADVISOR MID CAP II FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FITIX	315807495	FIDELITY ADVISOR MID CAP II FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FMPAX	316128875	FIDELITY ADVISOR MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FMPEX	316128859	FIDELITY ADVISOR MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FMPOX	316128834	FIDELITY ADVISOR MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMPTX	316128842	FIDELITY ADVISOR MID CAP VALUE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FMGAX	31617K402	FIDELITY ADVISOR MORTGAGE SECURITIES CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FOMCX	315807545	FIDELITY ADVISOR MORTGAGE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FMSCX	31617K709	FIDELITY ADVISOR MORTGAGE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMSAX	31617K600	FIDELITY ADVISOR MORTGAGE SECURITIES FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FWATX	31638R766	FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FWBTX	31638R758	FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAYZX	31638R733	FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAZYX	31638R741	FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSODX	31635V208	FIDELITY ADVISOR MUNICIPAL INCOME 2023 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FSWTX	31635V307	FIDELITY ADVISOR MUNICIPAL INCOME 2023 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAMHX	31635V372	FIDELITY ADVISOR MUNICIPAL INCOME 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAMYX	31635V364	FIDELITY ADVISOR MUNICIPAL INCOME 2025 FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FROGX	316203769	FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FNIAx	316071208	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FNICX	316071505	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FINSX	316071604	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FNITX	316071307	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FGVMX	31641Q698	FIDELITY ADVISOR NEW MARKETS INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FGYMX	31641Q672	FIDELITY ADVISOR NEW MARKETS INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FGZMX	31641Q763	FIDELITY ADVISOR NEW MARKETS INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FGWMX	31641Q680	FIDELITY ADVISOR NEW MARKETS INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FNMAX	316337807	FIDELITY ADVISOR NEW YORK MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FNYCX	316337864	FIDELITY ADVISOR NEW YORK MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FEMIX	316337856	FIDELITY ADVISOR NEW YORK MUNI INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FNYPX	316337880	FIDELITY ADVISOR NEW YORK MUNI INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAOAX	315918607	FIDELITY ADVISOR OVERSEAS CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FAERX	315918102	FIDELITY ADVISOR OVERSEAS CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAOCX	315918540	FIDELITY ADVISOR OVERSEAS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FAOIX	315918508	FIDELITY ADVISOR OVERSEAS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHEAX	315918342	FIDELITY ADVISOR REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHECX	315918326	FIDELITY ADVISOR REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FHEIX	315918292	FIDELITY ADVISOR REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FHETX	315918318	FIDELITY ADVISOR REAL ESTATE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FRIOX	316389469	FIDELITY ADVISOR REAL ESTATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FRIRX	316389444	FIDELITY ADVISOR REAL ESTATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FRIQX	316389451	FIDELITY ADVISOR REAL ESTATE INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FELTX	315918383	FIDELITY ADVISOR SEMICONDUCTOR FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FELAX	315918391	FIDELITY ADVISOR SEMICONDUCTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FELCX	315918367	FIDELITY ADVISOR SEMICONDUCTORS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FELIX	315918359	FIDELITY ADVISOR SEMICONDUCTORS FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FSBHX	31641Q839	FIDELITY ADVISOR SHORT DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FSDHX	31641Q813	FIDELITY ADVISOR SHORT DURATION HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSFHX	31641Q797	FIDELITY ADVISOR SHORT DURATION HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSEHX	31641Q821	FIDELITY ADVISOR SHORT DURATION HIGH INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FBNAX	31635V596	FIDELITY ADVISOR SHORT-TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FANCX	31635V570	FIDELITY ADVISOR SHORT-TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FBNIX	31635V562	FIDELITY ADVISOR SHORT-TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FBNTX	31635V588	FIDELITY ADVISOR SHORT-TERM BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSCDX	315805697	FIDELITY ADVISOR SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FSCEX	315805671	FIDELITY ADVISOR SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSCIX	315805655	FIDELITY ADVISOR SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSCTX	315805663	FIDELITY ADVISOR SMALL CAP FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCCGX	316389733	FIDELITY ADVISOR SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCAGX	316389758	FIDELITY ADVISOR SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCIGX	316389717	FIDELITY ADVISOR SMALL-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCTGX	316389725	FIDELITY ADVISOR SMALL-CAP GROWTH FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCVAX	316389824	FIDELITY ADVISOR SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCVCX	316389790	FIDELITY ADVISOR SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCVIX	316389774	FIDELITY ADVISOR SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCVTX	316389782	FIDELITY ADVISOR SMALL-CAP VALUE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FMAMX	316066786	FIDELITY ADVISOR STOCK SELECTOR ALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FLACX	316066810	FIDELITY ADVISOR STOCK SELECTOR ALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FBRNX	316066778	FIDELITY ADVISOR STOCK SELECTOR ALL CAP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSJHX	316066794	FIDELITY ADVISOR STOCK SELECTOR ALL CAP FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FLUIX	316128727	FIDELITY ADVISOR STOCK SELECTOR LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FLUAX	316128768	FIDELITY ADVISOR STOCK SELECTOR LRG CAP VALUE FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FLUEX	316128743	FIDELITY ADVISOR STOCK SELECTOR LRG CAP VALUE FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FLUTX	316128735	FIDELITY ADVISOR STOCK SELECTOR LRG CAP VALUE FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FMCDX	315805879	FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FMCEX	315805713	FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FMCCX	315805606	FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FMCAx	315805408	FIDELITY ADVISOR STOCK SELECTOR MID CAP FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCDAX	316066505	FIDELITY ADVISOR STOCK SELECTOR SMALL CAP FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCDcX	316066703	FIDELITY ADVISOR STOCK SELECTOR SMALL CAP FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FCDTX	316066802	FIDELITY ADVISOR STOCK SELECTOR SMALL CAP FUND CL M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FCDIX	316066885	FIDELITY ADVISOR STOCK SELECTOR SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FASDX	316145408	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCSDX	316145606	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSIDX	316145804	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FTSDX	316145705	FIDELITY ADVISOR STRATEGIC DIVIDEND & INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSTAX	315920850	FIDELITY ADVISOR STRATEGIC INCOME CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FSIAX	315920504	FIDELITY ADVISOR STRATEGIC INCOME CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSRCX	315807669	FIDELITY ADVISOR STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSRIX	315920801	FIDELITY ADVISOR STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FADMX	315807461	FIDELITY ADVISOR STRATEGIC INCOME FUND RETAIL CLASS	T		N	4:00 PM	01
FIDELITY FUNDS	FSRAX	315912873	FIDELITY ADVISOR STRATEGIC REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCSRX	315912857	FIDELITY ADVISOR STRATEGIC REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSIRX	315912832	FIDELITY ADVISOR STRATEGIC REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSRTX	315912840	FIDELITY ADVISOR STRATEGIC REAL RETURN FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSEKX	31641Q169	FIDELITY ADVISOR SUSTAINABILITY U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FSEOX	31641Q151	FIDELITY ADVISOR SUSTAINABILITY U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSEQX	31641Q136	FIDELITY ADVISOR SUSTAINABILITY U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FSEPX	31641Q144	FIDELITY ADVISOR SUSTAINABILITY U.S. EQUITY FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FTUAX	316390269	FIDELITY ADVISOR TELECOMMUNICATIONS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTUCX	316390244	FIDELITY ADVISOR TELECOMMUNICATIONS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTUIX	316390228	FIDELITY ADVISOR TELECOMMUNICATIONS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTUTX	316390236	FIDELITY ADVISOR TELECOMMUNICATIONS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FEPAX	31617K873	FIDELITY ADVISOR TOTAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCEPX	31617K857	FIDELITY ADVISOR TOTAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FEPIX	31617K832	FIDELITY ADVISOR TOTAL BOND FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FEPTX	31617K840	FIDELITY ADVISOR TOTAL BOND FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FTEDX	31618H580	FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTEFX	31618H572	FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTEJX	31618H556	FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTEHX	31618H564	FIDELITY ADVISOR TOTAL EMERGING MARKETS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FTAEX	315910364	FIDELITY ADVISOR TOTAL INTERNATIONAL EQUITY FUND CL A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FTCEX	315910349	FIDELITY ADVISOR TOTAL INTERNATIONAL EQUITY FUND CL C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTEIX	315910323	FIDELITY ADVISOR TOTAL INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FUGAX	315918748	FIDELITY ADVISOR UTILITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FUGCX	315918532	FIDELITY ADVISOR UTILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FUGIX	315918722	FIDELITY ADVISOR UTILITIES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FAUFX	315918730	FIDELITY ADVISOR UTILITIES FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAVFX	315916767	FIDELITY ADVISOR VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCVFX	315916742	FIDELITY ADVISOR VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FVIFX	315916726	FIDELITY ADVISOR VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FTVFX	315916734	FIDELITY ADVISOR VALUE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FVLAX	315920348	FIDELITY ADVISOR VALUE LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FVLCX	315920322	FIDELITY ADVISOR VALUE LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FVLIX	315920298	FIDELITY ADVISOR VALUE LEADERS FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FVLTX	315920314	FIDELITY ADVISOR VALUE LEADERS FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FSOAX	315920868	FIDELITY ADVISOR VALUE STRATEGIES CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FASPX	315918300	FIDELITY ADVISOR VALUE STRATEGIES CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FVCSX	315805317	FIDELITY ADVISOR VALUE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FASOX	315920884	FIDELITY ADVISOR VALUE STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FWOAX	31641Q656	FIDELITY ADVISOR WOMEN'S LEADERSHIP FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FWOCX	31641Q631	FIDELITY ADVISOR WOMEN'S LEADERSHIP FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FWMNX	31641Q623	FIDELITY ADVISOR WOMEN'S LEADERSHIP FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FWOEX	31641Q649	FIDELITY ADVISOR WOMEN'S LEADERSHIP FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FWAFX	31618H705	FIDELITY ADVISOR WORLDWIDE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FWCFX	31618H887	FIDELITY ADVISOR WORLDWIDE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FWIFX	31618H861	FIDELITY ADVISOR WORLDWIDE FUND CLASS I	T	I	N	4:00 PM	01
FIDELITY FUNDS	FWTFX	31618H879	FIDELITY ADVISOR WORLDWIDE FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FRINX	316389477	FIDELITY ADVISORS REAL ESTATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FARMX	31641Q532	FIDELITY AGRICULTURAL PRODUCT FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSAZX	316448885	FIDELITY ARIZONA MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FASIX	316069400	FIDELITY ASSET MANAGER 20% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTANX	316069723	FIDELITY ASSET MANAGER 30% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFANX	316069657	FIDELITY ASSET MANAGER 40% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FASMX	316069103	FIDELITY ASSET MANAGER 50% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSANX	316069582	FIDELITY ASSET MANAGER 60% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FASGX	316069301	FIDELITY ASSET MANAGER 70% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FAASX	316069525	FIDELITY ASSET MANAGER 70% FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FCASX	316069491	FIDELITY ASSET MANAGER 70% FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FTASX	316069483	FIDELITY ASSET MANAGER 70% FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FAMRX	316069707	FIDELITY ASSET MANAGER 85% FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBALX	316345206	FIDELITY BALANCED FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBGRX	316389303	FIDELITY BLUE CHIP GROWTH FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBCVX	316389857	FIDELITY BLUE CHIP VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCSTX	316061852	FIDELITY CALIFORNIA LIMITED TERM TAX-FREE BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCTFX	316061209	FIDELITY CALIFORNIA MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FICDX	315910307	FIDELITY CANADA FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FAGIX	316062108	FIDELITY CAPITAL AND INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDCAX	316066109	FIDELITY CAPITAL APPRECIATION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHKCX	315910778	FIDELITY CHINA REGION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCAEX	316433101	FIDELITY CLIMATE ACTION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FICNX	316089101	FIDELITY CONNECTICUT MUNICIPAL INCOME FD	T		N	4:00 PM	01
FIDELITY FUNDS	FCONX	316146539	FIDELITY CONSERVATIVE INCOME BOND FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FCNVX	316146521	FIDELITY CONSERVATIVE INCOME BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCRDY	316203835	FIDELITY CONSERVATIVE INCOME MUNICIPAL BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMNDX	316203843	FIDELITY CONSERVATIVE INCOME MUNICIPAL BOND FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
FIDELITY FUNDS	FCNTX	316071109	FIDELITY CONTRA FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLCNX	316071836	FIDELITY CONTRAFUND FUND CLASS K6	T+	K	N	4:00 PM	01
FIDELITY FUNDS	FCVSX	316145200	FIDELITY CONVERTIBLE SECURITIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCBFX	316146596	FIDELITY CORPORATE BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDEQX	316066208	FIDELITY DISCIPLINED EQUITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBOTX	31641Q565	FIDELITY DISRUPTIVE AUTOMATION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNETX	31641Q573	FIDELITY DISRUPTIVE COMMUNICATIONS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNETX	31641Q581	FIDELITY DISRUPTIVE FINANCE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMEDX	31641Q557	FIDELITY DISRUPTIVE MEDICINE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTEKX	31641Q540	FIDELITY DISRUPTIVE TECHNOLOGY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGDFX	31641Q516	FIDELITY DISRUPTORS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDIVX	315910802	FIDELITY DIVERSIFIED INTERNATIONAL FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDGFX	316389402	FIDELITY DIVIDEND GROWTH FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSEAX	315910851	FIDELITY EMERGING ASIA FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FEDDX	31618H549	FIDELITY EMERGING MARKETS DISCOVERY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FEMKX	315910869	FIDELITY EMERGING MARKETS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FPADX	316146331	FIDELITY EMERGING MARKETS INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FEOPX	31618H150	FIDELITY ENDURING OPPORTUNITIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSLEX	316390574	FIDELITY ENVIRONMENT AND ALTERNATIVE ENERGY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFEBX	31617L392	FIDELITY ENVIRONMENTAL BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FEQTX	316145101	FIDELITY EQUITY DIVIDEND INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FEQIX	316138106	FIDELITY EQUITY INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIEUX	316343300	FIDELITY EUROPE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHJUX	31618H457	FIDELITY EUROPE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIDELITY FUNDS	FHJTX	31618H424	FIDELITY EUROPE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FSMAX	315911743	FIDELITY EXTENDED MARKET INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FFRHX	315916783	FIDELITY FLOATING RATE HIGH INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTQGX	316066406	FIDELITY FOCUS STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHIFX	316146794	FIDELITY FOCUSED HIGH INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIFNX	315911594	FIDELITY FOUNDERS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFFVX	315792689	FIDELITY FREEDOM 2005 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFVFX	315792671	FIDELITY FREEDOM 2015 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFFDX	31617R605	FIDELITY FREEDOM 2020 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFTWX	315792663	FIDELITY FREEDOM 2025 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFTHX	315792655	FIDELITY FREEDOM 2035 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFFFX	315792101	FIDELITY FREEDOM 2040 FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FFGX	315792424	FIDELITY FREEDOM 2045 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDKVX	315793729	FIDELITY FREEDOM 2060 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFSFX	315796797	FIDELITY FREEDOM 2065 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAZX	315794677	FIDELITY FREEDOM BLEND 2005 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAYX	315794669	FIDELITY FREEDOM BLEND 2010 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAWX	315794651	FIDELITY FREEDOM BLEND 2015 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAVX	315794644	FIDELITY FREEDOM BLEND 2020 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAUX	315794636	FIDELITY FREEDOM BLEND 2025 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHATX	315794628	FIDELITY FREEDOM BLEND 2030 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHASX	315794610	FIDELITY FREEDOM BLEND 2035 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHARX	315794594	FIDELITY FREEDOM BLEND 2040 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAQX	315794586	FIDELITY FREEDOM BLEND 2045 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAPX	315794578	FIDELITY FREEDOM BLEND 2050 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHAOX	315794560	FIDELITY FREEDOM BLEND 2055 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHANX	315794552	FIDELITY FREEDOM BLEND 2060 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFBSX	315796789	FIDELITY FREEDOM BLEND 2065 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHBZX	315794685	FIDELITY FREEDOM BLEND INC FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFFCX	31617R506	FIDELITY FREEDOM FUND 2010	T		N	4:00 PM	01
FIDELITY FUNDS	FFFHX	315792416	FIDELITY FREEDOM FUND 2050	T		N	4:00 PM	01
FIDELITY FUNDS	FDEEX	315793851	FIDELITY FREEDOM FUND 2055	T		N	4:00 PM	01
FIDELITY FUNDS	FFFAX	31617R308	FIDELITY FREEDOM INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FJIFX	315793208	FIDELITY FREEDOM INDEX 2005 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FKIFX	315793307	FIDELITY FREEDOM INDEX 2010 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FLIFX	315793406	FIDELITY FREEDOM INDEX 2015 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FPIFX	315793505	FIDELITY FREEDOM INDEX 2020 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFEDX	315793638	FIDELITY FREEDOM INDEX 2025 FUND INSTITUTIONAL PREMIUM CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FQIFX	315793604	FIDELITY FREEDOM INDEX 2025 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFEGX	315793620	FIDELITY FREEDOM INDEX 2030 FUND INSTITUTIONAL PREMIUM CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FXIFX	315793703	FIDELITY FREEDOM INDEX 2030 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFEZX	315793612	FIDELITY FREEDOM INDEX 2035 FUND INSTITUTIONAL PREMIUM CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FIHFX	315793802	FIDELITY FREEDOM INDEX 2035 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFIZX	315793596	FIDELITY FREEDOM INDEX 2040 FUND INSTITUTIONAL PREMIUM CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FBIFX	315793885	FIDELITY FREEDOM INDEX 2040 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFOLX	315793588	FIDELITY FREEDOM INDEX 2045 FUND INSTITUTIONAL CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FIOFX	315793877	FIDELITY FREEDOM INDEX 2045 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FIPFX	315793869	FIDELITY FREEDOM INDEX 2050 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FDEWX	315793828	FIDELITY FREEDOM INDEX 2055 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFLEX	315793554	FIDELITY FREEDOM INDEX 2060 FUND INSTITUTIONAL PREMIUM CLASS	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FDK LX	315793695	FIDELITY FREEDOM INDEX 2060 FUND INVESTOR CLASS	T+		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FFIJX	315796839	FIDELITY FREEDOM INDEX 2065 FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFIKX	315796615	FIDELITY FREEDOM INDEX 2065 INSTL PREMIUM FUND	T+	P	N	4:00 PM	01
FIDELITY FUNDS	FIKFX	315792150	FIDELITY FREEDOM INDEX INCOME FUND INVESTOR CLASS	T+		N	4:00 PM	01
FIDELITY FUNDS	FFEX	31617R704	FIDELITY FREEDOM TWENTY THIRTY FUNDS	T		N	4:00 PM	01
FIDELITY FUNDS	FFIDX	316153105	FIDELITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFGCX	31618H606	FIDELITY GLOBAL COMMODITY STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGBFX	31638R881	FIDELITY GLOBAL CREDIT FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGILX	31618H481	FIDELITY GLOBAL EQUITY INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSGGX	316146315	FIDELITY GLOBAL EX U.S. INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FGHNX	31641Q854	FIDELITY GLOBAL HIGH INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGMNX	31617K105	FIDELITY GNMA FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGOVX	316172105	FIDELITY GOVERNMENT INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGRIX	316389204	FIDELITY GROWTH & INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDGRX	316200104	FIDELITY GROWTH COMPANY	T		Y	4:00 PM	01
FIDELITY FUNDS	FDSVX	31617F205	FIDELITY GROWTH DISCOVERY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDEGX	316200203	FIDELITY GROWTH STRATEGIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	SPHIX	316146406	FIDELITY HIGH INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIRMX	31617K568	FIDELITY INCOME REPLACEMENT 2022 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIRNX	31617K519	FIDELITY INCOME REPLACEMENT 2024 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIRRX	31617K287	FIDELITY INCOME REPLACEMENT 2032 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIRUX	31617K170	FIDELITY INCOME REPLACEMENT 2036 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIRWX	31617L608	FIDELITY INCOME REPLACEMENT 2040 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIPDX	31635T104	FIDELITY INFLATION PROTECTED BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FNSTX	31618H168	FIDELITY INFRASTRUCTURE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTHRX	315912105	FIDELITY INTERMED BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSTGX	31617K303	FIDELITY INTERMEDIATE GOVERNMENT FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLTMX	31638R204	FIDELITY INTERMEDIATE MUNICIPAL INCOME FD	T		N	4:00 PM	01
FIDELITY FUNDS	FUAMX	31635V257	FIDELITY INTERMEDIATE TREASURY BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FBIIX	31635T732	FIDELITY INTERNATIONAL BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FIGRX	315910208	FIDELITY INTERNATIONAL DISCOVERY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIGFX	315910315	FIDELITY INTERNATIONAL GROWTH FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSPSX	315911727	FIDELITY INTERNATIONAL INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FIREX	316389840	FIDELITY INTERNATIONAL REAL ESTATE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FISMX	315910737	FIDELITY INTERNATIONAL SMALL CAP FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIVLX	315910489	FIDELITY INTERNATIONAL VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIENX	31606X407	FIDELITY INTERNTL ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FIVFX	315910810	FIDELITY INTL CAPITAL APPRECIATION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSCOX	315910562	FIDELITY INT'L SMALL CAP OPPORTUNITIES FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FNIDX	31635V349	FIDELITY INT'L SUSTAINABILITY INDEX FUND	T+		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FBNDX	316146109	FIDELITY INVESTMENT GRADE BOND	T		N	4:00 PM	01
FIDELITY FUNDS	FJPNX	315910885	FIDELITY JAPAN FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FJSCX	315910760	FIDELITY JAPAN SMALL COMPANIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLCEX	31606X100	FIDELITY LARGE CAP CORE ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FLGEX	31606X308	FIDELITY LARGE CAP GROWTH ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FSPGX	31635V729	FIDELITY LARGE CAP GROWTH INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FLCSX	315912402	FIDELITY LARGE CAP STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLVEX	31606X209	FIDELITY LARGE CAP VALUE ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FLCOX	31635V679	FIDELITY LARGE CAP VALUE INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FLATX	315910844	FIDELITY LATIN AMERICA FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLVCX	316389873	FIDELITY LEVERAGED COMPANY STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FJRLX	315916718	FIDELITY LIMITED TERM BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFXSX	315809400	FIDELITY LIMITED TERM GOVERNMENT FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSTFX	316203207	FIDELITY LIMITED TERM MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNBGX	31635V232	FIDELITY LONG TERM TREASURY BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FLPSX	316345305	FIDELITY LOW PRICED STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDMMX	315902205	FIDELITY MA MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMAGX	316184100	FIDELITY MAGELLAN FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIRVX	31617L103	FIDELITY MANAGED RETIREMENT 2020 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FIXRX	31617L863	FIDELITY MANAGED RETIREMENT 2025 FUND	T		Y	4:00 PM	01
FIDELITY FUNDS	FMRAX	31617L533	FIDELITY MANAGED RETIREMENT 2030 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	SMDMX	316448604	FIDELITY MARYLAND MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FGRTX	31617F403	FIDELITY MEGA CAP STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMHTX	316412204	FIDELITY MICHIGAN MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMEIX	31606X605	FIDELITY MID CAP ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FMDGX	31635T815	FIDELITY MID CAP GROWTH INDEX	T+		N	4:00 PM	01
FIDELITY FUNDS	FMCSX	316128404	FIDELITY MID CAP STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSMVX	316128701	FIDELITY MID CAP VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIMVX	31635T799	FIDELITY MID CAP VALUE INDEX	T+		N	4:00 PM	01
FIDELITY FUNDS	FSMDX	316146265	FIDELITY MID-CAP INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FIMIX	316412303	FIDELITY MINNESOTA MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMSFX	31617K204	FIDELITY MORTGAGE SECURITIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FMSDX	31638R717	FIDELITY MULTI ASSET INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FFNOX	31634R109	FIDELITY MULTI-ASSET INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FMBIX	31635T765	FIDELITY MUNICIPAL BOND INDEX	T+		N	4:00 PM	01
FIDELITY FUNDS	FCHPX	31635V109	FIDELITY MUNICIPAL INCOME 2023 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIMSX	31635V380	FIDELITY MUNICIPAL INCOME 2025 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHIGX	316089507	FIDELITY MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FHUGX	316203793	FIDELITY MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FKISX	316203777	FIDELITY MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIDELITY FUNDS	FLUVX	316203785	FIDELITY MUNICIPAL INCOME FUND CLASS M	T	M	N	4:00 PM	01
FIDELITY FUNDS	FNCMX	315912709	FIDELITY NASDAQ COMPOSITE INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FNMIK	315910836	FIDELITY NEW MARKETS INCOME	T		N	4:00 PM	01
FIDELITY FUNDS	FMILX	316200302	FIDELITY NEW MILLENIUM FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTFMX	316337203	FIDELITY NEW YORK MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNJHX	316089200	FIDELITY NJ MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNORX	315910752	FIDELITY NORDIC FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FOHFX	316412105	FIDELITY OHIO MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FOCPX	316389105	FIDELITY OTC PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FOSFX	316343102	FIDELITY OVERSEAS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FPXTX	316344209	FIDELITY PA MUNICIPAL INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FPBFX	316343201	FIDELITY PACIFIC BASIN FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FPURX	316345107	FIDELITY PURITAN FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FRIFX	316389865	FIDELITY REAL ESTATE INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSRNK	316146232	FIDELITY REAL ESTATE INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FRESX	316138205	FIDELITY REAL ESTATE INVESTMENT PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSAVX	316390699	FIDELITY SELECT AUTO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSRBX	316390640	FIDELITY SELECT BANKING PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FBIOX	316390772	FIDELITY SELECT BIOTECHNOLOGY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSLBX	316390855	FIDELITY SELECT BROKERAGE MANAGEMENT FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSCHX	316390889	FIDELITY SELECT CHEMICAL PORTFOLIO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBMPX	316390681	FIDELITY SELECT COMMUNICATION SERVICES PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSHOX	316390616	FIDELITY SELECT CON- STRUCTION & HOUSING FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSCPX	316390558	FIDELITY SELECT CONS UMER PRODUCTS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDFAK	316390848	FIDELITY SELECT CONSUMER STAPLES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCYIX	316390517	FIDELITY SELECT CYCLICAL INDUSTRIES	T		N	4:00 PM	01
FIDELITY FUNDS	FSDAX	316390806	FIDELITY SELECT DEFENSE & AEROSPACE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSENK	316390103	FIDELITY SELECT ENERGY PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FIDSK	316390608	FIDELITY SELECT FINANCIAL SERVICES PORTFOLIO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSVLX	316390723	FIDELITY SELECT FINTECH PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSAGX	316390780	FIDELITY SELECT GOLD FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSPHX	316390301	FIDELITY SELECT HEALTH CARE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSHCX	316390665	FIDELITY SELECT HEALTH CARE SERVICES PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSPCX	316390541	FIDELITY SELECT INSURANCE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FBSOX	316390483	FIDELITY SELECT IT SERVICES	T		N	4:00 PM	01
FIDELITY FUNDS	FDLSX	316390707	FIDELITY SELECT LEISURE PORTFOLIO FD	T		N	4:00 PM	01
FIDELITY FUNDS	FSDPK	316390590	FIDELITY SELECT MATERIALS	T		N	4:00 PM	01
FIDELITY FUNDS	FSMEX	316390475	FIDELITY SELECT MEDICAL TECHNOLOGY AND DEVICES PORTFOLIO	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FNARX	316390491	FIDELITY SELECT NATURAL RESOURCES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FPHAX	316390442	FIDELITY SELECT PHARMACEUTICALS PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSRPX	316390731	FIDELITY SELECT RETAILING FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSELX	316390863	FIDELITY SELECT SEMICONDUCTORS PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSCSX	316390822	FIDELITY SELECT SOFTWARE AND IT SERVICES PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FDCPX	316390871	FIDELITY SELECT TECH HARDWARE PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FSPTX	316390202	FIDELITY SELECT TECH NOLOGY PORTFOLIO FD	T		N	4:00 PM	01
FIDELITY FUNDS	FSTCX	316390830	FIDELITY SELECT TELE COMMUNICATIONS PORTFOLIO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSRFX	316390582	FIDELITY SELECT TRANSPORTATION FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSUTX	316390509	FIDELITY SELECT UTILITIES PORTFOLIO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FWRLX	316390459	FIDELITY SELECT WIRELESS PORTFOLIO	T		N	4:00 PM	01
FIDELITY FUNDS	FAHX	31641Q847	FIDELITY SHORT DURATION HIGH INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNSOX	31635V273	FIDELITY SHORT TERM BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FSHBX	316146208	FIDELITY SHORT-TERM BOND PORTFOLIO FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FUMBX	31635V216	FIDELITY SHORT-TERM TREASURY BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FMRHX	31617L475	FIDELITY SIMPLICITY RMD 2025 FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSCRX	315912600	FIDELITY SMALL CAP DISCOVERY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCPEX	31606X506	FIDELITY SMALL CAP ENHANCED INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FECGX	31635T781	FIDELITY SMALL CAP GROWTH INDEX	T+		N	4:00 PM	01
FIDELITY FUNDS	FSSNX	316146182	FIDELITY SMALL CAP INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FISVX	31635T773	FIDELITY SMALL CAP VALUE INDEX	T+		N	4:00 PM	01
FIDELITY FUNDS	FCPGX	316389766	FIDELITY SMALL-CAP GROWTH FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSLCX	315912501	FIDELITY SMALL-CAP STOCK FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FCPVX	316389832	FIDELITY SMALL-CAP VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDSSX	316066307	FIDELITY STOCK SELECTOR ALL CAP FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSLVX	316128602	FIDELITY STOCK SELECTOR LARGE CAP VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSSMX	315805283	FIDELITY STOCK SELECTOR MID CAP FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDSCX	315912303	FIDELITY STOCK SELECTOR SMALL CAP FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSDIX	316145887	FIDELITY STRATEGIC DIVIDEND & INCOME FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSRRX	315912881	FIDELITY STRATEGIC REAL RETURN FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FNSDX	31635T401	FIDELITY SUSTAINABILITY BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FSEBX	316433200	FIDELITY SUSTAINABILITY U.S. EQUITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTABX	316128503	FIDELITY TAX FREE BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FIUIX	316128107	FIDELITY TELECOM AND UTILITIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTBFX	31617K881	FIDELITY TOTAL BOND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTEMX	31618H598	FIDELITY TOTAL EMERGING MARKETS FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTIEX	315910299	FIDELITY TOTAL INTERNATIONAL EQUITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FTIHX	31635V638	FIDELITY TOTAL INTERNATIONAL INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FSKAX	315911693	FIDELITY TOTAL MARKET INDEX FUND	T+		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIDELITY FUNDS	FTRNX	316423102	FIDELITY TREND FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FXNAX	316146356	FIDELITY U.S BOND INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FULVX	31641Q599	FIDELITY U.S. LOW VOLATILITY EQUITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FITLX	31635V398	FIDELITY U.S. SUSTAINABILITY INDEX FUND	T+		N	4:00 PM	01
FIDELITY FUNDS	FVDFX	316345503	FIDELITY VALUE DISCOVERY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FDVLX	316464106	FIDELITY VALUE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FSLSX	316401108	FIDELITY VALUE STRATEGIES FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FLOWX	31641Q524	FIDELITY WATER SUSTAINABILITY FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FWOMX	31641Q664	FIDELITY WOMEN'S LEADERSHIP FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FWWFX	315910505	FIDELITY WORLDWIDE FUND	T		N	4:00 PM	01
FIDELITY FUNDS	FJAYX	315794412	FIDELITY ADVISOR FREEDOM BLEND 2010 FUND CLASS C	NTF^	C	N	4:00 PM	01
FIERA FUNDS	FCGIX	31660Q207	FIERA CAPITAL GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FIERA FUNDS	FCGEX	31660Q108	FIERA CAPITAL GLOBAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FIERA FUNDS	FCIWX	31660Q405	FIERA CAPITAL INTERNATIONAL EQUITY FUND CLASS Z	T	Z	N	4:00 PM	01
FIERA FUNDS	FCIUX	31660Q306	FIERA CAPITAL INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FIERA FUNDS	APSGX	31660Q702	FIERA CAPITAL SMALL MID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FIERA FUNDS	APSRX	31660Q603	FIERA CAPITAL SMALL/MID-CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FIERA FUNDS	FCIRX	31660Q504	FIERA INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FIRST EAGLE FUNDS	FEFAX	32008F853	FIRST EAGLE FUND OF AMERICA CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEAMX	32008F846	FIRST EAGLE FUND OF AMERICA CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	FEAFX	32008F838	FIRST EAGLE FUND OF AMERICA CLASS Y	NTF	Y	Y	4:00 PM	01
FIRST EAGLE FUNDS	FEAIX	32008F663	FIRST EAGLE FUND OF AMERICA FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	SGENX	32008F507	FIRST EAGLE GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FESGX	32008F705	FIRST EAGLE GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	SGIIX	32008F606	FIRST EAGLE GLOBAL FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	EARGX	32008F622	FIRST EAGLE GLOBAL FUND CLASS R3	T	J	N	4:00 PM	01
FIRST EAGLE FUNDS	FEBAX	32008F697	FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEBCX	32008F689	FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	FEBIX	32008F671	FIRST EAGLE GLOBAL INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	FERAX	32008F192	FIRST EAGLE GLOBAL REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEREX	32008F184	FIRST EAGLE GLOBAL REAL ASSETS FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	SGGDX	32008F408	FIRST EAGLE GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEGOX	32008F788	FIRST EAGLE GOLD FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	FEGIX	32008F770	FIRST EAGLE GOLD FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	FEHAX	32008F739	FIRST EAGLE HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEHCX	32008F721	FIRST EAGLE HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	FEHIX	32008F713	FIRST EAGLE HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	FESOX	32008F804	FIRST EAGLE OVERSEAS CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	SGOIX	32008F200	FIRST EAGLE OVERSEAS FD CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FIRST EAGLE FUNDS	SGOVX	32008F101	FIRST EAGLE OVERSEAS FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FESAX	32008F226	FIRST EAGLE SMALL-CAP OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FESCX	32008F234	FIRST EAGLE SMALL-CAP OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
FIRST EAGLE FUNDS	FEVAX	32008F887	FIRST EAGLE U.S. VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST EAGLE FUNDS	FEVCX	32008F879	FIRST EAGLE U.S. VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST EAGLE FUNDS	FEVIX	32008F861	FIRST EAGLE U.S. VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIRST REPUBLIC FUNDS	FNDRX	89832P291	FRC FOUNDERS INDEX FUND	T		N	4:00 PM	01
FIRST STATE FUNDS	FLIAX	00770X329	FIRST SENTIER AMERICAN LISTED INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
FIRST STATE FUNDS	FLIIX	00770X386	FIRST SENTIER GLOBAL LISTED INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
FIRST TRUST FUNDS	FOVRX	33731W148	FIRST TRUST CONFLUENCE SMALL-CAP VALUE FUND CLASS R3	T	J	N	4:00 PM	01
FIRST TRUST FUNDS	FPEAX	33738A107	FIRST TRUST PREF SECURITIES & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST TRUST FUNDS	FPECX	33738A115	FIRST TRUST PREF SECURITIES & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST TRUST FUNDS	FPEIX	33738A131	FIRST TRUST PREF SECURITIES & INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIRST TRUST FUNDS	FPEFX	33738A123	FIRST TRUST PREF SECURITIES AND INCOME FUND CLASS F	T	F	N	4:00 PM	01
FIRST TRUST FUNDS	PPERX	33738A149	FIRST TRUST PREF SECURITIES AND INCOME FUND CLASS R3	T	J	N	4:00 PM	01
FIRST TRUST FUNDS	FDHAX	33738F601	FIRST TRUST SHORT DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST TRUST FUNDS	FDHCX	33738F700	FIRST TRUST SHORT DURATION HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST TRUST FUNDS	FDHIX	33738F882	FIRST TRUST SHORT DURATION HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
FIRST TRUST FUNDS	FOVAX	33731W106	FIRST TRUST/ CONFLUENCE SM CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FIRST TRUST FUNDS	FOVCX	33731W114	FIRST TRUST/ CONFLUENCE SM CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FIRST TRUST FUNDS	FOVIX	33731W130	FIRST TRUST/ CONFLUENCE SM CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
FIRSTHAND FUNDS	ALTEX	337941827	FIRSTHAND ALTERNATIVE ENERGY FUND	T		N	4:00 PM	01
FIRSTHAND FUNDS	TEFQX	337941504	FIRSTHAND TECHNOLOGY OPPORTUNITIES FUND	T		N	4:00 PM	01
FM INVESTMENTS FUNDS	IAFLX	55379J204	FM INVESTMENTS LARGE-CAP FOCUSED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FM INVESTMENTS FUNDS	IAFMX	55379J105	FM INVESTMENTS LARGE-CAP FOCUSED FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FMI FUNDS	FMIMX	302933403	FMI COMMON STOCK FUND	T	V	N	4:00 PM	01
FMI FUNDS	FMIUX	302933601	FMI COMMON STOCK FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FMI FUNDS	FMIFX	302933809	FMI INTERNATIONAL CURRENCY UNHEDGED II FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FMI FUNDS	FMIYX	302933700	FMI INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FMI FUNDS	FMIJX	302933304	FMI INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FMI FUNDS	FMIHX	302933205	FMI LARGE CAP FUND	NTF	V	N	4:00 PM	01
FMI FUNDS	FMIQX	302933502	FMI LARGE CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORESIDE FUNDS	BDKAX	46141Q618	BRADDOCK MULTI STRATEGY INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
FORESIDE FUNDS	BDKNX	46141Q584	BRADDOCK MULTI STRATEGY INCOME FUND CLASS I	T	I	N	4:00 PM	01
FORESIDE FUNDS	BDKCX	46141Q592	BRADDOCK MUTLI STRATEGY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FORESIDE FUNDS	RBNCX	46141Q568	ROBINSON INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FORESIDE FUNDS	RBNAX	46141Q576	ROBINSON OPPORTUNISTIC INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
FORESIDE FUNDS	RBNNX	46141Q550	ROBINSON OPPORTUNISTIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
FORESIDE FUNDS	ROBAX	46141Q105	ROBINSON TAX ADVANTAGED INCOME FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FORESIDE FUNDS	ROBCX	46141Q204	ROBINSON TAX ADVANTAGED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FORESIDE FUNDS	ROBNX	46141Q303	ROBINSON TAX ADVANTAGED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORESIDE FUNDS	VVMIX	46143U773	SECURIAN AM BALANCED STABILIZATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORESIDE FUNDS	VMEIX	46143U757	SECURIAN AM EQUITY STABILIZATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORESIDE FUNDS	VSDIX	46143U799	SECURIAN AM REAL ASSET INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORESIDE FUNDS	REIAX	46141P446	WEST LOOP REALTY FUND CLASS A	NTF	A	N	4:00 PM	01
FORESIDE FUNDS	REICX	46141P438	WEST LOOP REALTY FUND CLASS C	NTF^	C	N	4:00 PM	01
FORESIDE FUNDS	REIIX	46141P420	WEST LOOP REALTY FUND CLASS I	T	I	N	4:00 PM	01
FORUM FUNDS	ABRSX	34984Y658	ABR 50/50 VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	ABRJX	34984Y641	ABR 50/50 VOLATILITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FORUM FUNDS	VOLJX	34984Y591	ABR 75/25 VOLATILITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FORUM FUNDS	VOLSX	34984Y617	ABR DYNAMIC 75/25 VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	ABRVX	34984Y781	ABR DYNAMIC BLEND EQUITY & VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	ABRTX	34984Y773	ABR DYNAMIC BLEND EQUITY & VOLATILITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FORUM FUNDS	CAPOX	34985A709	ABSOLUTE CAPITAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
FORUM FUNDS	ARBIX	34984T287	ABSOLUTE CONVERTIBLE ARBITRAGE FUND	T		N	4:00 PM	01
FORUM FUNDS	ASFIX	34984T600	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	AFMCX	34984Y807	ACUITAS U.S. MICROCAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	AUXIX	34984T410	AUXIER FOCUS FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
FORUM FUNDS	AUXFX	349903179	AUXIER FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FORUM FUNDS	BMPEX	34985G102	BECK MACK & OLIVER PARTNERS FUND	NTF		N	4:00 PM	01
FORUM FUNDS	DFMGX	34984T279	DF DENT MID-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	DFDMX	34984T451	DF DENT MIDCAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FORUM FUNDS	DFDPX	349903419	DF DENT PREMIER GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FORUM FUNDS	DFSGX	34984T261	DF DENT SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	DFDSX	34984T394	DF DENT SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FORUM FUNDS	ASCGX	349903229	LISANTI SMALL CAP GROWTH FUND	T		N	4:00 PM	01
FORUM FUNDS	MAIPX	349903138	MAI MANAGED VOLATILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	DIVPX	349903120	MAI MANAGED VOLATILITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FORUM FUNDS	MHCIX	34984T501	MERK HARD CURRENCY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FORUM FUNDS	MERKX	34984T402	MERK HARD CURRENCY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FORUM FUNDS	MCMVX	34984T345	MONONGAHELA ALL CAP VALUE FUND	T		N	4:00 PM	01
FORUM FUNDS	PBFDX	349903880	PAYSON TOTAL RETURN FUND	T		N	4:00 PM	01
FORUM FUNDS	PGVFX	349903484	POLARIS GLOBAL VALUE FUND	T		N	4:00 PM	01
FOUNDRY PARTNERS FUNDS	DRISX	92046L668	FOUNDRY PARTNERS FUNDAMENTAL SMALL CAP VALUE FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
FOUNDRY PARTNERS FUNDS	DRSVX	92046L650	FOUNDRY PARTNERS FUNDAMENTAL SMALL CAP VALUE FUND INVESTOR CLAS	T	V	N	4:00 PM	01
FPA FUNDS	FPCSX	30254T676	FPA CRESCENT FUND SUPRA INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FPA FUNDS	FPACX	30254T759	FPA CRESCENT PORTFOLIO	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FPA FUNDS	PPFIX	30254T718	FPA FLEXIBLE FIXED INCOME FUND	T		N	4:00 PM	01
FPA FUNDS	FFIAX	30254T650	FPA FLEXIBLE FIXED INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FPA FUNDS	FPNIX	302544101	FPA NEW INCOME INC COM	T		N	4:00 PM	01
FPA FUNDS	QRSVX	104826201	FPA QUEENS ROAD SMALL-CAP VALUE FUND	T		N	4:00 PM	01
FPA FUNDS	QRSAX	104826300	FPA QUEENS ROAD SMALL-CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FPA FUNDS	QRSIX	104826409	FPA QUEENS ROAD SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FPA FUNDS	QRVLX	104826102	FPA QUEENS ROAD VALUE FUND	T		N	4:00 PM	01
FPA FUNDS	FPPFX	302548102	FPA U.S. CORE EQUITY FUND	T		N	4:00 PM	01
FRANK FUNDS	EVDAX	352005508	CAMELOT EVENT DRIVEN FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANK FUNDS	EVDIX	352005607	CAMELOT EVENT DRIVEN FUND CLASS I	T	I	N	4:00 PM	01
FRANK FUNDS	FRNKX	352005102	FRANK VALUE FUND	NTF		N	4:00 PM	01
FRANK FUNDS	FNKCX	352005300	FRANK VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANK FUNDS	FNKIX	352005409	FRANK VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FRANK FUNDS	LEBOX	352005201	WEST HILLS TACTICAL CORE FUND	T		N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAUGX	353612476	FRANKLIN ADJUSTABLE U.S. GOVERNMENT SECURITIES FD CL A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAUZX	353612625	FRANKLIN ADJUSTABLE U.S. GOVERNMENT SECURITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FISAX	353612302	FRANKLIN ADJUSTABLE U.S. GOVT SECS FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCSCX	353612732	FRANKLIN ADJUSTABLE U.S.GOV'T SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FALEX	354723454	FRANKLIN ALABAMA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FALZX	354724601	FRANKLIN ALABAMA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FALQX	354726101	FRANKLIN ALABAMA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRALX	354723884	FRANKLIN ALABAMA TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAZIX	354723462	FRANKLIN ARIZONA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTAZX	354723876	FRANKLIN ARIZONA TAX FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAZZX	354723256	FRANKLIN ARIZONA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAZQX	354726200	FRANKLIN ARIZONA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBFQX	353612666	FRANKLIN BALANCED FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTDZX	354713398	FRANKLIN BIOTECH DISCOVERY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBDIX	354713844	FRANKLIN BIOTECHNOLOGY DISCOVERY FUND CL A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FBTDX	354713240	FRANKLIN BIOTECHNOLOGY DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCAMX	354025306	FRANKLIN CA HIGH YIELD MUNICIPAL CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCCIX	352519607	FRANKLIN CA INTERM TERM TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVCAX	354025801	FRANKLIN CALIFORNIA HIGH YIELD MUNI FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCQAX	354025835	FRANKLIN CALIFORNIA HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAHX	354025603	FRANKLIN CALIFORNIA HIGH YLD MUNI CL C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRCZX	352519888	FRANKLIN CALIFORNIA INTERMEDIATE-TERM TAX-FREE FD ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCCQX	352519813	FRANKLIN CALIFORNIA INTERMEDIATE-TERM TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKCIX	352519300	FRANKLIN CALIFORNIA INTER-TERM TAX-FREE FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKTFX	352518104	FRANKLIN CALIFORNIA TAX FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRCTX	352518203	FRANKLIN CALIFORNIA TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCAVX	352518401	FRANKLIN CALIFORNIA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTFQX	352518807	FRANKLIN CALIFORNIA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCOIX	354723439	FRANKLIN COLORADO TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRCOX	354723868	FRANKLIN COLORADO TAX FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCOZX	354724205	FRANKLIN COLORADO TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCOQX	354726309	FRANKLIN COLORADO TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCTIX	354723579	FRANKLIN CONN TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCNZX	354724304	FRANKLIN CONN TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQCTX	354726408	FRANKLIN CONNECTICUT TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FXCTX	354723744	FRANKLIN CONNECTICUT TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTCZX	35472P851	FRANKLIN CONSERVATIVE ALLOCATION FD ADVISOR CL	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTCIX	35472P109	FRANKLIN CONSERVATIVE ALLOCATION FUND CL A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FTCCX	35472P208	FRANKLIN CONSERVATIVE ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTCRX	35472P703	FRANKLIN CONSERVATIVE ALLOCATION FD CL R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FROTX	353612880	FRANKLIN CONVERTIBLE SECS CLASS C	NTF^	C	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FISCX	353612104	FRANKLIN CONVERTIBLE SECS FUND CLASS A	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCSZX	353612641	FRANKLIN CONVERTIBLE SECURITIES FD ADVISOR CLASS	T	O	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCAZX	35472P745	FRANKLIN COREFOLIO ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS		35472P752	FRANKLIN COREFOLIO ALLOCATION FUND CL R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTCOX	35472P786	FRANKLIN COREFOLIO ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTCLX	35472P760	FRANKLIN COREFOLIO ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FDYNX	353496870	FRANKLIN DYNATECH CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FDYZX	353496680	FRANKLIN DYNATECH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKDNX	353496201	FRANKLIN DYNATECH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FDNRX	353496672	FRANKLIN DYNATECH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEMDX	353533813	FRANKLIN EMERGING MARKET DEBT OPPORTUNITIES FUND	T+		N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRETX	353612807	FRANKLIN EQUITY INCOME CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEIFX	353612633	FRANKLIN EQUITY INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FISEX	353612401	FRANKLIN EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FREIX	353612740	FRANKLIN EQUITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKITX	354723710	FRANKLIN FEDERAL INTER TERM TAX-FREE FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCITX	354723298	FRANKLIN FEDERAL INTERMEDIATE TERM TAX FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FITZX	354723215	FRANKLIN FEDERAL INTERMEDIATE-TERM TAX-FREE INCOME FUND ADVISOR CI	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKQTX	354726507	FRANKLIN FEDERAL INTERMEDIATE-TERM TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFLQX	354726606	FRANKLIN FEDERAL LIMITED TERM TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FFTFX	354723280	FRANKLIN FEDERAL LIMITED-TERM TAX FREE FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTFZX	354723132	FRANKLIN FEDERAL LIMITED-TERM TAX FREE INC FD ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAFTX	353519408	FRANKLIN FEDERAL TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFQAX	353519804	FRANKLIN FEDERAL TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKTIX	353519101	FRANKLIN FEDERAL TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRFTX	353519200	FRANKLIN FEDERAL TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FDAAX	353612781	FRANKLIN FLOATING RATE DAILY ACCESS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAFRX	353612823	FRANKLIN FLOATING RATE DAILY ACCESS FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCFRX	353612799	FRANKLIN FLOATING RATE DAILY ACCESS FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFQZX	353496599	FRANKLIN FOCUSED GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFQBX	353496466	FRANKLIN FOCUSED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFQCX	353496458	FRANKLIN FOCUSED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFQRX	353496441	FRANKLIN FOCUSED GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGAIX	354723488	FRANKLIN GEORGIA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTGAX	354723843	FRANKLIN GEORGIA TAX FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGFZX	354724809	FRANKLIN GEORGIA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGAQX	354726804	FRANKLIN GEORGIA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFAAX	35472P794	FRANKLIN GLOBAL ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFALX	35472P844	FRANKLIN GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFACX	35472P828	FRANKLIN GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FFARX	35472P810	FRANKLIN GLOBAL ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGADX	353535305	FRANKLIN GOLD AND PRECIOUS METALS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKRCX	353535107	FRANKLIN GOLD AND PRECIOUS METALS FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FRGOX	353535206	FRANKLIN GOLD AND PRECIOUS METALS FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGTZX	35472P877	FRANKLIN GROWTH ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGTIX	35472P505	FRANKLIN GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTGTX	35472P604	FRANKLIN GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGTRX	35472P802	FRANKLIN GROWTH ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCGAX	353496839	FRANKLIN GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKGRX	353496508	FRANKLIN GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRGSX	353496888	FRANKLIN GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGSRX	353496730	FRANKLIN GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRAAX	354713729	FRANKLIN GROWTH OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGRAX	354713687	FRANKLIN GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKACX	354713711	FRANKLIN GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKARX	354713513	FRANKLIN GROWTH OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVHIX	353538309	FRANKLIN HIGH INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHQRX	353538887	FRANKLIN HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHAIX	353538101	FRANKLIN HIGH INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCHIX	353538200	FRANKLIN HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHIRX	353538507	FRANKLIN HIGH INCOME FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHYVX	354723272	FRANKLIN HIGH YIELD TAX FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHYQX	354726887	FRANKLIN HIGH YIELD TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FHYIX	354723595	FRANKLIN HIGH-YIELD TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRHIX	354723702	FRANKLIN HIGH-YIELD TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRIAX	353496847	FRANKLIN INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FKIQX	353496490	FRANKLIN INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKINX	353496300	FRANKLIN INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCISX	353496805	FRANKLIN INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FISRX	353496722	FRANKLIN INCOME FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNGZX	353533698	FRANKLIN INTERNATIONAL GROWTH FUND ADV CL	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNGAX	353533730	FRANKLIN INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNGDX	353533722	FRANKLIN INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNGRX	353533714	FRANKLIN INTERNATIONAL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKSCX	353533888	FRANKLIN INTERNATIONAL SMALL-CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FINAX	353533763	FRANKLIN INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCSMX	353533755	FRANKLIN INTERNATIONAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FISDX	353533748	FRANKLIN INTERNATIONAL SMALL-CAP FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FABZX	35241W500	FRANKLIN K2 ALTERNATIVE STRATEGIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FAAAX	35241W104	FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FASCX	35241W203	FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSKKX	35241W302	FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKLZX	35241W823	FRANKLIN K2 LONG SHORT CREDIT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKLSX	35241W864	FRANKLIN K2 LONG SHORT CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKLCX	35241W856	FRANKLIN K2 LONG SHORT CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKLRX	35241W849	FRANKLIN K2 LONG SHORT CREDIT FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLROX	35472P315	FRANKLIN LIFESMART 2020 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRMX	35472P356	FRANKLIN LIFESMART 2020 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRQX	35472P349	FRANKLIN LIFESMART 2020 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FLRVX	35472P331	FRANKLIN LIFESMART 2020 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRFX	35472P570	FRANKLIN LIFESMART 2025 RETIREMENT TARGET FUND ADV	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTTCX	35472P596	FRANKLIN LIFESMART 2025 RETIREMENT TARGET FUND CL C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTRTX	35472P612	FRANKLIN LIFESMART 2025 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRELX	35472P588	FRANKLIN LIFESMART 2025 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRZX	35472P257	FRANKLIN LIFESMART 2030 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRSX	35472P299	FRANKLIN LIFESMART 2030 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRTX	35472P281	FRANKLIN LIFESMART 2030 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRWX	35472P273	FRANKLIN LIFESMART 2030 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRHX	35472P539	FRANKLIN LIFESMART 2035 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRTAX	35472P562	FRANKLIN LIFESMART 2035 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTRCX	35472P554	FRANKLIN LIFESMART 2035 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRGX	35472P547	FRANKLIN LIFESMART 2035 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSHX	35472P190	FRANKLIN LIFESMART 2040 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLADX	35472P240	FRANKLIN LIFESMART 2040 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLOLX	35472P232	FRANKLIN LIFESMART 2040 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSGX	35472P224	FRANKLIN LIFESMART 2040 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRLX	35472P489	FRANKLIN LIFESMART 2045 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTTAX	35472P521	FRANKLIN LIFESMART 2045 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRIX	35472P513	FRANKLIN LIFESMART 2045 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRJX	35472P497	FRANKLIN LIFESMART 2045 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSOX	35472P141	FRANKLIN LIFESMART 2050 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSJX	35472P182	FRANKLIN LIFESMART 2050 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FLSKX	35472P174	FRANKLIN LIFESMART 2050 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSNX	35472P166	FRANKLIN LIFESMART 2050 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLTKX	35473A507	FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLTFX	35473A101	FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLTNX	35473A200	FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLSBX	35473A309	FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLJSX	35473A531	FRANKLIN LIFESMART 2060 RETIREMENT TARGET FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLASX	35473A572	FRANKLIN LIFESMART 2060 RETIREMENT TARGET FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLBSX	35473A564	FRANKLIN LIFESMART 2060 RETIREMENT TARGET FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLEXX	35473A556	FRANKLIN LIFESMART 2060 RETIREMENT TARGET FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLRDX	35472P620	FRANKLIN LIFESMART RETIREMENT INCOME FUND ADVISOR CL	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTRAX	35472P653	FRANKLIN LIFESMART RETIREMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRTCX	35472P646	FRANKLIN LIFESMART RETIREMENT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBRLX	35472P638	FRANKLIN LIFESMART RETIREMENT INCOME FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLAIX	354723512	FRANKLIN LOUISIANA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLTZX	354724874	FRANKLIN LOUISIANA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQLAX	354726861	FRANKLIN LOUISIANA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKLAX	354723827	FRANKLIN LOUISIANA TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLDZX	353612617	FRANKLIN LOW DURATION TOTAL RETURN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLDAX	353612690	FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLDCX	353612583	FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FLDRX	353612377	FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBFZX	353612658	FRANKLIN MANAGED INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FBLAX	353612682	FRANKLIN MANAGED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBMCX	353612674	FRANKLIN MANAGED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMDIX	354723421	FRANKLIN MARYLAND TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMDZX	354723140	FRANKLIN MARYLAND TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQMDX	354726853	FRANKLIN MARYLAND TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMDTX	354723736	FRANKLIN MARYLAND TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMISX	354723207	FRANKLIN MASS TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMAIX	354723678	FRANKLIN MASS TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMAHX	354723199	FRANKLIN MASSACHUSETTS TAX- FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMAQX	354726846	FRANKLIN MASSACHUSETTS TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMTFX	354723249	FRANKLIN MICHIGAN TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMQTX	354726838	FRANKLIN MICHIGAN TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTTMX	354723306	FRANKLIN MICHIGAN TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRMTX	354723645	FRANKLIN MICHIGAN TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVRMX	355148750	FRANKLIN MICRO CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRMCX	355148206	FRANKLIN MICROCAP VALUE CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMNZX	354723181	FRANKLIN MINNESOTA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMNQX	354726820	FRANKLIN MINNESOTA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMINX	354723405	FRANKLIN MINNESOTA TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMNIX	354723637	FRANKLIN MINNESOTA TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMOIX	354723520	FRANKLIN MISSOURI TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRMZX	354723165	FRANKLIN MISSOURI TAX FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMQOX	354726812	FRANKLIN MISSOURI TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FRMOX	354723819	FRANKLIN MISSOURI TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTMRX	35472P885	FRANKLIN MODERATE ALLOCAITON FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMTZX	35472P869	FRANKLIN MODERATE ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMTIX	35472P307	FRANKLIN MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTMTX	35472P406	FRANKLIN MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGBKX	354726739	FRANKLIN MUNICIPAL GREEN BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGBGX	354726721	FRANKLIN MUNICIPAL GREEN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGBHX	354726713	FRANKLIN MUNICIPAL GREEN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEBIX	628380875	FRANKLIN MUTUAL BEACON CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMEX	628380867	FRANKLIN MUTUAL BEACON CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	BEGRX	628380305	FRANKLIN MUTUAL BEACON CLASS Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS		354026858	FRANKLIN MUTUAL BEACON FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMIX	628380834	FRANKLIN MUTUAL EUROPEAN CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEURX	628380826	FRANKLIN MUTUAL EUROPEAN CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	MEURX	628380503	FRANKLIN MUTUAL EUROPEAN CLASS Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEFAX	354026304	FRANKLIN MUTUAL FINANCIAL SERVICES FUND CL Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TFSIX	354026106	FRANKLIN MUTUAL FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TMFSX	354026205	FRANKLIN MUTUAL FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEDIX	628380859	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEDSX	628380842	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEDRX	354026601	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	MDISX	628380404	FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEQIX	628380800	FRANKLIN MUTUAL QUEST FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	TEMQX	628380883	FRANKLIN MUTUAL QUEST FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FMQSX	354026866	FRANKLIN MUTUAL QUEST FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	MQIFX	628380206	FRANKLIN MUTUAL QUEST FUND CLASS Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TESIX	628380602	FRANKLIN MUTUAL SHARES CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMTX	628380701	FRANKLIN MUTUAL SHARES CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	MUTHX	628380107	FRANKLIN MUTUAL SHARES CLASS Z	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TESRX	354026502	FRANKLIN MUTUAL SHARES FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBSAX	355148859	FRANKLIN MUTUAL U.S. VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRBSX	355148107	FRANKLIN MUTUAL U.S. VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCBSX	355148867	FRANKLIN MUTUAL U.S. VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBSRX	355148842	FRANKLIN MUTUAL U.S. VALUE FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNCZX	354723173	FRANKLIN N.C. TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNRAx	354713851	FRANKLIN NATURAL RESOURCES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRNRX	354713604	FRANKLIN NATURAL RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNCRX	354713455	FRANKLIN NATURAL RESOURCES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNIIx	354723561	FRANKLIN NEW JERSEY TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNJZX	354723231	FRANKLIN NEW JERSEY TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNJQX	354726796	FRANKLIN NEW JERSEY TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRNJX	354723751	FRANKLIN NEW JERSEY TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKNCX	354128506	FRANKLIN NEW YORK FEDERAL INTER TERM TAX-FREE INCOME FD CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKNIX	354128308	FRANKLIN NEW YORK INTER TERM TAX FREE CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKNQX	354128860	FRANKLIN NEW YORK INTERMEDIATE-TERM TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNZYX	354128704	FRANKLIN NEW YORK INTER-TERM TAX-FREE INCOME FD ADVISOR CL	T	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FNYIX	354130205	FRANKLIN NEW YORK TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNYAX	354130403	FRANKLIN NEW YORK TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNYQX	354130809	FRANKLIN NEW YORK TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNYTX	354130106	FRANKLIN NEW YORK TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FNCIX	354723538	FRANKLIN NORTH CAROLINA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQNCX	354726788	FRANKLIN NORTH CAROLINA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FXNCX	354723793	FRANKLIN NORTH CAROLINA TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FOHQX	354726770	FRANKLIN OHIO TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FROZX	354723223	FRANKLIN OHIO TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTOIX	354723504	FRANKLIN OHIO TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FOITX	354723629	FRANKLIN OHIO TAX-FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FORIX	354723660	FRANKLIN OREGON TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FOFQX	354726762	FRANKLIN OREGON TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRORX	354723785	FRANKLIN OREGON TAX- FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FOFZX	354724502	FRANKLIN OREGON TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRPAX	354723801	FRANKLIN PENN TAX FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRPTX	354723447	FRANKLIN PENNSYLVANIA TAX FR CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FPAQX	354726754	FRANKLIN PENNSYLVANIA TAX FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	PPFZX	354724403	FRANKLIN PENNSYLVANIA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRRSX	354602203	FRANKLIN REAL ESTATE SECS CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRLAX	354602302	FRANKLIN REAL ESTATE SECURITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FREEX	354602104	FRANKLIN REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRDTX	353825409	FRANKLIN RISING DIVIDENDS CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FRDAX	353825805	FRANKLIN RISING DIVIDENDS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRDPX	353825102	FRANKLIN RISING DIVIDENDS FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRDRX	353825706	FRANKLIN RISING DIVIDENDS FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSGRX	354713620	FRANKLIN SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCSGX	354713646	FRANKLIN SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVFRX	355148826	FRANKLIN SMALL CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSSAX	354713653	FRANKLIN SMALL-CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSSRX	354713539	FRANKLIN SMALL-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVADX	355148503	FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRVLX	355148305	FRANKLIN SMALL-CAP VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRVFX	355148404	FRANKLIN SMALL-CAP VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSGAX	354713869	FRANKLIN SMALL-MID CAP GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRSGX	354713109	FRANKLIN SMALL-MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRSIX	354713802	FRANKLIN SMALL-MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSMRX	354713547	FRANKLIN SMALL-MID CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKSAX	354713737	FRANKLIN STRATEGIC INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRSTX	354713505	FRANKLIN STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSGCX	354713836	FRANKLIN STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKSRX	354713554	FRANKLIN STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSMFX	35471H207	FRANKLIN STRATEGIC MORTGAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSMIX	35471H108	FRANKLIN STRATEGIC MORTGAGE FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSMZX	35471H405	FRANKLIN STRATEGIC MORTGAGE PORTFOLIO FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FSMHX	35471H306	FRANKLIN STRATEGIC MORTGAGE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	TCWAX	88018X201	FRANKLIN TEMPLETON CHINA WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TCWCX	88018X409	FRANKLIN TEMPLETON CHINA WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQCHX	35471D305	FRANKLIN TEMPLETON SMACS FUND SERIES CH	T+	D	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQTEX	35471D107	FRANKLIN TEMPLETON SMACS FUND SERIES E	T+	E	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQTHX	35471D404	FRANKLIN TEMPLETON SMACS FUND SERIES H	T+	H	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FQTIX	35471D206	FRANKLIN TEMPLETON SMACS FUND SERIES I	T+	I	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FBDAX	353612849	FRANKLIN TOTAL RETURN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKBAX	353612856	FRANKLIN TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCTLX	353612757	FRANKLIN TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTRRX	353612773	FRANKLIN TOTAL RETURN FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKFSX	353496482	FRANKLIN U.S. GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKUSX	353496607	FRANKLIN U.S. GOVERNMENT SECURITIES FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRUGX	353496862	FRANKLIN U.S. GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FUSRX	353496714	FRANKLIN U.S. GOVERNMENT SECURITIES FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FUSAX	353496821	FRANKLIN U.S. GOVT SECS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRUAX	353496854	FRANKLIN UTILITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKUQX	353496474	FRANKLIN UTILITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FKUTX	353496409	FRANKLIN UTILITIES FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRUSX	353496706	FRANKLIN UTILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRURX	353496698	FRANKLIN UTILITIES FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVAIX	354723553	FRANKLIN VIRGINIA TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRVZX	354723157	FRANKLIN VIRGINIA TAX-FREE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FVAQX	354726747	FRANKLIN VIRGINIA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	FRVAX	354723769	FRANKLIN VIRGINIA TAX-FREE INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TACWX	88018X102	TEMPLETON CHINA WORLD FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TDMTX	88018W203	TEMPLETON DEVELOPING MARKETS CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TDMRX	88018W500	TEMPLETON DEVELOPING MARKETS TRUST CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TDADX	88018W302	TEMPLETON DEVELOPING MARKETS TRUST FUND ADVISOR	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEDMX	88018W104	TEMPLETON DEVELOPING MARKETS TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMZX	88019R690	TEMPLETON EMERGING MARKETS SMALL CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMMX	88019R732	TEMPLETON EMERGING MARKETS SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TCEMX	88019R724	TEMPLETON EMERGING MARKETS SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTESX	88019R716	TEMPLETON EMERGING MARKETS SMALL CAP FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TFFAX	880196506	TEMPLETON FOREIGN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMTX	880196209	TEMPLETON FOREIGN FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEFTX	880196407	TEMPLETON FOREIGN FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEFRX	880196803	TEMPLETON FOREIGN FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TFSCX	880210877	TEMPLETON FOREIGN SMALLER COMPANIES FUND ADVISOR CLASS	T+	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TZINX	88019R765	TEMPLETON GLOBAL BALANCED FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TAGBX	88019R583	TEMPLETON GLOBAL BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TINCX	88019R799	TEMPLETON GLOBAL BALANCED FUND CLASS A1	NTF^	A	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCGBX	88019R591	TEMPLETON GLOBAL BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TCINX	88019R781	TEMPLETON GLOBAL BALANCED FUND CLASS C1	NTF^	C	Y	4:00 PM	01
FRANKLIN TEMPLETON FUNDS		88019R773	TEMPLETON GLOBAL BALANCED FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TGBAX	880208400	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TPINX	880208103	TEMPLETON GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	TEGBX	880208301	TEMPLETON GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FGBRX	880208848	TEMPLETON GLOBAL BOND FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TGSAX	88022L300	TEMPLETON GLOBAL SMALLER COMPANIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMGX	88022L102	TEMPLETON GLOBAL SMALLER COMPANIES FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TESGX	88022L201	TEMPLETON GLOBAL SMALLER COMPANIES GROWTH CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TTRZX	880208855	TEMPLETON GLOBAL TOTAL RETURN FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TGTRX	880208889	TEMPLETON GLOBAL TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TTRCX	880208871	TEMPLETON GLOBAL TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FRRGX	880208863	TEMPLETON GLOBAL TOTAL RETURN FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TGADX	880199302	TEMPLETON GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEPLX	880199104	TEMPLETON GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FTGQX	880199807	TEMPLETON GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEGRX	880199500	TEMPLETON GROWTH FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FIBZX	880208806	TEMPLETON INTERNATIONAL BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TBOAX	880208509	TEMPLETON INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FCNBX	880208608	TEMPLETON INTERNATIONAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS		880208707	TEMPLETON INTERNATIONAL BOND FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TICGX	880196837	TEMPLETON INTERNATIONAL CLIMATE CHANGE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEMZX	880208780	TEMPLETON SUSTAINABLE EMERGING MARKETS BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEMGX	880208830	TEMPLETON SUSTAINABLE EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEMHX	880208822	TEMPLETON SUSTAINABLE EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	FEMBX	880208814	TEMPLETON SUSTAINABLE EMERGING MARKETS BOND FUND CLASS R	T	R	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEWTX	880196308	TEMPLETON WORLD CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FRANKLIN TEMPLETON FUNDS	TWDAX	880196886	TEMPLETON WORLD FUND ADVISOR CLASS	T	O	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TEMWX	880196100	TEMPLETON WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
FRANKLIN TEMPLETON FUNDS	TFEQX	880210505	TIF INTERNATIONAL EQUITY SERIES PRIMARY SHARES	T+	P	N	4:00 PM	01
FRIESS FUNDS	FBLUX	56167N456	FRIESS BRANDYWINE BLUE FUND CLASS I	T	I	N	4:00 PM	01
FRIESS FUNDS	FBRWX	56167N464	FRIESS BRANDYWINE FUND CLASS I	T	I	N	4:00 PM	01
FRIESS FUNDS	SCGFX	56167N696	FRIESS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
FRIESS FUNDS	SCGNX	56167N688	FRIESS SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
FRONTIER FUNDS	FMGIX	35908Y807	FRONTIER MFG CORE INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
FRONTIER FUNDS	FCIVX	35908Y849	FRONTIER MFG CORE INFRASTRUCTURE FUND SERVICE CLASS	T	S	N	4:00 PM	01
FRONTIER FUNDS	FMGEX	35908Y708	FRONTIER MFG GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
FRONTIER FUNDS	FMGPX	35908Y880	FRONTIER MFG GLOBAL PLUS FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
FRONTIER FUNDS	FMPX	35908Y781	FRONTIER MFG GLOBAL PLUS FUND SERVICE CLASS	T	S	N	4:00 PM	01
FRONTIER FUNDS	FMSRX	35908Y765	FRONTIER MFG GLOBAL SUSTAINABLE FUND SERVICE CLASS	T	S	N	4:00 PM	01
FRONTIER FUNDS	FMSSX	35908Y740	FRONTIER MFG SELECT INFRASTRUCTURE FUND SERVICE CLASS	T	S	N	4:00 PM	01
FROST FUNDS	FCFBX	359246832	FROST CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
FROST FUNDS	FCFIX	359246824	FROST CREDIT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FROST FUNDS	FCFAX	359246816	FROST CREDIT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FROST FUNDS	FICEX	359246105	FROST GROWTH EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FROST FUNDS	FACEX	359246204	FROST GROWTH EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FROST FUNDS	FILDY	359246709	FROST LOW DURATION BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FROST FUNDS	FADLX	359246808	FROST LOW DURATION BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FROST FUNDS	FIMUX	359246881	FROST MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FROST FUNDS	FAUMX	359246873	FROST MUNICIPAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FROST FUNDS	FAJEX	359246865	FROST TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
FROST FUNDS	FIJEX	359246857	FROST TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FROST FUNDS	FATRX	359246840	FROST TOTAL RETURN BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FS INVESTMENTS FUNDS	FGMIX	302691605	FS GLOBAL MACRO FUND CLASS I	T	I	N	4:00 PM	01
FS INVESTMENTS FUNDS	FMGFX	302691407	FS MANAGED FUTURES FUND CLASS I	T	I	N	4:00 PM	01
FS INVESTMENTS FUNDS	FSMMX	302691100	FS MULTI STRATEGY ALTERNATIVES SERIES TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
FS INVESTMENTS FUNDS	FSMSX	302691209	FS MULTI STRATEGY ALTERNATIVES SERIES TRUST FUND CLASS I	T	I	N	4:00 PM	01
FS INVESTMENTS FUNDS	FSRLX	302691803	FS REAL ASSET FUND CLASS I	T	I	N	4:00 PM	01
FULCRUM FUNDS	FARYX	89832P671	FULCRUM DIVERSIFIED ABSOLUTE RETURN FD SUPER INSTITUTIONAL CL	T	I	N	4:00 PM	01
FULCRUM FUNDS	FARIX	89832P663	FULCRUM DIVERSIFIED ABSOLUTE RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FULLER & THALER FUNDS	FTMSX	14064D634	FULLER & THALER BEHAVIORAL MICRO- CAP EQUITY FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
FULLER & THALER FUNDS	FTVSX	14064D758	FULLER & THALER BEHAVIORAL MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FULLER & THALER FUNDS	FTVNX	14064D766	FULLER & THALER BEHAVIORAL MID-CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FULLER & THALER FUNDS	FTHSX	14064D865	FULLER & THALER BEHAVIORAL SMALL CAP EQUITY FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FULLER & THALER FUNDS	FTHNX	14064D881	FULLER & THALER BEHAVIORAL SMALL CAP EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FULLER & THALER FUNDS	FTXSX	14064D782	FULLER & THALER BEHAVIORAL SMALL- CAP GROWTH FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
FULLER & THALER FUNDS	FTXNX	14064D790	FULLER & THALER BEHAVIORAL SMALL- CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FULLER & THALER FUNDS	FTSIX	14064D667	FULLER & THALER BEHAVIORAL SMALL- MID CORE EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
FULLER & THALER FUNDS	FTHAX	14064D717	FULLER & THALER BEHAVIORAL SMALL-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FULLER & THALER FUNDS	FTYCX	14064D691	FULLER & THALER BEHAVIORAL SMALL-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
FULLER & THALER FUNDS	FTXAX	14064D683	FULLER & THALER BEHAVIORAL SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
FULLER & THALER FUNDS	FTXCX	14064D675	FULLER & THALER BEHAVIORAL SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
FULLER & THALER FUNDS	FTZAX	14064D584	FULLER & THALER BEHAVIORAL UNCONSTRAINED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
FULLER & THALER FUNDS	FTZCX	14064D576	FULLER & THALER BEHAVIORAL UNCONSTRAINED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
FULLER & THALER FUNDS	FTZIX	14064D659	FULLER & THALER BEHAVIORAL UNCONSTRAINED EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
FUND*X FUNDS	HOTFX	360876403	FUNDX AGGRESSIVE UPGRADER FUND	T		N	4:00 PM	01
FUND*X FUNDS	RELAX	360876304	FUNDX CONSERVATIVE UPGRADER FUND	T		N	4:00 PM	01
FUND*X FUNDS	INCMX	360876205	FUNDX FLEXIBLE INCOME FUND	T		N	4:00 PM	01
FUND*X FUNDS	SRIFX	360876700	FUNDX SUSTAINABLE IMPACT FUND	T		N	4:00 PM	01
FUND*X FUNDS	FUNDX	360876106	FUNDX UPGRADER FUND	T		N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	EICVX	360873293	EIC VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	EICCX	360873285	EIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	EICIX	360873277	EIC VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GARIX	360873137	GOTHAM ABSOLUTE RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GDLFX	360875355	GOTHAM DEFENSIVE LONG 500 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GEFPX	360875413	GOTHAM ENHANCED 500 PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GENIX	360873129	GOTHAM ENHANCED RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GSPFX	360875322	GOTHAM ENHANCED S&P 500 INDEX FUND INSTUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GESGX	36087T874	GOTHAM ESG LARGE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GCHDX	360875397	GOTHAM HEDGED CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GHPLX	360875462	GOTHAM HEDGED PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GINDX	360875579	GOTHAM INDEX PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GNNDX	360875157	GOTHAM INDEX PLUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
FUNDVANTAGE TRUST FUNDS	GVALX	360875470	GOTHAM LARGE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GONIX	360873111	GOTHAM NEUTRAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GSSFX	360875181	GOTHAM SHORT STRATEGIES FUND INSTL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GTRFX	360875587	GOTHAM TOTAL RETURN FUND INSITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	GTRNX	360875140	GOTHAM TOTAL RETURN FUND INVESTOR CLASS (FOF)	NTF	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PGEIX	36087T833	POLEN GLOBAL EMERGING MARKETS GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PGIIX	360875645	POLEN GLOBAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PGIRX	360875637	POLEN GLOBAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	POLIX	360873657	POLEN GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	POLRX	360873665	POLEN GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	POIIX	360875330	POLEN INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	POIRX	360875348	POLEN INTERNATIONAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PBIIX	36087T882	POLEN INTERNATIONAL SMALL COMPANY GROWTH FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PBIRX	36087T809	POLEN INTERNATIONAL SMALL COMPANY GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PBSIX	360875165	POLEN U.S. SMALL COMPANY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PBSRX	360875173	POLEN U.S. SMALL COMPANY GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	PBMIX	36087T734	POLEN U.S. SMID COMPANY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	VFPIX	360873822	PRIVATE CAPITAL MANAGEMENT VALUE FUND CLASS I	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	SFFIX	36087T783	SIRIOS FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	SLHIX	36087T304	SIRIOS LONG/SHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	LIMAX	360873103	TRAN CAPITAL FOCUSED FUND CLASS A	NTF^	A	N	4:00 PM	01
FUNDVANTAGE TRUST FUNDS	LIMIX	360873301	TRAN CAPITAL FOCUSED FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GADVX	36239V207	GABELLI ABC FUND ADVISOR CLASS	T	O	N	4:00 PM	01
GABELLI FUNDS	GABCX	36239V108	GABELLI ABC FUND CLASS AAA	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GABELLI FUNDS	GABAX	362395105	GABELLI ASSET FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GABIX	362395501	GABELLI ASSET FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GBCAX	36240D204	GABELLI DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GABBX	36240D105	GABELLI DIVIDEND GROWTH FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GBCCX	36240D402	GABELLI DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GBCIX	36240D501	GABELLI DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	EAAAX	362402109	GABELLI ENTERPRISE MERG & ACQUISITIONS FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	EMAAX	29372K625	GABELLI ENTERPRISE MERGERS AND ACQUISITIONS FD CL A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	EMACX	29372K591	GABELLI ENTERPRISE MERGERS AND ACQUISITIONS FD CL C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	EMAYX	29372K583	GABELLI ENTERPRISE MERGERS AND ACQUISITIONS FUND CLASS Y	T	Y	N	4:00 PM	01
GABELLI FUNDS	GABEX	36239T202	GABELLI EQUITY INCOME FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GCIEX	36239T848	GABELLI EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	ESGHX	362401200	GABELLI ESG FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	ESGKX	362401408	GABELLI ESG FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GWSAX	36239T400	GABELLI FOCUS FIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GWSVX	36239T301	GABELLI FOCUSED GROWTH AND INCOME FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GWSIX	36239T822	GABELLI FOCUSED GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GGFSX	36239T764	GABELLI GLOBAL FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GAFSX	36239T772	GABELLI GLOBAL FINANCIAL SERVICES FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GCF SX	36239T756	GABELLI GLOBAL FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GFSIX	36239T749	GABELLI GLOBAL FINANCIAL SERVICES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GABELLI FUNDS	GGGAX	36464T836	GABELLI GLOBAL GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	GICPX	36464T844	GABELLI GLOBAL GROWTH FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GGGCX	36464T810	GABELLI GLOBAL GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
GABELLI FUNDS	GGGIX	36464T786	GABELLI GLOBAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GMNAX	36464T737	GABELLI GLOBAL MINI MITES FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	GAMNX	36464T745	GABELLI GLOBAL MINI MITES FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GMNCX	36464T729	GABELLI GLOBAL MINI MITES FUND CLASS C	NTF^	C	Y	4:00 PM	01
GABELLI FUNDS	GGMMX	36464T711	GABELLI GLOBAL MINI MITES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GABELLI FUNDS	GAGAX	36464T877	GABELLI GLOBAL RISING INCOME AND DIVIDEND FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	GAGCX	36464T885	GABELLI GLOBAL RISING INCOME AND DIVIDEND FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GACCX	36464T851	GABELLI GLOBAL RISING INCOME AND DIVIDEND FUND CLASS C	NTF^	C	Y	4:00 PM	01
GABELLI FUNDS	GAGIX	36464T760	GABELLI GLOBAL RISING INCOME AND DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GLDAX	36239R206	GABELLI GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GOLDX	36239R107	GABELLI GOLD FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GLDCX	36239R404	GABELLI GOLD FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GLDIX	36239R503	GABELLI GOLD FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GGCAX	36464V203	GABELLI GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GABGX	36464V104	GABELLI GROWTH FUND CLASS AAA	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GABELLI FUNDS	GGCCX	36464V401	GABELLI GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GGCIX	36464V500	GABELLI GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	MOGLX	36256H107	GABELLI MEDIA MOGUL FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	PETZX	36256H206	GABELLI PET PARENTS FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GACIX	36239T830	GABELLI SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GABSX	36239T103	GABELLI SMALL-CAP GROWTH FUND	T		N	4:00 PM	01
GABELLI FUNDS	GAUAX	36240E202	GABELLI UTILITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GABUX	36240E103	GABELLI UTILITIES FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GAUCX	36240E400	GABELLI UTILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GAUIX	36240E806	GABELLI UTILITIES FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GABVX	36240H106	GABELLI VALUE 25 FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GVCAX	36240H502	GABELLI VALUE 25 FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GVCCX	36240H304	GABELLI VALUE 25 FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GVCIX	36240H403	GABELLI VALUE 25 FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GIGRX	364645101	GAMCO INTERNATIONAL GROWTH FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	GCIGX	364645408	GAMCO INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GIIGX	364645507	GAMCO INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GAIGX	364645200	GAMCO INTL GROWTH FUND INC CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	KMDVX	487300840	KEELEY MID CAP DIVIDEND VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	KMDIX	487300832	KEELEY MID CAP DIVIDEND VALUE FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	KSDVX	487300881	KEELEY SMALL CAP DIVIDEND VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	KSDIX	487300873	KEELEY SMALL CAP DIVIDEND VALUE FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	KSMVX	487300303	KEELEY SMALL-MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	KSMIX	487300402	KEELEY SMALL-MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	WEIAX	88166L819	TETON CONVERTIBLE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	WESRX	88166L793	TETON CONVERTIBLE SECURITIES FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	WEICX	88166L835	TETON CONVERTIBLE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	WESIX	88166L801	TETON CONVERTIBLE SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GABELLI FUNDS	WEBBCX	88166L603	TETON WESTWOOD BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	WEBAX	88166L504	TETON WESTWOOD BALANCED FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	WBCCX	88166L744	TETON WESTWOOD BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	WBBIX	88166L868	TETON WESTWOOD BALANCED FUND CLASS INSTITUTIONAL	T	I	N	4:00 PM	01
GABELLI FUNDS	WEECX	88166L207	TETON WESTWOOD EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	WESWX	88166L108	TETON WESTWOOD EQUITY FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	WEEIX	88166L843	TETON WESTWOOD EQUITY FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	WMMAX	88166L660	TETON WESTWOOD MIGHTY MITES FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	WEMMX	88166L652	TETON WESTWOOD MIGHTY MITES FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	WMMCX	88166L686	TETON WESTWOOD MIGHTY MITES FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	WEIMX	88166L876	TETON WESTWOOD MIGHTY MITES FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GABELLI FUNDS	WWSAX	88166L710	TETON WESTWOOD SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	WESCX	88166L694	TETON WESTWOOD SMALL CAP EQUITY FUND CLASS AAA	NTF		N	4:00 PM	01
GABELLI FUNDS	WWSCX	88166L736	TETON WESTWOOD SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	WWSIX	88166L884	TETON WESTWOOD SMALLCAP EQUITY FUND CLASS INSTITUTIONAL	T	I	N	4:00 PM	01
GABELLI FUNDS	GATAX	362395204	THE GABELLI ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GATCX	362395402	THE GABELLI ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
GABELLI FUNDS	GCAEX	36239T707	THE GABELLI EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	ESGGX	362401101	THE GABELLI ESG FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GTCAX	36464T208	THE GABELLI GLOBAL CONTENT & CONNECTIVITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	GABTX	36464T109	THE GABELLI GLOBAL CONTENT & CONNECTIVITY FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GTCCX	36464T406	THE GABELLI GLOBAL CONTENT & CONNECTIVITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
GABELLI FUNDS	GTTIX	36464T794	THE GABELLI GLOBAL CONTENT & CONNECTIVITY FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GOCAX	36464T604	THE GABELLI INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	Y	4:00 PM	01
GABELLI FUNDS	GABOX	36464T505	THE GABELLI INTERNATIONAL SMALL CAP FUND CLASS AAA	NTF		Y	4:00 PM	01
GABELLI FUNDS	GGLCX	36464T802	THE GABELLI INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	Y	4:00 PM	01
GABELLI FUNDS	GLOIX	36464T778	THE GABELLI INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
GABELLI FUNDS	GCASX	36239T871	THE GABELLI SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GABELLI FUNDS	GCCSX	36239T855	THE GABELLI SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GLENMEDE FUNDS	GTGCX	378690309	GLENMEDE CORE-FIXED INCOME PORTFOLIO	T		N	4:00 PM	01
GLENMEDE FUNDS	GTLIX	378690648	GLENMEDE LARGE CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GLENMEDE FUNDS	GTLOX	378690788	GLENMEDE LARGE CAP CORE PORTFOLIO	NTF		N	4:00 PM	01
GLENMEDE FUNDS	GTILX	378690655	GLENMEDE LARGE CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GLENMEDE FUNDS	GTLLX	378690770	GLENMEDE LARGE CAP GROWTH PORTFOLIO	NTF		N	4:00 PM	01
GLENMEDE FUNDS	GTAPX	378690762	GLENMEDE LONG/SHORT PORTFOLIO	NTF		N	4:00 PM	01
GLENMEDE FUNDS	GTLSX	378690531	GLENMEDE QUANTITATIVE U.S. LONG/SHORT EQUITY FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
GLENMEDE FUNDS	RESGX	378690614	GLENMEDE RESPONSIBLE ESG U.S. EQUITY FUND	T		N	4:00 PM	01
GLENMEDE FUNDS	GTSOX	378690747	GLENMEDE SECURED OPTIONS PORTFOLIO	NTF		N	4:00 PM	01
GLENMEDE FUNDS	GLSOX	378690580	GLENMEDE SECURED OPTIONS PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GLENMEDE FUNDS	GTCSX	378690606	GLENMEDE SMALL CAP EQUITY ADVISOR CLASS	NTF	O	Y	4:00 PM	01
GLENMEDE FUNDS	GTSCX	378690820	GLENMEDE SMALL CAP EQUITY INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
GLENMEDE FUNDS	GTCEX	378690507	GLENMEDE STRATEGIC EQUITY PORTFOLIO FUND	T		N	4:00 PM	01
GLENMEDE FUNDS	GTTMX	378690754	GLENMEDE TOTAL MARKET 130/30 PORTFOLIO	NTF		N	4:00 PM	01
GLENMEDE FUNDS	GWILX	378690622	GLENMEDE WOMEN IN LEADERSHIP FUND	T		N	4:00 PM	01
GMO TRUST FUNDS	GAAGX	36256V502	GMO ALTERNATIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GBMIX	362014458	GMO BENCHMARK-FREE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GCCLX	362014433	GMO CLIMATE CHANGE FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GEDBX	36256V791	GMO EMERGING DOMESTIC OPPORTUNITIES FUND	T		N	4:00 PM	01
GMO TRUST FUNDS	GEMEX	36256V825	GMO EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GMOOX	362014367	GMO GLOBAL ASSET ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GMO TRUST FUNDS	GAAUX	362014326	GMO GLOBAL EQUITY ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GMOLX	362014219	GMO OPPORTUNISTIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GQLIX	362014185	GMO QUALITY FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GEACX	36256V775	GMO RESOURCES FUND CLASS I	T	I	N	4:00 PM	01
GMO TRUST FUNDS	GSMKX	362014144	GMO SGM MAJOR MARKETS FUND CLASS I	T	I	N	4:00 PM	01
GOEHRING & ROZENCWAJG FDS	GRHIX	38035R208	GOEHRING & ROZENCWSAJG FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOEHRING & ROZENCWAJG FDS	GRHAX	38035R109	GOEHRING & ROZENCWSAJG FUND RETAIL CLASS	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GJRTX	38145N220	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD INST'L CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GARTX	38145N246	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCRTX	38145N238	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRTX	38145N113	GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDAFX	38145L505	GOLDMAN SACHS ALTERNATIVE PREMIA FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDCFX	38145L604	GOLDMAN SACHS ALTERNATIVE PREMIA FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDIFX	38145L885	GOLDMAN SACHS ALTERNATIVE PREMIA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDHFX	38145L802	GOLDMAN SACHS ALTERNATIVE PREMIA FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIPCX	38142V662	GOLDMAN SACHS ASSET ALLOCATION BALANCED STRATEGIC FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIPAX	38142V688	GOLDMAN SACHS BALANCED STRATEGY CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIPIX	38142V548	GOLDMAN SACHS BALANCED STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIPTX	38145N790	GOLDMAN SACHS BALANCED STRATEGY PORT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSFAX	38143H449	GOLDMAN SACHS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSFCX	38143H423	GOLDMAN SACHS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSNIX	38143H415	GOLDMAN SACHS BOND FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSNTX	38145N295	GOLDMAN SACHS BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSNSX	38143H399	GOLDMAN SACHS BOND FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPCX	38142B344	GOLDMAN SACHS CAPITAL GROWTH CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCGX	38141W638	GOLDMAN SACHS CAPITAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPTX	38144N452	GOLDMAN SACHS CAPITAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPSX	38142B138	GOLDMAN SACHS CAPITAL GROWTH FUND SERVICE SHARES	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAGX	38141W570	GOLDMAN SACHS CHINA EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSACX	38142B294	GOLDMAN SACHS CHINA EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAIX	38141W455	GOLDMAN SACHS CHINA EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAEX	38147X804	GOLDMAN SACHS CHINA EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCEBX	38150C671	GOLDMAN SACHS CLEAN ENERGY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCEGX	38150C663	GOLDMAN SACHS CLEAN ENERGY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCEHX	38150C630	GOLDMAN SACHS CLEAN ENERGY INCOME FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCEDX	38150C655	GOLDMAN SACHS CLEAN ENERGY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCEJX	38150C648	GOLDMAN SACHS CLEAN ENERGY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCAX	38143H373	GOLDMAN SACHS COMMODITY STRATEGY FD CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GSCCX	38143H365	GOLDMAN SACHS COMMODITY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCCIX	38143H381	GOLDMAN SACHS COMMODITY STRATEGY FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCCTX	38145N386	GOLDMAN SACHS COMMODITY STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCGAX	38142Y187	GOLDMAN SACHS CONCENTRATED GROWTH FUND CLASS A *MER* 38142Y187 E	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCGCX	38142Y161	GOLDMAN SACHS CONCENTRATED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCRIX	38142Y153	GOLDMAN SACHS CONCENTRATED GROWTH FUND INST'L CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGCTX	38145N667	GOLDMAN SACHS CONCENTRATED GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCFCX	38142B229	GOLDMAN SACHS CORE FIXED INCOME CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCFIX	38142B419	GOLDMAN SACHS CORE FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSFIX	38141W810	GOLDMAN SACHS CORE FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDFTX	38145N329	GOLDMAN SACHS CORE FIXED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCSX	38141W786	GOLDMAN SACHS CORE FIXED INCOME FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDEAX	38150C598	GOLDMAN SACHS DEFENSIVE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDECX	38150C580	GOLDMAN SACHS DEFENSIVE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDERX	38150C549	GOLDMAN SACHS DEFENSIVE EQUITY FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDEIX	38150C572	GOLDMAN SACHS DEFENSIVE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAPAX	38142V571	GOLDMAN SACHS DYNAMIC GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAXCX	38142V555	GOLDMAN SACHS DYNAMIC GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAPIX	38142V472	GOLDMAN SACHS DYNAMIC GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAPTX	38145N733	GOLDMAN SACHS DYNAMIC GLOBAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAPSX	38142V464	GOLDMAN SACHS DYNAMIC GLOBAL EQUITY FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMIX	38141W828	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMUX	38142B195	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMTX	38142V746	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GUIRX	38144N221	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGRX	38141W588	GOLDMAN SACHS DYNAMIC U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGSX	38141W422	GOLDMAN SACHS DYNAMIC U.S. EQUITY FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIRX	38144N171	GOLDMAN SACHS EMERGING MARKETS DEBT FD INVESTOR CL	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDAX	38143H803	GOLDMAN SACHS EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCDX	38143H456	GOLDMAN SACHS EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDIX	38143H886	GOLDMAN SACHS EMERGING MARKETS DEBT FUND INST'L CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEMAX	38142B450	GOLDMAN SACHS EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEMIX	38142B369	GOLDMAN SACHS EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRMX	38145C596	GOLDMAN SACHS EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GERCX	38144N577	GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GERIX	38144N569	GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FD CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRPX	38145C562	GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FD INVESTOR CL	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GERAX	38144N585	GOLDMAN SACHS EMERGING MARKETS EQUITY INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEMCX	38142B252	GOLDMAN SACHS EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GLEAX	38148U262	GOLDMAN SACHS ENERGY INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLECX	38148U254	GOLDMAN SACHS ENERGY INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLERX	38148U247	GOLDMAN SACHS ENERGY INFRASTRUCTURE FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLEPX	38148U221	GOLDMAN SACHS ENERGY INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLEIX	38148U213	GOLDMAN SACHS ENERGY INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIDGX	38143H175	GOLDMAN SACHS ENHANCED DIVIDEND GLBL EQUITY INST CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GADGX	38143H167	GOLDMAN SACHS ENHANCED DIVIDEND GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEADX	38142Y526	GOLDMAN SACHS ENHANCED INCOME (ADMIN CLASS)	T+	E	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEIIX	38142Y518	GOLDMAN SACHS ENHANCED INCOME FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEIAX	38142Y534	GOLDMAN SACHS ENHANCED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GHIRX	38145L877	GOLDMAN SACHS ENHANCED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPAX	38143H712	GOLDMAN SACHS EQUITY DIVIDEND AND PREMIUM FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPKX	38143H720	GOLDMAN SACHS EQUITY DIVIDEND AND PREMIUM FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGCX	38142B310	GOLDMAN SACHS EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIIIX	38141W414	GOLDMAN SACHS EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GRGTX	38144N437	GOLDMAN SACHS EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEBAX	38150C861	GOLDMAN SACHS ESG EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEBCX	38150C853	GOLDMAN SACHS ESG EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEBRX	38150C820	GOLDMAN SACHS ESG EMERGING MARKETS EQUITY FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEBIX	38150C846	GOLDMAN SACHS ESG EMERGING MARKETS EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GEBNX	38150C838	GOLDMAN SACHS ESG EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GALLX	38145C109	GOLDMAN SACHS FLEXIBLE CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCLLX	38145C208	GOLDMAN SACHS FLEXIBLE CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GILLX	38145C307	GOLDMAN SACHS FLEXIBLE CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSLIX	38145C505	GOLDMAN SACHS FLEXIBLE CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFVAX	38147X689	GOLDMAN SACHS FOCUSED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFVCX	38147X671	GOLDMAN SACHS FOCUSED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFVRX	38147X655	GOLDMAN SACHS FOCUSED VALUE FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFVSX	38147X630	GOLDMAN SACHS FOCUSED VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGIX	38141W109	GOLDMAN SACHS GLOBAL CORE FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSLCX	38142B187	GOLDMAN SACHS GLOBAL CORE FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGIX	38141W737	GOLDMAN SACHS GLOBAL CORE FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GBIRX	38144N155	GOLDMAN SACHS GLOBAL CORE FIXED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGISX	38141W729	GOLDMAN SACHS GLOBAL CORE FIXED INCOME FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGIAX	38148U833	GOLDMAN SACHS GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGICX	38148U825	GOLDMAN SACHS GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGIEX	38148U783	GOLDMAN SACHS GLOBAL INFRASTRUCTURE FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGIDX	38148U817	GOLDMAN SACHS GLOBAL INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGINX	38148U791	GOLDMAN SACHS GLOBAL INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GARGX	38148U767	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GARKX	38148U759	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GARHX	38148U726	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FD CL R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GARSX	38148U742	GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FD INSTL CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSOCX	38142B211	GOLDMAN SACHS GOVERNMENT INCOME CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGOX	38141W604	GOLDMAN SACHS GOVERNMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSOIX	38142V761	GOLDMAN SACHS GOVERNMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSOTX	38145N345	GOLDMAN SACHS GOVERNMENT INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSOSX	38142V753	GOLDMAN SACHS GOVERNMENT INCOME FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSILX	38147N319	GOLDMAN SACHS GQG PARTNERS INTERNATIONAL OPP FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSINX	38147N285	GOLDMAN SACHS GQG PARTNERS INTERNATIONAL OPPTY FUND INVESTOR CL	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIMX	38147N293	GOLDMAN SACHS GQG PARTNERS INTL OPP FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIHX	38147N327	GOLDMAN SACHS GQG PARTNERS INTL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIQX	38147N277	GOLDMAN SACHS GQG PARTNERS INTL OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GOIIX	38142V522	GOLDMAN SACHS GROWTH & INCOME STRAT FD INST'L CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GOIAX	38142V654	GOLDMAN SACHS GROWTH & INCOME STRATEGY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GOICX	38142V639	GOLDMAN SACHS GROWTH & INCOME STRATEGY PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GPITX	38145N774	GOLDMAN SACHS GROWTH & INCOME STRATEGY PORTFOLIO FUND INVESTOR	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGORX	38144N353	GOLDMAN SACHS GROWTH OPP FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGOTX	38144N346	GOLDMAN SACHS GROWTH OPP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGOAX	38142Y104	GOLDMAN SACHS GROWTH OPPORT FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGOCX	38142Y302	GOLDMAN SACHS GROWTH OPPORT FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGOSX	38142Y500	GOLDMAN SACHS GROWTH OPPORTUNITIES FUND SERVICE SHARES	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGOIX	38142Y401	GOLDMAN SACHS GROWTH OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGSEX	38142V498	GOLDMAN SACHS GROWTH STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGSEX	38142V621	GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGSCX	38142V597	GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGSTX	38145N758	GOLDMAN SACHS GROWTH STRATEGY PORTFOLIO FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GOISX	38142V514	GOLDMAN SACHS GRWTH INCOME PRTFLO FD SERVICE CL	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSHCX	38142B179	GOLDMAN SACHS HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFRAX	38145C471	GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFRCX	38145C463	GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSFRX	38145C455	GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFRIX	38145C448	GOLDMAN SACHS HIGH YIELD FLOATING RATE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSHAX	38141W653	GOLDMAN SACHS HIGH YIELD FUND CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSHIX	38141W679	GOLDMAN SACHS HIGH YIELD FUND INSTL SHARES	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSHTX	38145N279	GOLDMAN SACHS HIGH YIELD FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GHYAX	38142Y625	GOLDMAN SACHS HIGH YIELD MUNICIPAL FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GHYCX	38142Y591	GOLDMAN SACHS HIGH YIELD MUNICIPAL FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GHYIX	38142Y583	GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND INST SHARES	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GYIRX	38144N213	GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSBFX	38141W562	GOLDMAN SACHS INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSBCX	38142B286	GOLDMAN SACHS INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSBIX	38142B161	GOLDMAN SACHS INCOME BUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GKIRX	38145C497	GOLDMAN SACHS INCOME BUILDER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCHX	38150C754	GOLDMAN SACHS INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCJX	38150C747	GOLDMAN SACHS INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCNX	38150C713	GOLDMAN SACHS INCOME FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSNCX	38150C739	GOLDMAN SACHS INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAPX	38144N866	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCFX	38144N858	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIPX	38144N841	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FD INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRPX	38145N261	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTPX	38145N253	GOLDMAN SACHS INFLATION PROTECTED SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIFX	38141W596	GOLDMAN SACHS INTERNATIONAL EQUITY ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSICX	38142B328	GOLDMAN SACHS INTERNATIONAL EQUITY ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIEX	38141W448	GOLDMAN SACHS INTERNATIONAL EQUITY ESG FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRNX	38145C588	GOLDMAN SACHS INTERNATIONAL EQUITY ESG FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAKX	38144N502	GOLDMAN SACHS INTERNATIONAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCKX	38144N700	GOLDMAN SACHS INTERNATIONAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIKX	38144N809	GOLDMAN SACHS INTERNATIONAL EQUITY INCOME FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTKX	38145N444	GOLDMAN SACHS INTERNATIONAL EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCIAX	38142V878	GOLDMAN SACHS INTERNATIONAL EQUITY INSIGHTS FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCICX	38142V852	GOLDMAN SACHS INTERNATIONAL EQUITY INSIGHTS FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCIIX	38142V845	GOLDMAN SACHS INTERNATIONAL EQUITY INSIGHTS FD CL I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCITX	38145N519	GOLDMAN SACHS INTERNATIONAL EQUITY INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCISX	38142V837	GOLDMAN SACHS INTERNATIONAL EQUITY INSIGHTS FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRAX	38143H589	GOLDMAN SACHS INTERNATIONAL REAL ESTATE SECS FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRCX	38143H571	GOLDMAN SACHS INTERNATIONAL REAL ESTATE SECS FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GICAX	38144N627	GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GICCX	38144N619	GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GICIX	38144N593	GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GITRX	38145C539	GOLDMAN SACHS INTERNATIONAL TAX MANAGED EQUITY FUND INVESTOR CLA	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRVX	38145C547	GOLDMAN SACHS INT'L EQUITY DIVIDEND & PREMIUM FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIDHX	38144N494	GOLDMAN SACHS INTL EQUITY DIVIDEND AND PREMIUM FD INSTL CL	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GIDAX	38144N486	GOLDMAN SACHS INT'L EQUITY DIVIDEND AND PREMIUM FUND CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIDCX	38144N478	GOLDMAN SACHS INT'L EQUITY DIVIDEND AND PREMIUM FUND CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRIX	38143H563	GOLDMAN SACHS INTL REAL ESTATE SEC. FUND INSTL CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRTX	38145N410	GOLDMAN SACHS INT'L REAL ESTATE SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GATMX	38144N528	GOLDMAN SACHS INT'L TAX-MANAGED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCTMX	38144N510	GOLDMAN SACHS INT'L TAX-MANAGED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GHTMX	38144N536	GOLDMAN SACHS INT'L TAX-MANAGED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGAX	38143H852	GOLDMAN SACHS INVESTMENT GRADE CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSGDX	38143H845	GOLDMAN SACHS INVESTMENT GRADE CREDIT FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIRX	38144N189	GOLDMAN SACHS INVESTMENT GRADE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLCGX	38142B435	GOLDMAN SACHS LARGE CAP GROWTH INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLCCX	38142B260	GOLDMAN SACHS LARGE CAP GROWTH INSIGHTS FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCGIX	38142B385	GOLDMAN SACHS LARGE CAP GROWTH INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLCTX	38145N592	GOLDMAN SACHS LARGE CAP GROWTH INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSLAX	38142Y815	GOLDMAN SACHS LARGE CAP VALUE FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSVCX	38142Y781	GOLDMAN SACHS LARGE CAP VALUE FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSLIX	38142Y773	GOLDMAN SACHS LARGE CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSVTX	38144N411	GOLDMAN SACHS LARGE CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCVAX	38142V225	GOLDMAN SACHS LARGE CAP VALUE INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCVIX	38142V183	GOLDMAN SACHS LARGE CAP VALUE INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCVTX	38145N626	GOLDMAN SACHS LARGE CAP VALUE INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAMDX	38145N105	GOLDMAN SACHS LOCAL EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLIRX	38144N163	GOLDMAN SACHS LOCAL EMERGING MARKETS DEBT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIMDX	38145N303	GOLDMAN SACHS LOCAL EMERGING MARKETS DEBT INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCMDX	38145N204	GOLDMAN SACHS LOCAL EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAUX	38145L273	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAVX	38145L265	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAZX	38145L232	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAWX	38145L257	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAYX	38145L240	GOLDMAN SACHS LONG SHORT CREDIT STRATEGIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMSSX	38145C398	GOLDMAN SACHS MANAGED FUTURES STRATEGY FUND CL I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMSAX	38145C422	GOLDMAN SACHS MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GFIRX	38145C380	GOLDMAN SACHS MANAGED FUTURES STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMCX	38141W398	GOLDMAN SACHS MID - CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMSX	38141W380	GOLDMAN SACHS MID CAP EQUITY FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCMAX	38142V720	GOLDMAN SACHS MID CAP VALUE FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCMCX	38142V696	GOLDMAN SACHS MID- CAP VALUE FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCMTX	38144N361	GOLDMAN SACHS MID CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLPAX	38145C257	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GLPCX	38145C240	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMLPX	38145C232	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GLPIX	38145C216	GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMAMX	38147N103	GOLDMAN SACHS MULTI-MANAGER ALTERNATIVES FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCMCX	38147N202	GOLDMAN SACHS MULTI-MANAGER ALTERNATIVES FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMMX	38147N301	GOLDMAN SACHS MULTI-MANAGER ALTERNATIVES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIMMX	38147N400	GOLDMAN SACHS MULTI-MANAGERS ALTERNATIVES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GREIX	38142V787	GOLDMAN SACHS REAL ESTATE SECS FD INST'L CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GREAX	38142V829	GOLDMAN SACHS REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GRECX	38142V795	GOLDMAN SACHS REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GRETXX	38145N428	GOLDMAN SACHS REAL ESTATE SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GRESX	38142V779	GOLDMAN SACHS REAL ESTATE SECURITIES FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRAAX	38145C315	GOLDMAN SACHS RISING DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRCX	38145C299	GOLDMAN SACHS RISING DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRLX	38145C281	GOLDMAN SACHS RISING DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRRX	38145C265	GOLDMAN SACHS RISING DIVIDEND GROWTH FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSRIX	38145C273	GOLDMAN SACHS RISING DIVIDEND GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GXSSX	38145L406	GOLDMAN SACHS SATELLITE STRAT FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GXSTX	38145N683	GOLDMAN SACHS SATELLITE STRATEGIES PORT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GXSAX	38143H357	GOLDMAN SACHS SATELLITE STRATEGIES PORTFOLIO FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GXSCHX	38143H340	GOLDMAN SACHS SATELLITE STRATEGIES PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GXSIX	38143H332	GOLDMAN SACHS SATELLITE STRATEGY PORTFOLIO INST CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDIAX	38145L646	GOLDMAN SACHS SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDICX	38145L638	GOLDMAN SACHS SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDFIX	38145L620	GOLDMAN SACHS SHORT DURATION BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDCX	38142B237	GOLDMAN SACHS SHORT DURATION GOVERNMENT CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTGX	38141W307	GOLDMAN SACHS SHORT DURATION GOVERNMENT FUND INSTL SHARES	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTDTX	38145N360	GOLDMAN SACHS SHORT DURATION GOVERNMENT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDSX	38141W869	GOLDMAN SACHS SHORT DURATION GOVERNMENT FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSDX	38142B476	GOLDMAN SACHS SHORT DURATION GOVT FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSRX	38145L612	GOLDMAN SACHS SHORT DURATION INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTCX	38142B245	GOLDMAN SACHS SHORT DURATION TAX FREE CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDTX	38142B492	GOLDMAN SACHS SHORT DURATION TAX FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSDUX	38141W406	GOLDMAN SACHS SHORT DURATION TAX FREE FUND INST'L SHARES	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDIRX	38144N239	GOLDMAN SACHS SHORT DURATION TAX-FREE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GPPOX	38147X218	GOLDMAN SACHS SHORT TERM CONSERVATIVE INCOME FD INVESTOR CL	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GPPAX	38147X606	GOLDMAN SACHS SHORT TERM CONSERVATIVE INCOME FUND ADMIN CLASS	T+	E	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GPAAX	38148U288	GOLDMAN SACHS SHORT- TERM CONSERVATIVE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

NTF-No transaction fee. NTF^=\$5,000 minimum trade for NTF status to avoid transaction fee. T=Transaction fee T+=May be subject to higher trx fee 200

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GPPIX	38147X705	GOLDMAN SACHS SHORT TERM CONSERVATIVE INCOME FUND INSTITUTIONAL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCSAX	38142V506	GOLDMAN SACHS SMALL CAP EQUITY INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCSCX	38142V704	GOLDMAN SACHS SMALL CAP EQUITY INSIGHTS FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCSIX	38142V803	GOLDMAN SACHS SMALL CAP EQUITY INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GDSTX	38145N576	GOLDMAN SACHS SMALL CAP EQUITY INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCSSX	38142V886	GOLDMAN SACHS SMALL CAP EQUITY INSIGHTS FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSBDX	38145C182	GOLDMAN SACHS SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSBAX	38145C174	GOLDMAN SACHS SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSANX	38145C166	GOLDMAN SACHS SMALL CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAJX	38145C141	GOLDMAN SACHS SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAHX	38145C133	GOLDMAN SACHS SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSAOX	38143H225	GOLDMAN SACHS SMALL CAP GROWTH INSIGHTS FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCOX	38143H191	GOLDMAN SACHS SMALL CAP GROWTH INSIGHTS FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSIOX	38143H183	GOLDMAN SACHS SMALL CAP GROWTH INSIGHTS FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTOX	38145N535	GOLDMAN SACHS SMALL CAP GROWTH INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSCX	38142B336	GOLDMAN SACHS SMALL CAP VALUE CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSMX	38141W612	GOLDMAN SACHS SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSQRX	38144N312	GOLDMAN SACHS SMALL CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSIX	38142V209	GOLDMAN SACHS SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSQTX	38144N296	GOLDMAN SACHS SMALL CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSSX	38142V308	GOLDMAN SACHS SMALL CAP VALUE FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSATX	38143H282	GOLDMAN SACHS SMALL CAP VALUE INSIGHTS FUND CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCTX	38143H266	GOLDMAN SACHS SMALL CAP VALUE INSIGHTS FUND CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSITX	38143H241	GOLDMAN SACHS SMALL CAP VALUE INSIGHTS FUND CL I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTTTX	38145N550	GOLDMAN SACHS SMALL CAP VALUE INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMVAX	38147X101	GOLDMAN SACHS SMALL MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMVCX	38147X200	GOLDMAN SACHS SMALL MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMAX	38143H779	GOLDMAN SACHS SMALL MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMGX	38143H753	GOLDMAN SACHS SMALL MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMYX	38143H746	GOLDMAN SACHS SMALL/ MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMTX	38144N320	GOLDMAN SACHS SMALL/MID CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMQX	38143H738	GOLDMAN SACHS SMALL/MID-CAP GROWTH FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGRAX	38142Y609	GOLDMAN SACHS STRATEGIC GROWTH FD CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGRCX	38142Y807	GOLDMAN SACHS STRATEGIC GROWTH FD CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTIX	38142Y880	GOLDMAN SACHS STRATEGIC GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSTTX	38144N387	GOLDMAN SACHS STRATEGIC GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSZAX	38145C661	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSZCX	38145C653	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSZIX	38145C646	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GSZR	38145C620	GOLDMAN SACHS STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GZIR	38145C638	GOLDMAN SACHS STRATEGIC INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRL	38145C554	GOLDMAN SACHS STRUCTURED INT'L SMALL CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCVC	38142V191	GOLDMAN SACHS STRUCTURED LARGE CAP VALUE FD CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAD	38147N780	GOLDMAN SACHS TARGET DATE 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRD	38147N749	GOLDMAN SACHS TARGET DATE 2025 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIF	38147N772	GOLDMAN SACHS TARGET DATE 2025 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVF	38147N764	GOLDMAN SACHS TARGET DATE 2025 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMF	38147N756	GOLDMAN SACHS TARGET DATE 2025 PORTFOLIO FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAJ	38147N723	GOLDMAN SACHS TARGET DATE 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRJ	38147N673	GOLDMAN SACHS TARGET DATE 2030 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIJ	38147N715	GOLDMAN SACHS TARGET DATE 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVJ	38147N699	GOLDMAN SACHS TARGET DATE 2030 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMJ	38147N681	GOLDMAN SACHS TARGET DATE 2030 PORTFOLIO FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAL	38147N657	GOLDMAN SACHS TARGET DATE 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRO	38147N616	GOLDMAN SACHS TARGET DATE 2035 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIO	38147N640	GOLDMAN SACHS TARGET DATE 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVO	38147N632	GOLDMAN SACHS TARGET DATE 2035 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMP	38147N624	GOLDMAN SACHS TARGET DATE 2035 PORTFOLIO FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAM	38147N582	GOLDMAN SACHS TARGET DATE 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRM	38147N541	GOLDMAN SACHS TARGET DATE 2040 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIM	38147N574	GOLDMAN SACHS TARGET DATE 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVM	38147N566	GOLDMAN SACHS TARGET DATE 2040 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMM	38147N558	GOLDMAN SACHS TARGET DATE 2040 PORTFOLIO FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAQ	38147N525	GOLDMAN SACHS TARGET DATE 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRE	38147N475	GOLDMAN SACHS TARGET DATE 2045 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIQ	38147N517	GOLDMAN SACHS TARGET DATE 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVE	38147N491	GOLDMAN SACHS TARGET DATE 2045 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAS	38147N459	GOLDMAN SACHS TARGET DATE 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRS	38147N418	GOLDMAN SACHS TARGET DATE 2050 FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIP	38147N442	GOLDMAN SACHS TARGET DATE 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVS	38147N434	GOLDMAN SACHS TARGET DATE 2050 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIW	38147N376	GOLDMAN SACHS TARGET DATE 2055 FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVI	38147N368	GOLDMAN SACHS TARGET DATE 2055 FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTAN	38147N384	GOLDMAN SACHS TARGET DATE 2055 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTBA	38147N194	GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTBR	38147N160	GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTBC	38147N186	GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTBI	38147N145	GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO FUND INVESTOR CLASS	T	V	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOLDMAN SACHS FUNDS	GTAHX	38147N855	GOLDMAN SACHS TARGET DATE RETIREMENT PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTRHX	38147N814	GOLDMAN SACHS TARGET DATE RETIREMENT PORTFOLIO FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTIHX	38147N848	GOLDMAN SACHS TARGET DATE RETIREMENT PORTFOLIO FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTMHX	38147N822	GOLDMAN SACHS TARGET DATE RETIREMENT PORTFOLIO FUND INVESTOR CL	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GTVHX	38147N830	GOLDMAN SACHS TARGET DATE RETIREMENT PORTFOLIO FUND SERVICE CLAS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	TAGGX	38142B120	GOLDMAN SACHS TAX ADVANTAGE GLOBAL EQUITY PORT FD CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	TIGGX	38142B112	GOLDMAN SACHS TAX ADVANTAGED GLOBAL EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GITAX	38142Y823	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GITCX	38142Y849	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GITIX	38142Y856	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND INST CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GISTX	38145C489	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GITSX	38142Y864	GOLDMAN SACHS TECHNOLOGY OPPORTUNITIES FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPIX	38142B146	GOLDMAN SACHS TRUST CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSUIX	38143H860	GOLDMAN SACHS TRUST U.S. MORTGAGES FUND INSTL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GVIRX	38145C513	GOLDMAN SACHS U.S. EQUITY DIVIDEND & PREMIUM FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSPQX	38143H696	GOLDMAN SACHS U.S. EQUITY DIVIDEND AND PREMIUM FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GAGVX	38144N288	GOLDMAN SACHS U.S. EQUITY ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCGVX	38144N270	GOLDMAN SACHS U.S. EQUITY ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GINGX	38144N247	GOLDMAN SACHS U.S. EQUITY ESG FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GIRGX	38144N254	GOLDMAN SACHS U.S. EQUITY ESG FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSSQX	38141W620	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND CL A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSUSX	38142B278	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND CL C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSELX	38141W372	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND CL I	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSURX	38145N659	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND CLASS R	T	R	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSUTX	38145N642	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSEX	38141W463	GOLDMAN SACHS U.S. EQUITY INSIGHTS FUND SERVICE CLASS	T+	S	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSUAX	38143H878	GOLDMAN SACHS U.S. MORTGAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GGIRX	38144N197	GOLDMAN SACHS U.S. MORTGAGES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCTIX	38142Y641	GOLDMAN SACHS U.S. TAX-MANAGED EQUITY FD INST	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCTCX	38142Y658	GOLDMAN SACHS U.S. TAX-MANAGED EQUITY FUND C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GCTAX	38142Y674	GOLDMAN SACHS U.S. TAX-MANAGED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GQIRX	38145C521	GOLDMAN SACHS U.S. TAX-MANAGED EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSCPX	38143H829	GS INVESTMENT GRADE CREDIT FUND SEPARATE ACCOUNT INSTITUTIONAL CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GMSCX	38145C414	GS MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSMVX	38147X309	GS SMALL/MID CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS	GSUPX	38143H837	GS U.S. MORTGAGE FUND SEPARATE ACCOUNT INSTL CL	T	I	N	4:00 PM	01
GOLDMAN SACHS FUNDS		380987644	GS VIT HIGH QUALITY FLOATING RATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GOOD HARBOR FUNDS	GHSAX	66538G486	GOOD HARBOR TACTICAL SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GOOD HARBOR FUNDS	GHSCX	66538G478	GOOD HARBOR TACTICAL SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
GOOD HARBOR FUNDS	GHSIX	66538G460	GOOD HARBOR TACTICAL SELECT FUND CLASS I	T	I	N	4:00 PM	01
GOODHAVEN FUNDS	GOODX	38217G103	GOODHAVEN FUND	T		N	4:00 PM	01
GPS I FUNDS	GMCOX	36191K868	GUIDEMARK CORE FIXED INCOME FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS I FUNDS	GMLVX	36191K306	GUIDEMARK EMERGING MARKERTS FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS I FUNDS	GMLGX	36191K108	GUIDEMARK LARGE CAP CORE FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS I FUNDS	GMSMX	36191K504	GUIDEMARK SMALL/MID CAP CORE FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS I FUNDS	GMWEX	36191K702	GUIDEMARK WORLD EX-U.S. FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPARG	36191E706	GUIDEPATH ABSOLUTE RETURN ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPTCX	36191E300	GUIDEPATH CONSERVATIVE ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPICX	36191E755	GUIDEPATH CONSERVATIVE INCOME FUND	T		N	4:00 PM	01
GPS II FUNDS	GPIFX	36191E821	GUIDEPATH FLEXIBLE INCOME ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPSTX	36191E102	GUIDEPATH GROWTH ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPIGX	36191E730	GUIDEPATH GROWTH AND INCOME FUND	T		N	4:00 PM	01
GPS II FUNDS	GPINX	36191E748	GUIDEPATH INCOME FUND	T		N	4:00 PM	01
GPS II FUNDS	GIFMX	36191E763	GUIDEPATH MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GPS II FUNDS	GPMFX	36191E771	GUIDEPATH MANAGED FUTURES STRATEGY FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPMIX	36191E847	GUIDEPATH MULTI-ASSET INCOME ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GPS II FUNDS	GPTUX	36191E508	GUIDEPATH TATICAL ALLOCATION FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
GRANT PARK FUNDS	GPAAX	66538B768	GRANT PARK ALTERNATIVE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GRANT PARK FUNDS	GPACX	66538B750	GRANT PARK ALTERNATIVE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GRANT PARK FUNDS	GPAIX	66538B743	GRANT PARK ALTERNATIVE STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
GRANT PARK FUNDS	GPANX	66538B735	GRANT PARK ALTERNATIVE STRATEGIES FUND CLASS N	T	N	N	4:00 PM	01
GREAT LAKES FUNDS	GLDNX	56166Y719	GREAT LAKES DISCIPLINED EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
GREAT LAKES FUNDS	GLLIX	56166Y735	GREAT LAKES LARGE CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
GREAT LAKES FUNDS	GLSCX	56166Y693	GREAT LAKES SMALL CAP OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	02
GREAT LAKES FUNDS	GLSIX	56166Y685	GREAT LAKES SMALL CAP OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
GREEN CENTURY FUNDS	GCBLX	392768107	GREEN CENTURY BALANCED FUND INDIVIDUAL INVESTOR CLASS	T	V	N	4:00 PM	01
GREEN CENTURY FUNDS	GCBUX	392768701	GREEN CENTURY BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GREEN CENTURY FUNDS	GCEQX	392768305	GREEN CENTURY EQUITY FUND INDIVIDUAL INVESTOR CLASS	T	V	N	4:00 PM	01
GREEN CENTURY FUNDS	GCEUX	392768602	GREEN CENTURY EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GREEN CENTURY FUNDS	GCINX	392768404	GREEN CENTURY MSCI INTERNATIONAL INDEX FUND INDIVIDUAL INVESTOR CL/	T	V	N	4:00 PM	01
GREEN CENTURY FUNDS	GCIFX	392768503	GREEN CENTURY MSCI INTERNATIONAL INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GREEN OWL FUND	GOWLX	92046L817	GREEN OWL INTRINSIC VALUE FUND	T		N	4:00 PM	01
GREENSPRING FUND	GRSPX	395724107	GREENSPRING FUND	T		N	4:00 PM	01
GREENWICH FUNDS	GIVYX	19423L847	GREENWICH IVY LONG-SHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SAOAX	40168W285	GUGGENHEIM ALPHA OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SAOCX	40168W277	GUGGENHEIM ALPHA OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SAOSX	40169J309	GUGGENHEIM ALPHA OPPORTUNITY FUND CLASS P	T	P	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	SAOIX	40168W269	GUGGENHEIM ALPHA OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SIUSX	40168W657	GUGGENHEIM CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SDICX	40168W632	GUGGENHEIM CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SIUPX	40169J606	GUGGENHEIM CORE BOND FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIUSX	40168W624	GUGGENHEIM CORE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVRAX	89386C662	GUGGENHEIM DIRECTIONAL ALLOCATION FD CL A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	TVRCX	89386C654	GUGGENHEIM DIRECTIONAL ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	TVRIX	89386C639	GUGGENHEIM DIRECTIONAL ALLOCATION FD CL I	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVFRX	89386C647	GUGGENHEIM DIRECTIONAL ALLOCATION FD CL P	NTF	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GUDAX	40169J770	GUGGENHEIM DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GUDCX	40169J762	GUGGENHEIM DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GUDPX	40169J739	GUGGENHEIM DIVERSIFIED INCOME FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GUDIX	40169J754	GUGGENHEIM DIVERSIFIED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GIFPX	40169J408	GUGGENHEIM FLOATING RATE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIFAX	40168W731	GUGGENHEIM FLOATING RATE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GIFCX	40168W723	GUGGENHEIM FLOATING RATE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GIFIX	40168W715	GUGGENHEIM FLOATING RATE STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SIHAX	40168W699	GUGGENHEIM HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SIHSX	40168W673	GUGGENHEIM HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SIHPX	40169J507	GUGGENHEIM HIGH YIELD FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	SHYIX	40168W665	GUGGENHEIM HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SECIX	40168W475	GUGGENHEIM LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SEGIX	40168W459	GUGGENHEIM LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SEGPX	40169J853	GUGGENHEIM LARGE CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GILCX	40168W442	GUGGENHEIM LARGE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GILDX	40168W517	GUGGENHEIM LIMITED DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GILFX	40168W491	GUGGENHEIM LIMITED DURATION FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GILPX	40169J705	GUGGENHEIM LIMITED DURATION FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GILHX	40168W483	GUGGENHEIM LIMITED DURATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAMX	78355E510	GUGGENHEIM LONG SHORT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYISX	78355E825	GUGGENHEIM LONG SHORT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSRX	78355E833	GUGGENHEIM LONG SHORT EQUITY FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	RYQTX	78356C687	GUGGENHEIM LONG SHORT EQUITY FUND INST CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GIOCX	40168W590	GUGGENHEIM MACRO OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GIOPX	40169J804	GUGGENHEIM MACRO OPPORTUNITIES FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIOAX	40168W616	GUGGENHEIM MACRO OPPORTUNITIES FUND FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GIOIX	40168W582	GUGGENHEIM MACRO OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIFX	78356A145	GUGGENHEIM MANAGED FUTURES STRATEGY FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMTX	78356A517	GUGGENHEIM MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYMZX	78356A525	GUGGENHEIM MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMFX	78356A491	GUGGENHEIM MANAGED FUTURES STRATEGY FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GUMNX	40169J713	GUGGENHEIM MARKET NEUTRAL REAL ESTATE FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GUMAX	40169J689	GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GUMCX	40169J721	GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GUMPX	40169J697	GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIMX	78356A137	GUGGENHEIM MULTI-HEDGE STRATEGIES FD INSTL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMQX	78356A855	GUGGENHEIM MULTI-HEDGE STRATEGIES FUND CL A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMRX	78356A848	GUGGENHEIM MULTI-HEDGE STRATEGIES FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMSX	78356A780	GUGGENHEIM MULTI-HEDGE STRATEGIES FUND CL P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIJAX	40168W574	GUGGENHEIM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GIJCX	40168W566	GUGGENHEIM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GIJPX	40169J887	GUGGENHEIM MUNICIPAL INCOME FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIJIX	40168W558	GUGGENHEIM MUNICIPAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVEAX	89386C696	GUGGENHEIM RBP DIVIDEND FUND CL A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	TVECX	89386C688	GUGGENHEIM RBP DIVIDEND FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	TVEIX	89386C712	GUGGENHEIM RBP DIVIDEND FUND CL I	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVEFX	89386C670	GUGGENHEIM RBP DIVIDEND FUND CL P	NTF	P	N	4:00 PM	01
GUGGENHEIM FUNDS	TVDAX	89386C308	GUGGENHEIM RBP LARGE-CAP DEFENSIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	TVDCX	89386C878	GUGGENHEIM RBP LARGE-CAP DEFENSIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	TVIDX	89386C886	GUGGENHEIM RBP LARGE-CAP DEFENSIVE FUND CLASS I	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVFDX	89386C407	GUGGENHEIM RBP LARGE-CAP DEFENSIVE FUND CLASS P	NTF	P	N	4:00 PM	01
GUGGENHEIM FUNDS	TVMAX	89386C506	GUGGENHEIM RBP LARGE-CAP MARKET FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	TVMCX	89386C852	GUGGENHEIM RBP LARGE-CAP MARKET FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	TVIMX	89386C860	GUGGENHEIM RBP LARGE-CAP MARKET FUND CLASS I	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVFMX	89386C605	GUGGENHEIM RBP LARGE-CAP MARKET FUND CLASS P	NTF	P	N	4:00 PM	01
GUGGENHEIM FUNDS	TVVAX	89386C753	GUGGENHEIM RBP LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	TVVCX	89386C746	GUGGENHEIM RBP LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	TVVIX	89386C720	GUGGENHEIM RBP LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	TVVFX	89386C738	GUGGENHEIM RBP LARGE-CAP VALUE FUND CLASS P	NTF	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GURAX	40168W384	GUGGENHEIM RISK MANAGED REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GURCX	40168W376	GUGGENHEIM RISK MANAGED REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GURPX	40169J838	GUGGENHEIM RISK MANAGED REAL ESTATE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GURIX	40168W368	GUGGENHEIM RISK MANAGED REAL ESTATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SSUAX	40168W855	GUGGENHEIM SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SSVCX	40168W871	GUGGENHEIM SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SSUPX	40169J820	GUGGENHEIM SMALL CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	SSUIX	40168W848	GUGGENHEIM SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SEVAX	40168W749	GUGGENHEIM SMID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	SEVSX	40168W780	GUGGENHEIM SMID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SEVPX	40169J846	GUGGENHEIM SMID CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	SVUIX	40169J499	GUGGENHEIM SMID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SECEX	40168W103	GUGGENHEIM STYLEPLUS LARGE CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SFECX	40168W764	GUGGENHEIM STYLEPLUS LARGE CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SFEPX	40169J812	GUGGENHEIM STYLEPLUS LARGE CORE FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GILIX	40168W608	GUGGENHEIM STYLEPLUS LARGE CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SECUX	40168W434	GUGGENHEIM STYLEPLUS MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SUFCX	40168W418	GUGGENHEIM STYLEPLUS MID GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SEUPX	40169J796	GUGGENHEIM STYLEPLUS MID GROWTH FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIUIX	40168W392	GUGGENHEIM STYLEPLUS MID GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GIBAX	40168W541	GUGGENHEIM TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GIBCX	40168W533	GUGGENHEIM TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	GIBLX	40169J879	GUGGENHEIM TOTAL RETURN BOND FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	GIBIX	40168W525	GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	GIYAX	40169J549	GUGGENHEIM ULTRA SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	GIYIX	40169J523	GUGGENHEIM ULTRA SHORT DURATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	SEQAX	40168W707	GUGGENHEIM WORLD EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
GUGGENHEIM FUNDS	SFGCX	40168W772	GUGGENHEIM WORLD EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	SEQPX	40169J788	GUGGENHEIM WORLD EQUITY INCOME FUND CLASS P	T	P	N	4:00 PM	01
GUGGENHEIM FUNDS	SEWIX	40168W806	GUGGENHEIM WORLD EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUGGENHEIM FUNDS	RYKIX	783554868	RYDEX BANKING FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYBKX	78355E379	RYDEX BANKING FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYKCX	783554314	RYDEX BANKING FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYKAX	783554876	RYDEX BANKING FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYBIX	783554843	RYDEX BASIC MATERIALS FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYBMX	78355E361	RYDEX BASIC MATERIALS FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYBCX	783554298	RYDEX BASIC MATERIALS FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYBAX	783554850	RYDEX BASIC MATERIALS FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYOIX	783554827	RYDEX BIOTECHNOLOGY FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYBOX	78355E353	RYDEX BIOTECHNOLOGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCFX	783554280	RYDEX BIOTECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYOAX	783554835	RYDEX BIOTECHNOLOGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMEX	78356A301	RYDEX COMMODITIES STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMBX	78356A509	RYDEX COMMODITIES STRATEGY FUND CLASS H	NTF^		N	1530	01
GUGGENHEIM FUNDS	RYCIX	783554793	RYDEX CONSUMER PRODUCTS FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYPDX	78355E346	RYDEX CONSUMER PRODUCTS FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCPX	783554272	RYDEX CONSUMER PRODUCTS FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCAX	783554819	RYDEX CONSUMER PRODUCTS FUND CLASS H	NTF^		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYCVX	78355Y201	RYDEX DOW 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYDAX	78356C539	RYDEX DOW JONES INDUSTRIAL AVERAGE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYDKX	78356C521	RYDEX DOW JONES INDUSTRIAL AVERAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYDHX	78356C513	RYDEX DOW JONES INDUSTRIAL AVERAGE FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYLDX	78355Y888	RYDEX DYNAMIC DOW 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCYX	78355Y102	RYDEX DYNAMIC DOW 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYRUX	78356A756	RYDEX DYNAMIC RUSSELL 2000 2X STRAT FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYRLX	78356A749	RYDEX DYNAMIC RUSSELL 2000 2X STRAT FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYTTX	78355Y508	RYDEX DYNAMIC S&P 500 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCTX	78355E205	RYDEX DYNAMIC S&P 500 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSDX	78356A608	RYDEX DYNAMIC STRENGTH DOLLAR 2X STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSJX	78356A707	RYDEX DYNAMIC STRENGTH DOLLAR 2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWDX	78356A889	RYDEX DYNAMIC WEAKENING DOLLAR 2X STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWJX	78356A871	RYDEX DYNAMIC WEAKENING DOLLAR 2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSIX	783554777	RYDEX ELECTRONICS FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYELX	78355E338	RYDEX ELECTRONICS FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSCX	783554264	RYDEX ELECTRONICS FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSAX	783554785	RYDEX ELECTRONICS FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYIEX	78356C653	RYDEX EMERGING MARKETS BOND STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYFTX	78356C661	RYDEX EMERGING MARKETS BOND STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYGTX	78356C646	RYDEX EMERGING MARKETS BOND STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYEIX	783554751	RYDEX ENERGY FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYENX	78355E320	RYDEX ENERGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYECX	783554256	RYDEX ENERGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYEAX	783554769	RYDEX ENERGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYVIX	783554736	RYDEX ENERGY SERVICES FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYESX	78355E130	RYDEX ENERGY SERVICES FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYVCX	783554249	RYDEX ENERGY SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYVAX	783554744	RYDEX ENERGY SERVICES FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAEX	78355E692	RYDEX EUROPE 1.25X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCEX	783554116	RYDEX EUROPE 1.25X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYEUX	783554447	RYDEX EUROPE 1.25X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYFIX	783554710	RYDEX FINANCIAL SERVICES FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYFCX	783554231	RYDEX FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYFAX	783554728	RYDEX FINANCIAL SERVICES FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYFNX	78355E312	RYDEX FINANCIAL SERVICES FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYGBX	783554504	RYDEX GOVERNMENT LONG BOND 1.2X STRAT FD INVEST CL	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYABX	78355E460	RYDEX GOVERNMENT LONG BOND 1.2X STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCGX	783554330	RYDEX GOVERNMENT LONG BOND 1.2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYHBX	78356C588	RYDEX GOVERNMENT LONG BOND 1.2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYHIX	783554686	RYDEX HEALTH CARE FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYHEX	78355E296	RYDEX HEALTH CARE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHCX	783554223	RYDEX HEALTH CARE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHAX	783554694	RYDEX HEALTH CARE FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYHDX	78356A483	RYDEX HIGH YIELD STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHHX	78356A467	RYDEX HIGH YIELD STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHGX	78356A442	RYDEX HIGH YIELD STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYNVX	783554108	RYDEX INDEX NOVA FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYNCX	783554371	RYDEX INDEX NOVA FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIIX	783554496	RYDEX INTERNET FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYINX	78355E288	RYDEX INTERNET FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYICX	783554215	RYDEX INTERNET FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIAX	783554488	RYDEX INTERNET FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYIDX	78355Y870	RYDEX INVERSE DOW 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCZX	78355Y300	RYDEX INVERSE DOW 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCWX	78355Y409	RYDEX INVERSE DOW 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAQX	78355E429	RYDEX INVERSE GOVERN LONG BOND STRATEGY FD CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYJCX	783554322	RYDEX INVERSE GOVERN LONG BOND STRATEGY FD CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYJUX	783554702	RYDEX INVERSE GOVERN LONG BOND STRATEGY FD INVST CL	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYHJX	78356C570	RYDEX INVERSE GOVT LONG BOND STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYILX	78356A475	RYDEX INVERSE HIGH YIELD STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIYX	78356A459	RYDEX INVERSE HIGH YIELD STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIHX	78356A434	RYDEX INVERSE HIGH YIELD STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYCLX	78355E619	RYDEX INVERSE MID CAP FUND STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAGX	78355E627	RYDEX INVERSE MID CAP STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMHX	78355E593	RYDEX INVERSE MID CAP STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYVTX	78355Y805	RYDEX INVERSE NASDAQ 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCDX	78355E502	RYDEX INVERSE NASDAQ 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYVNX	783554397	RYDEX INVERSE NASDAQ-100 2X STRATEGY FD CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAPX	78355E437	RYDEX INVERSE NASDAQ-100 STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYACX	783554348	RYDEX INVERSE NASDAQ-100 STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYALX	78356C596	RYDEX INVERSE NASDAQ-100 STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAIX	783554512	RYDEX INVERSE NASDAQ-100 STRATEGY INVESTOR CLASS	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYIUX	78356A723	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIZX	78356A715	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYIRX	78356A699	RYDEX INVERSE RUSSELL 2000 2X STRATEGY FUND CL H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAFX	78355E544	RYDEX INVERSE RUSSELL 2000 STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCQX	78355E536	RYDEX INVERSE RUSSELL 2000 STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYSHX	78355E528	RYDEX INVERSE RUSSELL 2000 STRATEGY FUND CL H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYCBX	78355E304	RYDEX INVERSE S&P 500 2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYTPX	783554421	RYDEX INVERSE S&P 500 2X STRATEGY FUND CL H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYTMX	78355Y607	RYDEX INVERSE S&P 500 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYARX	78355E718	RYDEX INVERSE S&P 500 STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYUCX	783554363	RYDEX INVERSE S&P 500 STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYUHX	78356C620	RYDEX INVERSE S&P 500 STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYURX	783554405	RYDEX INVERSE S&P 500 STRATEGY FUND INVESTOR CLASS	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYJHX	78356A293	RYDEX JAPAN 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYLIX	783554660	RYDEX LEISURE FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYLSX	78355E270	RYDEX LEISURE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYLCX	783554199	RYDEX LEISURE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYLAX	783554678	RYDEX LEISURE FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYAHX	78355E486	RYDEX MID CAP 1.5X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYDCX	78355E700	RYDEX MID CAP 1.5X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMDX	78355E882	RYDEX MID CAP 1.5X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RMQAX	78356C562	RYDEX MONTHLY REBALANCE NASDAQ 100 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RMQCX	78356C554	RYDEX MONTHLY REBALANCE NASDAQ 100 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RMQHX	78356C547	RYDEX MONTHLY REBALANCE NASDAQ 100 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYVLX	78355Y706	RYDEX NASDAQ-100 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCCX	78355E403	RYDEX NASDAQ-100 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYVYX	783554413	RYDEX NASDAQ-100 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYATX	78355E445	RYDEX NASDAQ-100 FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCOX	783554355	RYDEX NASDAQ-100 FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHOX	78356C612	RYDEX NASDAQ-100 FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYOCX	783554603	RYDEX NASDAQ-100 FUND INVESTOR CLASS	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYANX	78355E452	RYDEX NOVA FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYNHX	78356C638	RYDEX NOVA FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYPMX	783554207	RYDEX PRECIOUS METALS FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMNX	78355E262	RYDEX PRECIOUS METALS FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYZCX	783554181	RYDEX PRECIOUS METALS FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMPX	78355E858	RYDEX PRECIOUS METALS FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYREX	78355E254	RYDEX REAL ESTATE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCRX	78355E809	RYDEX REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYHRX	78355E387	RYDEX REAL ESTATE FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYRIX	783554645	RYDEX RETAILING FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYRTX	78355E247	RYDEX RETAILING FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYRCX	783554173	RYDEX RETAILING FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYRAX	783554652	RYDEX RETAILING FUND CLASS H	NTF^		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYAKX	78355E478	RYDEX RUSSELL 2000 1.5X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCMX	78355E601	RYDEX RUSSELL 2000 1.5X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMKX	783554389	RYDEX RUSSELL 2000 1.5X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYRSX	78356A731	RYDEX RUSSELL 2000 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYRHX	78356A665	RYDEX RUSSELL 2000 FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYTNX	783554439	RYDEX S&P 500 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYSPX	78356A632	RYDEX S&P 500 FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYLGX	78355E189	RYDEX S&P 500 PURE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYGRX	78355E668	RYDEX S&P 500 PURE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAWX	78355E650	RYDEX S&P 500 PURE GROWTH FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYLVX	78355E171	RYDEX S&P 500 PURE VALUE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYVVX	78355E684	RYDEX S&P 500 PURE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYZAX	78355E676	RYDEX S&P 500 PURE VALUE FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMGX	78355E742	RYDEX S&P MIDCAP 400 PURE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCKX	78355E734	RYDEX S&P MIDCAP 400 PURE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYBHX	78355E726	RYDEX S&P MIDCAP 400 PURE GROWTH FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMVX	78355E163	RYDEX S&P MIDCAP 400 PURE VALUE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMMX	78355E643	RYDEX S&P MIDCAP 400 PURE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAVX	78355E635	RYDEX S&P MIDCAP 400 PURE VALUE FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYSGX	78355E148	RYDEX S&P SMALLCAP 600 PURE GROWTH FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWCX	78355E569	RYDEX S&P SMALLCAP 600 PURE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWAX	78355E551	RYDEX S&P SMALLCAP 600 PURE GROWTH FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYSVX	78355E155	RYDEX S&P SMALLCAP 600 PURE VALUE FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYYCX	78355E585	RYDEX S&P SMALLCAP 600 PURE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAZX	78355E577	RYDEX S&P SMALLCAP 600 PURE VALUE FUND CLASS H	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMJX	78356A400	RYDEX SERIES COMMODITIES STRAT FUND CLASS C	NTF^	C	N	1530	01
GUGGENHEIM FUNDS	RYRRX	78356A681	RYDEX SERIES FUNDS RUSSELL 2000 FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYROX	78356A673	RYDEX SERIES FUNDS RUSSELL 2000 FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSYX	78356A640	RYDEX SERIES FUNDS S&P 500 FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSOX	78356A657	RYDEX SERIES FUNDS S&P 500 FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWTX	78356C760	RYDEX SGI EMERGING MARKETS 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWUX	78356C778	RYDEX SGI EMERGING MARKETS 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWVX	78356C794	RYDEX SGI EMERGING MARKETS 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYWWX	78356C745	RYDEX SGI INVERSE EMERGING MARKETS 2X STRATEGY FUND CL A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWZX	78356C752	RYDEX SGI INVERSE EMERGING MARKETS 2X STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYWYX	78356C786	RYDEX SGI INVERSE EMERGING MARKETS 2X STRATEGY FUND CL H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYJSX	78356A368	RYDEX SRS TR. JAPAN 2X STRATEGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYJTX	78356A335	RYDEX SRS TR. JAPAN 2X STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYSBX	78356A806	RYDEX STRENGTHENING DOLLAR 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUGGENHEIM FUNDS	RYTIX	783554629	RYDEX TECHNOLOGY FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYTHX	78355E239	RYDEX TECHNOLOGY FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCHX	783554165	RYDEX TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYTAX	783554637	RYDEX TECHNOLOGY FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYMIX	783554595	RYDEX TELECOMMUNICATIONS FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYTLX	78355E221	RYDEX TELECOMMUNICATIONS FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCSX	783554157	RYDEX TELECOMMUNICATIONS FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYMAX	783554611	RYDEX TELECOMMUNICATIONS FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYPIX	783554579	RYDEX TRANSPORTATION FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYTSX	78355E213	RYDEX TRANSPORTATION FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCNX	783554140	RYDEX TRANSPORTATION FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYPAX	783554587	RYDEX TRANSPORTATION FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYUIX	783554470	RYDEX UTILITIES FUND (INVESTOR CLASS)	NTF		N	4:00 PM	01
GUGGENHEIM FUNDS	RYUTX	78355E197	RYDEX UTILITIES FUND CLASS A	T	A	N	4:00 PM	01
GUGGENHEIM FUNDS	RYCUX	783554132	RYDEX UTILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
GUGGENHEIM FUNDS	RYAUX	783554462	RYDEX UTILITIES FUND CLASS H	NTF^		N	4:00 PM	01
GUGGENHEIM FUNDS	RYWBX	78356A863	RYDEX WEAKENING DOLLAR 2X STRATEGY FUND CLASS H	NTF^		N	4:00 PM	01
GUIDESTONE FUNDS	GGBZX	40171W876	GUIDESTONE AGGRESSIVE ALLC FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GGIZX	40171W405	GUIDESTONE BALANCED ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GDMZX	40171W181	GUIDESTONE DEFENSIVE MARKET STRATEGIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GEMYX	40171W132	GUIDESTONE EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GEMZX	40171W124	GUIDESTONE EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GEQYX	40171W629	GUIDESTONE EQUITY INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GEQZX	40171W611	GUIDESTONE EQUITY INDEX FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GGBEX	40171Y203	GUIDESTONE GLOBAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GGBFX	40171W231	GUIDESTONE GLOBAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GGIIX	40171Y815	GUIDESTONE GLOBAL IMPACT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GREYX	40171Y302	GUIDESTONE GLOBAL REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GCOZX	40171W702	GUIDESTONE GROWTH ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GGEYX	40171W538	GUIDESTONE GROWTH EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GGEZX	40171W520	GUIDESTONE GROWTH EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GIEZX	40171W439	GUIDESTONE INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GIYX	40171Y401	GUIDESTONE INTERNATIONAL EQUITY INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GLDZX	40171W744	GUIDESTONE LOW DURATION BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GLDYX	40171W751	GUIDESTONE LOW-DURATION BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GMDYX	40171W710	GUIDESTONE MEDIUM-DURATION BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GMDZX	40171W694	GUIDESTONE MEDIUM-DURATION BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GMWZX	40171W330	GUIDESTONE MY DESTINATION 2025 FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GMFZX	40171W264	GUIDESTONE MY DESTINATION 2045 INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
GUIDESTONE FUNDS	GMGZX	40171W173	GUIDESTONE MYDESTINATION 2055 FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GREZX	40171W223	GUIDESTONE REAL ESTATE SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GSCZX	40171W470	GUIDESTONE SMALL CAP EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GSCYX	40171W488	GUIDESTONE SMALL-CAP EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GFSZX	40171Y823	GUIDESTONE STRATEGIC ALTERNATIVE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUIDESTONE FUNDS	GVEYX	40171W579	GUIDESTONE VALUE EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
GUIDESTONE FUNDS	GVEZX	40171W561	GUIDESTONE VALUE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
GUINNESS ATKINSON FUNDS	GAAEX	402031504	GUINNESS ATKINSON ALTERNATIVE ENERGY FUND	T		N	4:00 PM	01
GUINNESS ATKINSON FUNDS	IASMX	402031108	GUINNESS ATKINSON ASIA FOCUS FUND	T		N	4:00 PM	01
GUINNESS ATKINSON FUNDS	ICHKX	402031207	GUINNESS ATKINSON CHINA AND HONG KONG	T		N	4:00 PM	01
GUINNESS ATKINSON FUNDS	GAGEX	402031405	GUINNESS ATKINSON GLOBAL ENERGY FUND	T		N	4:00 PM	01
GUINNESS ATKINSON FUNDS	IWIRX	402031306	GUINNESS ATKINSON GLOBAL INNOVATORS FUND	T		N	4:00 PM	01
GUINNESS ATKINSON FUNDS	GINNX	402031884	GUINNESS ATKINSON GLOBAL INNOVATORS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
GUINNESS ATKINSON FUNDS	GARBX	402031702	GUINNESS ATKINSON RENMINBI YUAN & BOND FUND	T		N	4:00 PM	01
HARBOR FUNDS	HRBDX	411511686	HARBOR BOND FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HABDX	411511108	HARBOR BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HBFRX	411512189	HARBOR BOND FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HACAX	411511504	HARBOR CAPITAL APPRECIATION FUND	T	I	N	4:00 PM	01
HARBOR FUNDS	HRCAX	411511827	HARBOR CAPITAL APPRECIATION FUND ADMINSTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HCAIX	411511819	HARBOR CAPITAL APPRECIATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNACX	411512528	HARBOR CAPITAL APPRECIATION FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRCSX	411512726	HARBOR CONVERTIBLE SECURITIES ADMIN CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HACSX	411512734	HARBOR CONVERTIBLE SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HICSX	411512718	HARBOR CONVERTIBLE SECURITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HACBX	411512239	HARBOR CORE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HCBRX	411512197	HARBOR CORE BOND FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRMGX	411511793	HARBOR DISRUPTIVE INNOVATION FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HAMGX	411511876	HARBOR DISRUPTIVE INNOVATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HIMGX	411511785	HARBOR DISRUPTIVE INNOVATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNMGX	411512510	HARBOR DISRUPTIVE INNOVATION FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HNIDX	411512437	HARBOR DIVERSIFIED INTERNATIONAL ALL CAP FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HADX	411512593	HARBOR DIVERSIFIED INTL ALL CP FUND INSTL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HAEMX	411512692	HARBOR EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HIEX	411512676	HARBOR EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARBOR FUNDS	HNEMX	411512395	HARBOR EMERGING MARKETS EQUITY FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HNFSX	41152P203	HARBOR FOCUSED INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HNFIX	41152P401	HARBOR FOCUSED INTERNATIONAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HNFRX	41152P104	HARBOR FOCUSED INTERNATIONAL FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRGAX	411512866	HARBOR GLOBAL LEADERS FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HGGAX	411512874	HARBOR GLOBAL LEADERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HGGIX	411512858	HARBOR GLOBAL LEADERS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HNGIX	411512411	HARBOR GLOBAL LEADERS FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HYFRX	411511546	HARBOR HIGH YIELD BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HYFAX	411511553	HARBOR HIGH YIELD BOND FUND INSTL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HYFIX	411511538	HARBOR HIGH YIELD BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNHYX	411512379	HARBOR HIGH-YIELD BOND FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRINX	411511652	HARBOR INTERNATIONAL FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HAINX	411511306	HARBOR INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HIINX	411511645	HARBOR INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNINX	411512445	HARBOR INTERNATIONAL FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HAIGX	411511801	HARBOR INTERNATIONAL GROWTH FUND INST CL	T	I	N	4:00 PM	01
HARBOR FUNDS	HIIGX	411511629	HARBOR INTERNATIONAL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNGFX	411512429	HARBOR INTERNATIONAL GROWTH FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HAISX	411512569	HARBOR INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HIISX	411512544	HARBOR INTERNATIONAL SMALL CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HNISX	411512536	HARBOR INTERNATIONAL SMALL CAP FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRIGX	411511637	HARBOR INT'L GROWTH FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HAVLX	411511603	HARBOR LARGE CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HNLVX	411512478	HARBOR LARGE CAP VALUE FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HILVX	411511744	HARBOR LARGE-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HAMVX	411511835	HARBOR MID CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HNMVX	411512460	HARBOR MID CAP VALUE FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HMCLX	41152P708	HARBOR MID-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HMCNX	41152P880	HARBOR MID-CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HMCRX	41152P609	HARBOR MID-CAP FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HRMVX	411511728	HARBOR MID-CAP VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HIMVX	411511736	HARBOR MID-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HAOSX	411512163	HARBOR OVERSEAS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HAONX	411512130	HARBOR OVERSEAS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HAORX	411512155	HARBOR OVERSEAS FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HASCX	411511843	HARBOR SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
HARBOR FUNDS	HNVRX	411512452	HARBOR SMALL CAP VALUE FUND RETIREMENT CLASS	T+	Q	Y	4:00 PM	01
HARBOR FUNDS	HRSGX	411511769	HARBOR SMALL-CAP GROWTH FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARBOR FUNDS	HASGX	411511868	HARBOR SMALL-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HISGX	411511777	HARBOR SMALL-CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARBOR FUNDS	HNSGX	411512494	HARBOR SMALL-CAP GROWTH FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARBOR FUNDS	HSVRX	411511710	HARBOR SMALL-CAP VALUE FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	HISVX	411511694	HARBOR SMALL-CAP VALUE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
HARBOR FUNDS	HSRGX	411512338	HARBOR STRATEGIC GROWTH FUND ADMINISTRATIVE CLASS	NTF^	E	N	4:00 PM	01
HARBOR FUNDS	MVSGX	411512353	HARBOR STRATEGIC GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARBOR FUNDS	HISWX	411512361	HARBOR STRATEGIC GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HARBOR FUNDS	HNGSX	411512346	HARBOR STRATEGIC GROWTH FUND RETIREMENT CLASS	T+	Q	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLEMX	412295305	HARDING LOEVNER EMERGING MARKETS PORTFOLIO ADVISOR CLASS	NTF	O	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLFMX	412295867	HARDING LOEVNER FRONTIER EMERGING MARKETS PORTFOLIO INSTITUTIONA	T	I	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMOX	412295859	HARDING LOEVNER FRONTIER EMERGING MARKETS PORTFOLIO INVESTOR CL	NTF	V	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMGX	412295206	HARDING LOEVNER GLOBAL EQUITY PORTFOLIO ADVISOR CLASS	NTF	O	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMVX	412295602	HARDING LOEVNER GLOBAL EQUITY PORTFOLIO INST CLASS	T	I	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMEX	412295701	HARDING LOEVNER INSTITUTIONAL EMERGING MARKETS CLASS I	T	I	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMNX	412295503	HARDING LOEVNER INTERNATIONAL EQUITY FD INVEST CL	NTF	V	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMIX	412295107	HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMRX	412295875	HARDING LOEVNER INTERNATIONAL SMALL COMPANIES PORTFOLIO INSTITUTIC	T	I	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLMSX	412295883	HARDING LOEVNER INTL SMALL COMPANY PORTFOLIO INVESTOR CLASS	NTF	V	N	4:00 PM	01
HARDING LOEVNER FUNDS	HLIRX	412295826	HARDING, LOEVNER INC. INTERNATIONAL QIITY RESEARCH PORT FD INST CL	T	I	N	4:00 PM	01
HARTFORD FUNDS	HAFAX	41664R440	HARTFORD AARP BALANCED RETIREMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HAICX	41664R432	HARTFORD AARP BALANCED RETIREMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HAFDX	41664T388	HARTFORD AARP BALANCED RETIREMENT FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HAFIX	41664R424	HARTFORD AARP BALANCED RETIREMENT FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HAFYX	41664R374	HARTFORD AARP BALANCED RETIREMENT FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HBLYX	416648210	HARTFORD BALANCED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	ITHAX	416645406	HARTFORD CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HCACX	416645638	HARTFORD CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HCKFX	41664T693	HARTFORD CHECKS AND BALANCES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HEOMX	41664T701	HARTFORD CLIMATE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HEONX	41664T800	HARTFORD CLIMATE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HEOFX	41664T636	HARTFORD CLIMATE OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HEOIX	41664T883	HARTFORD CLIMATE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HEOYX	41664T834	HARTFORD CLIMATE OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HCVAX	416648715	HARTFORD CONSERVATIVE ALLOCATION FD CL A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HCVCX	416648681	HARTFORD CONSERVATIVE ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HAIAX	416645679	HARTFORD CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HGICX	416645547	HARTFORD CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HGIFX	41664T677	HARTFORD CORE EQUITY FUND CLASS F	T+	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HGIIX	41664R192	HARTFORD CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HGIYX	416645653	HARTFORD CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	IHGIX	416645844	HARTFORD DIVIDEND & GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HDGCX	416645596	HARTFORD DIVIDEND & GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HDGYX	416645828	HARTFORD DIVIDEND & GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HERAX	41664M417	HARTFORD EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HERCX	41664M391	HARTFORD EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HERFX	41664T651	HARTFORD EMERGING MARKETS EQUITY FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HERIX	41664M383	HARTFORD EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HERYX	41664M342	HARTFORD EMERGING MARKETS EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HLDAX	41664M334	HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HLDCX	41664M326	HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HLDIX	41664M318	HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HLDYX	41664M268	HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HQIAX	416648889	HARTFORD EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HQICX	416648863	HARTFORD EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HQIYX	416648855	HARTFORD EQUITY INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HGXCX	41664T818	HARTFORD GLOBAL IMPACT FUND C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HGXAX	41664T826	HARTFORD GLOBAL IMPACT FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HGXFX	41665K543	HARTFORD GLOBAL IMPACT FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HGXIX	41664T792	HARTFORD GLOBAL IMPACT FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HGXYX	41664T784	HARTFORD GLOBAL IMPACT FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HRAAX	416648772	HARTFORD GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HRACX	416648756	HARTFORD GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HGOAX	416529881	HARTFORD GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HGOCX	416529865	HARTFORD GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HGOYX	416529816	HARTFORD GROWTH OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HAHYX	416645455	HARTFORD HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HAHAX	416645489	HARTFORD HIGH-YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HAHCX	416645463	HARTFORD HIGH-YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HIPYX	416648830	HARTFORD INFLATION PLUS FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HDVAX	41664L385	HARTFORD INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
HARTFORD FUNDS	HDVCX	41664L369	HARTFORD INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
HARTFORD FUNDS	HDVFX	41664T487	HARTFORD INTERNATIONAL EQUITY FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HDVIX	41664L351	HARTFORD INTERNATIONAL EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
HARTFORD FUNDS	HDVYX	41664L344	HARTFORD INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HNCAX	416645182	HARTFORD INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HNCCX	416645166	HARTFORD INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HNCJX	416649846	HARTFORD INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HNCYX	416646800	HARTFORD INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	IHOAX	416645703	HARTFORD INTL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HIOCX	416645620	HARTFORD INTL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HAOYX	416645885	HARTFORD INT'L OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HFMCX	416645711	HARTFORD MID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HMDCX	416645554	HARTFORD MID-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HMVFX	41664T412	HARTFORD MIDCAP VALUE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HMVYX	416646875	HARTFORD MID-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HBAAX	416648749	HARTFORD MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HBACX	416648723	HARTFORD MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HBADX	41664T396	HARTFORD MODERATE ALLOCATION FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	ITAX	416645810	HARTFORD MULTI-ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HAFCX	416645588	HARTFORD MULTI-ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	ITTFX	41664T735	HARTFORD MULTI-ASSET INCOME FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	ITTX	41664R168	HARTFORD MULTI-ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	IHAYX	416645786	HARTFORD MULTI-ASSET INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HHMFX	41664T362	HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HHMYX	41665K527	HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HMJAX	41664T404	HARTFORD MUNICIPAL SHORT DURATION FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HMJCX	41664T503	HARTFORD MUNICIPAL SHORT DURATION FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HMJIX	41664T602	HARTFORD MUNICIPAL SHORT DURATION FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HVOAX	416529782	HARTFORD QUALITY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HVOCX	416529766	HARTFORD QUALITY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HVOFX	41665X578	HARTFORD QUALITY VALUE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HVOIX	416641405	HARTFORD QUALITY VALUE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HVOYX	416529717	HARTFORD QUALITY VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSHAX	41665X370	HARTFORD SCHRODERS CHINA A FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSHCX	41665X321	HARTFORD SCHRODERS CHINA A FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSHIX	41665X362	HARTFORD SCHRODERS CHINA A FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSHYX	41665X354	HARTFORD SCHRODERS CHINA A FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSDEX	41665X297	HARTFORD SCHRODERS DIVERSIFIED EMERGING MARKETS FUND CLASS SDR	T+	S	N	4:00 PM	01
HARTFORD FUNDS	SEMVX	41665H862	HARTFORD SCHRODERS EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
HARTFORD FUNDS	HHHCX	41665H854	HARTFORD SCHRODERS EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
HARTFORD FUNDS	HHHFX	41665X859	HARTFORD SCHRODERS EMERGING MARKETS EQUITY FUND CLASS F	T+	F	Y	4:00 PM	01
HARTFORD FUNDS	SEMNX	41665H847	HARTFORD SCHRODERS EMERGING MARKETS EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
HARTFORD FUNDS	SMSVX	41665H771	HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLA	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HFZFX	41665X842	HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLA	T+	F	N	4:00 PM	01
HARTFORD FUNDS	SMSNX	41665H755	HARTFORD SCHRODERS EMERGING MARKETS MULTI SECTOR BOND FUND CLA	T	I	N	4:00 PM	01
HARTFORD FUNDS	HFZCX	41665H763	HARTFORD SCHRODERS EMERGING MARKETS MULTI-SECTOR BOND FUND CLA	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HFZYX	41665H714	HARTFORD SCHRODERS EMERGING MARKETS MULTI-SECTOR BOND FUND CLA	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HHHYX	41665H797	HARTFORD SCHRODERS EMERGING MKTS EQ FUND CLASS Y	T	Y	Y	4:00 PM	01
HARTFORD FUNDS	SIDVX	41665H433	HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HFYFX	41665X792	HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	SIDNX	41665H417	HARTFORD SCHRODERS INTERNATIONAL MULTI CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	SCVEX	41665H524	HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSWCX	41665H516	HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSWFX	41665X818	HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	SCIEX	41665H490	HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSWYX	41665H458	HARTFORD SCHRODERS INTERNATIONAL STOCK FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HFYCX	41665H425	HARTFORD SCHRODERS INTL MULTI CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HFYYX	41665H367	HARTFORD SCHRODERS INTL MULTI CP VAL FUNDS CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HITAX	41665X560	HARTFORD SCHRODERS SECURITIZED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HITCX	41665X313	HARTFORD SCHRODERS SECURITIZED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HITIX	41665X552	HARTFORD SCHRODERS SECURITIZED INCOME FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HITYX	41665X545	HARTFORD SCHRODERS SECURITIZED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSAEX	41665X271	HARTFORD SCHRODERS SUSTAINABLE CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	SCBIX	41665X230	HARTFORD SCHRODERS SUSTAINABLE CORE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	STWVX	41665H607	HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HFKCX	41665H706	HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HFKFX	41665X867	HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	STWTX	41665H805	HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HFKYX	41665H888	HARTFORD SCHRODERS TAX AWARE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	SMDVX	41665H250	HARTFORD SCHRODERS U.S. MID CAP OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HFDCX	41665H243	HARTFORD SCHRODERS U.S. MID CAP OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HFDX	41665X776	HARTFORD SCHRODERS U.S. MID CAP OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	SMDIX	41665H235	HARTFORD SCHRODERS U.S. MID CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HFDYX	41665H185	HARTFORD SCHRODERS U.S. MID CAP OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	SCUVX	41665H342	HARTFORD SCHRODERS U.S. SMALL CAP OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HOOCX	41665H334	HARTFORD SCHRODERS U.S. SMALL CAP OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HOOFX	41665X784	HARTFORD SCHRODERS U.S. SMALL CAP OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	SCUIX	41665H326	HARTFORD SCHRODERS U.S. SMALL CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HOOYX	41665H276	HARTFORD SCHRODERS U.S. SMALL CAP OPPS FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSDAX	416646198	HARTFORD SHORT DURATION FUND CL A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSDCX	416646172	HARTFORD SHORT DURATION FUND CL C CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSDYX	416648822	HARTFORD SHORT DURATION FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSMAX	416648640	HARTFORD SMALL CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HTSCX	416648624	HARTFORD SMALL CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSMFX	41664T297	HARTFORD SMALL CAP CORE FUND CLASS F	T+	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HSEIX	41664R176	HARTFORD SMALL CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSMYX	416648616	HARTFORD SMALL CAP CORE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSLYX	416529808	HARTFORD SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSLAX	416529105	HARTFORD SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSLCX	416529303	HARTFORD SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	IHSAX	416645109	HARTFORD SMALL-COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSMCX	416645646	HARTFORD SMALL-COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSNYX	41664L583	HARTFORD STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HMKAX	41664T107	HARTFORD SUSTAINABLE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HMKCX	41664T206	HARTFORD SUSTAINABLE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HMKFX	41664T370	HARTFORD SUSTAINABLE MUNICIPAL BOND FUND CLASS F	T+	F	Y	4:00 PM	01
HARTFORD FUNDS	HMKIX	41664T305	HARTFORD SUSTAINABLE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	ITBAX	416645778	HARTFORD TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HABCX	416645570	HARTFORD TOTAL RETURN BOND FUND CLASS C	NTF^	C	Y	4:00 PM	01
HARTFORD FUNDS	ITBIX	416649820	HARTFORD TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HABYX	416645752	HARTFORD TOTAL RETURN BOND FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HWDAX	41664M250	HARTFORD WORLD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HWDCX	41664M243	HARTFORD WORLD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HWDIX	41664M235	HARTFORD WORLD BOND FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HWDYX	41664M185	HARTFORD WORLD BOND FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HBLAX	416648244	THE HARTFORD BALANCED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HBLCX	416648228	THE HARTFORD BALANCED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HBLFX	41664T727	THE HARTFORD BALANCED INCOME FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HBLIX	41664M821	THE HARTFORD BALANCED INCOME FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HCAYY	416645604	THE HARTFORD CAP APPRECIATION FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	ITHIX	416649309	THE HARTFORD CAPITAL APPRECIATION FD CL I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HCAFX	41664T719	THE HARTFORD CAPITAL APPRECIATION FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HCKAX	41664L740	THE HARTFORD CHECKS AND BALANCES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HCKCX	41664L724	THE HARTFORD CHECKS AND BALANCES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HCKIX	41664L393	THE HARTFORD CHECKS AND BALANCES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HCVIX	416649507	THE HARTFORD CONSERVATIVE ALLOCATION FD CL I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HCVFX	41664T685	THE HARTFORD CONSERVATIVE ALLOCATION FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HDGIX	416649606	THE HARTFORD DIVIDEND & GROWTH FD CL I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HDGFX	41664T669	THE HARTFORD DIVIDEND AND GROWTH FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HLDFX	41664T644	THE HARTFORD EMERGING MARKETS LOCAL DEBT FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HQIIX	416649705	THE HARTFORD EQUITY INCOME FD CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HQIFX	41664T628	THE HARTFORD EQUITY INCOME FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HFLIX	416649804	THE HARTFORD FLOATING RATE FD CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HFLAX	416648558	THE HARTFORD FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HFLCX	416648533	THE HARTFORD FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HFLFX	41664T610	THE HARTFORD FLOATING RATE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HFLYX	416648525	THE HARTFORD FLOATING RATE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HFHAX	41664M177	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HFHCX	41664M169	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HFHFX	41664T594	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HFHIX	41664M151	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HFHYX	41664M110	THE HARTFORD FLOATING RATE HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HRLAX	41664M581	THE HARTFORD GLOBAL REAL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HRLCX	41664M573	THE HARTFORD GLOBAL REAL ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HRLIX	41664M565	THE HARTFORD GLOBAL REAL ASSET FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HRLYX	41664M557	THE HARTFORD GLOBAL REAL ASSET FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HRAIX	416649879	THE HARTFORD GROWTH ALLOCATION FUND CL I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HRAFX	41664T545	THE HARTFORD GROWTH ALLOCATION FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HGOFX	41665X644	THE HARTFORD GROWTH OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HGOIX	416641207	THE HARTFORD GROWTH OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HGHAX	416645398	THE HARTFORD HEALTHCARE FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HGHCX	416645372	THE HARTFORD HEALTHCARE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HGHFX	41664T529	THE HARTFORD HEALTHCARE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HGHIX	416649887	THE HARTFORD HEALTHCARE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HGHYX	416645364	THE HARTFORD HEALTHCARE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HAHFX	41664T511	THE HARTFORD HIGH YIELD FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HAHIX	41664L625	THE HARTFORD HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HIPIX	416649853	THE HARTFORD INFLATION PLUS FD CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HIPAX	416646156	THE HARTFORD INFLATION PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HIPCX	416646131	THE HARTFORD INFLATION PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HIPFX	41664T495	THE HARTFORD INFLATION PLUS FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HNCFX	41664T479	THE HARTFORD INTERNATIONAL GROWTH FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	IHOFX	41664T461	THE HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HILAX	41664M664	THE HARTFORD INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HILCX	41664M656	THE HARTFORD INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HILDY	41664T446	THE HARTFORD INTERNATIONAL VALUE FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HILIX	41664M631	THE HARTFORD INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HILYX	41664M649	THE HARTFORD INTERNATIONAL VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	IHOIX	41664L294	THE HARTFORD INTL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HMDFX	41664T420	THE HARTFORD MID CAP FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HFMIX	41664M870	THE HARTFORD MIDCAP FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HMDYX	416645687	THE HARTFORD MIDCAP FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HMVAX	416646107	THE HARTFORD MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HARTFORD FUNDS	HMVCX	416646305	THE HARTFORD MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HMVJX	41664M425	THE HARTFORD MIDCAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HBAIX	416649200	THE HARTFORD MODERATE ALLOCATION FUND CL I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HHMAX	41664L716	THE HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HHMCX	41664L682	THE HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HHMIX	41664L674	THE HARTFORD MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSDFX	41664T313	THE HARTFORD SHORT DURATION FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HSDIX	41664M813	THE HARTFORD SHORT DURATION FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSLFX	41665X594	THE HARTFORD SMALL CAP GROWTH FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	IHSFX	41664T271	THE HARTFORD SMALL COMPANY FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	IHSIX	416649838	THE HARTFORD SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSCYX	416645307	THE HARTFORD SMALL COMPANY FUND CLASS Y	T	Y	N	4:00 PM	01
HARTFORD FUNDS	HSLIX	416641306	THE HARTFORD SMALLCAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	HSNAX	41664L666	THE HARTFORD STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HARTFORD FUNDS	HSNCX	41664L641	THE HARTFORD STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
HARTFORD FUNDS	HSNFX	41664T263	THE HARTFORD STRATEGIC INCOME FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HSNIX	41664L633	THE HARTFORD STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
HARTFORD FUNDS	ITBFX	41664T255	THE HARTFORD TOTAL RETURN BOND FUND CLASS F	T+	F	N	4:00 PM	01
HARTFORD FUNDS	HWDFX	41664T222	THE HARTFORD WORLD BOND FUND CLASS F	T+	F	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMNX	66538G643	HCM DIVIDEND SECTOR PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMWX	66538G635	HCM DIVIDEND SECTOR PLUS FUND CLASS A1	NTF^	A	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMPX	66538G627	HCM DIVIDEND SECTOR PLUS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMEY	66538G155	HCM INCOME PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMKX	66538G148	HCM INCOME PLUS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMGX	66538G866	HCM TACTICAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
HCM TACTICAL FUNDS	HCMDX	66538G858	HCM TACTICAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HEARTLAND FUNDS	HNMDX	422352799	HEARTLAND MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HEARTLAND FUNDS	HRMDX	422352815	HEARTLAND MID-CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HEARTLAND FUNDS	HRTVX	422359109	HEARTLAND VALUE FUND	NTF	V	N	4:00 PM	01
HEARTLAND FUNDS	HNTVX	422352831	HEARTLAND VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HEARTLAND FUNDS	HRVIX	422352500	HEARTLAND VALUE PLUS FUND	NTF	V	N	4:00 PM	01
HEARTLAND FUNDS	HNVIX	422352849	HEARTLAND VALUE PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HEITMAN FUNDS	HTMIX	81752T734	HEITMAN U.S. REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HEITMAN FUNDS	HTMNX	81752T742	HEITMAN U.S. REAL ESTATE SECURITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HENNESSY FUNDS	HBFBX	425887106	HENNESSY BALANCED FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HNRIX	42588P734	HENNESSY BP ENERGY TRANSITION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HNRGX	42588P742	HENNESSY BP ENERGY TRANSITION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HMSIX	42588P718	HENNESSY BP MIDSTREAM FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HMSFX	42588P726	HENNESSY BP MIDSTREAM FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HENNESSY FUNDS	HICGX	425888500	HENNESSY CORNERSTONE GROWTH FUND INST'L CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HFCGX	425888104	HENNESSY CORNERSTONE GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HILGX	42588P403	HENNESSY CORNERSTONE LARGE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HFLGX	42588P205	HENNESSY CORNERSTONE LARGE GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HIMDX	425888609	HENNESSY CORNERSTONE MID CAP 30 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HFMDX	425888302	HENNESSY CORNERSTONE MID CAP 30 FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HICVX	425888401	HENNESSY CORNERSTONE VALUE FUND INST'L CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HFCVX	425888203	HENNESSY CORNERSTONE VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HEIIX	42588P817	HENNESSY EQUITY AND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HEIFX	42588P825	HENNESSY EQUITY AND INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HFCIX	42588P809	HENNESSY FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HFCSX	42588P700	HENNESSY FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HGASX	42588P759	HENNESSY GAS UTILITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	GASFX	42588P833	HENNESSY GAS UTILITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HJPIX	425894201	HENNESSY JAPAN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HJPNX	425894102	HENNESSY JAPAN FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HJSIX	42588P767	HENNESSY JAPAN SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HJPSX	425894300	HENNESSY JAPAN SMALL CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HILFX	42588P775	HENNESSY LARGE CAP FINANCIAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HLFNX	42588P882	HENNESSY LARGE CAP FINANCIAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HISFX	42588P866	HENNESSY SMALL CAP FINANCIAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HSFNX	42588P874	HENNESSY SMALL CAP FINANCIAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HTCIX	42588P841	HENNESSY TECHNOLOGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HENNESSY FUNDS	HTECX	42588P858	HENNESSY TECHNOLOGY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HENNESSY FUNDS	HDOGX	425887205	HENNESSY TOTAL RETURN FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
HIGHLAND FUNDS	HSZYX	43090E761	HIGHLAND SMALL CAP EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
HIGHLAND FUNDS	HSZAX	43090E811	HIGHLAND SMALL-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
HIGHLAND FUNDS	HSZCX	43090E787	HIGHLAND SMALL-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
HIGHLAND FUNDS	HHCAx	430101808	NEXPOINT EVENT DRIVEN FUND CLASS A	NTF^	A	N	4:00 PM	01
HIGHLAND FUNDS	HHCCX	430101881	NEXPOINT EVENT DRIVEN FUND CLASS C	NTF^	C	N	4:00 PM	01
HIGHLAND FUNDS	HH CZX	430101873	NEXPOINT EVENT DRIVEN FUND CLASS Z	T	Z	N	4:00 PM	01
HIGHLAND FUNDS	HMEAX	430101667	NEXPOINT MERGER ARBITRAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
HIGHLAND FUNDS	HMECX	430101659	NEXPOINT MERGER ARBITRAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
HIGHLAND FUNDS	HMEZX	430101642	NEXPOINT MERGER ARBITRAGE FUND CLASS Z	T	Z	N	4:00 PM	01
HIGHLAND FUNDS	NRSAX	65342M101	NEXPOINT REAL ESTATE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HIGHLAND FUNDS	NRSCX	65342M200	NEXPOINT REAL ESTATE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HIGHLAND FUNDS	NRSZX	65342M309	NEXPOINT REAL ESTATE STRATEGIES FUND CLASS Z	T	Z	N	4:00 PM	01
HODGES FUNDS	HDPBX	742935174	HODGES BLUE CHIP EQUITY INCOME FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
HODGES FUNDS	HDPMX	742935109	HODGES FUND RETAIL CLASS	NTF	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HODGES FUNDS	HDSIX	742935224	HODGES SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
HODGES FUNDS	HDPSX	742935299	HODGES SMALL CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
HODGES FUNDS	HDSVX	74316J318	HODGES SMALL INTRINSIC VALUE FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
HOLBROOK FUNDS	HOBAX	90214Q667	HOLBROOK INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
HOLBROOK FUNDS	HOBIX	90213U230	HOLBROOK INCOME FUND CLASS I	T	I	N	4:00 PM	01
HOLBROOK FUNDS	HOBEX	90213U222	HOLBROOK INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HOMESTEAD FUNDS	HNASX	437769706	HOMESTEAD GROWTH FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HOIBX	437771108	HOMESTEAD INTERMEDIATE BOND FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HISIX	437769805	HOMESTEAD INTERNATIONAL VALUE FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HRRLX	437771207	HOMESTEAD RURAL AMERICA GROWTH & INCOME FUND ONE CLASS	T		N	4:00 PM	01
HOMESTEAD FUNDS	HOSBX	437769300	HOMESTEAD SHORT-TERM BOND FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HOSGX	437769409	HOMESTEAD SHORT-TERM GOVT SECURITIES FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HSCSX	437769508	HOMESTEAD SMALL-COMPANY STOCK FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HSTIX	437769607	HOMESTEAD STOCK INDEX FUND	T		N	4:00 PM	01
HOMESTEAD FUNDS	HOVLX	437769201	HOMESTEAD VALUE FUND	T		N	4:00 PM	01
HOOD RIVER FUNDS	HRSMX	56170L208	HOOD RIVER SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
HOOD RIVER FUNDS	HRSRX	56170L109	HOOD RIVER SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HASAX	44053A101	HORIZON ACTIVE ASSET ALLOCATION FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HASIX	44053A200	HORIZON ACTIVE ASSET ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	AAANX	44053A309	HORIZON ACTIVE ASSET ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	AIHAX	44053A705	HORIZON ACTIVE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	AIMNX	44053A887	HORIZON ACTIVE INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	ARAAX	44053A408	HORIZON ACTIVE RISK ASSIST FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	ARANX	44053A606	HORIZON ACTIVE RISK ASSIST FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HADRX	44053A838	HORIZON DEFINED RISK FUND ADVISOR CLASS	T	O	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HNDRX	44053A846	HORIZON DEFINED RISK FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HNDDX	44053A861	HORIZON DYNAMIC DIVIDEND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HESAX	44053A762	HORIZON ESG DEFENSIVE CORE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	HESGX	44053A747	HORIZON ESG DEFENSIVE CORE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HORIZON ACTIVE ASSET FUNDS	USRAX	44053A770	HORIZON U.S. DEFENSIVE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
HOTCHKIS & WILEY FUNDS	HWCA	44134R750	HOTCHKIS & WILEY DIVERSIFIED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWCC	44134R743	HOTCHKIS & WILEY DIVERSIFIED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWCI	44134R768	HOTCHKIS & WILEY DIVERSIFIED VALUE FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWGA	44134R677	HOTCHKIS & WILEY GLOBAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWGI	44134R685	HOTCHKIS & WILEY GLOBAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWHX	44134R727	HOTCHKIS & WILEY HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWHC	44134R669	HOTCHKIS & WILEY HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWHI	44134R735	HOTCHKIS & WILEY HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWHZ	44134R529	HOTCHKIS & WILEY HIGH YIELD FUND CLASS Z	T+	Z	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWTI	44134R453	HOTCHKIS & WILEY INTERNATIONAL SMALL-CAP DIVERSIFIED VALUE FUND CL I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWNI	44134R636	HOTCHKIS & WILEY INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWLC	44134R701	HOTCHKIS & WILEY LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWLA	44134R107	HOTCHKIS & WILEY LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWLI	44134R503	HOTCHKIS & WILEY LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWMA	44134R206	HOTCHKIS & WILEY MID-CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWMC	44134R875	HOTCHKIS & WILEY MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWMI	44134R800	HOTCHKIS & WILEY MID-CAP VALUE FUND CLASS I	NTF	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWVA	44134R644	HOTCHKIS & WILEY SMALL CAP DIVERSIFIED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWVI	44134R651	HOTCHKIS & WILEY SMALL CAP DIVERSIFIED VALUE FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWSC	44134R842	HOTCHKIS & WILEY SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWSA	44134R305	HOTCHKIS & WILEY SMALL-CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWSI	44134R867	HOTCHKIS & WILEY SMALL-CAP VALUE FUND CLASS I	NTF	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWAIX	44134R834	HOTCHKIS & WILEY VALUE OPPORTUNITES FUND CLASS I	T	I	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWAAX	44134R792	HOTCHKIS & WILEY VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
HOTCHKIS & WILEY FUNDS	HWAC	44134R826	HOTCHKIS & WILEY VALUE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUDE	00770X873	HUBER LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUDI	00770X881	HUBER LARGE-CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUMEX	00768D160	HUBER MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUMDX	00768D178	HUBER MID-CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HUBER CAPITAL FUNDS	HULE	00768D418	HUBER SELECT LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HUBER CAPITAL FUNDS	HULI	007989387	HUBER SELECT LARGE-CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUSE	00768D392	HUBER SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
HUBER CAPITAL FUNDS	HUSI	007989379	HUBER SMALL-CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
HUSSMAN FUNDS	HSAFX	448108506	HUSSMAN STRATEGIC ALLOCATION FUND	T		N	4:00 PM	01
HUSSMAN FUNDS	HSGFX	448108100	HUSSMAN STRATEGIC GROWTH FUND	T		N	4:00 PM	01
HUSSMAN FUNDS	HSIEX	448108308	HUSSMAN STRATEGIC INTERNATIONAL FUND	T		N	4:00 PM	01
HUSSMAN FUNDS	HSTR	448108209	HUSSMAN STRATEGIC TOTAL RETURN FUND	T		N	4:00 PM	01
HVIA FUNDS	HVEIX	90386H651	HVIA EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
IMAN FUND	IMAN	018866103	IMAN FUND CLASS K	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
IMS FUNDS	IMSCX	885572735	IMS CAPITAL VALUE FUND	T		N	4:00 PM	01
IMS FUNDS	IMSIX	885572719	IMS STRATEGIC INCOME FUND	T		N	4:00 PM	01
INTEGRITY FUNDS	IDIVX	45890C754	INTEGRITY DIVIDEND HARVEST FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	IDHCX	45890C721	INTEGRITY DIVIDEND HARVEST FUND CLASS C	NTF^	C	N	4:00 PM	01
INTEGRITY FUNDS	IDHIX	45890C655	INTEGRITY DIVIDEND HARVEST FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	IGIAX	45890C846	INTEGRITY ESG GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	IGIUX	45890C739	INTEGRITY ESG GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INTEGRITY FUNDS	IGIVX	45890C671	INTEGRITY ESG GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	IHFAX	45890C887	INTEGRITY HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	IHFCX	45890C879	INTEGRITY HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INTEGRITY FUNDS	IHFIX	45890C663	INTEGRITY HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	KSITX	926826801	INTEGRITY KANSAS MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	MEMUX	926826884	INTEGRITY MAINE MINICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	MEIMX	926826876	INTEGRITY MAINE MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	ICWIX	45890C689	INTEGRITY MID-NORTH AMERICA RESOURCES FD CL I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	ICPUX	45890C747	INTEGRITY MID-NORTH AMERICAN RESOURCES FD CL C	NTF^	C	N	4:00 PM	01
INTEGRITY FUNDS	ICPAX	45890C606	INTEGRITY MID-NORTH AMERICAN RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	NEITX	926826850	INTEGRITY NEBRASKA MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	OKMIX	926826819	INTEGRITY OKLAHOMA MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	MDSIX	45890C622	INTEGRITY SHORT TERM GOVERNMENT FUND CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	MDSAX	45890C630	INTEGRITY SHORT-TERM GOVERNMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	KSMUX	926826702	KANSAS MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	NEMUX	926826868	NEBRASKA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	OKMUX	926826827	OKLAHOMA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	VMTTX	926826108	VIKING MONTANA TAX- FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	VNDFX	926826207	VIKING NORTH DAKOTA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
INTEGRITY FUNDS	VMTIX	926826504	VIKING TAX-FREE FUND FOR MONTANA CLASS I	T	I	N	4:00 PM	01
INTEGRITY FUNDS	VNDIX	926826603	VIKING TAX-FREE FUND FOR NORTH DAKOTA CLASS I	T	I	N	4:00 PM	01
INTREPID FUNDS	ICMVX	461195604	INTREPID CAPITAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INTREPID FUNDS	ICMBX	461195208	INTREPID CAPITAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
INTREPID FUNDS	ICMAX	461195109	INTREPID ENDURANCE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
INTREPID FUNDS	ICMUX	461195703	INTREPID INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INTREPID FUNDS	ICMZX	461195505	INTREPID SMALL CAP FUND INSTITUTIONAL	T	I	N	4:00 PM	01
INVENOMIC FUNDS	BIVIX	66538F488	INVENOMIC FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVENOMIC FUNDS	BIVRX	66538F470	INVENOMIC FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESCO FDS	PKRCX	00900E274	INESCO PEAK RETIREMENT 2065 FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	OAAAX	00900R846	INVESCO ACTIVE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OACX	00900R838	INVESCO ACTIVE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OANX	00900R820	INVESCO ACTIVE ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	OAYX	00900R812	INVESCO ACTIVE ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	QMGAX	00900W845	INVESCO ADVANTAGE INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	QMGCX	00900W837	INVESCO ADVANTAGE INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	QMGRX	00900W811	INVESCO ADVANTAGE INTERNATIONAL FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	QMGYX	00900W795	INVESCO ADVANTAGE INTERNATIONAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VAFAX	00142J578	INVESCO AMERICAN FRANCHISE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VAFCX	00142J552	INVESCO AMERICAN FRANCHISE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VAFRX	00141A453	INVESCO AMERICAN FRANCHISE FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	VAFIX	00142J545	INVESCO AMERICAN FRANCHISE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MSAVX	00143M844	INVESCO AMERICAN VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MSVCX	00143M828	INVESCO AMERICAN VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	MSARX	00143M810	INVESCO AMERICAN VALUE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MSAIX	00143M794	INVESCO AMERICAN VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPTAX	001419217	INVESCO AMT-FREE MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OMFCX	001419191	INVESCO AMT-FREE MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OMFYX	001419183	INVESCO AMT-FREE MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ASIA	008882888	INVESCO ASIA PACIFIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ASICX	008882862	INVESCO ASIA PACIFIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ASIYX	008882581	INVESCO ASIA PACIFIC GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ABRRX	00141V713	INVESCO BALANCED RISK ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	BRCAX	00888Y102	INVESCO BALANCED RISK COMMODITY STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	BRCCX	00888Y300	INVESCO BALANCED- RISK COMMODITY STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
INVESCO FDS	BRCYX	00888Y508	INVESCO BALANCED- RISK COMMODITY STRATEGY FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	BRCRX	00888Y409	INVESCO BALANCED RISK COMMODITY STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ABRZX	00141V747	INVESCO BALANCED-RISK ALLOCATION FD CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ABRCX	00141V721	INVESCO BALANCED-RISK ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ABRYX	00141V697	INVESCO BALANCED-RISK ALLOCATION FD CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPCAX	00141W109	INVESCO CALIFORNIA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OCACX	00141W208	INVESCO CALIFORNIA MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OCAIX	00141W307	INVESCO CALIFORNIA MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPTFX	00141G732	INVESCO CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OTFCX	00141G724	INVESCO CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OTCNX	00141G690	INVESCO CAPITAL APPRECIATION FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OTCYX	00141G682	INVESCO CAPITAL APPRECIATION FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	CHTRX	001413103	INVESCO CHARTER FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CHTCX	001413814	INVESCO CHARTER FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	CHRRX	001413442	INVESCO CHARTER FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	CHRSX	00141B865	INVESCO CHARTER FUND CLASS S	T	S	N	4:00 PM	03
INVESCO FDS	CHTYX	00141B303	INVESCO CHARTER FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	ACSTX	00143M711	INVESCO COMSTOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ACSYX	00143M687	INVESCO COMSTOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ACSRX	00143M679	INVESCO COMSTOCK FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ACSDX	00143M661	INVESCO COMSTOCK FUND CLASS Y	NTF	Y	N	4:00 PM	01
INVESCO FDS	CGRWX	00143N628	INVESCO COMSTOCK SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CGRCX	00143N610	INVESCO COMSTOCK SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	CGRNX	00143N586	INVESCO COMSTOCK SELECT FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	CGRYX	00143N594	INVESCO COMSTOCK SELECT FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ICIVX	46134M202	INVESCO CONSERVATIVE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ICIYX	46134M301	INVESCO CONSERVATIVE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ICIFX	46134M103	INVESCO CONSERVATIVE INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
INVESCO FDS	CNSAX	00888W403	INVESCO CONVERTIBLE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CNSCX	00888W601	INVESCO CONVERTIBLE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	CNSDX	00888W700	INVESCO CONVERTIBLE SECURITIES FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPIGX	00143W107	INVESCO CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OPBCX	00143W206	INVESCO CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OPBNX	00143W404	INVESCO CORE BOND FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OPBYX	00143W305	INVESCO CORE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ACPSX	00141A529	INVESCO CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CPCFX	00141A495	INVESCO CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	CPBRX	00141A487	INVESCO CORE PLUS BOND FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	CPBYX	00141A479	INVESCO CORE PLUS BOND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ACCBX	001421858	INVESCO CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ACCEX	001421833	INVESCO CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ACCZX	001421635	INVESCO CORPORATE BOND FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	ACCHX	001421825	INVESCO CORPORATE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ODMAX	00143W701	INVESCO DEVELOPING MARKETS FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	ODVCX	00143W800	INVESCO DEVELOPING MARKETS FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	ODVNX	00143W883	INVESCO DEVELOPING MARKETS FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	ODVYX	00143W875	INVESCO DEVELOPING MARKETS FUND CLASS Y	T	Y	Y	4:00 PM	01
INVESCO FDS	OPOCX	00141G666	INVESCO DISCOVERY FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	ODICX	00141G658	INVESCO DISCOVERY FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	ODINX	00141G633	INVESCO DISCOVERY FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	ODIYX	00141G625	INVESCO DISCOVERY FUND CLASS Y	T	Y	Y	4:00 PM	01
INVESCO FDS	OEGAX	00143W842	INVESCO DISCOVERY MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OEGCX	00143W834	INVESCO DISCOVERY MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OEGNX	00143W826	INVESCO DISCOVERY MID-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OEGYX	00143W818	INVESCO DISCOVERY MID-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	LCEAX	001413541	INVESCO DIVERSIFIED DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	LCEVX	001413525	INVESCO DIVERSIFIED DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	DDFRX	001413186	INVESCO DIVERSIFIED DIVIDEND FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	LCEYX	00141B204	INVESCO DIVERSIFIED DIVIDEND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	LCEIX	001413194	INVESCO DIVERSIFIED DIVIDEND FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	IAUTX	00142F535	INVESCO DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IUTCX	00142F519	INVESCO DIVIDEND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IRTCX	00143N552	INVESCO DIVIDEND INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	IAUYX	00142F410	INVESCO DIVIDEND INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	FSTUX	00142F543	INVESCO DIVIDEND INCOME FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	GTDDX	00141T577	INVESCO EMERGING MARKETS ALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	GTDCX	00141T544	INVESCO EMERGING MARKETS ALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	GTDYX	00141V838	INVESCO EMERGING MARKETS ALL-CAP FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	EMIAX	00143W776	INVESCO EMERGING MARKETS INNOVATORS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	EMVCX	00143W768	INVESCO EMERGING MARKETS INNOVATORS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	EMIRX	00143W750	INVESCO EMERGING MARKETS INNOVATORS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	EMIYX	00143W743	INVESCO EMERGING MARKETS INNOVATORS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OEMAX	00143K871	INVESCO EMERGING MARKETS LOCAL DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OEMCX	00143K863	INVESCO EMERGING MARKETS LOCAL DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OEMNX	00143K848	INVESCO EMERGING MARKETS LOCAL DEBT FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OEMYX	00143K855	INVESCO EMERGING MARKETS LOCAL DEBT FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IEMAX	00142R646	INVESCO EMERGING MARKETS SELECT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IEMCX	00142R638	INVESCO EMERGING MARKETS SELECT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IEMRX	00142R620	INVESCO EMERGING MARKETS SELECT EQUITY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	IEMYX	00142R612	INVESCO EMERGING MARKETS SELECT EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IENAX	00142F204	INVESCO ENERGY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IEFCX	00142F402	INVESCO ENERGY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IENYX	00142F469	INVESCO ENERGY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	FSTEX	00142F105	INVESCO ENERGY FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	OPAMX	001419258	INVESCO ENVIRONMENTAL FOCUS MUNICIPAL FUND A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OPCMX	001419241	INVESCO ENVIRONMENTAL FOCUS MUNICIPAL FUND C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OPYMX	001419233	INVESCO ENVIRONMENTAL FOCUS MUNICIPAL FUND Y	T	Y	N	4:00 PM	01
INVESCO FDS	VADAX	00142J818	INVESCO EQUALLY WEIGHTED S&P 500 FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VADCX	00142J784	INVESCO EQUALLY WEIGHTED S&P 500 FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VADDX	00142J776	INVESCO EQUALLY WEIGHTED S&P 500 FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VADRX	00142J768	INVESCO EQUALLY-WEIGHTED S&P 500 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ACEIX	00142J479	INVESCO EQUITY AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ACERX	00142J453	INVESCO EQUITY AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ACESX	00142J420	INVESCO EQUITY AND INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ACETX	00142J446	INVESCO EQUITY AND INCOME FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	AEDAX	008882854	INVESCO EUROPEAN GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	AEDCX	008882839	INVESCO EUROPEAN GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	AEDRX	008882763	INVESCO EUROPEAN GROWTH FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	AEDYX	008882573	INVESCO EUROPEAN GROWTH FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	EGINX	008882748	INVESCO EUROPEAN GROWTH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	02
INVESCO FDS	ESMAX	008879595	INVESCO EUROPEAN SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	ESMCX	008879579	INVESCO EUROPEAN SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	ESMYX	00141L814	INVESCO EUROPEAN SMALL COMPANY FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	AFRAX	00141A867	INVESCO FLOATING RATE ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AFRCX	00141A842	INVESCO FLOATING RATE ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AFRRX	00141A834	INVESCO FLOATING RATE ESG FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	AFRYX	00141A586	INVESCO FLOATING RATE ESG FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	QVOPX	00143W719	INVESCO FUNDAMENTAL ALTERNATIVES FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	QOPCX	00143W693	INVESCO FUNDAMENTAL ALTERNATIVES FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	QOPNX	00143W685	INVESCO FUNDAMENTAL ALTERNATIVES FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	QOPYX	00143W677	INVESCO FUNDAMENTAL ALTERNATIVES FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	QVGIX	00143W644	INVESCO GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	QGRCX	00143W636	INVESCO GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	QGRNX	00143W628	INVESCO GLOBAL ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	QGRYX	00143W610	INVESCO GLOBAL ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AWSAX	00141L103	INVESCO GLOBAL CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AWSCX	00141L301	INVESCO GLOBAL CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AWSRX	00141L749	INVESCO GLOBAL CORE EQUITY FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	AWSYX	00141L798	INVESCO GLOBAL CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GLVAX	00900W704	INVESCO GLOBAL FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GLVCX	00900W803	INVESCO GLOBAL FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GLVNX	00900W878	INVESCO GLOBAL FOCUS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GLVYX	00900W860	INVESCO GLOBAL FOCUS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPPAX	00900W100	INVESCO GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OGLCX	00900W209	INVESCO GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OGLNX	00900W407	INVESCO GLOBAL FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OGLYX	00900W506	INVESCO GLOBAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AGGAX	008882300	INVESCO GLOBAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	AGGCX	008882813	INVESCO GLOBAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	AGGYX	008882565	INVESCO GLOBAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	GIZAX	00888Y268	INVESCO GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GIZCX	00888Y250	INVESCO GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GIZRX	00888Y243	INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GIZYX	00888Y235	INVESCO GLOBAL INFRASTRUCTURE FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	OPGIX	00900W779	INVESCO GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OGICX	00900W761	INVESCO GLOBAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OGINX	00900W746	INVESCO GLOBAL OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OGIYX	00900W738	INVESCO GLOBAL OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AGREX	00142C367	INVESCO GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CGREX	00142C342	INVESCO GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	RGREX	00142C334	INVESCO GLOBAL REAL ESTATE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ARGYX	00142C219	INVESCO GLOBAL REAL ESTATE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ASRAX	00141A628	INVESCO GLOBAL REAL ESTATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ASRCX	00141A644	INVESCO GLOBAL REAL ESTATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ASRYX	00141A545	INVESCO GLOBAL REAL ESTATE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPSIX	00143K814	INVESCO GLOBAL STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OSICX	00143K798	INVESCO GLOBAL STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OSINX	00143K772	INVESCO GLOBAL STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OSIYX	00143K780	INVESCO GLOBAL STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GLTAX	00888Y532	INVESCO GLOBAL TARGETED RETURNS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GLTCX	00888Y524	INVESCO GLOBAL TARGETED RETURNS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GLTRX	00888Y516	INVESCO GLOBAL TARGETED RETURNS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GLTYX	00888Y490	INVESCO GLOBAL TARGETED RETURNS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPGSX	00143N750	INVESCO GOLD & SPECIAL MINERALS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OGMCX	00143N743	INVESCO GOLD & SPECIAL MINERALS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OGMNX	00143N727	INVESCO GOLD & SPECIAL MINERALS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OGMYX	00143N735	INVESCO GOLD & SPECIAL MINERALS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AACFX	00141T155	INVESCO GREATER CHINA FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CACFX	00141T130	INVESCO GREATER CHINA FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IGCRX	00143W446	INVESCO GREATER CHINA FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	AMCYX	00141V846	INVESCO GREATER CHINA FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ACGIX	00142J362	INVESCO GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ACGKX	00142J347	INVESCO GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ACGLX	00142J313	INVESCO GROWTH AND INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ACGMX	00142J339	INVESCO GROWTH AND INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GGHCX	00141T106	INVESCO HEALTHCARE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GTHCX	00141T478	INVESCO HEALTHCARE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GGHYX	00141V820	INVESCO HEALTHCARE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GTHIX	00141T171	INVESCO HEALTHCARE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	OGYAX	001421437	INVESCO HIGH YIELD BOND FACTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OGYCX	001421429	INVESCO HIGH YIELD BOND FACTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OGYNX	001421395	INVESCO HIGH YIELD BOND FACTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OGYYX	001421411	INVESCO HIGH YIELD BOND FACTOR FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	AMHYX	00142C706	INVESCO HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AHYCX	00142C888	INVESCO HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AHHYX	00142C193	INVESCO HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	HYINX	00142C631	INVESCO HIGH YIELD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
INVESCO FDS	ACTHX	001419688	INVESCO HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	ACTFX	001419662	INVESCO HIGH YIELD MUNICIPAL FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	ACTDX	001419654	INVESCO HIGH YIELD MUNICIPAL FUND CLASS Y	T	Y	Y	4:00 PM	01
INVESCO FDS	GTNDX	00141M572	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GNDX	00141M556	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GTNRX	00141M259	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GTNYX	00888U621	INVESCO INCOME ADVANTAGE INTERNATIONAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	SCAUX	00141A818	INVESCO INCOME ADVANTAGE U.S. FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	SCCUX	00141A784	INVESCO INCOME ADVANTAGE U.S. FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SCRUX	00141A776	INVESCO INCOME ADVANTAGE U.S. FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	SCAYX	00141A537	INVESCO INCOME ADVANTAGE U.S. FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	SCNUX	00141A594	INVESCO INCOME ADVANTAGE U.S. FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	ALAAX	00141M242	INVESCO INCOME ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CLIA	00141M226	INVESCO INCOME ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	RLIA	00141M218	INVESCO INCOME ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	ALAYX	00888U597	INVESCO INCOME ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AGOVX	00142C847	INVESCO INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AGVCX	00142C821	INVESCO INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AGVRX	00142C698	INVESCO INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	AGVYX	00142C128	INVESCO INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AGIVX	00142C615	INVESCO INCOME FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	OFIAX	001421312	INVESCO INTERMEDIATE BOND FACTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OFICX	001421296	INVESCO INTERMEDIATE BOND FACTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OFINX	001421270	INVESCO INTERMEDIATE BOND FACTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OFIYX	001421288	INVESCO INTERMEDIATE BOND FACTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VKLMX	001419597	INVESCO INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VKLCX	001419571	INVESCO INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VKLIX	001419563	INVESCO INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OIBAX	00143K673	INVESCO INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OIBCX	00143K665	INVESCO INTERNATIONAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OIBNX	00143K640	INVESCO INTERNATIONAL BOND FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OIBYX	00143K657	INVESCO INTERNATIONAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IBVAX	008882664	INVESCO INTERNATIONAL CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IBVCX	008882649	INVESCO INTERNATIONAL CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IIBRX	008882631	INVESCO INTERNATIONAL CORE EQUITY FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	IBVYX	008882540	INVESCO INTERNATIONAL CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IIBCX	008882672	INVESCO INTERNATIONAL CORE EQUITY FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	OIDAX	00900R101	INVESCO INTERNATIONAL DIVERSIFIED FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OIDCX	00900R200	INVESCO INTERNATIONAL DIVERSIFIED FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OIDNX	00900R408	INVESCO INTERNATIONAL DIVERSIFIED FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OIDYX	00900R507	INVESCO INTERNATIONAL DIVERSIFIED FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	QIVAX	00900W712	INVESCO INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	QIVCX	00900W696	INVESCO INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	QIVNX	00900W670	INVESCO INTERNATIONAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	QIVYX	00900W662	INVESCO INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AIIEX	008882102	INVESCO INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AIECX	008882789	INVESCO INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AIERX	008882755	INVESCO INTERNATIONAL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	AIIXY	008882532	INVESCO INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IZIAX	008882417	INVESCO INTERNATIONAL SELECT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IZICX	008882391	INVESCO INTERNATIONAL SELECT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IZIRX	008882383	INVESCO INTERNATIONAL SELECT EQUITY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	IZIYX	008882375	INVESCO INTERNATIONAL SELECT EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IEGAX	008879561	INVESCO INTERNATIONAL SMALL COMPANY CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	IEGCX	008879546	INVESCO INTERNATIONAL SMALL COMPANY CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	IEGYX	00141L780	INVESCO INTERNATIONAL SMALL COMPANY CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	OSMAX	00900W571	INVESCO INTERNATIONAL SMALL/MID COMPANY FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	OSMCX	00900W563	INVESCO INTERNATIONAL SMALL/MID COMPANY FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	OSMNX	00900W548	INVESCO INTERNATIONAL SMALL/MID COMPANY FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	OSMYX	00900W530	INVESCO INTERNATIONAL SMALL/MID COMPANY FUND CLASS Y	T	Y	Y	4:00 PM	01
INVESCO FDS	AITFX	001419407	INVESCO LIMITED TERM MUNICIPAL INCOME FUND CLASS A2	NTF^	A	Y	4:00 PM	01
INVESCO FDS	ATFCX	001419449	INVESCO LIMITED TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ATFYX	001419837	INVESCO LIMITED TERM MUNICIPAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ATFAX	001419803	INVESCO LIMITED TERM MUNICIPAL INCOME FUNDS CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OLCAX	00141W877	INVESCO LIMITED-TERM CALIFORNIA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OLCCX	00141W869	INVESCO LIMITED-TERM CALIFORNIA MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OLCYX	00141W851	INVESCO LIMITED-TERM CALIFORNIA MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GMSDX	00142R513	INVESCO MACRO ALLOCATION STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	GMSEX	00142R497	INVESCO MACRO ALLOCATION STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	GMSJX	00142R489	INVESCO MACRO ALLOCATION STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GMSHX	00142R588	INVESCO MACRO ALLOCATION STRATEGY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OMSOX	00141B782	INVESCO MAIN STREET ALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OMSCX	00141B774	INVESCO MAIN STREET ALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OMSNX	00141B766	INVESCO MAIN STREET ALL-CAP FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	OMSYX	00141B758	INVESCO MAIN STREET ALL-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MSIGX	00141B725	INVESCO MAIN STREET FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MIGCX	00141B717	INVESCO MAIN STREET FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OMGNX	00141B691	INVESCO MAIN STREET FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MIGYX	00141B683	INVESCO MAIN STREET FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPMSX	00900R572	INVESCO MAIN STREET MID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OPMCX	00900R564	INVESCO MAIN STREET MID-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OPMNX	00900R549	INVESCO MAIN STREET MID-CAP FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OPMYX	00900R531	INVESCO MAIN STREET MID-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OSCAX	00900R515	INVESCO MAIN STREET SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OSCCX	00900R499	INVESCO MAIN STREET SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OSCNX	00900R473	INVESCO MAIN STREET SMALL-CAP FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OSCYX	00900R465	INVESCO MAIN STREET SMALL-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VSQAX	00889A608	INVESCO MSCI WORLD SRI INDEX FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	VSQCX	00889A707	INVESCO MSCI WORLD SRI INDEX FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	VSQRX	00889A806	INVESCO MSCI WORLD SRI INDEX FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	VSQYX	00889A889	INVESCO MSCI WORLD SRI INDEX FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	PIAFX	00888Y805	INVESCO MULTI-ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PICFX	00888Y888	INVESCO MULTI-ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PIRFX	00888Y870	INVESCO MULTI-ASSET INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PIYFX	00888Y862	INVESCO MULTI-ASSET INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VKMMX	001419555	INVESCO MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VMICX	001419530	INVESCO MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VMIIX	001419522	INVESCO MUNICIPAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VMINX	001419456	INVESCO MUNICIPAL INCOME FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	ONJAX	00141W745	INVESCO NEW JERSEY MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ONJCX	00141W737	INVESCO NEW JERSEY MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ONJYX	00141W729	INVESCO NEW JERSEY MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OIGAX	00900W647	INVESCO OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OIGCX	00900W639	INVESCO OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OIGNX	00900W613	INVESCO OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OIGYX	00900W597	INVESCO OPPENHEIMER INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OCMIX	00900R630	INVESCO OPPENHEIMER MODERATE INVESTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PXMSX	00900R432	INVESCO OPPENHEIMER MODERATE INVESTOR FUND CLASS S	T	S	N	4:00 PM	03
INVESCO FDS	PKAFX	00900R416	INVESCO PEAK RETIREMENT 2010 FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKCFX	00900R390	INVESCO PEAK RETIREMENT 2010 FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PEKRX	00900R382	INVESCO PEAK RETIREMENT 2010 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PKYFX	00900R374	INVESCO PEAK RETIREMENT 2010 FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	PKTMX	00900E100	INVESCO PEAK RETIREMENT 2015 FUND CLASS A	NTF^	A	N	4:00 PM	02

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	PKTNX	00900E209	INVESCO PEAK RETIREMENT 2015 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKTPX	00900E308	INVESCO PEAK RETIREMENT 2015 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKTOX	00900E407	INVESCO PEAK RETIREMENT 2015 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKTGX	00900E704	INVESCO PEAK RETIREMENT 2020 FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKTHX	00900E803	INVESCO PEAK RETIREMENT 2020 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKTJX	00900E886	INVESCO PEAK RETIREMENT 2020 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKTIX	00900E878	INVESCO PEAK RETIREMENT 2020 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKTAX	00900E845	INVESCO PEAK RETIREMENT 2025 FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKTBX	00900E837	INVESCO PEAK RETIREMENT 2025 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKTDX	00900E829	INVESCO PEAK RETIREMENT 2025 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKTCX	00900E811	INVESCO PEAK RETIREMENT 2025 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKKSX	00900E779	INVESCO PEAK RETIREMENT 2030 FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKKTX	00900E761	INVESCO PEAK RETIREMENT 2030 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKKVX	00900E753	INVESCO PEAK RETIREMENT 2030 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKKUX	00900E746	INVESCO PEAK RETIREMENT 2030 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKKMX	00900E712	INVESCO PEAK RETIREMENT 2035 FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKKNX	00900E696	INVESCO PEAK RETIREMENT 2035 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKKPX	00900E688	INVESCO PEAK RETIREMENT 2035 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKKOX	00900E670	INVESCO PEAK RETIREMENT 2035 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKKGX	00900E647	INVESCO PEAK RETIREMENT 2040 FUND CLASS A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKKHX	00900E639	INVESCO PEAK RETIREMENT 2040 FUND CLASS C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKKJX	00900E621	INVESCO PEAK RETIREMENT 2040 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKKIX	00900E613	INVESCO PEAK RETIREMENT 2040 FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKKAX	00900E571	INVESCO PEAK RETIREMENT 2045 FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKKBX	00900E563	INVESCO PEAK RETIREMENT 2045 FUND CL C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PKKCX	00900E548	INVESCO PEAK RETIREMENT 2045 FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	PKKDX	00900E555	INVESCO PEAK RETIREMENT 2045 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PKRSX	00900E514	INVESCO PEAK RETIREMENT 2050 FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKRTX	00900E498	INVESCO PEAK RETIREMENT 2050 FUND CL C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKRUX	00900E472	INVESCO PEAK RETIREMENT 2050 FUND CL Y	T	Y	N	4:00 PM	02
INVESCO FDS	PKRVX	00900E480	INVESCO PEAK RETIREMENT 2050 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PKRMX	00900E449	INVESCO PEAK RETIREMENT 2055 FUND CL A	NTF^	A	N	4:00 PM	02
INVESCO FDS	PKRNX	00900E431	INVESCO PEAK RETIREMENT 2055 FUND CL C	NTF^	C	N	4:00 PM	02
INVESCO FDS	PKROX	00900E415	INVESCO PEAK RETIREMENT 2055 FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	PKRPX	00900E423	INVESCO PEAK RETIREMENT 2055 FUND CLASS R	T	R	N	4:00 PM	02
INVESCO FDS	PKRGX	00900E373	INVESCO PEAK RETIREMENT 2060 FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKRHX	00900E365	INVESCO PEAK RETIREMENT 2060 FUND CL C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PKRIX	00900E340	INVESCO PEAK RETIREMENT 2060 FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	PKRJX	00900E357	INVESCO PEAK RETIREMENT 2060 FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	PKRAX	00900E316	INVESCO PEAK RETIREMENT 2065 FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKRBX	00900E290	INVESCO PEAK RETIREMENT 2065 FUND CL C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PKRDX	00900E282	INVESCO PEAK RETIREMENT 2065 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PKTSX	00900E241	INVESCO PEAK RETIREMENT DESTINATION FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	PKTTX	00900E233	INVESCO PEAK RETIREMENT DESTINATION FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	PKTVX	00900E225	INVESCO PEAK RETIREMENT DESTINATION FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	PKTUX	00900E217	INVESCO PEAK RETIREMENT DESTINATION FUND CLASS Y	T	Y	N	4:00 PM	02
INVESCO FDS	OPATX	00141W695	INVESCO PENNSYLVANIA MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OPACX	00141W687	INVESCO PENNSYLVANIA MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OPAYX	00141W679	INVESCO PENNSYLVANIA MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VKMGX	00888W619	INVESCO QUALITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VUSCX	00888W585	INVESCO QUALITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VUSRX	00900R424	INVESCO QUALITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	VUSIX	00888W577	INVESCO QUALITY INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	IARAX	00142C565	INVESCO REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	IARCX	00142C540	INVESCO REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	IARRX	00142C458	INVESCO REAL ESTATE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	IARYX	00142C144	INVESCO REAL ESTATE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	REINX	00142C573	INVESCO REAL ESTATE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	OARDX	00141B584	INVESCO RISING DIVIDENDS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OCRDX	00141B576	INVESCO RISING DIVIDENDS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ONRDX	00141B550	INVESCO RISING DIVIDENDS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OYRDX	00141B535	INVESCO RISING DIVIDENDS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OPNYX	001419167	INVESCO ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ONYCX	001419159	INVESCO ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ONYYX	001419142	INVESCO ROCHESTER AMT-FREE NEW YORK MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	LTNYX	00141W836	INVESCO ROCHESTER LIMITED-TERM NEW YORK MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	LTNCX	00141W828	INVESCO ROCHESTER LIMITED-TERM NEW YORK MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	LTBYX	00141W810	INVESCO ROCHESTER LIMITED-TERM NEW YORK MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ORNAX	00141W505	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ORN CX	00141W604	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ORNYX	00141W703	INVESCO ROCHESTER MUNICIPAL OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	RMUNX	00141W786	INVESCO ROCHESTER NEW YORK MUNICIPALS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	RMUCX	00141W778	INVESCO ROCHESTER NEW YORK MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	RMUYX	00141W760	INVESCO ROCHESTER NEW YORK MUNICIPALS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	SPIAX	00142J628	INVESCO S&P 500 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	SPICX	00142J594	INVESCO S&P 500 INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SPIDX	00142J586	INVESCO S&P 500 INDEX FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OACIX	00900R770	INVESCO SELECT RISK CONSERVATIVE INVESTOR FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	OCCIX	00900R762	INVESCO SELECT RISK CONSERVATIVE INVESTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ONCIX	00900R754	INVESCO SELECT RISK CONSERVATIVE INVESTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OYCIX	00900R747	INVESCO SELECT RISK CONSERVATIVE INVESTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AADAX	00141M549	INVESCO SELECT RISK GROWTH INVESTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AADCX	00141M523	INVESCO SELECT RISK GROWTH INVESTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AADRX	00141M515	INVESCO SELECT RISK GROWTH INVESTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	AADSX	00888W205	INVESCO SELECT RISK GROWTH INVESTOR FUND CLASS S	T	S	N	4:00 PM	03
INVESCO FDS	AADYX	00888U613	INVESCO SELECT RISK GROWTH INVESTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OAAIX	00900R713	INVESCO SELECT RISK HIGH GROWTH INVESTOR FUND A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OCAIX	00900R697	INVESCO SELECT RISK HIGH GROWTH INVESTOR FUND C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ONAIX	00900R689	INVESCO SELECT RISK HIGH GROWTH INVESTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OYAIX	00900R671	INVESCO SELECT RISK HIGH GROWTH INVESTOR FUND Y	T	Y	N	4:00 PM	01
INVESCO FDS	OAMIX	00900R648	INVESCO SELECT RISK MODERATE INVESTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ONMIX	00900R622	INVESCO SELECT RISK MODERATE INVESTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OYMIX	00900R614	INVESCO SELECT RISK MODERATE INVESTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	CAAMX	00141M317	INVESCO SELECT RISK MODERATELY CONSERVATIVE INVESTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CACMX	00141M283	INVESCO SELECT RISK MODERATELY CONSERVATIVE INVESTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	CMARX	00141M275	INVESCO SELECT RISK MODERATELY CONSERVATIVE INVESTOR FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	CMASX	00888U241	INVESCO SELECT RISK MODERATELY CONSERVATIVE INVESTOR FUND CLASS S	T	S	N	4:00 PM	03
INVESCO FDS	CAAYX	00888U472	INVESCO SELECT RISK MODERATELY CONSERVATIVE INVESTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	OOSAX	00141G872	INVESCO SENIOR FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	OOSCX	00141G864	INVESCO SENIOR FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	OOSNX	00141G849	INVESCO SENIOR FLOATING RATE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	OOSYX	00141G831	INVESCO SENIOR FLOATING RATE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	VSLAX	46131G109	INVESCO SENIOR LOAN FUND CLASS A	T	A	N	4:00 PM	01
INVESCO FDS	VSLCX	46131G307	INVESCO SENIOR LOAN FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VSLYX	46131G604	INVESCO SENIOR LOAN FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ISHAX	00141A321	INVESCO SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ISHCX	00141A313	INVESCO SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ISHYX	00141A297	INVESCO SHORT DURATION HIGH YIELD MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	LMTAX	00142C649	INVESCO SHORT DURATION INFLATION PROTECTED FUND CL A	NTF^	A	N	4:00 PM	01
INVESCO FDS	SHTIX	00142C300	INVESCO SHORT DURATION INFLATION PROTECTED FUND CL A2	NTF^	A	Y	4:00 PM	01
INVESCO FDS	LMTYX	00142C177	INVESCO SHORT DURATION INFLATION PROTECTED FUND CL Y	T	Y	N	4:00 PM	01
INVESCO FDS	STBAX	00142C433	INVESCO SHORT-TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	STBCX	00142C672	INVESCO SHORT-TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	STBRX	00142C425	INVESCO SHORT-TERM BOND FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	STBYX	00142C136	INVESCO SHORT-TERM BOND FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ORSTX	00141G419	INVESCO SHORT-TERM MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ORSCX	00141G393	INVESCO SHORT-TERM MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	ORSYX	00141G385	INVESCO SHORT-TERM MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	SMEAX	008879496	INVESCO SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	SMECX	008879470	INVESCO SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SMEYX	00141L756	INVESCO SMALL CAP EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	GTSIX	00141M135	INVESCO SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
INVESCO FDS	SMERX	00141L509	INVESCO SMALL-CAP EQUITY FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	GTSAX	00141M770	INVESCO SMALL-CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
INVESCO FDS	GTSDX	00141M655	INVESCO SMALL-CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
INVESCO FDS	GTSRX	00141M580	INVESCO SMALL-CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	GTSYX	00888U464	INVESCO SMALL-CAP GROWTH FUND CLASS Y	T	Y	Y	4:00 PM	01
INVESCO FDS	VSCAX	00143M539	INVESCO SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VSMCX	00143M513	INVESCO SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VSRAX	00143N545	INVESCO SMALL-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	VSMIX	00143M497	INVESCO SMALL-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MLPAX	00143K541	INVESCO STEELPATH MLP ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MLPGX	00143K533	INVESCO STEELPATH MLP ALPHA FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SPMGX	00143K517	INVESCO STEELPATH MLP ALPHA FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MLPOX	00143K525	INVESCO STEELPATH MLP ALPHA FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MLPLX	00143K475	INVESCO STEELPATH MLP ALPHA PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MLPMX	00143K467	INVESCO STEELPATH MLP ALPHA PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SPMJX	00143K442	INVESCO STEELPATH MLP ALPHA PLUS FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MLPNX	00143K459	INVESCO STEELPATH MLP ALPHA PLUS FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MLPDX	00143K343	INVESCO STEELPATH MLP INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MLPRX	00143K335	INVESCO STEELPATH MLP INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SPNNX	00143K319	INVESCO STEELPATH MLP INCOME FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MLPZX	00143K327	INVESCO STEELPATH MLP INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	MLPFX	00143K277	INVESCO STEELPATH MLP SELECT 40 FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	MLPEX	00143K269	INVESCO STEELPATH MLP SELECT 40 FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SPMWX	00143K244	INVESCO STEELPATH MLP SELECT 40 FUND CLASS R	T	R	N	4:00 PM	01
INVESCO FDS	MLPTX	00143K251	INVESCO STEELPATH MLP SELECT 40 FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ASMMX	001413160	INVESCO SUMMIT FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	CSMMX	001413145	INVESCO SUMMIT FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	SMMIX	001413137	INVESCO SUMMIT FUND CLASS P	T	P	N	4:00 PM	01
INVESCO FDS	SMMSX	00141B873	INVESCO SUMMIT FUND CLASS S	T	S	N	4:00 PM	03
INVESCO FDS	ASMYX	00141B709	INVESCO SUMMIT FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	ITYAX	00142F642	INVESCO TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	ITHCX	00142F626	INVESCO TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	ITYYX	00142F428	INVESCO TECHNOLOGY FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	FTCHX	00142F659	INVESCO TECHNOLOGY FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESCO FDS	VVOAX	00143M398	INVESCO VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	VVOCX	00143M372	INVESCO VALUE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	VVORX	00142F139	INVESCO VALUE OPPORTUNITIES FUND CLASS R	T	R	Y	4:00 PM	01
INVESCO FDS	VVOIX	00143M364	INVESCO VALUE OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
INVESCO FDS	AUBAX	00141V507	INVESCO WORLD BOND FACTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESCO FDS	AUBCX	00141V705	INVESCO WORLD BOND FACTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESCO FDS	AUBYX	00141V812	INVESCO WORLD BOND FACTOR FUND CLASS Y	T	Y	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	IQRX	46141T653	ACR INTERNATIONAL QUALITY RETURN (IQR) FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	MQRX	46141T802	ACR MULTI STRATEGY QUALITY RETURN (MQR) FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	TERIX	46141T406	AXS ALL TERRAIN OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	EEHAX	46141T430	AXS ALTERNATIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	EEHIX	46141T380	AXS ALTERNATIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	COGVX	46141T257	AXS ALTERNATIVE VALUE FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	COGLX	46141T240	AXS ALTERNATIVE VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	ECHAX	46141T471	AXS CHESAPEAKE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	ECHCX	46141T463	AXS CHESAPEAKE STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	EQCHX	46141T372	AXS CHESAPEAKE STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	MHFAX	46141T521	AXS MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	MHFCX	46141T513	AXS MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	MHFIX	46141T489	AXS MANAGED FUTURES STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	COGIX	46141T232	AXS MARKET NEUTRAL FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	COGMX	46141T224	AXS MARKET NEUTRAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	GAKIX	46141T190	AXS MERGER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	GAKAX	46141T216	AXS MERGER FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	KCMIX	46141T455	AXS MULTI-STRATEGY ALTERNATIVES FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	KCMTX	46141T448	AXS MULTI-STRATEGY ALTERNATIVES FUND CLASS R-1	T	G	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESTMENT MANAGERS SERIES	AXSKX	46141T349	AXS SUSTAINABLE INCOME FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDPAX	46141T323	AXS THOMAS REUTERS PRIVATE EQUITY RETURN TRACKER FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDPCX	46141T315	AXS THOMAS REUTERS PRIVATE EQUITY RETURN TRACKER FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDPIX	46141T299	AXS THOMAS REUTERS PRIVATE EQUITY RETURN TRACKER FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDVAX	46141T281	AXS THOMAS REUTERS VENTURE CAPITAL RETURN TRACKER FUND CLASS A	NTF^	A	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDVCX	46141T273	AXS THOMAS REUTERS VENTURE CAPITAL RETURN TRACKER FUND CLASS C	NTF^	C	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	LDVIX	46141T265	AXS THOMAS REUTERS VENTURE CAPITAL RETURN TRACKER FUND CLASS I	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	BSCVX	461418220	BERNZOTT U.S. SMALL CAP VALUE FUND	T		N	4:00 PM	01
INVESTMENT MANAGERS SERIES	KESGX	46141T562	KENNEDY CAPITAL ESG SMID-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	GAVAX	461418667	KL ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	GAVIX	461418659	KL ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	PSYPX	46141P388	PALMER SQUARE INCOME PLUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	PSDSX	46141Q816	PALMER SQUARE ULTRA SHORT DURATION INVESTMENT GRADE FD INSTITUTIC	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	GDIIX	461418865	RNC GENTER DIVIDEND INCOME FUND	NTF		N	4:00 PM	01
INVESTMENT MANAGERS SERIES	SPCAX	46141P768	SILVERPEPPER COMMODITY STRATEGIES GLOBAL MACRO FD ADVISOR CL	T	O	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	SPCIX	46141P750	SILVERPEPPER COMMODITY STRATEGY GLOBAL MACRO FUND INSTITUTIONAL	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	SPABX	46141P743	SILVERPEPPER MERGER ARBITRAGE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	SPAIX	46141P735	SILVERPEPPER MERGER ARBITRAGE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	CNRYX	46141T174	SUNBRIDGE EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	RIMIX	46141T182	SUNBRIDGE EMERGING MARKETS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	TDVFX	461418360	TOWLE DEEP VALUE FUND	T		N	4:00 PM	01
INVESTMENT MANAGERS SERIES	CZOVX	461418204	ZACKS ALL-CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	ZDIIX	46141Q378	ZACKS DIVIDEND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
INVESTMENT MANAGERS SERIES	ZDIVX	46141P321	ZACKS DIVIDEND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	ZSCCX	461418428	ZACKS SMALL CAP CORE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
INVESTMENT MANAGERS SERIES	ZSCIX	46141P313	ZACKS SMALL-CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ISSACHAR FUNDS	LIOTX	66538E184	ISSACHAR FUND CLASS I	T	I	N	4:00 PM	01
ISSACHAR FUNDS	LIONX	66538E168	ISSACHAR FUND CLASS N	T	N	N	4:00 PM	01
IVY FUNDS	IATAX	46600G879	DELAWARE IVY ACCUMULATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IATCX	46600G853	DELAWARE IVY ACCUMULATIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IATIX	46600G838	DELAWARE IVY ACCUMULATIVE FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WASAX	466000759	DELAWARE IVY ASSET STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WASCX	466000734	DELAWARE IVY ASSET STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IWAEX	466001864	DELAWARE IVY ASSET STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IASRX	466000114	DELAWARE IVY ASSET STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WASYX	466000726	DELAWARE IVY ASSET STRATEGY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IBNAX	465898625	DELAWARE IVY BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IBNCX	465898591	DELAWARE IVY BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IYBIX	465898146	DELAWARE IVY BALANCED FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYBFX	465899375	DELAWARE IVY BALANCED FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IBNYX	465898583	DELAWARE IVY BALANCED FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IMHAX	465899144	DELAWARE IVY CALIFORNIA MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IMHCX	465899136	DELAWARE IVY CALIFORNIA MUNICIPAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IMHIX	465899128	DELAWARE IVY CALIFORNIA MUNICIPAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IMHYX	465899110	DELAWARE IVY CALIFORNIA MUNICIPAL HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WCEAX	466000106	DELAWARE IVY CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WTRCX	466000304	DELAWARE IVY CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	ICIEX	466000163	DELAWARE IVY CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WCEYX	466000403	DELAWARE IVY CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IBJAX	46600G101	DELAWARE IVY CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IBJCX	46600G309	DELAWARE IVY CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IBJIX	46600G507	DELAWARE IVY CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IBJYX	46600G804	DELAWARE IVY CORPORATE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	ICKAX	46600B730	DELAWARE IVY CROSSOVER CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	ICKIX	46600B714	DELAWARE IVY CROSSOVER CREDIT FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	ICKYX	46600B664	DELAWARE IVY CROSSOVER CREDIT FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IECAX	465899227	DELAWARE IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IECCX	465899219	DELAWARE IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01

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IVY FUNDS	IECIX	465899185	DELAWARE IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IECYX	465899169	DELAWARE IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IEYAX	466000395	DELAWARE IVY ENERGY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IEYCX	466000379	DELAWARE IVY ENERGY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVEIX	466000148	DELAWARE IVY ENERGY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYEFX	465899367	DELAWARE IVY ENERGY FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IEYYX	466000361	DELAWARE IVY ENERGY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IVSAX	465899748	DELAWARE IVY GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVSCX	465899722	DELAWARE IVY GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVSIX	465899714	DELAWARE IVY GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVSYX	465899698	DELAWARE IVY GLOBAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IBIAX	465899631	DELAWARE IVY GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IBICX	465899615	DELAWARE IVY GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IBIIX	465899599	DELAWARE IVY GLOBAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYGEX	465899458	DELAWARE IVY GLOBAL EQUITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IBIYX	465899581	DELAWARE IVY GLOBAL EQUITY INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IVINX	465897502	DELAWARE IVY GLOBAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVNCX	465897585	DELAWARE IVY GLOBAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IGIIX	465898724	DELAWARE IVY GLOBAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVIYX	465897114	DELAWARE IVY GLOBAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IGJAX	46600B227	DELAWARE IVY GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IGJCX	46600B193	DELAWARE IVY GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IGJIX	46600B177	DELAWARE IVY GOVERNMENT SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WHIAX	466000668	DELAWARE IVY HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WRHIX	466000643	DELAWARE IVY HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVHIX	466000122	DELAWARE IVY HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYHIX	465899490	DELAWARE IVY HIGH INCOME FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WHIYX	466000635	DELAWARE IVY HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IPNAX	46600A310	DELAWARE IVY HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IPNIX	46600A294	DELAWARE IVY HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVIAX	465897353	DELAWARE IVY INTERNATIONAL CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVIFX	465897338	DELAWARE IVY INTERNATIONAL CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	ICEIX	465899706	DELAWARE IVY INTERNATIONAL CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVVYX	465898682	DELAWARE IVY INTERNATIONAL CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IVJAX	46600A682	DELAWARE IVY INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVJCX	46600A674	DELAWARE IVY INTERNATIONAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVJIX	46600A666	DELAWARE IVY INTERNATIONAL SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVJYX	46600A658	DELAWARE IVY INTERNATIONAL SMALL-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	ICDAX	465898880	DELAWARE IVY INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
IVY FUNDS	ICDCX	465898781	DELAWARE IVY INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	ICVIX	465898112	DELAWARE IVY INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	ICDYX	465897148	DELAWARE IVY INTERNATIONAL VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WLGAX	466000627	DELAWARE IVY LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WLGCX	466000593	DELAWARE IVY LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IYGIX	466001203	DELAWARE IVY LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WLGRX	466000429	DELAWARE IVY LARGE-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WLGYS	466000585	DELAWARE IVY LARGE-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IREAX	465899359	DELAWARE IVY LASALLE GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IRECX	465899334	DELAWARE IVY LASALLE GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IRESX	465899326	DELAWARE IVY LASALLE GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IRERX	465899318	DELAWARE IVY LASALLE GLOBAL REAL ESTATE FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IREYX	465899292	DELAWARE IVY LASALLE GLOBAL REAL ESTATE FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WLTAX	466000882	DELAWARE IVY LIMITED-TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WLBCX	466000866	DELAWARE IVY LIMITED-TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	ILTIX	466001401	DELAWARE IVY LIMITED-TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WLTYS	466000858	DELAWARE IVY LIMITED-TERM BOND FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IVTAX	465898229	DELAWARE IVY MANAGED INTERNATIONAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVTCX	465898195	DELAWARE IVY MANAGED INTERNATIONAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVTIX	465898179	DELAWARE IVY MANAGED INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVTYX	465898161	DELAWARE IVY MANAGED INTERNATIONAL OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WMGAX	466000577	DELAWARE IVY MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WMGCX	466000551	DELAWARE IVY MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IYMIK	466001609	DELAWARE IVY MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WMGRX	466000411	DELAWARE IVY MID-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WMGSY	466000544	DELAWARE IVY MID-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IVOAX	46600B102	DELAWARE IVY MID-CAP INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IVOCX	46600B201	DELAWARE IVY MID-CAP INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVOIX	46600B409	DELAWARE IVY MID-CAP INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IVORX	46600B508	DELAWARE IVY MID-CAP INCOME OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IVOYS	46600B706	DELAWARE IVY MID-CAP INCOME OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IMAAK	46600B847	DELAWARE IVY MULTI-ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IMACX	46600B839	DELAWARE IVY MULTI-ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IMAIK	46600B821	DELAWARE IVY MULTI-ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IMAYS	46600B797	DELAWARE IVY MULTI-ASSET INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WMBAX	466000841	DELAWARE IVY MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WMBCK	466000825	DELAWARE IVY MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IMBIK	46601B101	DELAWARE IVY MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WMBYS	466000817	DELAWARE IVY MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
IVY FUNDS	IYIAX	466001849	DELAWARE IVY MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IYICX	466001765	DELAWARE IVY MUNICIPAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	WYMHX	466001757	DELAWARE IVY MUNICIPAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYIYX	466001740	DELAWARE IVY MUNICIPAL HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IGNAX	465897429	DELAWARE IVY NATURAL RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IGNCX	465897395	DELAWARE IVY NATURAL RESOURCES FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IGNIX	465899508	DELAWARE IVY NATURAL RESOURCES FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IGNRX	465898310	DELAWARE IVY NATURAL RESOURCES FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IGNYX	465897122	DELAWARE IVY NATURAL RESOURCES FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IAIRX	46600A518	DELAWARE IVY PROSHARES INTEREST RATE HEDGED HIGH YIELD INDEX FUND	NTF^	A	Y	4:00 PM	01
IVY FUNDS	IIIRX	46600A476	DELAWARE IVY PROSHARES INTEREST RATE HEDGED HIGH YIELD INDEX FUND	T	I	Y	4:00 PM	01
IVY FUNDS	IIRRX	46600A450	DELAWARE IVY PROSHARES INTEREST RATE HEDGED HIGH YIELD INDEX FUND	T	R	Y	4:00 PM	01
IVY FUNDS	IMWAX	46600A377	DELAWARE IVY PROSHARES MSCI ACWI INDEX FUND CLASS A	NTF^	A	Y	4:00 PM	01
IVY FUNDS	IMWIX	46600A344	DELAWARE IVY PROSHARES MSCI ACWI INDEX FUND CLASS I	T	I	Y	4:00 PM	01
IVY FUNDS	IRUAX	46600A575	DELAWARE IVY PROSHARES RUSSELL 2000 DIVIDEND GROWERS INDEX FUND C	NTF^	A	Y	4:00 PM	01
IVY FUNDS	IRUIX	46600A542	DELAWARE IVY PROSHARES RUSSELL 2000 DIVIDEND GROWERS INDEX FUND C	T	I	Y	4:00 PM	01
IVY FUNDS	IAPRX	46600A443	DELAWARE IVY PROSHARES S&P 500 BOND INDEX FUND CLASS A	NTF^	A	Y	4:00 PM	01
IVY FUNDS	IPRIX	46600A419	DELAWARE IVY PROSHARES S&P 500 BOND INDEX FUND CLASS I	T	I	Y	4:00 PM	01
IVY FUNDS	IPRRX	46600A385	DELAWARE IVY PROSHARES S&P 500 BOND INDEX FUND CLASS R	T	R	Y	4:00 PM	01
IVY FUNDS	IDAAX	46600A641	DELAWARE IVY S&P 500 DIVIDEND ARISTOCRATS INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IDAIX	46600A617	DELAWARE IVY S&P 500 DIVIDEND ARISTOCRATS INDEX FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IDARX	46600A583	DELAWARE IVY S&P 500 DIVIDEND ARISTOCRATS INDEX FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WSTAX	466000718	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WSTCX	466000684	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	ISTIX	466001807	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WSTRX	466000437	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WSTYX	466000676	DELAWARE IVY SCIENCE AND TECHNOLOGY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IBOAX	465898344	DELAWARE IVY SECURIAN CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IBOCX	465898328	DELAWARE IVY SECURIAN CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVBIX	465897775	DELAWARE IVY SECURIAN CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IBOYX	465898575	DELAWARE IVY SECURIAN CORE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IRSAX	465898476	DELAWARE IVY SECURIAN REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IRSCX	465898450	DELAWARE IVY SECURIAN REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IREIX	465899839	DELAWARE IVY SECURIAN REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IRSRX	465898294	DELAWARE IVY SECURIAN REAL ESTATE SECURITIES FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IRSYX	465898443	DELAWARE IVY SECURIAN REAL ESTATE SECURITIES FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	WSGAX	466000502	DELAWARE IVY SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	WRGCX	466000700	DELAWARE IVY SMALL-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
IVY FUNDS	IYSIX	466001872	DELAWARE IVY SMALL-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	WSGRX	466000445	DELAWARE IVY SMALL-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	WSCYX	466000809	DELAWARE IVY SMALL-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IYSAX	465898435	DELAWARE IVY SMID-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IYSCX	465898419	DELAWARE IVY SMID-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IVVIX	465899813	DELAWARE IVY SMID-CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYSMX	465899540	DELAWARE IVY SMID-CAP CORE FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IYSYX	465898393	DELAWARE IVY SMID-CAP CORE FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IAPOX	46600B805	DELAWARE IVY STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	ICPOX	46600B888	DELAWARE IVY STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IIPOX	46600B870	DELAWARE IVY STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYPOX	46600B854	DELAWARE IVY STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IPOAX	465897866	DELAWARE IVY SYSTEMATIC EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IPOCX	465897643	DELAWARE IVY SYSTEMATIC EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IPOIX	465899854	DELAWARE IVY SYSTEMATIC EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYPCX	465899383	DELAWARE IVY SYSTEMATIC EMERGING MARKETS EQUITY FUND CLASS R	T	R	N	4:00 PM	01
IVY FUNDS	IPOYX	465898674	DELAWARE IVY SYSTEMATIC EMERGING MARKETS EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IRBAX	46600B789	DELAWARE IVY TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IRBCX	46600B771	DELAWARE IVY TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IRBIX	46600B763	DELAWARE IVY TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYVAX	465898385	DELAWARE IVY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IYVCX	465898369	DELAWARE IVY VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IYAIX	465899789	DELAWARE IVY VALUE FUND CLASS I	T	I	N	4:00 PM	01
IVY FUNDS	IYVYX	465898351	DELAWARE IVY VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
IVY FUNDS	IWGAX	46600G788	DELAWARE IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
IVY FUNDS	IWGCX	46600G762	DELAWARE IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
IVY FUNDS	IWGIX	46600G747	DELAWARE IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
JACKSON SQUARE FUNDS	JSPIX	56167N837	JACKSON SQUARE LARGE-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JACKSON SQUARE FUNDS	JSPJX	56167N845	JACKSON SQUARE LARGE-CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
JACKSON SQUARE FUNDS	JSSGX	56167N209	JACKSON SQUARE PARTNERS INTERNATIONAL GROWTH FUND INSTITUTIONAL	T	I	N	4:00 PM	01
JACKSON SQUARE FUNDS	JSMVX	56167N878	JACKSON SQUARE SMID CAP GROWTH FD INVESTOR CLASS	T	V	N	4:00 PM	01
JACKSON SQUARE FUNDS	DCGTX	56167N852	JACKSON SQUARE SMID-CAP GROWTH FUND CLASS IS	T	S	N	4:00 PM	01
JACKSON SQUARE FUNDS	JSMTX	56167N860	JACKSON SQUARE SMID-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JACOB FUNDS	JMIGX	469785307	JACOB DISCOVERY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JACOB FUNDS	JMCGX	469785406	JACOB DISCOVERY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
JACOB FUNDS	JAMFX	469785604	JACOB INTERNET FUND INVESTOR CLASS	T	V	N	4:00 PM	01
JACOB FUNDS	JSIGX	469785505	JACOB SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JACOB FUNDS	JSCGX	469785109	JACOB SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JAG FUNDS	JLGAX	62827L377	JAG LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JAG FUNDS	JLGIX	62827L351	JAG LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JAG FUNDS	JGRRX	62827P253	JAG LARGE-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
JAMES FUNDS	JAVAX	470259789	JAMES AGGRESSIVE ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JAMES FUNDS	GLRBX	470259102	JAMES BALANCED GOLDEN RAINBOW FUND	NTF		N	4:00 PM	01
JAMES FUNDS	GLRIX	470259821	JAMES BALANCED GOLDEN RAINBOW FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
JAMES FUNDS	JMCRX	470259813	JAMES MICRO CAP FUND	T		N	4:00 PM	01
JAMES FUNDS	JASCX	470259508	JAMES SMALL CAP FUND	NTF		N	4:00 PM	01
JANUS FUNDS	JUCAX	47103D462	JANUS HENDERSON ABSOLUTE RETURN INCOME OPPORTUNITIES FUND CLASS	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JUCCX	47103D454	JANUS HENDERSON ABSOLUTE RETURN INCOME OPPORTUNITIES FUND CLASS	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JUCIX	47103D439	JANUS HENDERSON ABSOLUTE RETURN INCOME OPPORTUNITIES FUND CLASS	T	I	N	4:00 PM	01
JANUS FUNDS	JUCSX	47103D413	JANUS HENDERSON ABSOLUTE RETURN INCOME OPPORTUNITIES FUND CLASS	T	S	N	4:00 PM	01
JANUS FUNDS	JUCTX	47103D397	JANUS HENDERSON ABSOLUTE RETURN INCOME OPPORTUNITIES FUND CLASS	T	T	N	4:00 PM	01
JANUS FUNDS	JAGAX	47103D272	JANUS HENDERSON ADAPTIVE GLOBAL ALLOCATION FUND CL A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JAVCX	47103D264	JANUS HENDERSON ADAPTIVE GLOBAL ALLOCATION FUND CL C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JVGIX	47103D249	JANUS HENDERSON ADAPTIVE GLOBAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JAGSX	47103D256	JANUS HENDERSON ADAPTIVE GLOBAL ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JVGTX	47103D223	JANUS HENDERSON ADAPTIVE GLOBAL ALLOCATION FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JAQAX	47103E379	JANUS HENDERSON ASIA EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JAQCX	47103E361	JANUS HENDERSON ASIA EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JAQIX	47103E346	JANUS HENDERSON ASIA EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JAQNX	47103Y649	JANUS HENDERSON ASIA EQUITY FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JAQSX	47103E338	JANUS HENDERSON ASIA EQUITY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAQTX	47103E320	JANUS HENDERSON ASIA EQUITY FUND FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDBAX	47103C506	JANUS HENDERSON BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JBCX	47103C605	JANUS HENDERSON BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JBALX	47103C704	JANUS HENDERSON BALANCED FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JABNX	47103D108	JANUS HENDERSON BALANCED FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDBRX	47103C803	JANUS HENDERSON BALANCED FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JABRX	47103C886	JANUS HENDERSON BALANCED FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JABAX	471023879	JANUS HENDERSON BALANCED FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JCNAX	47103C878	JANUS HENDERSON CONTRARIAN FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JCNCX	47103C860	JANUS HENDERSON CONTRARIAN FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JCONX	47103C852	JANUS HENDERSON CONTRARIAN FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JCNNX	47103D207	JANUS HENDERSON CONTRARIAN FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JCNIX	47103C837	JANUS HENDERSON CONTRARIAN FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JSVAX	471023655	JANUS HENDERSON CONTRARIAN FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HFAAX	47103Y862	JANUS HENDERSON DEVELOPED WORLD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HFACX	47103Y854	JANUS HENDERSON DEVELOPED WORLD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	HFAIX	47103Y839	JANUS HENDERSON DEVELOPED WORLD BOND FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HFASX	47103Y789	JANUS HENDERSON DEVELOPED WORLD BOND FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HFATX	47103Y771	JANUS HENDERSON DEVELOPED WORLD BOND FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HDAVX	47103X864	JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HDCVX	47103X856	JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	HDIVX	47103X831	JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HDQVX	47103X781	JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HDTVX	47103X773	JANUS HENDERSON DIVIDEND & INCOME BUILDER FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HEMAX	47103X757	JANUS HENDERSON EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HEMCX	47103X740	JANUS HENDERSON EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	HEMIX	47103X724	JANUS HENDERSON EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HEMSX	47103X674	JANUS HENDERSON EMERGING MARKETS FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HEMTX	47103X666	JANUS HENDERSON EMERGING MARKETS FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JOLAX	47103D389	JANUS HENDERSON EMERGING MARKETS MANAGED VOLATILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JOLCX	47103D371	JANUS HENDERSON EMERGING MARKETS MANAGED VOLATILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JOLIX	47103D355	JANUS HENDERSON EMERGING MARKETS MANAGED VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JOLSX	47103D330	JANUS HENDERSON EMERGING MARKETS MANAGED VOLATILITY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JOLTX	47103D322	JANUS HENDERSON EMERGING MARKETS MANAGED VOLATILITY FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDMAX	47103C829	JANUS HENDERSON ENTERPRISE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JGRCX	47103C811	JANUS HENDERSON ENTERPRISE FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JMGRX	47103C795	JANUS HENDERSON ENTERPRISE FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JDMNX	47103D405	JANUS HENDERSON ENTERPRISE FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDMRX	47103C787	JANUS HENDERSON ENTERPRISE FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JGRTX	47103C779	JANUS HENDERSON ENTERPRISE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAENX	471023804	JANUS HENDERSON ENTERPRISE FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HFEAX	47103X641	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HFECX	47103X633	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	HFEIX	47103X617	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HFERX	47103X591	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	HFESX	47103X567	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HFETX	47103X559	JANUS HENDERSON EUROPEAN FOCUS FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDFAX	47103C761	JANUS HENDERSON FLEXIBLE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JFICX	47103C753	JANUS HENDERSON FLEXIBLE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JFLEX	47103C746	JANUS HENDERSON FLEXIBLE BOND FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JDFNX	47103D504	JANUS HENDERSON FLEXIBLE BOND FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JADFX	47103C720	JANUS HENDERSON FLEXIBLE BOND FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAFIX	471023606	JANUS HENDERSON FLEXIBLE BOND FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDCAX	47103A674	JANUS HENDERSON FORTY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JACCX	47103A666	JANUS HENDERSON FORTY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	JCAPX	47103A658	JANUS HENDERSON FORTY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JFRNX	47103D603	JANUS HENDERSON FORTY FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDCRX	47103A641	JANUS HENDERSON FORTY FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JARTX	47103A633	JANUS HENDERSON FORTY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JACTX	47103A625	JANUS HENDERSON FORTY FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JGBIX	47103E452	JANUS HENDERSON FUND CLASS I GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JORRX	47103C514	JANUS HENDERSON FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JCAAX	47103A104	JANUS HENDERSON GLOBAL ALLOCATION CONSERVATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JCAIX	47103A302	JANUS HENDERSON GLOBAL ALLOCATION CONSERVATIVE FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JCASX	47103A401	JANUS HENDERSON GLOBAL ALLOCATION CONSERVATIVE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JSPCX	471023499	JANUS HENDERSON GLOBAL ALLOCATION CONSERVATIVE FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JCACX	47103A203	JANUS HENDERSON GLOBAL ALLOCATION FUND CLASS CONSERVATIVE C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JGCAX	47103A500	JANUS HENDERSON GLOBAL ALLOCATION GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JGCCX	47103A609	JANUS HENDERSON GLOBAL ALLOCATION GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JGCIX	47103A708	JANUS HENDERSON GLOBAL ALLOCATION GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JGCSX	47103A807	JANUS HENDERSON GLOBAL ALLOCATION GROWTH FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JSPGX	471023523	JANUS HENDERSON GLOBAL ALLOCATION GROWTH FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JMOAX	47103A880	JANUS HENDERSON GLOBAL ALLOCATION MODERATE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JMOCX	47103A872	JANUS HENDERSON GLOBAL ALLOCATION MODERATE FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JMOIX	47103A864	JANUS HENDERSON GLOBAL ALLOCATION MODERATE FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JMOSX	47103A856	JANUS HENDERSON GLOBAL ALLOCATION MODERATE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JSPMX	471023515	JANUS HENDERSON GLOBAL ALLOCATION MODERATE FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JGBAX	47103E486	JANUS HENDERSON GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JGBCX	47103E478	JANUS HENDERSON GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JGBSX	47103E445	JANUS HENDERSON GLOBAL BOND FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JHBTX	47103E437	JANUS HENDERSON GLOBAL BOND FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HFQAX	47103X534	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HFQCX	47103X526	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	HFQIX	47103X492	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HFQRX	47103X484	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	HFQSX	47103X450	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HFQTX	47103X443	JANUS HENDERSON GLOBAL EQUITY INCOME FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JGDSX	47103C118	JANUS HENDERSON GLOBAL INCOME MANAGED VOLATILITY CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JDGTX	47103E247	JANUS HENDERSON GLOBAL INCOME MANAGED VOLATILITY CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JGDAX	47103C159	JANUS HENDERSON GLOBAL INCOME MANAGED VOLATILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JGDCX	47103C142	JANUS HENDERSON GLOBAL INCOME MANAGED VOLATILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JFNAX	471023481	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JFNCX	471023473	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JFNIX	471023465	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	JFNNX	47103Y631	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JFNSX	471023457	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAGLX	471023671	JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JERAX	47103A617	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JERCX	47103A591	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JERIX	47103A583	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JERNX	47103Y623	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JERSX	47103A575	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JERTX	47103A567	JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDWAX	47103C324	JANUS HENDERSON GLOBAL REAL RESEARCH FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JWWCX	47103C316	JANUS HENDERSON GLOBAL RESEARCH FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JWWFX	47103C290	JANUS HENDERSON GLOBAL RESEARCH FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JDWNX	47103D777	JANUS HENDERSON GLOBAL RESEARCH FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JWGRX	47103C274	JANUS HENDERSON GLOBAL RESEARCH FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAWWX	471023309	JANUS HENDERSON GLOBAL RESEARCH FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JORAX	47103C548	JANUS HENDERSON GLOBAL SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JORCX	47103C530	JANUS HENDERSON GLOBAL SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JORFX	47103C522	JANUS HENDERSON GLOBAL SELECT FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JSLNX	47103D876	JANUS HENDERSON GLOBAL SELECT FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JORIX	47103C498	JANUS HENDERSON GLOBAL SELECT FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JORNX	471023648	JANUS HENDERSON GLOBAL SELECT FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JEASX	47103Y615	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JECTX	47103Y599	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JEUIX	47103Y573	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JETNX	47103Y565	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JEGRX	47103Y532	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JESSX	47103Y557	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JETTX	47103Y540	JANUS HENDERSON GLOBAL SUSTAINABLE EQUITY FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JATNX	47103D181	JANUS HENDERSON GLOBAL TECH AND INNOVATION FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JATAX	471023358	JANUS HENDERSON GLOBAL TECHNOLOGY AND INNOVATION FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JAGCX	471023341	JANUS HENDERSON GLOBAL TECHNOLOGY AND INNOVATION FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JATIX	471023333	JANUS HENDERSON GLOBAL TECHNOLOGY AND INNOVATION FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JATSX	471023325	JANUS HENDERSON GLOBAL TECHNOLOGY AND INNOVATION FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAGTX	471023663	JANUS HENDERSON GLOBAL TECHNOLOGY AND INNOVATION FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDNAX	47103C654	JANUS HENDERSON GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JGICX	47103C647	JANUS HENDERSON GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JGINX	47103C639	JANUS HENDERSON GROWTH AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JADGX	47103C613	JANUS HENDERSON GROWTH AND INCOME FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAGIX	471023200	JANUS HENDERSON GROWTH AND INCOME FUND CLASS T	T	T	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	JHYAX	47103C597	JANUS HENDERSON HIGHYIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JDHCX	47103C589	JANUS HENDERSON HIGHYIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JHYFX	47103C571	JANUS HENDERSON HIGHYIELD FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JHYNX	47103D850	JANUS HENDERSON HIGHYIELD FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDHYX	47103C555	JANUS HENDERSON HIGHYIELD FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAHYX	471023762	JANUS HENDERSON HIGHYIELD FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JGDIX	47103C126	JANUS HENDERSON INCOME MANAGED VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HFOSX	47103X260	JANUS HENDERSON INTERNATIONAL FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JMIAX	47103A781	JANUS HENDERSON INTERNATIONAL MANAGED VOLATILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JMICX	47103A773	JANUS HENDERSON INTERNATIONAL MANAGED VOLATILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JMIIX	47103A765	JANUS HENDERSON INTERNATIONAL MANAGED VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JMISX	47103A757	JANUS HENDERSON INTERNATIONAL MANAGED VOLATILITY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JRMTX	47103A740	JANUS HENDERSON INTERNATIONAL MANAGED VOLATILITY FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HFOAX	47103X310	JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	HFOCX	47103X294	JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	HFOIX	47103X278	JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	HFOQX	47103X237	JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	HFOTX	47103X229	JANUS HENDERSON INTERNATIONAL OPPORTUNITIES FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	HFORX	47103X245	JANUS HENDERSON INTL OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JDPAX	47103C266	JANUS HENDERSON MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JMVCX	47103C258	JANUS HENDERSON MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JMVAX	47103C241	JANUS HENDERSON MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JMIVX	471023580	JANUS HENDERSON MID CAP VALUE FUND CLASS L	T	L	Y	4:00 PM	01
JANUS FUNDS	JDPNX	47103D744	JANUS HENDERSON MID CAP VALUE FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDPRX	47103C233	JANUS HENDERSON MID CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JMVIX	47103C225	JANUS HENDERSON MID CAP VALUE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JMCVX	471023598	JANUS HENDERSON MID CAP VALUE FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JMUAX	47103D546	JANUS HENDERSON MULTISECTOR INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JMUCX	47103D538	JANUS HENDERSON MULTISECTOR INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JMUIX	47103D512	JANUS HENDERSON MULTISECTOR INCOME FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JMUSX	47103D488	JANUS HENDERSON MULTISECTOR INCOME FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JMUTX	47103D470	JANUS HENDERSON MULTISECTOR INCOME FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDIAX	47103C480	JANUS HENDERSON OVERSEAS FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JIGCX	47103C472	JANUS HENDERSON OVERSEAS FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JIGFX	47103C464	JANUS HENDERSON OVERSEAS FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JDINX	47103D835	JANUS HENDERSON OVERSEAS FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JDIRX	47103C456	JANUS HENDERSON OVERSEAS FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JIGRX	47103C449	JANUS HENDERSON OVERSEAS FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAOSX	471023846	JANUS HENDERSON OVERSEAS FUND CLASS T	T	T	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	JRAAX	471023317	JANUS HENDERSON RESEARCH FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JRACX	471023291	JANUS HENDERSON RESEARCH FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JRAIX	471023283	JANUS HENDERSON RESEARCH FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JRANX	47103D827	JANUS HENDERSON RESEARCH FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JRARX	47103D173	JANUS HENDERSON RESEARCH FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JRASX	471023275	JANUS HENDERSON RESEARCH FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JAMRX	471023853	JANUS HENDERSON RESEARCH FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JSHAX	471023267	JANUS HENDERSON SHORT DURATION FLEXIBLE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JSHCX	471023259	JANUS HENDERSON SHORT DURATION FLEXIBLE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JSHIX	471023242	JANUS HENDERSON SHORT DURATION FLEXIBLE BOND FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JSHSX	471023234	JANUS HENDERSON SHORT DURATION FLEXIBLE BOND FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JASBX	471023887	JANUS HENDERSON SHORT DURATION FLEXIBLE BOND FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JDSAX	47103C217	JANUS HENDERSON SMALL CAP VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
JANUS FUNDS	JCSCX	47103C191	JANUS HENDERSON SMALL CAP VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
JANUS FUNDS	JSCOX	47103C183	JANUS HENDERSON SMALL CAP VALUE FUND CLASS I	T	I	Y	4:00 PM	01
JANUS FUNDS	JSIVX	471023564	JANUS HENDERSON SMALL CAP VALUE FUND CLASS L	T	L	Y	4:00 PM	01
JANUS FUNDS	JDSRX	47103C175	JANUS HENDERSON SMALL CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
JANUS FUNDS	JISCX	47103C167	JANUS HENDERSON SMALL CAP VALUE FUND CLASS S	T	S	Y	4:00 PM	01
JANUS FUNDS	JSCVX	471023572	JANUS HENDERSON SMALL CAP VALUE FUND CLASS T	T	T	Y	4:00 PM	01
JANUS FUNDS	JGMAX	47103C373	JANUS HENDERSON TRITON FUND CLASS A	NTF^	A	Y	4:00 PM	01
JANUS FUNDS	JGMCX	47103C365	JANUS HENDERSON TRITON FUND CLASS C	NTF^	C	Y	4:00 PM	01
JANUS FUNDS	JSMGX	47103C357	JANUS HENDERSON TRITON FUND CLASS I	T	I	Y	4:00 PM	01
JANUS FUNDS	JGMRX	47103C340	JANUS HENDERSON TRITON FUND CLASS R	T	R	N	4:00 PM	01
JANUS FUNDS	JGMIX	47103C332	JANUS HENDERSON TRITON FUND CLASS S	T	S	Y	4:00 PM	01
JANUS FUNDS	JATTX	471023549	JANUS HENDERSON TRITON FUND CLASS T	T	T	Y	4:00 PM	01
JANUS FUNDS	JRSAX	47103A732	JANUS HENDERSON U.S. MANAGED VOLATILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JRSCX	47103A724	JANUS HENDERSON U.S. MANAGED VOLATILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JRSIX	47103A716	JANUS HENDERSON U.S. MANAGED VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
JANUS FUNDS	JRSSX	47103A690	JANUS HENDERSON U.S. MANAGED VOLATILITY FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JRSTX	47103A682	JANUS HENDERSON U.S. MANAGED VOLATILITY FUND CLASS T	T	T	N	4:00 PM	01
JANUS FUNDS	JVTAX	47103E429	JANUS HENDERSON VENTURE FUND CLASS A	NTF^	A	Y	4:00 PM	01
JANUS FUNDS	JVTCX	47103E411	JANUS HENDERSON VENTURE FUND CLASS C	NTF^	C	Y	4:00 PM	01
JANUS FUNDS	JVTIX	47103E395	JANUS HENDERSON VENTURE FUND CLASS I	T	I	Y	4:00 PM	01
JANUS FUNDS	JVTNX	47103D785	JANUS HENDERSON VENTURE FUND CLASS N	T+	N	N	4:00 PM	01
JANUS FUNDS	JVTSX	47103E387	JANUS HENDERSON VENTURE FUND CLASS S	T	S	Y	4:00 PM	01
JANUS FUNDS	JAVTX	471023507	JANUS HENDERSON VENTURE FUND CLASS T	T	T	Y	4:00 PM	01
JANUS FUNDS	JVSAX	47103E239	SHARESJANUS HENDERSON SMALL-MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JANUS FUNDS	JVSCX	47103E221	SHARESJANUS HENDERSON SMALL-MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JANUS FUNDS	JVSIX	47103E197	SHARESJANUS HENDERSON SMALL-MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JANUS FUNDS	JSVSX	47103E189	SHARESJANUS HENDERSON SMALL-MID CAP VALUE FUND CLASS S	T	S	N	4:00 PM	01
JANUS FUNDS	JSVTX	47103E171	SHARESJANUS HENDERSON SMALL-MID CAP VALUE FUND CLASS T	T	T	N	4:00 PM	01
JENSEN PORTFOLIO	JGQIX	89834G844	JENSEN GLOBAL QUALITY GROWTH FUND CLASS I	T		N	4:00 PM	01
JENSEN PORTFOLIO	JGQSX	89834G851	JENSEN GLOBAL QUALITY GROWTH FUND CLASS J	T		N	4:00 PM	01
JENSEN PORTFOLIO	JGQYX	89834G869	JENSEN GLOBAL QUALITY GROWTH FUND CLASS Y	T+		N	4:00 PM	01
JENSEN PORTFOLIO	JENIX	476313309	JENSEN QUALITY GROWTH FUND CLASS I	T		N	4:00 PM	01
JENSEN PORTFOLIO	JENSX	476313101	JENSEN QUALITY GROWTH FUND CLASS J	NTF		N	4:00 PM	01
JENSEN PORTFOLIO	JENRX	476313200	JENSEN QUALITY GROWTH FUND CLASS R	T		N	4:00 PM	01
JENSEN PORTFOLIO	JNVIX	89833W519	JENSEN QUALITY VALUE FUND CLASS I	T		N	4:00 PM	01
JENSEN PORTFOLIO	JNVSX	89833W527	JENSEN QUALITY VALUE FUND CLASS J	NTF		N	4:00 PM	01
JENSEN PORTFOLIO	JNVYX	89834G877	JENSEN QUALITY VALUE FUND CLASS Y	T+		N	4:00 PM	01
JENSEN PORTFOLIO	JENYX	476313408	THE JENSEN QUALITY GROWTH FUND CLASS Y	T+		N	4:00 PM	01
JOHCM FUNDS	JOCIX	46653M740	JOHCM CREDIT INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JOHCM FUNDS	JOEIX	46653M203	JOHCM EMERGING MARKETS OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	01
JOHCM FUNDS	JOEMX	46653M104	JOHCM EMERGING MARKETS OPPORTUNITIES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
JOHCM FUNDS	JOEAX	46653M302	JOHCM EMERGING MARKETS OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
JOHCM FUNDS	JOMEX	46653M500	JOHCM EMERGING MARKETS SMALL/MID-CAP EQUITY FUND ADVISOR CLASS	T	O	N	4:00 PM	01
JOHCM FUNDS	JOMMX	46653M401	JOHCM EMERGING MARKETS SMALL/MID-CAP EQUITY FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
JOHCM FUNDS	JOFIX	46653M799	JOHCM GLOBAL INCOME BUILDER FUND ADVISOR CLASS	T	O	N	4:00 PM	01
JOHCM FUNDS	JOBIX	46653M815	JOHCM GLOBAL INCOME BUILDER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JOHCM FUNDS	JOIIX	46653M781	JOHCM GLOBAL INCOME BUILDER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
JOHCM FUNDS	JOGEX	46653M807	JOHCM GLOBAL SELECT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
JOHCM FUNDS	JOGIX	46653M708	JOHCM GLOBAL SELECT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
JOHCM FUNDS	JOHIX	46653M849	JOHCM INTERNATIONAL SELECT FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
JOHCM FUNDS	JOHAX	46653M823	JOHCM INTERNATIONAL SELECT FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
JOHCM FUNDS	REGIX	46653M716	JOHCM REGAN GLOBAL EQUITY IMPACT SOLUTIONS FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
JOHCM FUNDS	TSWEX	46653M641	TSW LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSFBX	47803P484	JHF SEAPORT LONG/SHORT FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSFTX	47803P385	JHF SEAPORT LONG/SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSFDX	47803P476	JHF SEAPORT LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCUAX	47803M176	JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCUCX	41015K284	JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCUIX	47803M168	JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JAAAX	47804A189	JOHN HANCOCK ALTERNATIVE ALLOCATION PORT FD CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JAAIX	47804A122	JOHN HANCOCK ALTERNATIVE ALLOCATION PORT FD CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JAACX	47804B187	JOHN HANCOCK ALTERNATIVE ASSET ALLOCATION FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	SVBAX	47803P104	JOHN HANCOCK BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	SVBCX	47803P708	JOHN HANCOCK BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	SVBIX	47803P807	JOHN HANCOCK BALANCED FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JBGAX	47805A717	JOHN HANCOCK BLUE CHIP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JBGCX	47805A386	JOHN HANCOCK BLUE CHIP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHNBX	410223101	JOHN HANCOCK BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHCBX	410223200	JOHN HANCOCK BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBIX	410223408	JOHN HANCOCK BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	TCCAX	41014R306	JOHN HANCOCK CA TAX FREE INCOME FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCAFX	47805M109	JOHN HANCOCK CALIFORNIA TAX FREE INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	TACAX	41014R108	JOHN HANCOCK CALIFORNIA TAX-FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	PZFBX	409902780	JOHN HANCOCK CLASSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCVCX	409902764	JOHN HANCOCK CLASSIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCVIX	409902756	JOHN HANCOCK CLASSIC VALUE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVLAX	47803U673	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVLCX	47803U657	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVLIX	47803U640	JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDIBX	47803P328	JOHN HANCOCK DISCIPLINED VALUE INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDICX	47803P310	JOHN HANCOCK DISCIPLINED VALUE INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDVIX	47803P294	JOHN HANCOCK DISCIPLINED VALUE INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVMCX	47803U392	JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVMIX	47803W406	JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JVMAX	47803W505	JOHN HANCOCK DISCIPLINED VALUE MID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDJAX	47803N539	JOHN HANCOCK DIVERSIFIED MACRO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDJCX	47803N521	JOHN HANCOCK DIVERSIFIED MACRO FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JDJIX	47803N513	JOHN HANCOCK DIVERSIFIED MACRO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMKAX	47804B708	JOHN HANCOCK EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMKCX	41015K276	JOHN HANCOCK EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMKIX	47804B807	JOHN HANCOCK EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEMQX	47803P211	JOHN HANCOCK EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEMZX	47803P195	JOHN HANCOCK EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEMMX	47803P187	JOHN HANCOCK EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEVAX	47804B211	JOHN HANCOCK EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEVCX	47805A816	JOHN HANCOCK EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEVIX	47804B195	JOHN HANCOCK EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHEIX	47805A691	JOHN HANCOCK EQUITY INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHERX	47805A360	JOHN HANCOCK EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JBOAX	478030505	JOHN HANCOCK ESG CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JBOIX	478030604	JOHN HANCOCK ESG CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTQAX	47803N729	JOHN HANCOCK ESG INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTQIX	47803N711	JOHN HANCOCK ESG INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	JHJAX	47803N810	JOHN HANCOCK ESG LARGE CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHJCX	47803N794	JOHN HANCOCK ESG LARGE CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHJIX	47803N786	JOHN HANCOCK ESG LARGE CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIPAX	47804A155	JOHN HANCOCK FDS STRATEGIC INCOME OPPORTUNITIES FD CL A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIPCX	47804A148	JOHN HANCOCK FDS STRATEGIC INCOME OPPORTUNITIES FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	FIDCX	409905874	JOHN HANCOCK FINANCIAL INDUSTRIES FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	FIDAX	409905502	JOHN HANCOCK FINANCIAL INDUSTRIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFIFX	409905783	JOHN HANCOCK FINANCIAL INDUSTRIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFIAX	47804A734	JOHN HANCOCK FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFIGX	47804A718	JOHN HANCOCK FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFIIX	47804A692	JOHN HANCOCK FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHHBX	41014P839	JOHN HANCOCK FOCUSED HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHYCX	41014P813	JOHN HANCOCK FOCUSED HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JYHIX	41014P763	JOHN HANCOCK FOCUSED HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFCAX	41015K755	JOHN HANCOCK FUNDAMENTAL ALL CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFCCX	47805A782	JOHN HANCOCK FUNDAMENTAL ALL CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFCIX	41015K748	JOHN HANCOCK FUNDAMENTAL ALL CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFGAX	47804M274	JOHN HANCOCK FUNDAMENTAL GLOBAL FRANCHISE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JFGIX	47804M266	JOHN HANCOCK FUNDAMENTAL GLOBAL FRANCHISE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHLVX	41013P301	JOHN HANCOCK FUNDAMENTAL LARGE CAP CORE FUND CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLVIX	41013P608	JOHN HANCOCK FUNDAMENTAL LARGE CAP CORE FUND CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JISAX	47804U102	JOHN HANCOCK FUNDS II INTERNATIONAL SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSCIX	47804U201	JOHN HANCOCK FUNDS II INTERNATIONAL SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABZX	47803N364	JOHN HANCOCK GLOBAL ENVIRONMENTAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABYX	47803N356	JOHN HANCOCK GLOBAL ENVIRONMENTAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABVX	47803N349	JOHN HANCOCK GLOBAL ENVIRONMENTAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHGEX	47804M134	JOHN HANCOCK GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGECX	47805A758	JOHN HANCOCK GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGEFX	47804M126	JOHN HANCOCK GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGYAX	47803U103	JOHN HANCOCK GLOBAL SHAREHOLDER YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGYCX	47803U301	JOHN HANCOCK GLOBAL SHAREHOLDER YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGYIX	47803U509	JOHN HANCOCK GLOBAL SHAREHOLDER YIELD FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTKAX	47805W107	JOHN HANCOCK GLOBAL THEMATIC OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTKCX	47805W206	JOHN HANCOCK GLOBAL THEMATIC OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTKIX	47805W305	JOHN HANCOCK GLOBAL THEMATIC OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHGIX	41014P854	JOHN HANCOCK GOVERNMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JGIFX	41014P540	JOHN HANCOCK GOVERNMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	TCGIX	41014P797	JOHN HANCOCK GOV'T INCOME FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHTFX	41013Y302	JOHN HANCOCK HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	JCTFX	41013Y500	JOHN HANCOCK HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHYMX	41013Y880	JOHN HANCOCK HIGH YIELD MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHFIX	410227102	JOHN HANCOCK INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSTCX	410227888	JOHN HANCOCK INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSTIX	410227839	JOHN HANCOCK INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEEBX	47803P443	JOHN HANCOCK INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEEFX	47803P393	JOHN HANCOCK INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JEEIX	47803P435	JOHN HANCOCK INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIJAX	47803N471	JOHN HANCOCK INTERNATIONAL DYNAMIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIJCX	47803N463	JOHN HANCOCK INTERNATIONAL DYNAMIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIJIX	47803N455	JOHN HANCOCK INTERNATIONAL DYNAMIC GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	GOIGX	47803T619	JOHN HANCOCK INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
JOHN HANCOCK FUNDS	GONCX	47803T585	JOHN HANCOCK INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
JOHN HANCOCK FUNDS	GOGIX	47803T627	JOHN HANCOCK INTERNATIONAL GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
JOHN HANCOCK FUNDS	JISDX	47805A790	JOHN HANCOCK INTERNATIONAL SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	TAUSX	41014P102	JOHN HANCOCK INVESTMENT GRADE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	TCUSX	41014P789	JOHN HANCOCK INVESTMENT GRADE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	TIUSX	41014P771	JOHN HANCOCK INVESTMENT GRADE BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	TAGRX	41013P103	JOHN HANCOCK LARGE-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMAEX	410227763	JOHN HANCOCK MANAGED ACCT SHRS SECURITIZED DEBT PORT SMA CLASS	T+	S	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMABX	410227771	JOHN HANCOCK MGD ACCT INVESTMENT GRADE CORP BOND PORT SMA CLASS	T+	S	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMADX	410227755	JOHN HANCOCK MGD ACCT SHRS NON-INVESTMENT GRADE CORP BD PORT SM	T+	S	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACJX	47803N315	JOHN HANCOCK MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACLX	47803N299	JOHN HANCOCK MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACBX	47803N281	JOHN HANCOCK MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLIAX	47803M549	JOHN HANCOCK MULTI MANAGER 2040 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCLMX	47803V234	JOHN HANCOCK MULTI MANAGER LIFESTYLE MODERATE PORTFOLIO FUND CLA	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAAX	47804M886	JOHN HANCOCK MULTI-ASSET ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHACX	47804M217	JOHN HANCOCK MULTI-ASSET ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAIX	47804M878	JOHN HANCOCK MULTI-ASSET ABSOLUTE RETURN FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIAFX	47805A865	JOHN HANCOCK MULTI-ASSET HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIAGX	47805A857	JOHN HANCOCK MULTI-ASSET HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIAIX	47805A840	JOHN HANCOCK MULTI-ASSET HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHANX	47806K102	JOHN HANCOCK MULTI-INDEX 2010 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAOX	47806K201	JOHN HANCOCK MULTI-INDEX 2015 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAPX	47806K300	JOHN HANCOCK MULTI-INDEX 2020 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAUX	47806K409	JOHN HANCOCK MULTI-INDEX 2025 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACZX	47806K839	JOHN HANCOCK MULTI-INDEX 2025 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAVX	47806K508	JOHN HANCOCK MULTI-INDEX 2030 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	JACYX	47806K821	JOHN HANCOCK MULTI-INDEX 2030 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHAYX	47806K607	JOHN HANCOCK MULTI-INDEX 2035 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACWX	47806K813	JOHN HANCOCK MULTI-INDEX 2035 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBAX	47806K706	JOHN HANCOCK MULTI-INDEX 2040 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACVX	47806K797	JOHN HANCOCK MULTI-INDEX 2040 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBEX	47806K805	JOHN HANCOCK MULTI-INDEX 2045 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACUX	47806K789	JOHN HANCOCK MULTI-INDEX 2045 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBFX	47806K888	JOHN HANCOCK MULTI-INDEX 2050 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACQX	47806K771	JOHN HANCOCK MULTI-INDEX 2050 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBJX	47806K870	JOHN HANCOCK MULTI-INDEX 2055 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACIX	47806K763	JOHN HANCOCK MULTI-INDEX 2055 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBKX	47806K862	JOHN HANCOCK MULTI-INDEX 2060 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTBLX	47806K755	JOHN HANCOCK MULTI-INDEX 2060 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHBLX	47806K854	JOHN HANCOCK MULTI-INDEX 2065 LIFETIME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JADAX	47806K748	JOHN HANCOCK MULTI-INDEX 2065 PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JACKX	47806K847	JOHN HANCOCK MULTI-INDEX INCOME PRESERVATION FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABQX	47804U490	JOHN HANCOCK MULTI-INDEX LIFESTYLE AGGRESSIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABMX	47804U466	JOHN HANCOCK MULTI-INDEX LIFESTYLE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABJX	47804U433	JOHN HANCOCK MULTI-INDEX LIFESTYLE CONSERVATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABPX	47804U391	JOHN HANCOCK MULTI-INDEX LIFESTYLE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABKX	47804U367	JOHN HANCOCK MULTI-INDEX LIFESTYLE MODERATE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLAAX	41015E635	JOHN HANCOCK MULTIMANAGER 2010 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRLX	47805A527	JOHN HANCOCK MULTIMANAGER 2010 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLBAX	41015E510	JOHN HANCOCK MULTIMANAGER 2015 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHREX	47805A519	JOHN HANCOCK MULTIMANAGER 2015 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLDAX	41015E387	JOHN HANCOCK MULTIMANAGER 2020 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRVX	47805A493	JOHN HANCOCK MULTIMANAGER 2020 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLEAX	41015E262	JOHN HANCOCK MULTIMANAGER 2025 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRNX	47805A485	JOHN HANCOCK MULTIMANAGER 2025 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLFAX	47803M788	JOHN HANCOCK MULTIMANAGER 2030 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRGX	47805A477	JOHN HANCOCK MULTIMANAGER 2030 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLHAX	47803M663	JOHN HANCOCK MULTIMANAGER 2035 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRMX	47805A469	JOHN HANCOCK MULTIMANAGER 2035 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRDX	47805A451	JOHN HANCOCK MULTIMANAGER 2040 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLJAX	47803M424	JOHN HANCOCK MULTIMANAGER 2045 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHROX	47805A444	JOHN HANCOCK MULTIMANAGER 2045 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLKAX	47804M787	JOHN HANCOCK MULTIMANAGER 2050 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHRPX	47805A436	JOHN HANCOCK MULTIMANAGER 2050 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JLKLX	41015K474	JOHN HANCOCK MULTIMANAGER 2055 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	JHRTX	47805A428	JOHN HANCOCK MULTIMANAGER 2055 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JJERX	47805T203	JOHN HANCOCK MULTIMANAGER 2060 LIFETIME PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JMENX	47805T302	JOHN HANCOCK MULTIMANAGER 2060 LIFETIME PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JAAXX	47805T336	JOHN HANCOCK MULTIMANAGER 2065 LIFETIME PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABSX	47805T328	JOHN HANCOCK MULTIMANAGER 2065 LIFETIME PORTFOLIO CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCLCX	47803V382	JOHN HANCOCK MULTIMANAGER LIFE STYLE CONSERV PORTFOLIO FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JALAX	47803V572	JOHN HANCOCK MULTIMANAGER LIFESTYLE AGGRESSIVE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCLAX	47803V556	JOHN HANCOCK MULTIMANAGER LIFESTYLE AGGRESSIVE PORTFOLIO FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTAIX	47805A352	JOHN HANCOCK MULTIMANAGER LIFESTYLE AGGRESSIVE PORTFOLIO FD CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JALBX	47803V481	JOHN HANCOCK MULTIMANAGER LIFESTYLE BALANCED PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTBIX	47805A337	JOHN HANCOCK MULTIMANAGER LIFESTYLE BALANCED PORTFOLIO FD CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCLBX	47803V465	JOHN HANCOCK MULTIMANAGER LIFESTYLE BALANCED PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTOIX	47805A311	JOHN HANCOCK MULTIMANAGER LIFESTYLE CONSERV. PORTFOLIO FD CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JALRX	47803V416	JOHN HANCOCK MULTIMANAGER LIFESTYLE CONSERVATIVE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JALGX	47803V333	JOHN HANCOCK MULTIMANAGER LIFESTYLE GROWTH PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCLGX	47803V317	JOHN HANCOCK MULTIMANAGER LIFESTYLE GROWTH PORTFOLIO FD CL C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTGIX	47805A345	JOHN HANCOCK MULTIMANAGER LIFESTYLE GROWTH PORTFOLIO FD CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JALMX	47803V259	JOHN HANCOCK MULTIMANAGER LIFESTYLE MODERATE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTMIX	47805A329	JOHN HANCOCK MULTIMANAGER LIFESTYLE MODERATE PORTFOLIO FD CL I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	TAMBX	41013Y104	JOHN HANCOCK MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	TBMBX	41013Y609	JOHN HANCOCK MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JTBDX	41013Y872	JOHN HANCOCK MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JASOX	47805A105	JOHN HANCOCK NEW OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JBSOX	47805A204	JOHN HANCOCK NEW OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JHSOX	47805A303	JOHN HANCOCK NEW OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABWX	47805T195	JOHN HANCOCK OPPORTUNISTIC FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABOX	47805T187	JOHN HANCOCK OPPORTUNISTIC FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABTX	47805T179	JOHN HANCOCK OPPORTUNISTIC FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JYEBX	47805T252	JOHN HANCOCK REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABFX	47805T245	JOHN HANCOCK REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JABGX	47805T237	JOHN HANCOCK REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	FRBAX	409905106	JOHN HANCOCK REGIONAL BANK FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	FRBCX	409905866	JOHN HANCOCK REGIONAL BANK FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JRBFX	409905791	JOHN HANCOCK REGIONAL BANK FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSNAX	478030836	JOHN HANCOCK SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSNCX	478030828	JOHN HANCOCK SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSNIX	478030810	JOHN HANCOCK SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JCCAX	47803P575	JOHN HANCOCK SMALL CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JOHN HANCOCK FUNDS	JCCIX	47803P567	JOHN HANCOCK SMALL CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSJAX	47805T468	JOHN HANCOCK SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSJCX	47805T450	JOHN HANCOCK SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSJIX	47805T443	JOHN HANCOCK SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSCAX	47804U334	JOHN HANCOCK SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSCBX	47804U326	JOHN HANCOCK SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	JIPIX	47804A130	JOHN HANCOCK STRATEGIES INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	USLCX	409902814	JOHN HANCOCK U S GLOBAL LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	USLIX	409902798	JOHN HANCOCK U S GLOBAL LEADERS FUND CLASS I	T	I	N	4:00 PM	01
JOHN HANCOCK FUNDS	USGLX	409902830	JOHN HANCOCK U.S. GLOBAL LEADERS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSGAX	47803U384	JOHN HANCOCK U.S. GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSGCX	47803W752	JOHN HANCOCK U.S. QUALITY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JOHN HANCOCK FUNDS	JSGIX	47803U376	JOHN HANCOCK U.S. QUALITY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JOHNSON FUNDS	JENHX	479164881	JOHNSON ENHANCED RETURN FUND	T		N	4:00 PM	01
JOHNSON FUNDS	JIMFX	479164790	JOHNSON INSTITUTIONAL CORE BOND FUND CLASS F	T	F	N	4:00 PM	01
JOHNSON FUNDS	JIBFX	479164808	JOHNSON INSTITUTIONAL CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHNSON FUNDS	JIMEX	479164824	JOHNSON INSTITUTIONAL INTERMEDIATE BOND FUND CLASS F	T	F	N	4:00 PM	01
JOHNSON FUNDS	JIBEX	479164709	JOHNSON INSTITUTIONAL INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01
JOHNSON FUNDS	JIMDX	479164816	JOHNSON INSTITUTIONAL SHORT DURATION BOND FUND CLASS F	T	F	N	4:00 PM	01
JOHNSON FUNDS	JIBDX	479164600	JOHNSON INSTITUTIONAL SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JCBAX	4812A0854	JP MORGAN CALIFORNIA TAX-FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	PGBOX	4812C0357	JP MORGAN CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JCBZX	4812C2486	JP MORGAN CORE BOND FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	ONIAx	4812C0811	JP MORGAN CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JCPZX	4812C2478	JP MORGAN CORE PLUS BOND FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	CBRAX	46637K570	JP MORGAN CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JDVAX	4812A1795	JP MORGAN DIVERSIFIED FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JEDAX	4812A3684	JP MORGAN EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JFAMX	4812A0607	JP MORGAN EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JECAX	46637K836	JP MORGAN EMERGING MARKETS STRATEGIC DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JECZX	46637K794	JP MORGAN EMERGING MARKETS STRATEGIC DEBT FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JPFAX	48121L569	JP MORGAN EQUITY FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OIEIX	4812C0464	JP MORGAN EQUITY INCOME FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	OGEAX	4812C1520	JP MORGAN EQUITY INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VEUAX	4812A0649	JP MORGAN EUROPE DYNAMIC FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JPHAX	48121L536	JP MORGAN FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OGGAX	4812C0399	JP MORGAN GOVERNMENT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VHIAx	62826M483	JP MORGAN GROWTH ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JGTTX	46640W306	JP MORGAN GROWTH ADVANTAGE FUND CLASS R3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	JHQAX	46637K315	JP MORGAN HEDGED EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	OHYAX	4812C0779	JP MORGAN HIGH YIELD FUND CLASS A	NTF	A	N	4:00 PM	01
JPMORGAN FUNDS	JNBAX	4812A3288	JP MORGAN INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JIMAX	48121A589	JP MORGAN INFLATION MANAGED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JITAX	4812A0417	JP MORGAN INTERMEDIATE TAX- FREE BOND FUND CL A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JSEAX	4812A0508	JP MORGAN INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OEIAX	4812C1843	JP MORGAN INTERNATIONAL RESEARCH ENHANCED EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JFEAX	4812A0540	JP MORGAN INTL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OGIAX	4812C1884	JP MORGAN INVESTOR BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OICAX	4812C2403	JP MORGAN INVESTOR CONSERVATIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	ONGIX	4812C2858	JP MORGAN INVESTOR GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	ONGAX	4812C2809	JP MORGAN INVESTOR GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OLVAX	4812C1561	JP MORGAN LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OLGAX	4812C0506	JP MORGAN LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	ONUAX	4812C1413	JP MORGAN LIMITED DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JMGLX	46641U150	JP MORGAN MANAGED INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OMEAX	4812C1603	JP MORGAN MARKET EXPANSION ENHANCED INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JCMAX	4812A2660	JP MORGAN MID CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OSGIX	4812C1686	JP MORGAN MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JAMCX	339128308	JP MORGAN MID CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
JPMORGAN FUNDS	JMVZX	48121A209	JP MORGAN MID CAP VALUE FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	OMBAX	4812C1207	JP MORGAN MORTGAGE- BACKED SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VANTX	4812A0755	JP MORGAN NEW YORK TAX FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	URTAX	904504560	JP MORGAN REALTY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JMNAX	4812A1670	JP MORGAN RESEARCH MARKET NEUTRAL FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OGLVX	4812C1306	JP MORGAN SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JSDHX	46637K489	JP MORGAN SHORT DURATION CORE PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VSCOX	4812A1498	JP MORGAN SMALL CAP BLEND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VSEAX	4812A1365	JP MORGAN SMALL CAP EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	PSOAX	4812C1769	JP MORGAN SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	PGSGX	4812C0548	JP MORGAN SMALL-CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JTTAX	4812A3502	JP MORGAN SMART RETIREMENT 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JNSAX	4812A3197	JP MORGAN SMART RETIREMENT 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JSMAX	4812A3882	JP MORGAN SMART RETIREMENT 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	SRJAX	4812A3155	JP MORGAN SMART RETIREMENT 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	SMTAX	4812A3841	JP MORGAN SMART RETIREMENT 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JSAAX	4812A4104	JP MORGAN SMART RETIREMENT 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JTSAX	4812A4500	JP MORGAN SMART RETIREMENT 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	JSRAX	4812A2199	JP MORGAN SMART RETIREMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JFFRX	46636U819	JP MORGAN SMARTRETIREMENT 2055 CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JFFAX	46636U843	JP MORGAN SMARTRETIREMENT 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JSOAX	4812A4385	JP MORGAN STRATEGIC INCOME OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JPEAX	48121L593	JP MORGAN TAX AWARE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	PMBAX	4812C0431	JP MORGAN TAX-FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JMTAX	4812A4427	JP MORGAN TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JUEAX	4812A1183	JP MORGAN U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JLCAX	4812A2413	JP MORGAN U.S. LARGE CAP CORE PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JDEAX	4812A1845	JP MORGAN U.S. RESEARCH ENHANCED EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JTUAX	4812A4641	JP MORGAN U.S. SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JTUCX	4812A4625	JP MORGAN U.S. SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	UBVTX	904504446	JP MORGAN UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JXBAX	48121A779	JPMORGAN ACCESS BALANCED FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JXBSX	48121A753	JPMORGAN ACCESS BALANCED FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	JXGAX	48121A811	JPMORGAN ACCESS GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
JPMORGAN FUNDS	JXGSX	48121A787	JPMORGAN ACCESS GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	OMBIX	4812C1215	JPMORGAN -BACKED SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JCBCX	4812A0151	JPMORGAN CALIFORNIA TAX-FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPICX	4812A0870	JPMORGAN CALIFORNIA TAX-FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OBOCX	4812C0373	JPMORGAN CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	WOBDX	4812C0381	JPMORGAN CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JCBQX	4812C2189	JPMORGAN CORE BOND FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	OBDCX	4812C0837	JPMORGAN CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLIPX	4812C0845	JPMORGAN CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JCPQX	4812C2163	JPMORGAN CORE PLUS BOND FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	CBRCX	46637K562	JPMORGAN CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	CBFSX	46637K554	JPMORGAN CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JDVCX	4812A1779	JPMORGAN DIVERSIFIED FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JDVSX	4812A1829	JPMORGAN DIVERSIFIED FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPDVX	4812A1811	JPMORGAN DIVERSIFIED FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JEDCX	4812A3676	JPMORGAN EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JEMDX	4812A0748	JPMORGAN EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JEMSX	4812A0623	JPMORGAN EMERGING MARKETS EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	JMIEX	4812A0631	JPMORGAN EMERGING MARKETS EQUITY FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JHURX	48123X868	JPMORGAN EMERGING MARKETS EQUITY FUND CLASS R3	T	J	Y	4:00 PM	01
JPMORGAN FUNDS	JHUKX	48123X850	JPMORGAN EMERGING MARKETS EQUITY FUND CLASS R4	T	K	Y	4:00 PM	01
JPMORGAN FUNDS	JEMCX	4812A2256	JPMORGAN EMERGING MARKETS FUND CLASS C	NTF^	C	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	EMREX	46645V634	JPMORGAN EMERGING MARKETS RESEARCH ENHANCED EQUITY FUND CLASS	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JECCX	46637K828	JPMORGAN EMERGING MARKETS STRATEGIC DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JECSX	46637K810	JPMORGAN EMERGING MARKETS STRATEGIC DEBT FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPFCX	48121L551	JPMORGAN EQUITY FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPFSX	48121L544	JPMORGAN EQUITY FOCUS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OINCX	4812C0480	JPMORGAN EQUITY INCOME FUND CLASS C	NTF^	C	Y	4:00 PM	01
JPMORGAN FUNDS	HLIEX	4812C0498	JPMORGAN EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OIEQX	4812C2114	JPMORGAN EQUITY INCOME FUND CLASS R4	T	K	Y	4:00 PM	01
JPMORGAN FUNDS	OEICX	4812C1546	JPMORGAN EQUITY INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLEIX	4812C1553	JPMORGAN EQUITY INDEX FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JEPAX	46645V691	JPMORGAN EQUITY PREMIUM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JEPCX	46645V683	JPMORGAN EQUITY PREMIUM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JEPIX	46645V675	JPMORGAN EQUITY PREMIUM INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	VEUCX	4812A0664	JPMORGAN EUROPE DYNAMIC FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JFESX	4812A0672	JPMORGAN EUROPE DYNAMIC FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JFEIX	4812A0680	JPMORGAN EUROPE DYNAMIC FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JPHCX	48121L528	JPMORGAN FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPHSX	48121L510	JPMORGAN FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	GAOAX	48121L734	JPMORGAN GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	GAOCX	48121L726	JPMORGAN GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	GAOSX	48121L692	JPMORGAN GLOBAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	GAONX	48121L718	JPMORGAN GLOBAL ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	GAOTX	48129C876	JPMORGAN GLOBAL ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	GAOFX	48129C868	JPMORGAN GLOBAL ALLOCATION FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	GBOAX	46637K711	JPMORGAN GLOBAL BOND OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	GBOCX	46637K695	JPMORGAN GLOBAL BOND OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	GBOSX	46637K687	JPMORGAN GLOBAL BOND OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OGVCX	4812C0415	JPMORGAN GOVERNMENT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLGAX	4812C0423	JPMORGAN GOVERNMENT BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OGGQX	4812C2130	JPMORGAN GOVERNMENT BOND FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JGACX	4812A3692	JPMORGAN GROWTH ADVANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JGASX	4812A3718	JPMORGAN GROWTH ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JHDAX	46645V394	JPMORGAN HEDGED EQUITY 2 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JHDCX	46645V386	JPMORGAN HEDGED EQUITY 2 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JHQDX	46645V378	JPMORGAN HEDGED EQUITY 2 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JHTAX	46645V345	JPMORGAN HEDGED EQUITY 3 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JHTCX	46645V337	JPMORGAN HEDGED EQUITY 3 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JHQTX	46645V329	JPMORGAN HEDGED EQUITY 3 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JHQCX	46637K299	JPMORGAN HEDGED EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	JHEQX	46637K281	JPMORGAN HEDGED EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	OGHCX	4812C0795	JPMORGAN HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	OHYFX	4812C0803	JPMORGAN HIGH YIELD FUND CLASS I	NTF	I	N	4:00 PM	01
JPMORGAN FUNDS	JRJYX	4812CA710	JPMORGAN HIGH YIELD FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JRJKX	4812CA694	JPMORGAN HIGH YIELD FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JTIAX	4812A4799	JPMORGAN HIGH YIELD MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JTICX	4812A4781	JPMORGAN HIGH YIELD MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JTISX	4812A4815	JPMORGAN HIGH YIELD MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JNBCX	4812A3270	JPMORGAN INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JNBSX	4812A3254	JPMORGAN INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JGIAX	46637K240	JPMORGAN INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JGCGX	46637K232	JPMORGAN INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JMSIX	46637K224	JPMORGAN INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JIMCX	48121A571	JPMORGAN INFLATION MANAGED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JRBSX	48121A563	JPMORGAN INFLATION MANAGED BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JITCX	4812A0433	JPMORGAN INTERMEDIATE TAX- FREE BOND FUND CL C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JITIX	4812A0458	JPMORGAN INTERMEDIATE TAX- FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JIECX	4812A0524	JPMORGAN INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	IUAEX	48121L189	JPMORGAN INTERNATIONAL FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	IUCEX	48121L171	JPMORGAN INTERNATIONAL FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	IUESX	48121L163	JPMORGAN INTERNATIONAL FOCUS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	IUERX	48121L155	JPMORGAN INTERNATIONAL FOCUS FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JIHAX	46645V618	JPMORGAN INTERNATIONAL HEDGED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JIHCX	46645V592	JPMORGAN INTERNATIONAL HEDGED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JIHIX	46645V584	JPMORGAN INTERNATIONAL HEDGED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OIEAX	4812C1876	JPMORGAN INTERNATIONAL RESEARCH ENHANCED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JIUCX	4812A3668	JPMORGAN INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JIESX	4812A0565	JPMORGAN INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	VSIEX	4812A0532	JPMORGAN INT'L EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JNUSX	4812A0573	JPMORGAN INTL VALUE FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	OGBCX	4812C2205	JPMORGAN INVESTOR BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	OIBFX	4812C2304	JPMORGAN INVESTOR BALANCED FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OCGCX	4812C2601	JPMORGAN INVESTOR CONSERVATIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	ONCFX	4812C2700	JPMORGAN INVESTOR CONSERVATIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	ONECX	4812C2833	JPMORGAN INVESTOR GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	ONGFX	4812C2825	JPMORGAN INVESTOR GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OGGCX	4812C2874	JPMORGAN INVESTOR GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	ONIFX	4812C2866	JPMORGAN INVESTORS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JLGQX	4812CA207	JPMORGAN LARGE CAP GROWTH FUND CLASS R4	T	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	OLVCX	4812C1587	JPMORGAN LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	OLGCX	4812C0522	JPMORGAN LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	SEEGX	4812C0530	JPMORGAN LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JLGZX	4812C2544	JPMORGAN LARGE-CAP GROWTH FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	HLQVX	4812C1595	JPMORGAN LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OGUCX	4812C1439	JPMORGAN LIMITED DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLGFX	4812C1447	JPMORGAN LIMITED DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPMOX	46645V550	JPMORGAN MACRO OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JPMMX	46645V543	JPMORGAN MACRO OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPMIX	46645V535	JPMORGAN MACRO OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JMGIX	48121A415	JPMORGAN MANAGED INCOME FUND CLASS L	T	L	N	4:00 PM	01
JPMORGAN FUNDS	OME CX	4812C1629	JPMORGAN MARKET EXPANSION ENHANCED INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	PGMIX	4812C1637	JPMORGAN MARKET EXPANSION ENHANCED INDEX FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JMCCX	48121A738	JPMORGAN MID CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	OMGCX	4812C1702	JPMORGAN MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JMGQX	4812CA603	JPMORGAN MID CAP GROWTH FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JCMVX	339128506	JPMORGAN MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JMVSX	339183105	JPMORGAN MID CAP VALUE FUND CLASS I	NTF	I	N	4:00 PM	01
JPMORGAN FUNDS	FLMVX	339128100	JPMORGAN MID CAP VALUE FUND CLASS L	T	L	N	4:00 PM	01
JPMORGAN FUNDS	JMVQX	46647B206	JPMORGAN MID CAP VALUE FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	VSNGX	4812A1266	JPMORGAN MID-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	HLGEX	4812C1710	JPMORGAN MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OBBCX	4812C2254	JPMORGAN MORTGAGE BACKED SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JCNTX	4812A0771	JPMORGAN NEW YORK TAX FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JNYIX	4812A0797	JPMORGAN NEW YORK TAX FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JOELX	46641U606	JPMORGAN OPPORTUNISTIC EQUITY LONG/SHORT FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JOECX	46641U705	JPMORGAN OPPORTUNISTIC EQUITY LONG/SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JOEQX	46641U804	JPMORGAN OPPORTUNISTIC EQUITY LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	URTCX	904504776	JPMORGAN REALTY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	URTDX	904504412	JPMORGAN REALTY INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	URTLX	904504503	JPMORGAN REALTY INCOME FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JMNCX	48121A720	JPMORGAN RESEARCH MARKET NEUTRAL FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JMNSX	48121A712	JPMORGAN RESEARCH MARKET NEUTRAL FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OSTCX	4812C1322	JPMORGAN SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLLVX	4812C1330	JPMORGAN SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSDCX	46637K471	JPMORGAN SHORT DURATION CORE PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSDSX	46637K455	JPMORGAN SHORT DURATION CORE PLUS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	STM CX	4812C1363	JPMORGAN SHORT- INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JIMIX	4812C2395	JPMORGAN SHORT- INTERMEDIATE MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	OSTAX	4812C1348	JPMORGAN SHORT INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VSCCX	4812A1472	JPMORGAN SMALL CAP BLEND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JDSCX	4812A1514	JPMORGAN SMALL CAP BLEND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSECX	4812A2405	JPMORGAN SMALL CAP EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
JPMORGAN FUNDS	JSEQX	48127B417	JPMORGAN SMALL CAP EQUITY FUND CLASS R4	T	K	Y	4:00 PM	01
JPMORGAN FUNDS	JISGX	4812C0290	JPMORGAN SMALL CAP GROWTH FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JGRQX	48123X801	JPMORGAN SMALL CAP GROWTH FUND CLASS R3	T	J	Y	4:00 PM	01
JPMORGAN FUNDS	JGLYX	48123X884	JPMORGAN SMALL CAP GROWTH FUND CLASS R4	T	K	Y	4:00 PM	01
JPMORGAN FUNDS	OSVCX	4812C1785	JPMORGAN SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	PSOPX	4812C1793	JPMORGAN SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSVQX	4812CA884	JPMORGAN SMALL CAP VALUE FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	VSEIX	4812A1373	JPMORGAN SMALL-CAP EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	OSGCX	4812C0563	JPMORGAN SMALL-CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
JPMORGAN FUNDS	OGGFX	4812C0571	JPMORGAN SMALL-CAP GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	JSGZX	4812C2528	JPMORGAN SMALL-CAP GROWTH FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	VSSBX	48127B805	JPMORGAN SMALL-CAP SUSTAINABLE LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VSSRX	48127B888	JPMORGAN SMALL-CAP SUSTAINABLE LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	VSSWX	48127B391	JPMORGAN SMALL-CAP SUSTAINABLE LEADERS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JGAUX	48123X405	JPMORGAN SMALL-CAP SUSTAINABLE LEADERS FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JGREX	48123X504	JPMORGAN SMALL-CAP SUSTAINABLE LEADERS FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JTTCX	4812A3601	JPMORGAN SMART RETIREMENT 2020 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JTTSX	4812A3700	JPMORGAN SMART RETIREMENT 2020 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JNSCX	4812A3189	JPMORGAN SMART RETIREMENT 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSMCX	4812A3874	JPMORGAN SMART RETIREMENT 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSMSX	4812A3866	JPMORGAN SMART RETIREMENT 2030 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	SMTCX	4812A3833	JPMORGAN SMART RETIREMENT 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	SMTSX	4812A3825	JPMORGAN SMART RETIREMENT 2040 FUNS CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JTSCX	4812A4609	JPMORGAN SMART RETIREMENT 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSRCX	4812A2181	JPMORGAN SMART RETIREMENT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSRSX	4812A2173	JPMORGAN SMART RETIREMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JTQDX	46645V832	JPMORGAN SMART SPENDING 2050 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JTQFX	46645V816	JPMORGAN SMART SPENDING 2050 FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JTQGX	46645V790	JPMORGAN SMART SPENDING 2050 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JTTQX	48127B763	JPMORGAN SMARTRETIREMENT 2020 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JNSSX	4812A3171	JPMORGAN SMARTRETIREMENT 2025 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JNSQX	48127B748	JPMORGAN SMARTRETIREMENT 2025 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JSMQX	48127B722	JPMORGAN SMARTRETIREMENT 2030 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	SRJCX	4812A3148	JPMORGAN SMARTRETIREMENT 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	SRJSX	4812A3130	JPMORGAN SMARTRETIREMENT 2035 FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	SRJQX	48127B698	JPMORGAN SMARTRETIREMENT 2035 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	SMTQX	48127B672	JPMORGAN SMARTRETIREMENT 2040 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JSACX	4812A4203	JPMORGAN SMARTRETIREMENT 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSASX	4812A4302	JPMORGAN SMARTRETIREMENT 2045 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSAQX	48127B656	JPMORGAN SMARTRETIREMENT 2045 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JTSSX	4812A4708	JPMORGAN SMARTRETIREMENT 2050 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JTSQX	48127B631	JPMORGAN SMARTRETIREMENT 2050 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JFFCX	46636U835	JPMORGAN SMARTRETIREMENT 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JFFSX	46636U827	JPMORGAN SMARTRETIREMENT 2055 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JFFQX	48127B615	JPMORGAN SMARTRETIREMENT 2055 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JAKAX	46641U473	JPMORGAN SMARTRETIREMENT 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JAKCX	46641U465	JPMORGAN SMARTRETIREMENT 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JAKSX	46641U457	JPMORGAN SMARTRETIREMENT 2060 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JAKQX	48127B573	JPMORGAN SMARTRETIREMENT 2060 FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JSSRX	46636U637	JPMORGAN SMARTRETIREMENT BLEND 2020 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JBSSX	46636U561	JPMORGAN SMARTRETIREMENT BLEND 2025 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JRBEX	46636U496	JPMORGAN SMARTRETIREMENT BLEND 2030 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPSRX	46636U439	JPMORGAN SMARTRETIREMENT BLEND 2035 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JOBEX	46636U363	JPMORGAN SMARTRETIREMENT BLEND 2040 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JMSSX	46636U298	JPMORGAN SMARTRETIREMENT BLEND 2045 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JNEAX	46636U231	JPMORGAN SMARTRETIREMENT BLEND 2050 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPTBX	46636U165	JPMORGAN SMARTRETIREMENT BLEND 2055 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JACSX	48127B490	JPMORGAN SMARTRETIREMENT BLEND 2060 FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JJSX	46636U769	JPMORGAN SMARTRETIREMENT BLEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JIRBX	46636U751	JPMORGAN SMARTRETIREMENT BLEND INCOME FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JITLX	46641U374	JPMORGAN SMARTRETIREMENT BLEND INCOME FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JITKX	46641U366	JPMORGAN SMARTRETIREMENT BLEND INCOME FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JSIQX	48127B813	JPMORGAN SMARTRETIREMENT INCOME FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JTQLX	46645V477	JPMORGAN SMARTSPENDING 2020 FUND CLASS I	T	I	Y	4:00 PM	01
JPMORGAN FUNDS	JTQMX	46645V469	JPMORGAN SMARTSPENDING 2020 FUND CLASS R2	T	H	Y	4:00 PM	01
JPMORGAN FUNDS	PECAX	4812C1488	JPMORGAN SMID-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	ODMCX	4812C1504	JPMORGAN SMID-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	WOOPX	4812C1512	JPMORGAN SMID-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSOCX	4812A4377	JPMORGAN STRATEGIC INCOME OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSOSX	4812A4351	JPMORGAN STRATEGIC INCOME OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	OTBAX	4812C1223	JPMORGAN SUSTAINABLE MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	OMICX	4812C1249	JPMORGAN SUSTAINABLE MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	HLTAX	4812C1256	JPMORGAN SUSTAINABLE MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JPECX	48121L585	JPMORGAN TAX AWARE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	JPDEX	4812A1654	JPMORGAN TAX AWARE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	TXRCX	4812A2512	JPMORGAN TAX AWARE REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	TXRIX	4812A2538	JPMORGAN TAX AWARE REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JTFCX	4812C2569	JPMORGAN TAX FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	TXRAX	4812A2520	JPMORGAN TAX-AWARE REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	PRBIX	4812C0456	JPMORGAN TAX-FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JMTCX	4812A4419	JPMORGAN TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JMTSX	4812A4435	JPMORGAN TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JIVAX	4812A0284	JPMORGAN U.S. APPLIED DATA SCIENCE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JIVCX	4812A0300	JPMORGAN U.S. APPLIED DATA SCIENCE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPIVX	4812A2306	JPMORGAN U.S. APPLIED DATA SCIENCE VALUE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JUECX	4812A1167	JPMORGAN U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JUESX	4812A1159	JPMORGAN U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JMUEX	4812A1142	JPMORGAN U.S. EQUITY FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JUEQX	48127B474	JPMORGAN U.S. EQUITY FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	JIGAX	4812A0227	JPMORGAN U.S. GARP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JCICX	4812A0243	JPMORGAN U.S. GARP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JPGSX	4812A2207	JPMORGAN U.S. GARP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JLPCX	4812A2397	JPMORGAN U.S. LARGE CAP CORE PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JLPSX	4812A2389	JPMORGAN U.S. LARGE CAP CORE PLUS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JLPZX	4812A4260	JPMORGAN U.S. LARGE-CAP CORE PLUS FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JDESX	4812A1860	JPMORGAN U.S. RESEARCH ENHANCED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSCSX	4812A1712	JPMORGAN U.S. SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JUSSX	4812A1696	JPMORGAN U.S. SMALL COMPANY FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	JUSQX	48127B441	JPMORGAN U.S. SMALL COMPANY FUND CLASS R4	T	K	Y	4:00 PM	01
JPMORGAN FUNDS	JICAX	4812A0250	JPMORGAN U.S. SUSTAINABLE LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JICCX	4812A0276	JPMORGAN U.S. SUSTAINABLE LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JIISX	4812A1308	JPMORGAN U.S. SUSTAINABLE LEADERS FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	VGRIX	4812A1456	JPMORGAN U.S. VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	VGICX	4812A1431	JPMORGAN U.S. VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	VGIIX	4812A1464	JPMORGAN U.S. VALUE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	VGRTX	46641U556	JPMORGAN U.S. VALUE FUND CLASS R2	T	H	N	4:00 PM	01
JPMORGAN FUNDS	JGAVX	48123X603	JPMORGAN U.S. VALUE FUND CLASS R3	T	J	N	4:00 PM	01
JPMORGAN FUNDS	JGRUX	48123X702	JPMORGAN U.S. VALUE FUND CLASS R4	T	K	N	4:00 PM	01
JPMORGAN FUNDS	USMSX	46641U499	JPMORGAN ULTRA SHORT MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	USMTX	46641U481	JPMORGAN ULTRA SHORT MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JSIAX	48121A324	JPMORGAN UNCONSTRAINED DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JINCX	48121A316	JPMORGAN UNCONSTRAINED DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JSISX	48121A290	JPMORGAN UNCONSTRAINED DEBT FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
JPMORGAN FUNDS	UBVSX	904504495	JPMORGAN UNDISCOVERED MANAGERS BEHAVIORAL VALUE FD CL I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JVAAX	4812A2637	JPMORGAN VALUE ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	JVACX	4812A2611	JPMORGAN VALUE ADVANTAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	JVASX	4812A2595	JPMORGAN VALUE ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
JPMORGAN FUNDS	JVAIX	4812A2587	JPMORGAN VALUE ADVANTAGE FUND CLASS L	T	L	Y	4:00 PM	01
JPMORGAN FUNDS	UBVAX	904504586	UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
JPMORGAN FUNDS	UBVCX	904504578	UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
JPMORGAN FUNDS	UBVLX	904504842	UNDISCOVERED MNGR. BEHAVIORAL VALUE FUND CLASS L	T	L	Y	4:00 PM	01
KARNER BLUE FUNDS	KAIBX	90386H511	KARNER BLUE BIODIVERSITY IMPACT FUND BUTTERFLY CLASS	NTF^	B	N	4:00 PM	01
KEMPNER FUNDS	FIKDX	90386H586	KEMPNER MULTI CAP DEEP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
KEMPNER FUNDS	FAKDX	90386H578	KEMPNER MULTI CAP DEEP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
KINETICS FUNDS	KWINX	494613722	KINETICS ALTERNATIVE INCOME FUND	T		N	4:00 PM	01
KINETICS FUNDS	KWIAX	494613714	KINETICS ALTERNATIVE INCOME FUND ADVISOR A CLASS	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KWICX	494613698	KINETICS ALTERNATIVE INCOME FUND ADVISOR CLASS C	NTF^	C	N	4:00 PM	01
KINETICS FUNDS	KWIIX	494613680	KINETICS ALTERNATIVE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
KINETICS FUNDS	WWWEX	494613805	KINETICS GLOBAL FUND	T		N	4:00 PM	01
KINETICS FUNDS	KGLAX	494613631	KINETICS GLOBAL FUND ADVISOR CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KINAX	494613862	KINETICS INTERNET ADVISOR CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KINCX	494613763	KINETICS INTERNET ADVISOR CLASS C	NTF^	C	N	4:00 PM	01
KINETICS FUNDS	WWWFX	460953102	KINETICS INTERNET FUND	T		N	4:00 PM	01
KINETICS FUNDS	KMKCX	494613730	KINETICS MARKET OPPORTUNITIES FUND ADVISOR CLASS C	NTF^	C	N	4:00 PM	01
KINETICS FUNDS	KMKNX	494613789	KINETICS MARKETS OPPORTUNITIES FUND	T		N	4:00 PM	01
KINETICS FUNDS	MEDRX	494613102	KINETICS MEDICAL FUND	T		N	4:00 PM	01
KINETICS FUNDS	KRXAX	494613847	KINETICS MEDICAL FUND ADVISOR CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KMDNX	494613672	KINETICS MULTI DISCIPLINARY INCOME FUND	T		N	4:00 PM	01
KINETICS FUNDS	KMDAX	494613664	KINETICS MULTI-DISCIPLINARY ADVISOR FUND CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KMDYX	494613649	KINETICS MULTI-DISCIPLINARY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
KINETICS FUNDS	KMKAX	494613771	KINETICS OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	WWNPX	494613607	KINETICS PARADIGM FUND	T		N	4:00 PM	01
KINETICS FUNDS	KNPAX	494613854	KINETICS PARADIGM FUND ADVISOR CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KNPCX	494613821	KINETICS PARADIGM FUND ADVISOR CLASS C	NTF^	C	N	4:00 PM	01
KINETICS FUNDS	KNPYX	494613797	KINETICS PARADIGM FUND INST CLASS	T	I	N	4:00 PM	01
KINETICS FUNDS	KSOAX	494613839	KINETICS SMALL CAP OPPORTUNITIES FUND ADVISOR CLASS A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	KSOCX	494613748	KINETICS SMALL CAP OPPORTUNITIES FUND ADVISOR CLASS C	NTF^	C	N	4:00 PM	01
KINETICS FUNDS	KSCYX	494613813	KINETICS SMALL CAP OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
KINETICS FUNDS	KSCOX	494613706	KINETICS SMALL-CAP OPPORTUNITIES FUND	T		N	4:00 PM	01
KINETICS FUNDS	LSHAX	494613557	THE KINETICS SPIN OFF AND CORP RESTRUCTURING FD ADVISOR CL A	NTF^	A	N	4:00 PM	01
KINETICS FUNDS	LSHUX	494613532	THE KINETICS SPIN OFF AND CORPORATE RESTRUCTURING FUND INST CL	T	I	N	4:00 PM	01
KKM FUNDS	ESSIX	66538F405	KKM ESSENTIAL 40 STOCK FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
KRANE FUNDS	KUAIX	500767637	KRANE UBS CHINA A SHARES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
KRANE FUNDS	KUASX	500767629	KRANE UBS CHINA A SHARES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
LADENBURG FUNDS	LAWAX	66538B131	LADENBURG AGGRESSIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LAWCX	66538B123	LADENBURG AGGRESSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LAGIX	66538B115	LADENBURG AGGRESSIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LADENBURG FUNDS	LTAFX	02154J108	LADENBURG ALTERNATIVE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LTCFX	02154J207	LADENBURG ALTERNATIVE STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LTIFX	02154J306	LADENBURG ALTERNATIVE STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
LADENBURG FUNDS	LOWIX	66538B172	LADENBURG GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
LADENBURG FUNDS	LOWAX	66538B198	LADENBURG GROWTH AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LOWCX	66538B180	LADENBURG GROWTH AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LGWAX	66538B164	LADENBURG GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LGWCX	66538B156	LADENBURG GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LGWIX	66538B149	LADENBURG GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LADENBURG FUNDS	LNOIX	66538B214	LADENBURG INCOME & GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LADENBURG FUNDS	LNOAX	66538B230	LADENBURG INCOME AND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LNOCX	66538B222	LADENBURG INCOME AND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LNCAx	66538B263	LADENBURG INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LADENBURG FUNDS	LNCCX	66538B255	LADENBURG INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LADENBURG FUNDS	LNCIX	66538B248	LADENBURG INCOME FUND CLASS I	T	I	N	4:00 PM	01
LAZARD FUNDS	LCAIX	52106N491	LAZARD CAP ALLOC OPPORTUNISTIC STRAT INST'L SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LDMIX	52106N475	LAZARD DEVELOPING MARKETS EQUITY PORTFOLIO INSTL SHRS	T	I	N	4:00 PM	01
LAZARD FUNDS	LDMOX	52106N467	LAZARD DEVELOPING MARKETS EQUITY PORTFOLIO OPEN SHRS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	ECEIX	52106N251	LAZARD EMERGING MARKETS CORE EQUITY PORTFOLIO FUND INSTITUTIONAL	T	I	N	4:00 PM	01
LAZARD FUNDS	ECEOX	52106N244	LAZARD EMERGING MARKETS CORE EQUITY PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LEDIX	52106N111	LAZARD EMERGING MARKETS DEBT PORTFOLIO INSTL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LEDOX	52106N129	LAZARD EMERGING MARKETS DEBT PORTFOLIO OPEN SHRS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LEAIX	52107V450	LAZARD EMERGING MARKETS EQUITY ADVANTAGE PORTFOLIO FD INSTITUTION	T	I	N	4:00 PM	01
LAZARD FUNDS	LEAOX	52107V443	LAZARD EMERGING MARKETS EQUITY ADVANTAGE PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZOEX	52106N764	LAZARD EMERGING MARKETS EQUITY OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZEMX	52106N889	LAZARD EMERGING MARKETS PORTFOLIO (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
LAZARD FUNDS	EMBIX	52106N392	LAZARD EMERGING MARKETS STRATEGIC EQUITY PORTFOLIO INSTITUTIONAL C	T	I	N	4:00 PM	01
LAZARD FUNDS	EMBOX	52106N418	LAZARD EMERGING MARKETS STRATEGIC EQUITY PORTFOLIO OPEN CLASS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LEOIX	52107V484	LAZARD ENHANCED OPPORTUNITIES PORTFOLIO FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LEOOX	52107V476	LAZARD ENHANCED OPPORTUNITIES PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZFOX	52107V278	LAZARD EQUITY FRANCHISE PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	GDMIX	52107V351	LAZARD GLOBAL DYNAMIC MULTI ASSET PORTFOLIO INSTITUTIONAL SHARE	T	I	N	4:00 PM	01
LAZARD FUNDS	GDMOX	52107V344	LAZARD GLOBAL DYNAMIC MULTI ASSET PORTFOLIO OPEN SHARE	NTF	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LAZARD FUNDS	GESIX	52107V724	LAZARD GLOBAL EQUITY SELECT PORTFOLIO FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	GESOX	52107V716	LAZARD GLOBAL EQUITY SELECT PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZGIX	52106N293	LAZARD GLOBAL FIXED INCOME PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	LZGOX	52106N285	LAZARD GLOBAL FIXED INCOME PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	GLIFX	52106N459	LAZARD GLOBAL LISTED INFRASTRUCTURE PORT INST SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	GLFOX	52106N442	LAZARD GLOBAL LISTED INFRASTRUCTURE PORT OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LSTIX	52107V559	LAZARD GLOBAL STRATEGIC EQUITY PORTFOLIO FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LSTOX	52107V542	LAZARD GLOBAL STRATEGIC EQUITY PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	ICMPX	52107V229	LAZARD INTERNATIONAL COMPOUNDERS PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	OCMPX	52107V211	LAZARD INTERNATIONAL COMPOUNDERS PORTFOLIO OPEN CLASS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	IEVIX	52107V252	LAZARD INTERNATIONAL EQUITY VALUE PORTFOLIO INSTL SHARE CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	IEVOX	52107V245	LAZARD INTERNATIONAL EQUITY VALUE PORTFOLIO OPEN SHARE CLASS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LISOX	52106N582	LAZARD INTERNATIONAL STRATEGIC EQUITY FD	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LISIX	52106N590	LAZARD INTERNATIONAL STRATEGIC EQUITY PORTFOLIO FUND	T	I	N	4:00 PM	01
LAZARD FUNDS	IEAIX	52107V385	LAZARD INTL EQUITY ADVANTAGE PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	IEAOX	52107V377	LAZARD INTL EQUITY ADVANTAGE PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZIEX	52106N400	LAZARD INTL EQUITY PORTFOLIO (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
LAZARD FUNDS	LZIOX	52106N830	LAZARD INTL EQUITY PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZESX	52106N657	LAZARD INT'L EQUITY SELECT FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZSIX	52106N665	LAZARD INT'L EQUITY SELECT INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LZISX	52106N806	LAZARD INTL SMALL-CAP PORTFOLIO (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
LAZARD FUNDS	LZSMX	52106N772	LAZARD INTL SMALL-CAP PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	MEVIX	52107V427	LAZARD MANAGED EQUITY VOLATILITY PORTFOLIO FD INST CL	T	I	N	4:00 PM	01
LAZARD FUNDS	MEVOX	52107V419	LAZARD MANAGED EQUITY VOLATILITY PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LCAOX	52106N483	LAZARD OPPORTUNISTIC STARTEGIES PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	RALIX	52107V328	LAZARD REAL ASSETS AND PRICING OPPORTUNITIES PORT FD INSTITUTIONAL	T	I	N	4:00 PM	01
LAZARD FUNDS	RALOX	52107V310	LAZARD REAL ASSETS AND PRICING OPPORTUNITIES PORT FUND OPEN CLASS	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZSCX	52106N509	LAZARD SMALL-CAP PORTFOLIO (INSTITUTIONAL CLASS)	T	I	N	4:00 PM	01
LAZARD FUNDS	LZHXY	52106N699	LAZARD U.S. CORPORATE INCOME PORTFOLIO FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LZHOX	52106N681	LAZARD U.S. CORPORATE INCOME PORTFOLIO FUND OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LEVIX	52106N616	LAZARD U.S. EQUITY CONCENTRATED PORTFOLIO INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LAZARD FUNDS	LEVOX	52106N574	LAZARD U.S. EQUITY CONCENTRATED PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZUSX	52106N624	LAZARD U.S. EQUITY SELECT PORTFOLIO FUND INSTL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	UMNIX	52106N384	LAZARD U.S. SHORT DURATION FIXED INCOME PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	UMNOX	52106N376	LAZARD U.S. SHORT DURATION FIXED INCOME PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01
LAZARD FUNDS	LZCOX	52106N780	LAZARD U.S. SMALL- MID CAP PORTFOLIO OPEN SHARES	NTF	O	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LAZARD FUNDS	SUSTX	52107V187	LAZARD U.S. SUSTAINABLE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	SUSLX	52107V179	LAZARD U.S. SUSTAINABLE EQUITY PORTFOLIO OPEN CLASS	T	O	N	4:00 PM	01
LAZARD FUNDS	LUSIX	52106N236	LAZARD U.S. SYSTEMATIC SMALL-CAP EQUITY PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LAZARD FUNDS	LUSOX	52106N228	LAZARD U.S. SYSTEMATIC SMALL-CAP EQUITY PORTFOLIO OPEN CLASS	T	O	N	4:00 PM	01
LAZARD FUNDS	LZFIX	52107V286	THE LAZARD EQUITY FRANCHISE PORTFOLIO FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
LEADERS FUNDS	LCAMX	52169B301	LEADER SHORT-TERM HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEADERS FUNDS	LCMCX	52169B400	LEADER SHORT-TERM HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEADERS FUNDS	LCCIX	52169B103	LEADER SHORT-TERM HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LEADERS FUNDS	LCCMX	52169B202	LEADER SHORT-TERM HIGH YIELD BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
LEADERS FUNDS	LCTIX	52169B509	LEADER TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LEADERS FUNDS	LCTRX	52169B608	LEADER TOTAL RETURN FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
LEGG MASON FUNDS	GBUSX	52471E191	BRANDYWINE GLOBAL OPPORTUNITIES BOND FUND CLASS I (HED)	T	I	N	4:00 PM	01
LEGG MASON FUNDS	GLOBX	52471E183	BRANDYWINE GLOBAL OPPORTUNITIES BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMAPX	52471E852	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMAQX	52471E845	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMAOX	52471E837	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LMANX	52471E829	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMAMX	52471E811	BRANDYWINEGLOBAL ALTERNATIVE CREDIT FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	BCAAX	52472T783	BRANDYWINEGLOBAL CORPORATE CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	BGGCX	52472T759	BRANDYWINEGLOBAL CORPORATE CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	BCGIX	52472T775	BRANDYWINEGLOBAL CORPORATE CREDIT FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	BGISX	52472T767	BRANDYWINEGLOBAL CORPORATE CREDIT FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LBWAX	524686789	BRANDYWINEGLOBAL DIVERSIFIED U.S. LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LBWCX	524686771	BRANDYWINEGLOBAL DIVERSIFIED U.S. LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LBWIX	524686755	BRANDYWINEGLOBAL DIVERSIFIED U.S. LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LBISX	524686730	BRANDYWINEGLOBAL DIVERSIFIED U.S. LARGE CAP VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMBJX	52471E670	BRANDYWINEGLOBAL DYNAMIC U.S. LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMBBX	52471E639	BRANDYWINEGLOBAL DYNAMIC U.S. LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMBEX	52471E654	BRANDYWINEGLOBAL DYNAMIC U.S. LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMBGX	52471E647	BRANDYWINEGLOBAL DYNAMIC U.S. LARGE CAP VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LFLAX	52471E456	BRANDYWINEGLOBAL FLEXIBLE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LFLCX	52471E134	BRANDYWINEGLOBAL FLEXIBLE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LFLIX	52471E449	BRANDYWINEGLOBAL FLEXIBLE BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LFLSX	52471E431	BRANDYWINEGLOBAL FLEXIBLE BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LBHAX	52471E506	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LBHCX	52471E605	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LBHFX	52471E704	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LMYIX	524686169	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	LMZIX	524686151	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LBHRX	52471E860	BRANDYWINEGLOBAL GLOBAL HIGH YIELD FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	GLOAX	52471E167	BRANDYWINEGLOBAL- GLOBAL OPPORTUNITIES BOND FUND (USD HEDGED) CL	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	GLOCX	52471E142	BRANDYWINEGLOBAL- GLOBAL OPPORTUNITIES BOND FUND (USD HEDGED) CL	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	GOBAX	524686383	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LGOCX	524686144	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	GOBFX	524686326	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	GOBIX	524686334	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	GOBSX	524686318	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LBORX	524686367	BRANDYWINEGLOBAL GLOBAL OPPORTUNITIES BOND FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	LROAX	524686722	BRANDYWINEGLOBAL GLOBAL UNCONSTRAINED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LAOCX	524686128	BRANDYWINEGLOBAL GLOBAL UNCONSTRAINED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LBAFX	524686698	BRANDYWINEGLOBAL GLOBAL UNCONSTRAINED BOND FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LROIX	524686672	BRANDYWINEGLOBAL GLOBAL UNCONSTRAINED BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LROSX	524686664	BRANDYWINEGLOBAL GLOBAL UNCONSTRAINED BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	BGHAX	52472T734	BRANDYWINEGLOBAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	BGHCX	52472T692	BRANDYWINEGLOBAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	BGHIX	52472T726	BRANDYWINEGLOBAL HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	BGHSX	52472T718	BRANDYWINEGLOBAL HIGH YIELD FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SHRAX	52468C109	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SAGCX	52468C307	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMPFX	52468C604	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	SAGYX	52468C406	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LSIFX	52468C703	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMPRX	52468C505	CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	SHFVX	52469C108	CLEARBRIDGE ALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SFVCX	52469C306	CLEARBRIDGE ALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SFVYX	52469C405	CLEARBRIDGE ALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LSISX	52469C504	CLEARBRIDGE ALL CAP VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SHAPX	52468E105	CLEARBRIDGE APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SAPCX	52468E303	CLEARBRIDGE APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMPIX	52468E600	CLEARBRIDGE APPRECIATION FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	SAPYX	52468E402	CLEARBRIDGE APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMESX	52468E709	CLEARBRIDGE APPRECIATION FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMPPX	52468E501	CLEARBRIDGE APPRECIATION FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	LGASX	524686458	CLEARBRIDGE CMALL CAP FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	SOPAX	52469H636	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBPLX	52469H610	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LBRIX	52469H123	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS FI	T	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	SOPYX	52469H594	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCBEX	52471R796	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMMRX	52469H271	CLEARBRIDGE DIVIDEND STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	RGAVX	52471E480	CLEARBRIDGE GLOBAL INFRASTRUCTURE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	RGIVX	52471E472	CLEARBRIDGE GLOBAL INFRASTRUCTURE INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	RGSVX	52471E464	CLEARBRIDGE GLOBAL INFRASTRUCTURE INCOME FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMGNX	524686524	CLEARBRIDGE INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMGPX	52471E258	CLEARBRIDGE INTERNATIONAL GROWTH FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LCOAX	52467P499	CLEARBRIDGE INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LCOCX	52467P481	CLEARBRIDGE INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LCOIX	52467P515	CLEARBRIDGE INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBIEX	52469X607	CLEARBRIDGE INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBICX	52469X805	CLEARBRIDGE INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SBIYX	52469X888	CLEARBRIDGE INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LSIUX	52469X870	CLEARBRIDGE INTERNATIONAL VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LGGAX	524686540	CLEARBRIDGE INT'L GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMGFX	524686516	CLEARBRIDGE INT'L GROWTH FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LMGRX	524686490	CLEARBRIDGE INT'L GROWTH FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	LMGTX	524686532	CLEARBRIDGE INT'LL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SBLGX	52469H826	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SLCCX	52469H792	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SBLYX	52469H784	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LSITX	52469H255	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LCMMX	52471R390	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS O	T	O	Y	4:00 PM	01
LEGG MASON FUNDS	LMLPX	52469H693	CLEARBRIDGE LARGE CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	SINAX	52470J100	CLEARBRIDGE LARGE CAP VALUE FUND CL A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SINOX	52470J308	CLEARBRIDGE LARGE CAP VALUE FUND CL C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SAIFX	52470J407	CLEARBRIDGE LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMLSX	52470J605	CLEARBRIDGE LARGE CAP VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SBMAX	52469H768	CLEARBRIDGE MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBMLX	52469H743	CLEARBRIDGE MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SMBYX	52469H735	CLEARBRIDGE MID CAP FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LSIRX	52469H263	CLEARBRIDGE MID CAP FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMREX	52469H172	CLEARBRIDGE MID CAP FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	LBGAX	52467P564	CLEARBRIDGE MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LBGCX	52467P556	CLEARBRIDGE MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LBGIX	52467P531	CLEARBRIDGE MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCMIX	52467P440	CLEARBRIDGE MID CAP GROWTH FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	CBISX	52471R374	CLEARBRIDGE NTERNATIONAL SMALL CAP FUND CLASS IS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	LCSSX	52467P473	CLEARBRIDGE SELECT FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMSAX	524686482	CLEARBRIDGE SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMASX	524686474	CLEARBRIDGE SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LISGX	52471E316	CLEARBRIDGE SMALL CAP FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMARX	524686441	CLEARBRIDGE SMALL CAP FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	SASMX	52470H815	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SCSMX	52470H781	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMPSX	52470H682	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	SBPYX	52470H765	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMPOX	52470H690	CLEARBRIDGE SMALL CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
LEGG MASON FUNDS	SBVAX	52469G513	CLEARBRIDGE SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBVLX	52469G489	CLEARBRIDGE SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SMCYX	52469G471	CLEARBRIDGE SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCBIX	52471R697	CLEARBRIDGE SMALL CAP VALUE FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMNSX	524686466	CLEARBRIDGE SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	CLSUX	52471R341	CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	CAABX	52472T676	CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LCSTX	52469C603	CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LCISX	52469C702	CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCILX	52469C801	CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	CFLGX	52469H545	CLEARBRIDGE TACTICAL DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SMDLX	52469H529	CLEARBRIDGE TACTICAL DIVIDEND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LADIX	52469H511	CLEARBRIDGE TACTICAL DIVIDEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCBDX	52471R747	CLEARBRIDGE TACTICAL DIVIDEND INCOME FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LGVAX	524686623	CLEARBRIDGE VALUE TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMVTX	524686615	CLEARBRIDGE VALUE TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMVFX	524686565	CLEARBRIDGE VALUE TRUST FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LMNVX	524686573	CLEARBRIDGE VALUE TRUST FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMVRX	524686557	CLEARBRIDGE VALUE TRUST FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	LGDAX	52471R366	FRANKLIN GLOBAL DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LGDCX	52471R358	FRANKLIN GLOBAL DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LDIGX	52471R820	FRANKLIN GLOBAL DIVIDEND FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LTTMX	52471R606	FRANKLIN GLOBAL DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LDIFX	52471R705	FRANKLIN GLOBAL DIVIDEND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	CFIPX	52469H487	FRANKLIN GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SILLX	52469H461	FRANKLIN GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SMYIX	52469H453	FRANKLIN GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LNFIX	52471E530	FRANKLIN GLOBAL MARKET NEUTRAL FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LQNCX	52471E266	FRANKLIN GLOBAL MARKET NEUTRAL FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	LQNI	52471E555	FRANKLIN GLOBAL MARKET NEUTRAL FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LQIS	52471E548	FRANKLIN GLOBAL MARKET NEUTRAL FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMEAX	524686292	FRANKLIN INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMGEX	524686284	FRANKLIN INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LGFE	524686268	FRANKLIN INTERNATIONAL EQUITY FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LGIE	524686276	FRANKLIN INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LIES	524686243	FRANKLIN INTERNATIONAL EQUITY FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMIR	524686250	FRANKLIN INTERNATIONAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	SBBAX	52467P804	FRANKLIN MULTI-ASSET CONSERVATIVE GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
LEGG MASON FUNDS	SCBC	52467P879	FRANKLIN MULTI-ASSET CONSERVATIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMEIX	52467P861	FRANKLIN MULTI-ASSET CONSERVATIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBCPX	52467P853	FRANKLIN MULTI-ASSET DEFENSIVE GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
LEGG MASON FUNDS	LWLAX	52470J159	FRANKLIN MULTI-ASSET DEFENSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMGIX	52467P820	FRANKLIN MULTI-ASSET DEFENSIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SCHAX	52467P762	FRANKLIN MULTI-ASSET GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SCHCX	52467P747	FRANKLIN MULTI-ASSET GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LANIX	52467P739	FRANKLIN MULTI-ASSET GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SCGR	52467P812	FRANKLIN MULTI-ASSET MODERATE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SCGC	52467P788	FRANKLIN MULTI-ASSET MODERATE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LLAIX	52467P770	FRANKLIN MULTI-ASSET MODERATE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBSP	52469H727	FRANKLIN S&P 500 INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
LEGG MASON FUNDS	SBSD	52469H719	FRANKLIN S&P 500 INDEX FUND CLASS D	NTF^	D	N	4:00 PM	01
LEGG MASON FUNDS	LRRAX	524686607	FRANKLIN STRATEGIC REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LRRCX	524686706	FRANKLIN STRATEGIC REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LRRIX	524686888	FRANKLIN STRATEGIC REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LRRSX	524686870	FRANKLIN STRATEGIC REAL RETURN FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMUS	52469H321	FRANKLIN U.S. LARGE-CAP EQUITY FUND CLASS FI	NTF	F	N	4:00 PM	01
LEGG MASON FUNDS	LMTIX	52469H297	FRANKLIN U.S. LARGE-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMIS	52469H289	FRANKLIN U.S. LARGE-CAP EQUITY FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LMBAX	524686433	FRANKLIN U.S. SMALL-CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMBCX	524686425	FRANKLIN U.S. SMALL-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LGSC	524686342	FRANKLIN U.S. SMALL-CAP EQUITY FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LMSIX	524686359	FRANKLIN U.S. SMALL-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMBMX	524686391	FRANKLIN U.S. SMALL-CAP EQUITY FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LCLAX	52471R648	LEGG MASON CLEARBRIDGE SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LCLCX	52471R630	LEGG MASON CLEARBRIDGE SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LCBS	52467P457	LEGG MASON CLEARBRIDGE SELECT FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LBFIX	52467P465	LEGG MASON CLEARBRIDGE SELECT FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMOIX	52470H666	LEGG MASON CLEARBRIDGE SMALL CAP GROWTH FUND CLASS IS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	MACEX	52471E282	LEGG MASON MARTIN CURRIE EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	MCECX	52471E274	LEGG MASON MARTIN CURRIE EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	MCEIX	52471E621	LEGG MASON MARTIN CURRIE EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCSMX	52471E290	LEGG MASON MARTIN CURRIE SMA SERIES FUND CLASS EM	T+		N	4:00 PM	01
LEGG MASON FUNDS	WAHSX	957663636	LEGG MASON WESTERN ASSET HIGH YIELD FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WAFAX	957663362	LEGG MASON WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LSMSX	52470G114	LEGG MASON WESTERN ASSET SMASH SERIES TF FUND	T+		N	4:00 PM	01
LEGG MASON FUNDS	SSMMX	52468A616	LEGG MASON WESTERN MANAGED MUNICIPALS FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	MCEMX	52471E613	MARTIN CURRIE EMERGING MARKETS FUNDS CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LUFIX	52471E563	MARTIN CURRIE INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LUEIX	52471E589	MARTIN CURRIE INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LUISX	52471E571	MARTIN CURRIE INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SCACX	52468T300	WESTERN ASSET CALIFORNIA MUNICIPALS FD CL C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SHRCX	52468T102	WESTERN ASSET CALIFORNIA MUNICIPALS FUND CL A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMCUX	52468T409	WESTERN ASSET CALIFORNIA MUNICIPALS FUND CL I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SHRSX	52468A582	WESTERN ASSET CALIFORNIA MUNICIPALS FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WABAX	957663495	WESTERN ASSET CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WABCX	957663487	WESTERN ASSET CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WAPIX	957663404	WESTERN ASSET CORE BOND FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	WATFX	957663305	WESTERN ASSET CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WACSX	957663677	WESTERN ASSET CORE BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WABRX	957663479	WESTERN ASSET CORE BOND FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	WAPAX	957663461	WESTERN ASSET CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WAPCX	957663453	WESTERN ASSET CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WACIX	957663602	WESTERN ASSET CORE PLUS BOND FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	WACPX	957663503	WESTERN ASSET CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WAPSX	957663669	WESTERN ASSET CORE PLUS BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WAPRX	957663446	WESTERN ASSET CORE PLUS BOND FUND CLASS R	T	R	N	4:00 PM	01
LEGG MASON FUNDS	SIGAX	52469F317	WESTERN ASSET CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LWBOX	52468A814	WESTERN ASSET CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SIGYX	52469F275	WESTERN ASSET CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LCBPX	52469L660	WESTERN ASSET CORPORATE BOND FUND CLASS P	T	P	N	4:00 PM	01
LEGG MASON FUNDS	LWEAX	52469L694	WESTERN ASSET EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WAE0X	52469L561	WESTERN ASSET EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMWDX	52469L579	WESTERN ASSET EMERGING MARKETS DEBT FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	SEMDX	52469F481	WESTERN ASSET EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LWISX	52469L678	WESTERN ASSET EMERGING MARKETS DEBT FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SAHYX	52469F465	WESTERN ASSET GLOBAL HIGH YIELD BOND FD CL A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SHY0X	52469F432	WESTERN ASSET GLOBAL HIGH YIELD BOND FD CL I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	LWGOX	52468A798	WESTERN ASSET GLOBAL HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LWGSX	52468A723	WESTERN ASSET GLOBAL HIGH YIELD BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WAYAX	957663396	WESTERN ASSET HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WAYCX	957663388	WESTERN ASSET HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WAHYX	957663842	WESTERN ASSET HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SDSAX	52469F754	WESTERN ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LWSIX	52468A749	WESTERN ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SDSYX	52469F721	WESTERN ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WAGIX	52470V822	WESTERN ASSET INCOME FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WATPX	957663875	WESTERN ASSET INFLATION INDEXED PLUS BOND FD CL FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	WAIIX	957663883	WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CL I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WAF CX	957663354	WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WAF SX	957663545	WESTERN ASSET INFLATION INDEXED PLUS BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	WATA X	957663339	WESTERN ASSET INTERMEDIATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WATCX	957663321	WESTERN ASSET INTERMEDIATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WATIX	957663701	WESTERN ASSET INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WABSX	957663610	WESTERN ASSET INTERMEDIATE BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	ITCAX	52469F267	WESTERN ASSET INTERMEDIATE MAT CA MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SIMLX	52469F259	WESTERN ASSET INTERMEDIATE MAT CA MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SICYX	52469F242	WESTERN ASSET INTERMEDIATE MAT CA MUNI FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	IMNYX	52469F234	WESTERN ASSET INTERMEDIATE MAT NY MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SINLX	52469F226	WESTERN ASSET INTERMEDIATE MAT NY MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMIIX	52469F218	WESTERN ASSET INTERMEDIATE MAT NY MUNI FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBLTX	52469L884	WESTERN ASSET INTERMEDIATE-TERM MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SMLLX	52469L868	WESTERN ASSET INTERMEDIATE-TERM MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SBTYX	52469L843	WESTERN ASSET INTERMEDIATE-TERM MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SMLSX	52468A624	WESTERN ASSET INTERMEDIATE-TERM MUNICIPALS FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LAAAX	95768D103	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LAACX	95768D202	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LAFIX	95768D301	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	LAOIX	95768D400	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LAOSX	95768D509	WESTERN ASSET MACRO OPPORTUNITIES FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SHMMX	52469J202	WESTERN ASSET MANAGED MUNICIPALS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SMMCX	52469J400	WESTERN ASSET MANAGED MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SMMYX	52469J509	WESTERN ASSET MANAGED MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SLMMX	52469K100	WESTERN ASSET MASSACHUSETTS MUNICIPALS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SMALX	52469K308	WESTERN ASSET MASSACHUSETTS MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LHMIX	52469K407	WESTERN ASSET MASSACHUSETTS MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SGVAX	52469F366	WESTERN ASSET MORTGAGE TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	LWMSX	52468A764	WESTERN ASSET MORTGAGE TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SGSYX	52469F333	WESTERN ASSET MORTGAGE TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMBSX	52468A665	WESTERN ASSET MORTGAGE TOTAL RETURN FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	SMHLX	52469F564	WESTERN ASSET MUNICIPAL HIGH INCOME FUND CL C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	STXAX	52469F580	WESTERN ASSET MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LMHIX	52469F556	WESTERN ASSET MUNICIPAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SHNJX	52469M106	WESTERN ASSET NEW JERSEY MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SNJLX	52469M304	WESTERN ASSET NEW JERSEY MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LNJIX	52469M403	WESTERN ASSET NEW JERSEY MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBNYX	52469L785	WESTERN ASSET NEW YORK MUNICIPALS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBYLX	52469L769	WESTERN ASSET NEW YORK MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SNPYX	52469L751	WESTERN ASSET NEW YORK MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LMOOX	52469N401	WESTERN ASSET OREGON MUNI FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SHORX	52469N104	WESTERN ASSET OREGON MUNICIPALS FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SORLX	52469N302	WESTERN ASSET OREGON MUNICIPALS FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LPPIX	52469L710	WESTERN ASSET PA MUNICIPALS FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBPAX	52469L744	WESTERN ASSET PENNSYLVANIA MUNICIPALS FUND CL A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SPALX	52469L728	WESTERN ASSET PENNSYLVANIA MUNICIPALS FUND CL C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SHIAX	52469F630	WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LWHIX	52468A772	WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SHICX	52469F614	WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS C1	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SHIYX	52469F598	WESTERN ASSET SHORT DURATION HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SHDAX	52469F192	WESTERN ASSET SHORT DURATION MUNICIPAL INCOME FUND CL A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SHDLX	52469F184	WESTERN ASSET SHORT DURATION MUNICIPAL INCOME FUND CL C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	SMDYX	52469F176	WESTERN ASSET SHORT DURATION MUNICIPAL INCOME FUND CL I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	SBSTX	52469E500	WESTERN ASSET SHORT -TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	SBSYX	52469E807	WESTERN ASSET SHORT -TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	LWSOX	52468A756	WESTERN ASSET SHORT-TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	LMLCX	52470G742	WESTERN ASSET SMASH SERIES C FUND	T+		N	4:00 PM	01
LEGG MASON FUNDS	WAADX	52470G460	WESTERN ASSET SMASH SERIES CORE COMPLETION FUND	T+		N	4:00 PM	01
LEGG MASON FUNDS	LMECX	52470G734	WESTERN ASSET SMASH SERIES CORE PLUS COMPLETION FUND	T+		N	4:00 PM	01
LEGG MASON FUNDS	LMSMX	52470G759	WESTERN ASSET SMASH SERIES M FUND	T+		N	4:00 PM	01
LEGG MASON FUNDS	WAUAX	957663230	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	WAUCX	957663222	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS C	NTF^	C	N	4:00 PM	01
LEGG MASON FUNDS	WARIX	957663693	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS FI	T	F	N	4:00 PM	01
LEGG MASON FUNDS	WAARX	957663719	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	WAASX	957663685	WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	ARMZX	52468A608	WESTERN ASSET ULTRA SHORT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LEGG MASON FUNDS	LWAIX	52468A848	WESTERN ASSET ULTRA SHORT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LEGG MASON FUNDS	SBAYX	52468A889	WESTERN ASSET ULTRA SHORT INCOME FUND CLASS I	T	I	N	4:00 PM	01
LEGG MASON FUNDS	ARMLX	52470V830	WESTERN ASSET ULTRA SHORT INCOME FUND CLASS IS	T	S	N	4:00 PM	01
LEGG MASON FUNDS	LWSTX	52468A731	WESTERN SHORT TERM BOND FUND CLASS IS	T	S	N	4:00 PM	01
LEUTHOLD FUNDS	LCRIX	527289409	LEUTHOLD CORE INVESTMENT FUND (INST CLASS)	T	I	N	4:00 PM	01
LEUTHOLD FUNDS	LCORX	527289102	LEUTHOLD CORE INVESTMENT FUND RETAIL CLASS	T	R	N	4:00 PM	01
LEUTHOLD FUNDS	GLBIX	527289870	LEUTHOLD GLOBAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LEUTHOLD FUNDS	GLBLX	527289888	LEUTHOLD GLOBAL FUND RETAIL CLASS	T	R	N	4:00 PM	01
LEUTHOLD FUNDS	GRZZX	527289797	LEUTHOLD GRIZZLY SHORT FUND	T		N	4:00 PM	01
LEUTHOLD FUNDS	LSLTX	527289201	LEUTHOLD SELECT INDUSTRIES FUND	T		N	4:00 PM	01
LKCM FUNDS	AQEIX	501885883	LKCM AQUINAS CATHOLIC EQUITY FUND	T		N	4:00 PM	01
LKCM FUNDS	LKBAX	501885305	LKCM BALANCED FUND	T		N	4:00 PM	01
LKCM FUNDS	LKEQX	501885206	LKCM EQUITY FUND INSTITUTIONAL CLASS	T		N	4:00 PM	01
LKCM FUNDS	LKFIX	501885404	LKCM FIXED-INCOME FUND	T		N	4:00 PM	01
LKCM FUNDS	LKINX	501885834	LKCM INTERNATIONAL FUND	T		N	4:00 PM	01
LKCM FUNDS	LKSCX	501885107	LKCM SMALL-CAP EQUITY FUND INSTITUTIONAL CLASS	T		N	4:00 PM	01
LKCM FUNDS	LKSMX	501885859	LKCM SMID CAP EQUITY FUND INSTITUTIONAL CLASS	T		N	4:00 PM	01
LOCORR FUNDS	LEQAX	540132701	LOCORR DYNAMIC EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	02
LOCORR FUNDS	LEQCX	540132800	LOCORR DYNAMIC EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	02
LOCORR FUNDS	LEQIX	540132883	LOCORR DYNAMIC EQUITY FUND CLASS I	T	I	N	4:00 PM	02
LOCORR FUNDS	LCSAX	540132404	LOCORR LONG/SHORT COMMODITIES STRATEGY FUND CL A	NTF^	A	N	4:00 PM	02
LOCORR FUNDS	LCSCX	540132503	LOCORR LONG/SHORT COMMODITIES STRATEGY FUND CL C	NTF^	C	N	4:00 PM	02
LOCORR FUNDS	LCSIX	540132602	LOCORR LONG/SHORT COMMODITIES STRATEGY FUND CL I	T	I	N	4:00 PM	02
LOCORR FUNDS	LFMAX	540132107	LOCORR MACRO STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	02
LOCORR FUNDS	LFMCX	540132206	LOCORR MACRO STRATEGIES FUND CLASS C	NTF^	C	N	4:00 PM	02
LOCORR FUNDS	LFMIX	540132305	LOCORR MACRO STRATEGIES FUND CLASS I	T	I	N	4:00 PM	02
LOCORR FUNDS	LOTAX	540132842	LOCORR MARKET TREND FUND CLASS A	NTF^	A	N	4:00 PM	02
LOCORR FUNDS	LOT CX	540132834	LOCORR MARKET TREND FUND CLASS C	NTF^	C	N	4:00 PM	02
LOCORR FUNDS	LOTIX	540132826	LOCORR MARKET TREND FUND CLASS I	T	I	N	4:00 PM	02
LOCORR FUNDS	LSPAX	540132875	LOCORR SPECTRUM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	02
LOCORR FUNDS	LSPCX	540132867	LOCORR SPECTRUM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	02
LOCORR FUNDS	LSPIX	540132859	LOCORR SPECTRUM INCOME FUND CLASS I	T	I	N	4:00 PM	02
LONGBOARD FUNDS	LONAX	66538F728	LONGBOARD ALTERNATIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LONGBOARD FUNDS	LONGX	66538F694	LONGBOARD ALTERNATIVE GROWTH FUND CLASS I	NTF	I	N	4:00 PM	01
LONGBOARD FUNDS	WAVEX	66538A430	LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
LONGBOARD FUNDS	WAVIX	66538A422	LONGBOARD MANAGED FUTURES STRATEGY FUND CLASS I	NTF	I	N	4:00 PM	01
LONGLEAF PARTNERS FUNDS	LLPFX	543069108	LONGLEAF PARTNERS FUND	T		N	4:00 PM	01
LONGLEAF PARTNERS FUNDS	LLGLX	543069504	LONGLEAF PARTNERS GLOBAL FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LONGLEAF PARTNERS FUNDS	LLINX	543069405	LONGLEAF PARTNERS INTERNATIONAL FUND	T		N	4:00 PM	01
LONGLEAF PARTNERS FUNDS	LLSCX	543069207	LONGLEAF PARTNERS SMALL-CAP FUND	T		N	4:00 PM	01
LOOMIS SAYLES FUNDS	LBFAF	543495857	LOOMIS SAYLES BOND FUND ADMINSTRATIVE CLASS	T+	E	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSBNX	543495717	LOOMIS SAYLES BOND FUND CLASS N	T+	N	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSBDX	543495840	LOOMIS SAYLES BOND FUND INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSBRX	543495832	LOOMIS SAYLES BOND FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSGNX	543495691	LOOMIS SAYLES GLOBAL BOND FUND CLASS N	T+	N	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSGBX	543495782	LOOMIS SAYLES GLOBAL BOND FUND INST CLASS	T	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSGLX	543495774	LOOMIS SAYLES GLOBAL BOND FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSGSX	543495766	LOOMIS SAYLES INFLATION PROTECTED SECURITIES FUND INST	T	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LIPRX	543495733	LOOMIS SAYLES INFLATION PROTECTED SECURITIES FUND RETAIL CLASS	T	R	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSSAX	543495741	LOOMIS SAYLES SECURITIZED ASSET FUND	T+		N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSSIX	543487854	LOOMIS SAYLES SMALL CAP GROWTH FUND INSTITUTIONAL	T	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LCGRX	543487680	LOOMIS SAYLES SMALL CAP GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSSCX	543495816	LOOMIS SAYLES SMALL CAP VALUE FUND INST CLASS	T	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSCRX	543495790	LOOMIS SAYLES SMALL CAP VALUE FUND RETAIL CLASS	NTF	R	Y	4:00 PM	01
LOOMIS SAYLES FUNDS	LSMIX	543488779	LOOMIS SAYLES SMALL MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LOOMIS SAYLES FUNDS	LSCNX	543495683	LOOMIS SAYLES SMALL-CAP VALUE FUND CLASS N	T+	N	N	4:00 PM	01
LORD ABBETT FUNDS	LCRAX	543916878	LORD ABBET CORE FIXED-INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LCRCX	543916852	LORD ABBET CORE FIXED-INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAFFX	544001100	LORD ABBETT AFFILIATED FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LAFCX	544001308	LORD ABBETT AFFILIATED FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAAFX	544001605	LORD ABBETT AFFILIATED FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAFYX	544001506	LORD ABBETT AFFILIATED FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAFRX	544001803	LORD ABBETT AFFILIATED FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	ALFAX	543915763	LORD ABBETT ALPHA STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	ALFCX	543915748	LORD ABBETT ALPHA STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	ALFFX	543915532	LORD ABBETT ALPHA STRATEGY FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	ALFYX	543915581	LORD ABBETT ALPHA STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	ALFRX	543915516	LORD ABBETT ALPHA STRATEGY FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LBDFX	544004609	LORD ABBETT BOND DEBENTURE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LBNQX	544004708	LORD ABBETT BOND DEBENTURE FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LBNDX	544004104	LORD ABBETT BOND-DEBENTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	BDLAX	544004302	LORD ABBETT BOND-DEBENTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LBNYX	544004401	LORD ABBETT BOND-DEBENTURE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LBNRX	544004807	LORD ABBETT BOND-DEBENTURE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	CAILX	543902670	LORD ABBETT CA TAX-FREE INCOME FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LCFFX	543902811	LORD ABBETT CALIFORNIA TAX FREE FUND CLASS F	NTF	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	LCFIX	543902852	LORD ABBETT CALIFORNIA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	CALAX	543902845	LORD ABBETT CALIFORNIA TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	CFBAX	54401T868	LORD ABBETT CLIMATE FOCUSED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	CFBCX	54401T850	LORD ABBETT CLIMATE FOCUSED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	CFLFX	54401T843	LORD ABBETT CLIMATE FOCUSED BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LACFX	543916753	LORD ABBETT CONVERTIBLE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LACCX	543916738	LORD ABBETT CONVERTIBLE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LBFFX	543916498	LORD ABBETT CONVERTIBLE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LCFYX	543916712	LORD ABBETT CONVERTIBLE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LCFRX	543916472	LORD ABBETT CONVERTIBLE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LCRFX	543916431	LORD ABBETT CORE FIXED INCOME FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LCRRX	543916415	LORD ABBETT CORE FIXED INCOME FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LCRYX	543916803	LORD ABBETT CORE FIXED-INCOME FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAPLX	54401E572	LORD ABBETT CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LAPCX	54401E564	LORD ABBETT CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LPLFX	54401E556	LORD ABBETT CORE PLUS BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LBCAX	54401X851	LORD ABBETT CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LBCCX	54401X844	LORD ABBETT CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LCCFX	54401X836	LORD ABBETT CORPORATE BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LICIX	54401X810	LORD ABBETT CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LARAX	54400C205	LORD ABBETT CREDIT OPPORTUNITIES FUND CLASS A	T	Q	N	4:00 PM	01
LORD ABBETT FUNDS	LCRDY	54400C106	LORD ABBETT CREDIT OPPORTUNITIES FUND CLASS I	T	Q	N	4:00 PM	01
LORD ABBETT FUNDS	LAGWX	544006109	LORD ABBETT DEVELOPING GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
LORD ABBETT FUNDS	LADCX	544006307	LORD ABBETT DEVELOPING GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
LORD ABBETT FUNDS	LADFX	544006604	LORD ABBETT DEVELOPING GROWTH FUND CLASS F	NTF	F	Y	4:00 PM	01
LORD ABBETT FUNDS	LADYX	544006505	LORD ABBETT DEVELOPING GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
LORD ABBETT FUNDS	LADRX	544006802	LORD ABBETT DEVELOPING GROWTH FUND CLASS R3	T	J	Y	4:00 PM	01
LORD ABBETT FUNDS	LAMAX	543913859	LORD ABBETT DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LAMCX	543913834	LORD ABBETT DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAMFX	543913792	LORD ABBETT DIVIDEND GROWTH FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAMYX	543913818	LORD ABBETT DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAMQX	543913784	LORD ABBETT DIVIDEND GROWTH FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LAMRX	543913776	LORD ABBETT DIVIDEND GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LAMSX	543913636	LORD ABBETT DIVIDEND GROWTH FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LDAGX	54400B652	LORD ABBETT DURABLE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LDCGX	54400B645	LORD ABBETT DURABLE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LDFGX	54400B637	LORD ABBETT DURABLE GROWTH FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LDMAX	543908867	LORD ABBETT EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LDMCX	543908842	LORD ABBETT EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	LDMFX	543908776	LORD ABBETT EMERGING MARKETS BOND FUND CLASS F	T	F	N	4:00 PM	01
LORD ABBETT FUNDS	LDMYX	543908826	LORD ABBETT EMERGING MARKETS BOND FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LFRAX	543916191	LORD ABBETT FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LARCX	543916175	LORD ABBETT FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LFRFX	543916167	LORD ABBETT FLOATING RATE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LFRIX	543916134	LORD ABBETT FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LRRRX	543916142	LORD ABBETT FLOATING RATE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LAFLX	54400B108	LORD ABBETT FOCUSED ARGE CAP VALUE CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LFGAX	543915243	LORD ABBETT FOCUSED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LFGCX	543915235	LORD ABBETT FOCUSED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LFGFX	543915227	LORD ABBETT FOCUSED GROWTH FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LFGIX	543915193	LORD ABBETT FOCUSED GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LCFLX	54400B207	LORD ABBETT FOCUSED LARGE CAP VALUE CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LFFLX	54400B306	LORD ABBETT FOCUSED LARGE CAP VALUE CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LIFLX	54400B504	LORD ABBETT FOCUSED LARGE CAP VALUE CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LFVAX	54400B751	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LFVCX	54400B744	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LFSFX	54400B736	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LMVYX	54400W102	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LFSRX	54400B694	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LMVUX	54400B686	LORD ABBETT FOCUSED SMALL CAP VALUE FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LDFVX	543915862	LORD ABBETT FUNDAMENTAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	GILAX	543915607	LORD ABBETT FUNDAMENTAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAVFX	543915565	LORD ABBETT FUNDAMENTAL EQUITY FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAVYX	543915714	LORD ABBETT FUNDAMENTAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAGGX	543908388	LORD ABBETT GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LGFCX	543908370	LORD ABBETT GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LGBFX	543908362	LORD ABBETT GLOBAL BOND FUND CLASS F	T	F	N	4:00 PM	01
LORD ABBETT FUNDS	LGBYX	543908347	LORD ABBETT GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LGBRX	543908321	LORD ABBETT GLOBAL BOND FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LGBUX	543908313	LORD ABBETT GLOBAL BOND FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LGCAX	54400A654	LORD ABBETT GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LGCCX	54400A647	LORD ABBETT GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LGCFX	54400A639	LORD ABBETT GLOBAL EQUITY FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LGLAX	543915326	LORD ABBETT GROWTH LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LGLCX	543915318	LORD ABBETT GROWTH LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LGLFX	543915292	LORD ABBETT GROWTH LEADERS FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LGLIX	543915284	LORD ABBETT GROWTH LEADERS FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LGLQX	543915276	LORD ABBETT GROWTH LEADERS FUND CLASS R2	T	H	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	LGLRX	543915268	LORD ABBETT GROWTH LEADERS FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LMGAX	54400R103	LORD ABBETT GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LMGCX	54400R301	LORD ABBETT GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LGOFX	54400R608	LORD ABBETT GROWTH OPPORTUNITIES FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LMGYX	54400R400	LORD ABBETT GROWTH OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LGORX	54400R806	LORD ABBETT GROWTH OPPORTUNITIES FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LHCAX	54400A233	LORD ABBETT HEALTH CARE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LHCCX	54400A225	LORD ABBETT HEALTH CARE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LHCFX	54400A217	LORD ABBETT HEALTH CARE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LHCIX	54400A183	LORD ABBETT HEALTH CARE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	HYMAX	543912877	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	HYMCX	543912851	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	HYMIX	543912836	LORD ABBETT HIGH INCOME MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	HYMFX	543912810	LORD ABBETT HIGH NCOME MUNICIPAL BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LHYFX	54400N508	LORD ABBETT HIGH YIELD FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAHYX	54400N409	LORD ABBETT HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LHYRX	54400N706	LORD ABBETT HIGH YIELD FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LHYAX	54400N102	LORD ABBETT HIGH-YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LHYCX	54400N300	LORD ABBETT HIGH-YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAGVX	543916308	LORD ABBETT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LAUSX	543916506	LORD ABBETT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAUFX	543916365	LORD ABBETT INCOME FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAUYX	543916670	LORD ABBETT INCOME FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAURX	543916340	LORD ABBETT INCOME FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LIFAX	54400U205	LORD ABBETT INFLATION FOCUSED FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LIFCX	54400U304	LORD ABBETT INFLATION FOCUSED FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LIFFX	54400U403	LORD ABBETT INFLATION FOCUSED FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LIFIX	54400U502	LORD ABBETT INFLATION FOCUSED FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LIFQX	54400U601	LORD ABBETT INFLATION FOCUSED FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LIFRX	54400U700	LORD ABBETT INFLATION FOCUSED FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LISAX	543912604	LORD ABBETT INTERMEDIATE TAX FREE FUND CL A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LISCX	543912802	LORD ABBETT INTERMEDIATE TAX- FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LISFX	543912794	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAIIX	543902647	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LICAX	543915649	LORD ABBETT INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LICCX	543915623	LORD ABBETT INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LICFX	543915490	LORD ABBETT INTERNATIONAL EQUITY FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LICYX	543915599	LORD ABBETT INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LICRX	543915474	LORD ABBETT INTERNATIONAL EQUITY FUND CLASS R3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	LAAGX	54401T645	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LCAGX	54401T637	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LAFGX	54401T629	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS F	T	F	N	4:00 PM	01
LORD ABBETT FUNDS	LIAGX	54401T595	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LRAGX	54401T579	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LASGX	54401T561	LORD ABBETT INTERNATIONAL GROWTH FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LINQX	543915458	LORD ABBETT INTERNATIONAL OPPORTUNITIES FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LIDAX	543915391	LORD ABBETT INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LIDCX	543915375	LORD ABBETT INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LIDFX	543915367	LORD ABBETT INTERNATIONAL VALUE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LAIDX	543915359	LORD ABBETT INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LIRRX	543915334	LORD ABBETT INTERNATIONAL VALUE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LAIEX	543915854	LORD ABBETT INT'L OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LINCX	543915839	LORD ABBETT INT'L OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LINFX	543915466	LORD ABBETT INTL OPPORTUNITIES FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LINYX	543915813	LORD ABBETT INTL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LINRX	543915441	LORD ABBETT INTL OPPORTUNITIES FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LAMGX	54400B546	LORD ABBETT MICRO-CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
LORD ABBETT FUNDS	LCMGX	54400B538	LORD ABBETT MICRO-CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
LORD ABBETT FUNDS	LFMGX	54400B520	LORD ABBETT MICRO-CAP GROWTH FUND CLASS F	T	F	Y	4:00 PM	01
LORD ABBETT FUNDS	LMIYX	54400V104	LORD ABBETT MICRO-CAP GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
LORD ABBETT FUNDS	LMCFX	543919609	LORD ABBETT MID CAP STOCK FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LMCRX	543919807	LORD ABBETT MID CAP STOCK FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LMCSX	543919880	LORD ABBETT MID CAP STOCK FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LGIAX	54401T751	LORD ABBETT MID-CAP INNOVATION GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LGICX	54401T744	LORD ABBETT MID-CAP INNOVATION GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LGIFX	54401T736	LORD ABBETT MID-CAP INNOVATION GROWTH FUND CLASS F	T	F	N	4:00 PM	01
LORD ABBETT FUNDS	LGIIX	54401T710	LORD ABBETT MID-CAP INNOVATION GROWTH FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LAVLX	543919104	LORD ABBETT MID-CAP STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LMCCX	543919302	LORD ABBETT MID-CAP STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LMCYX	543919500	LORD ABBETT MIDCAP STOCK FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LABYX	543916696	LORD ABBETT MULTI- ASSET BALANCED OPPORTUNITY FD CL I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LABFX	543916209	LORD ABBETT MULTI- ASSET BALANCED OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	BFLAX	543916605	LORD ABBETT MULTI- ASSET BALANCED OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	BLARX	543916316	LORD ABBETT MULTI- ASSET BALANCED OPPORTUNITY FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	BLAFX	543916332	LORD ABBETT MULTI- ASSET BALANCED OPPRTUNITY F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	ISFAX	543916662	LORD ABBETT MULTI-ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	ISFCX	543916647	LORD ABBETT MULTI-ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LIGFX	543916290	LORD ABBETT MULTI-ASSET INCOME FUND CLASS F	NTF	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	ISFYX	543916621	LORD ABBETT MULTI-ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LIGQX	543916282	LORD ABBETT MULTI-ASSET INCOME FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LIXRX	543916274	LORD ABBETT MULTI-ASSET INCOME FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LIXSX	54401E705	LORD ABBETT MULTI-ASSET INCOME FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LANSX	543902100	LORD ABBETT NATIONAL TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LTNSX	543902860	LORD ABBETT NATIONAL TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LANFX	543902761	LORD ABBETT NATIONAL TAX-FREE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LTNIX	543902829	LORD ABBETT NATIONAL TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LNJFX	543902753	LORD ABBETT NEW JERSEY TAX FREE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LANJX	543902407	LORD ABBETT NEW JERSEY TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LINJX	543902662	LORD ABBETT NEW JERSEY TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LNFX	543902746	LORD ABBETT NEW YORK TAX FREE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LANYX	543902209	LORD ABBETT NEW YORK TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	NYLAX	543902837	LORD ABBETT NEW YORK TAX-FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	NYLIX	543902654	LORD ABBETT NEW YORK TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LDCAX	54401X109	LORD ABBETT SHORT DURATION CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LDCCX	54401X208	LORD ABBETT SHORT DURATION CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LDCFX	54401X307	LORD ABBETT SHORT DURATION CORE BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	SDHAX	543912729	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	SDHCX	543912711	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	SDHFX	543912695	LORD ABBETT SHORT DURATION HIGH INCOME MUNICIPAL BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LSYAX	54401T108	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LSYCX	54401T207	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LSYFX	54401T306	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LSYIX	54401T504	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LSYQX	54401T702	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LSYSX	54401T801	LORD ABBETT SHORT DURATION HIGH YIELD FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	SDHIX	543912687	LORD ABBETT SHORT DURATION HIGH YIELD INCOME MUNICIPAL BOND FUND CLASS A	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LDLRX	543916449	LORD ABBETT SHORT DURATION INCOME FD CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LALDX	543916100	LORD ABBETT SHORT DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LDLAX	543916704	LORD ABBETT SHORT DURATION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LDLFX	543916464	LORD ABBETT SHORT DURATION INCOME FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LLDYX	543916688	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LDLQX	543916456	LORD ABBETT SHORT DURATION INCOME FUND CLASS R2	T	H	N	4:00 PM	01
LORD ABBETT FUNDS	LDLKX	54401E648	LORD ABBETT SHORT DURATION INCOME FUND CLASS R4	T	K	N	4:00 PM	01
LORD ABBETT FUNDS	LSDAX	543912778	LORD ABBETT SHORT DURATION TAX FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LSDCX	543912752	LORD ABBETT SHORT DURATION TAX FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LSDFX	543912745	LORD ABBETT SHORT DURATION TAX FREE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LISDX	543912737	LORD ABBETT SHORT DURATION TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
LORD ABBETT FUNDS	LRSFX	543913735	LORD ABBETT SMALL CAP VALUE FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LRSYX	543913800	LORD ABBETT SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LRSRX	543913719	LORD ABBETT SMALL CAP VALUE FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LRSCX	543913305	LORD ABBETT SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LSRCX	543913701	LORD ABBETT SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LASAX	54401U105	LORD ABBETT SPECIAL SITUATIONS INCOME FUND CLASS A	T	Q	N	4:00 PM	01
LORD ABBETT FUNDS	LISSX	54401U204	LORD ABBETT SPECIAL SITUATIONS INCOME FUND CLASS I	T	Q	N	4:00 PM	01
LORD ABBETT FUNDS	LTRAX	543916845	LORD ABBETT TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LTRCX	543916829	LORD ABBETT TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LTRFX	543916399	LORD ABBETT TOTAL RETURN FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LTRYX	54400U106	LORD ABBETT TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LTRRX	543916373	LORD ABBETT TOTAL RETURN FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LUBAX	54401E473	LORD ABBETT ULTRA SHORT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LUBFX	54401E465	LORD ABBETT ULTRA SHORT BOND FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LUBYX	54401E457	LORD ABBETT ULTRA SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LVOAX	54400A100	LORD ABBETT VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LVOCX	54400A308	LORD ABBETT VALUE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LVOFX	54400A605	LORD ABBETT VALUE OPPORTUNITIES FUND CLASS F	NTF	F	N	4:00 PM	01
LORD ABBETT FUNDS	LVOYX	54400A506	LORD ABBETT VALUE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
LORD ABBETT FUNDS	LVORX	54400A803	LORD ABBETT VALUE OPPORTUNITIES FUND CLASS R3	T	J	N	4:00 PM	01
LORD ABBETT FUNDS	LCDAX	543908677	THE LORD ABBETT EMERGING MARKETS CORPORATE DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
LORD ABBETT FUNDS	LEDCX	543908669	THE LORD ABBETT EMERGING MARKETS CORPORATE DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
LORD ABBETT FUNDS	LCDFX	543908651	THE LORD ABBETT EMERGING MARKETS CORPORATE DEBT FUND CLASS F	NTF	F	N	4:00 PM	01
LS FUNDS	LSOFX	92046L601	LS OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LYRICAL FUNDS	LYRWX	90386H453	LYRICAL INTERNATIONAL VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LYRICAL FUNDS	LYRNX	90386H446	LYRICAL INTERNATIONAL VALUE EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
LYRICAL FUNDS	LYRIX	90386H404	LYRICAL U.S. VALUE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
LYRICAL FUNDS	LYRBX	90386H875	LYRICAL U.S. VALUE EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MADISON FUNDS	MAGSX	557492576	MADISON AGGRESSIVE ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
MADISON FUNDS	MAACX	557492550	MADISON AGGRESSIVE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MADISON FUNDS	MCNAX	557492642	MADISON CONSERVATIVE ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
MADISON FUNDS	MCOCX	557492626	MADISON CONSERVATIVE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MADISON FUNDS	MBOAX	557492303	MADISON CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	MBOIX	557492253	MADISON CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
MADISON FUNDS	MBOYX	557492501	MADISON CORE BOND FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MENAX	557492857	MADISON COVERED CALL & EQUITY INCOME FUND A	NTF	A	N	4:00 PM	01
MADISON FUNDS	MENCX	557492840	MADISON COVERED CALL & EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MADISON FUNDS	MENYX	557492832	MADISON COVERED CALL & EQUITY INCOME FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MBLAX	557492600	MADISON DIVERSIFIED INCOME FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MADISON FUNDS	MBLCX	557492808	MADISON DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MADISON FUNDS	MADAX	557492311	MADISON DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	MDMIX	557492295	MADISON DIVIDEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
MADISON FUNDS	BHBFX	557492477	MADISON DIVIDEND INCOME FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MIIBX	557492527	MADISON HIGH QUALITY BOND FUND CLASS Y	T	Y	N	4:00 PM	01
MADISON FUNDS	MINAX	557492675	MADISON INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	MINYX	557492659	MADISON INTERNATIONAL STOCK FUND CLASS Y	T	Y	N	4:00 PM	01
MADISON FUNDS	MIVIX	557492287	MADISON INVESTOR FUND CLASS I	T	I	N	4:00 PM	01
MADISON FUNDS	MNVAX	557492394	MADISON INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	MINVX	557492493	MADISON INVESTORS FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MERAX	557492741	MADISON MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	GTSGX	557492725	MADISON MID CAP FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MDCIX	557492279	MADISON MID-CAP FUND CLASS I	T	I	N	4:00 PM	01
MADISON FUNDS	MMDAX	557492618	MADISON MODERATE ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
MADISON FUNDS	MMDCX	557492584	MADISON MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MADISON FUNDS	MASMX	557492717	MADISON SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
MADISON FUNDS	BVAOX	557492683	MADISON SMALL CAP FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	MSCIX	557492261	MADISON SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
MADISON FUNDS	GTFHX	557492410	MADISON TAX-FREE NATIONAL FUND CLASS Y	NTF	Y	N	4:00 PM	01
MADISON FUNDS	GTVAX	557492428	MADISON TAX-FREE VIRGINIA FUND CLASS Y	T	Y	N	4:00 PM	01
MAIN BUYWRITE FUND	BUYWX	66538H708	MAIN BUYWRITE FUND CLASS I	T	I	N	4:00 PM	01
MAINGATE MLP FUNDS	AMPLX	560599102	MAINGATE MLP FUND CLASS A	NTF^	A	N	4:00 PM	02
MAINGATE MLP FUNDS	MLCPX	560599300	MAINGATE MLP FUND CLASS C	NTF^	C	N	4:00 PM	02
MAINGATE MLP FUNDS	IMLPX	560599201	MAINGATE MLP FUND CLASS I	T	I	N	4:00 PM	02
MAINSTAY FUNDS	MBNAX	56063U190	MAINSTAY BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MBACX	56063U174	MAINSTAY BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MBAIX	56063U166	MAINSTAY BALANCED FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MBDRX	56063U133	MAINSTAY BALANCED FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MBINX	56063U117	MAINSTAY BALANCED FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MCYAX	56064L561	MAINSTAY CANDRIAM EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MCYCX	56064L546	MAINSTAY CANDRIAM EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MCYIX	56064L538	MAINSTAY CANDRIAM EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	VCRAx	56064L330	MAINSTAY CBRE GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	VCRCX	56064L314	MAINSTAY CBRE GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	VCRIX	56064L298	MAINSTAY CBRE GLOBAL INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	VCRVX	56064L322	MAINSTAY CBRE GLOBAL INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	CLARX	56064L272	MAINSTAY CBRE REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	CRCRX	56064L256	MAINSTAY CBRE REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	CRARX	56064L249	MAINSTAY CBRE REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MAINSTAY FUNDS	CRWRX	56064L231	MAINSTAY CBRE REAL ESTATE FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	CRVRX	56064L264	MAINSTAY CBRE REAL ESTATE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MCKAX	56063U208	MAINSTAY CONSERVATIVE ALLOCATION FUND CL A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MCKCX	56063U406	MAINSTAY CONSERVATIVE ALLOCATION FUND CL C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MCKIX	56063U505	MAINSTAY CONSERVATIVE ALLOCATION FUND CL I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MCKKX	56064L462	MAINSTAY CONSERVATIVE ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MCKRX	56064B316	MAINSTAY CONSERVATIVE ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MCKNX	56063U109	MAINSTAY CONSERVATIVE ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MNEAX	56065F605	MAINSTAY CONSERVATIVE ETF ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MNEKX	56065F803	MAINSTAY CONSERVATIVE ETF ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MNELX	56065F886	MAINSTAY CONSERVATIVE ETF ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MNERX	56065F878	MAINSTAY CONSERVATIVE ETF ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	CSHAX	56064B563	MAINSTAY CUSHING MLP PREMIER FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	CSHCX	56064B548	MAINSTAY CUSHING MLP PREMIER FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	CSHZX	56064B530	MAINSTAY CUSHING MLP PREMIER FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	CSHNX	56064B555	MAINSTAY CUSHING MLP PREMIER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MDNAX	56065F100	MAINSTAY DEFENSIVE ETF ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MDNCX	56065F308	MAINSTAY DEFENSIVE ETF ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MDNIX	56065F407	MAINSTAY DEFENSIVE ETF ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MDNRX	56065F506	MAINSTAY DEFENSIVE ETF ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MECDX	56063N824	MAINSTAY EPOCH CAPITAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MECEX	56063N790	MAINSTAY EPOCH CAPITAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MECFX	56063N782	MAINSTAY EPOCH CAPITAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MECVX	56063N816	MAINSTAY EPOCH CAPITAL GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	EPSPX	56063J880	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	EPSKX	56063J872	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	EPSYX	56063J864	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	EPSZX	56064B571	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	EPSHX	56064B266	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	EPSIX	56063J856	MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	ICEVX	56063J732	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	ICEWX	56063J724	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	ICEUX	56063J716	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	ICETX	56063J690	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS R1	T	G	N	4:00 PM	01
MAINSTAY FUNDS	ICEYX	56063J682	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	ICEZX	56063J674	MAINSTAY EPOCH INTERNATIONAL CHOICE FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	EPLPX	56063J104	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	EPLKX	56063J203	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	EPLCX	56063J302	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MAINSTAY FUNDS	EPLRX	56064L629	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS R1	T	G	N	4:00 PM	01
MAINSTAY FUNDS	EPLSX	56064L611	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	EPLTX	56064L595	MAINSTAY EPOCH U.S. EQUITY YIELD FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	EPLIX	56063J401	MAINSTAY EPOCH U.S. EQUITY YIELD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MGXAX	56063U703	MAINSTAY EQUITY ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MGXCX	56063U885	MAINSTAY EQUITY ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MGXIX	56063U877	MAINSTAY EQUITY ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MGXRX	56064B290	MAINSTAY EQUITY ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MGXNX	56063U604	MAINSTAY EQUITY ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MWFAX	56065F753	MAINSTAY EQUITY ETF ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MWFCX	56065F738	MAINSTAY EQUITY ETF ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MWFIX	56065F720	MAINSTAY EQUITY ETF ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MWFQX	56065F712	MAINSTAY EQUITY ETF ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MXFAX	56063J377	MAINSTAY FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MXFCX	56063J351	MAINSTAY FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MXFIX	56063J344	MAINSTAY FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MXFHX	56064B241	MAINSTAY FLOATING RATE FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MXFNX	56063J385	MAINSTAY FLOATING RATE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MGDAX	56063U794	MAINSTAY GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MGDCX	56063U778	MAINSTAY GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MGDIX	56063U760	MAINSTAY GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MGDJX	56064L439	MAINSTAY GROWTH ALLOCATION FUND CLASS R1	T	G	N	4:00 PM	01
MAINSTAY FUNDS	MGDKX	56064L421	MAINSTAY GROWTH ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MGDRX	56064B274	MAINSTAY GROWTH ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MGDNX	56063U810	MAINSTAY GROWTH ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MOEAX	56065F811	MAINSTAY GROWTH ETF ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MOECX	56065F787	MAINSTAY GROWTH ETF ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MOEIX	56065F779	MAINSTAY GROWTH ETF ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MOERX	56065F761	MAINSTAY GROWTH ETF ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MHHIX	56062X476	MAINSTAY HIGH YIELD CORPORATE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MTRAX	56062F798	MAINSTAY INCOME BUILDER FD CL A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MCTRX	56062F426	MAINSTAY INCOME BUILDER FD CL C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MTOIX	56062X849	MAINSTAY INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MTXVX	56063N840	MAINSTAY INCOME BUILDER FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MTINX	56062X369	MAINSTAY INCOME BUILDER FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MSCAX	56064B696	MAINSTAY MACKAY CALIFORNIA TAX FREE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MSCCX	56064B688	MAINSTAY MACKAY CALIFORNIA TAX FREE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MCOIX	56064B670	MAINSTAY MACKAY CALIFORNIA TAX FREE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MCSSX	56062X534	MAINSTAY MACKAY COMMON STOCK FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MAINSTAY FUNDS	MCOAX	56062F780	MAINSTAY MACKAY CONVERTIBLE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MCCVX	56062F434	MAINSTAY MACKAY CONVERTIBLE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MCNVX	56063N600	MAINSTAY MACKAY CONVERTIBLE FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MCINX	56062X526	MAINSTAY MACKAY CONVERTIBLE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MGHAX	56062F475	MAINSTAY MACKAY EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MHYCX	56062F244	MAINSTAY MACKAY EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MGHIX	56062X559	MAINSTAY MACKAY EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MHCAX	56062F772	MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MYHCX	56062F376	MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MHYIX	56062X708	MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MHYRX	56063N501	MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MHYTX	56063N857	MAINSTAY MACKAY HIGH YIELD CORPORATE BOND FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MMHAX	56063U547	MAINSTAY MACKAY HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MMHDX	56063U539	MAINSTAY MACKAY HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MMHIX	56063U521	MAINSTAY MACKAY HIGH YIELD MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MSEAX	56062F681	MAINSTAY MACKAY INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MIECX	56062F442	MAINSTAY MACKAY INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MSIIX	56062X807	MAINSTAY MACKAY INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MINNX	56062X468	MAINSTAY MACKAY INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MNOAX	56063U240	MAINSTAY MACKAY NEW YORK TAX FREE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MNOCX	56063U232	MAINSTAY MACKAY NEW YORK TAX FREE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MNOIX	56063U224	MAINSTAY MACKAY NEW YORK TAX FREE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MSXAX	56063J179	MAINSTAY MACKAY S&P 500 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MSPIX	56063J161	MAINSTAY MACKAY S&P 500 INDEX FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MDHAX	56064B753	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MDHCX	56064B746	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MDHIX	56064B738	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MDHRX	56064B720	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MDHTX	56064B233	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MDHVX	56064B761	MAINSTAY MACKAY SHORT DURATION HIGH YIELD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MSTAX	56063J146	MAINSTAY MACKAY SHORT TERM MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MSTIX	56063J138	MAINSTAY MACKAY SHORT-TERM MUNICIPAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MASAX	56062F657	MAINSTAY MACKAY STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MSICX	56062F350	MAINSTAY MACKAY STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MSDIX	56062X500	MAINSTAY MACKAY STRATEGIC BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MSIRX	56063N873	MAINSTAY MACKAY STRATEGIC BOND FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MSDJX	56063N832	MAINSTAY MACKAY STRATEGIC BOND FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MSYDX	56062X518	MAINSTAY MACKAY STRATEGIC BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MTFDX	56064L389	MAINSTAY MACKAY STRATEGIC MUNICIPAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MAINSTAY FUNDS	MTFFX	56064L363	MAINSTAY MACKAY STRATEGIC MUNICIPAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MTFGX	56064L355	MAINSTAY MACKAY STRATEGIC MUNICIPAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MTFEX	56064L371	MAINSTAY MACKAY STRATEGIC MUNICIPAL ALLOCATION FUND INVESTOR CLAS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MTBAX	56062F756	MAINSTAY MACKAY TAX FREE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MTFCX	56062F327	MAINSTAY MACKAY TAX FREE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MTBIX	56063N709	MAINSTAY MACKAY TAX FREE BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MKINX	56062X377	MAINSTAY MACKAY TAX-FREE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MTMAX	56063J237	MAINSTAY MACKAY TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MTMCX	56063J211	MAINSTAY MACKAY TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MTMIX	56063J195	MAINSTAY MACKAY TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MTRVX	56064B225	MAINSTAY MACKAY TOTAL RETURN BOND FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MTMNX	56063J245	MAINSTAY MACKAY TOTAL RETURN BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MGVAX	56062F764	MAINSTAY MACKAY U.S. INFRASTRUCTURE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MGVCX	56062F384	MAINSTAY MACKAY U.S. INFRASTRUCTURE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MGOIX	56062X609	MAINSTAY MACKAY U.S. INFRASTRUCTURE BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MGVNX	56062X484	MAINSTAY MACKAY U.S. INFRASTRUCTURE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MMRAX	56063U851	MAINSTAY MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MMRCX	56063U836	MAINSTAY MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MMRIX	56063U828	MAINSTAY MODERATE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MMRKX	56064L447	MAINSTAY MODERATE ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MMRHX	56064B282	MAINSTAY MODERATE ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MMRDY	56063U869	MAINSTAY MODERATE ALLOCATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MDAAX	56065F860	MAINSTAY MODERATE ETF ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MDAKX	56065F845	MAINSTAY MODERATE ETF ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MDAIX	56065F837	MAINSTAY MODERATE ETF ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MDARX	56065F829	MAINSTAY MODERATE ETF ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MIXAX	56063J260	MAINSTAY SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MIXIX	56063J252	MAINSTAY SHORT TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MLAAX	56062X674	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MLACX	56062X658	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MLAIX	56062X641	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MLRRX	56062X633	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS R1	T	G	N	4:00 PM	01
MAINSTAY FUNDS	MLRTX	56062X625	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS R2	T	H	N	4:00 PM	01
MAINSTAY FUNDS	MLGRX	56062X617	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MLINX	56062X450	MAINSTAY WINSLOW LARGE-CAP GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MSOAX	56062F541	MAINSTAY WMC ENDURING CAPITAL FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MGOCX	56062F269	MAINSTAY WMC ENDURING CAPITAL FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MSOIX	56062X716	MAINSTAY WMC ENDURING CAPITAL FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MSOSX	56063N865	MAINSTAY WMC ENDURING CAPITAL FUND CLASS R3	T	J	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MAINSTAY FUNDS	KLGA	56064B829	MAINSTAY WMC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	KLGCX	56064B795	MAINSTAY WMC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	KLGI	56064B787	MAINSTAY WMC GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	KLGNX	56064B837	MAINSTAY WMC GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MYITX	56063U653	MAINSTAY WMC INTERNATIONAL RESEARCH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MYICX	56063U646	MAINSTAY WMC INTERNATIONAL RESEARCH EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MYIIX	56063U638	MAINSTAY WMC INTERNATIONAL RESEARCH EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MOPAX	56064B100	MAINSTAY WMC SMALL COMPANIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MOPCX	56064B308	MAINSTAY WMC SMALL COMPANIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MOPIX	56064B407	MAINSTAY WMC SMALL COMPANIES FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MOVX	56064B258	MAINSTAY WMC SMALL COMPANIES FUND CLASS R3	T	J	N	4:00 PM	01
MAINSTAY FUNDS	MOINX	56063U125	MAINSTAY WMC SMALL COMPANIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAINSTAY FUNDS	MAPAX	56062F210	MAINSTAY WMC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MAINSTAY FUNDS	MMPCX	56062F186	MAINSTAY WMC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
MAINSTAY FUNDS	MUBFX	56062F228	MAINSTAY WMC VALUE FUND CLASS I	T	I	N	4:00 PM	01
MAINSTAY FUNDS	MSMIX	56062X443	MAINSTAY WMC VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MAIRS & POWERS FUNDS	MAPOX	56064V304	MAIRS AND POWER BALANCED FUND	T		N	4:00 PM	01
MAIRS & POWERS FUNDS	MPGFX	56064V205	MAIRS AND POWER GROWTH FUND	T		N	4:00 PM	01
MAIRS & POWERS FUNDS	MSCFX	56064V106	MAIRS AND POWER SMALL CAP FUND	T		N	4:00 PM	01
MANNING & NAPIER FUNDS	EXCIX	56382R720	MANNING & NAPIER CORE BOND SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXCRX	563821404	MANNING & NAPIER CORE BOND SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNDFX	56382P658	MANNING & NAPIER DISCIPLINED VALUE SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MDFSX	56382P476	MANNING & NAPIER DISCIPLINED VALUE SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MDVZX	56382R274	MANNING & NAPIER DISCIPLINED VALUE SERIES FUND CLASS Z	T+	Z	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXEYX	563821602	MANNING & NAPIER EQUITY SERIES CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXDVX	563821677	MANNING & NAPIER FD INC. DIVERSIFIED TAX EXEMPT SERIES	T+	A	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXNTX	563821719	MANNING & NAPIER FD INC. NY TAX EXEMPT SERIES	T+	A	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNHAX	56382P195	MANNING & NAPIER HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNHYX	56382P583	MANNING & NAPIER HIGH YIELD BOND FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MHYZX	56382R241	MANNING & NAPIER HIGH YIELD BOND SERIES FUND CLASS Z	T+	Z	N	4:00 PM	01
MANNING & NAPIER FUNDS	RAIIX	56382R688	MANNING & NAPIER INC RAINIER INT'L DISCOVERY SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXOSX	563821503	MANNING & NAPIER OVERSEAS SERIES CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNOSX	56382R480	MANNING & NAPIER OVERSEAS SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNOZX	56382R472	MANNING & NAPIER OVERSEAS SERIES FUND CLASS Z	T+	Z	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNCCX	56382P633	MANNING & NAPIER PRO-BLEND CONSERV TERM SERIES FUND CLASS L	T	L	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNCIX	56382P690	MANNING & NAPIER PRO-BLEND CONSERVATIVE-TERM SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNCRX	563821628	MANNING & NAPIER PRO-BLEND CONSERVATIVE-TERM SERIES FUND CLASS R	T	R	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXDAX	563821651	MANNING & NAPIER PRO-BLEND CONSERVATIVE-TERM SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNECX	56382P617	MANNING & NAPIER PRO-BLEND EXTENDED TERM SERIES FUND CLASS L	T	L	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MANNING & NAPIER FUNDS	MNBIX	56382P674	MANNING & NAPIER PRO-BLEND EXTENDED-TERM SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNBRX	563821446	MANNING & NAPIER PRO-BLEND EXTENDED-TERM SERIES FUND CLASS R	T	R	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNBAX	563821768	MANNING & NAPIER PRO-BLEND EXTENDED-TERM SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNHGX	56382P591	MANNING & NAPIER PRO-BLEND MAXIMUM TERM SERIES FUND CLASS L	T	L	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNHIX	56382P666	MANNING & NAPIER PRO-BLEND MAXIMUM-TERM SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNHRX	563821560	MANNING & NAPIER PRO-BLEND MAXIMUM-TERM SERIES FUND CLASS R	T	R	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXHAX	563821594	MANNING & NAPIER PRO-BLEND MAXIMUM-TERM SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNMCX	56382P625	MANNING & NAPIER PRO-BLEND MODERATE TERM SERIES FUND CLASS L	T	L	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNMIX	56382P682	MANNING & NAPIER PRO-BLEND MODERATE-TERM SERIES FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNMRX	563821487	MANNING & NAPIER PRO-BLEND MODERATE-TERM SERIES FUND CLASS R	T	R	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXBAX	563821776	MANNING & NAPIER PRO-BLEND MODERATE-TERM SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	RAIRX	56382R662	MANNING & NAPIER RAINIER INTERNATIONAL DISCOVERY SERIES FUND CLASS	T+	Z	N	4:00 PM	01
MANNING & NAPIER FUNDS	RISAX	56382R670	MANNING & NAPIER RAINIER INTL DISCOVERY SERIES FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNRIX	56382P187	MANNING & NAPIER REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNREX	56382P641	MANNING & NAPIER REAL ESTATE FUND CLASS S	NTF	S	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNRZX	56382R258	MANNING & NAPIER REAL ESTATE SERIES FUND CLASS Z	T+	Z	N	4:00 PM	01
MANNING & NAPIER FUNDS	MNCPX	56382P112	MANNING & NAPIER UNCONSTRAINED BOND SERIES CLASS I	T	I	N	4:00 PM	01
MANNING & NAPIER FUNDS	EXCPX	563821206	MANNING & NAPIER UNCONSTRAINED BOND SERIES CLASS S	NTF	S	N	4:00 PM	01
MARKETFIELD FUNDS	MFADX	89834E278	MARKETFIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MARKETFIELD FUNDS	MFCDX	89834E252	MARKETFIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MARKETFIELD FUNDS	MFLDX	89834E245	MARKETFIELD FUND CLASS I	T	I	Y	4:00 PM	01
MARSHFIELD FUNDS	MRFOX	90386H735	MARSHFIELD CONCENTRATED OPPORTUNITIES FUND	T		N	4:00 PM	01
MARSICO FUNDS	MIFOX	573012804	MARSICO FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MARSICO FUNDS	MFOCX	573012101	MARSICO FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MARSICO FUNDS	MIGOX	573012853	MARSICO GLOBAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MARSICO FUNDS	MGLBX	573012606	MARSICO GLOBAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MARSICO FUNDS	MIGWX	573012887	MARSICO GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MARSICO FUNDS	MGRIX	573012200	MARSICO GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MARSICO FUNDS	MIOX	573012861	MARSICO INTERNATIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MARSICO FUNDS	MIOFX	573012408	MARSICO INTERNATIONAL OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MARSICO FUNDS	MIDFX	573012879	MARSICO MID-CAP GROWTH FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	MMBDX	57629E266	MASSMUTUAL BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MBCGX	57629S729	MASSMUTUAL BLUE CHIP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MBCZX	57630E875	MASSMUTUAL BLUE CHIP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	MMCBX	57629E167	MASSMUTUAL CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MCZZX	57629H350	MASSMUTUAL CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	MPGAX	57629E712	MASSMUTUAL DISCIPLINED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MPDIX	57630C804	MASSMUTUAL DISCIPLINED GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	MEPAX	57629E761	MASSMUTUAL DISCIPLINED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MASSMUTUAL FUNDS	MDDAX	57629U633	MASSMUTUAL DIVERSIFIED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	BXECX	576291850	MASSMUTUAL EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS	NTF^	C	N	4:00 PM	01
MASSMUTUAL FUNDS	BXEAX	576291868	MASSMUTUAL EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS	T	L	N	4:00 PM	01
MASSMUTUAL FUNDS	BXEYX	576291876	MASSMUTUAL EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	MFVAX	57629S869	MASSMUTUAL EQUITY OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MOTAX	57629S703	MASSMUTUAL FUNDAMENTAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MFUAX	57629S679	MASSMUTUAL FUNDAMENTAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MFUYX	57629S653	MASSMUTUAL FUNDAMENTAL VALUE FUND SERVICE CLASS	T	S	N	4:00 PM	01
MASSMUTUAL FUNDS	BXICX	576291801	MASSMUTUAL GLOBAL CREDIT INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MASSMUTUAL FUNDS	BXIAX	576291702	MASSMUTUAL GLOBAL CREDIT INCOME OPPORTUNITIES FUND CLASS L	T	L	N	4:00 PM	01
MASSMUTUAL FUNDS	BXIYX	576291603	MASSMUTUAL GLOBAL CREDIT INCOME OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	BXQYX	576291835	MASSMUTUAL GLOBAL EMERGING MARKETS EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	BXFCX	576291405	MASSMUTUAL GLOBAL FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
MASSMUTUAL FUNDS	BXFAX	576291306	MASSMUTUAL GLOBAL FLOATING RATE FUND CLASS L	T	L	N	4:00 PM	01
MASSMUTUAL FUNDS	BXFYX	576291207	MASSMUTUAL GLOBAL FLOATING RATE FUND CLASS Y	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	MGFAX	57629H723	MASSMUTUAL GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MMAAX	57629S307	MASSMUTUAL GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MPHAX	57629E662	MASSMUTUAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	BXHGX	57630C630	MASSMUTUAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MASSMUTUAL FUNDS	BXHGX	57630C622	MASSMUTUAL HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	MPSAX	57629E415	MASSMUTUAL INFLATION-PROTECTED AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MIPZX	57629H319	MASSMUTUAL INFLATION-PROTECTED AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	MMIAX	57629E316	MASSMUTUAL INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MSSAX	57629H830	MASSMUTUAL MAIN STREET FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MEFAX	57629R127	MASSMUTUAL MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MEFZX	57630A592	MASSMUTUAL MID-CAP GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
MASSMUTUAL FUNDS	MLUAX	57629U336	MASSMUTUAL MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MOSAX	57629S760	MASSMUTUAL OVERSEAS FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MDVAX	57629E118	MASSMUTUAL PREMIER DIVERSIFIED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MRTAX	57629U773	MASSMUTUAL RETIRESMART 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MMSDX	57630A865	MASSMUTUAL RETIRESMART 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MRYAX	57629U724	MASSMUTUAL RETIRESMART 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MMXAX	57630A816	MASSMUTUAL RETIRESMART 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MRFAX	57629U666	MASSMUTUAL RETIRESMART 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MRDAX	57629U880	MASSMUTUAL RETIRESMART IN RETIREMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MIEAX	57629R515	MASSMUTUAL SELECT INDEXED EQUITY FUND CLASS R4	T	K	N	4:00 PM	01
MASSMUTUAL FUNDS	MMARX	57629U195	MASSMUTUAL SELECT RETIRESMART 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MASSMUTUAL FUNDS	MSHAX	57629E365	MASSMUTUAL SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MSTZX	57629H368	MASSMUTUAL SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
MASSMUTUAL FUNDS	BXDCX	57630C614	MASSMUTUAL SHORT-DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MASSMUTUAL FUNDS	BXDLX	57630C598	MASSMUTUAL SHORT-DURATION BOND FUND CLASS L	T	L	N	4:00 PM	01
MASSMUTUAL FUNDS	BXDYX	57630C580	MASSMUTUAL SHORT-DURATION BOND FUND CLASS Y	T	Y	N	4:00 PM	01
MASSMUTUAL FUNDS	MMYAX	57629S547	MASSMUTUAL SMALL COMPANY VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MMGEX	57629R325	MASSMUTUAL SMALL-CAP GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	DLBMX	57629E613	MASSMUTUAL SMALL-CAP OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MMQAX	57629U476	MASSMUTUAL SMALL-CAP VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MSBAX	57629U559	MASSMUTUAL STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MASSMUTUAL FUNDS	MSPGX	57630A691	MASSMUTUAL TOTAL RETURN BOND FUND CLASS R4	T	K	Y	4:00 PM	01
MATRIX ADVISORS FUNDS	MADFX	57681H108	MATRIX ADVISORS DIVIDEND FUND	T		N	4:00 PM	01
MATRIX ADVISORS FUNDS	MAVFX	57681T102	MATRIX ADVISORS VALUE FUND	T		N	4:00 PM	01
MATTHEWS FUNDS	MCRDX	577130677	MATTHEWS ASIA CREDIT OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MICPX	577130669	MATTHEWS ASIA CREDIT OPPTYFUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MAPIX	577125107	MATTHEWS ASIA DIVIDEND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIPIX	577130750	MATTHEWS ASIA DIVIDEND INSTITUTIONAL FUND	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MISFX	577130719	MATTHEWS ASIA ESG FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MASGX	577130727	MATTHEWS ASIA ESG FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIAPX	577130776	MATTHEWS ASIA GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MPACX	577130867	MATTHEWS ASIA GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MITEX	577125859	MATTHEWS ASIA INNOVATORS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MATFX	577130883	MATTHEWS ASIA INNOVATORS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MINCX	577125602	MATTHEWS ASIA TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MAINX	577125503	MATTHEWS ASIA TOTAL RETURN BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MACSX	577130206	MATTHEWS ASIAN GROWTH & INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MICSX	577130842	MATTHEWS ASIAN GROWTH AND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MICDX	577130735	MATTHEWS CHINA DIVIDEND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MCDFX	577125305	MATTHEWS CHINA DIVIDEND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MICFX	577130818	MATTHEWS CHINA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MCHFX	577130701	MATTHEWS CHINA FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MICHX	577125842	MATTHEWS CHINA SMALL COMPANIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MCSMX	577125404	MATTHEWS CHINA SMALL COMPANIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIEFX	577130644	MATTHEWS EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MEGMX	577130651	MATTHEWS EMERGING MARKETS EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MATTHEWS FUNDS	MISMX	577125867	MATTHEWS EMERGING MARKETS SMALL COMPANIES FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MSMLX	577125206	MATTHEWS EMERGING MARKETS SMALL COMPANIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MINDX	577130859	MATTHEWS INDIA FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIDNX	577130768	MATTHEWS INDIA INSTITUTIONAL FUND	T	I	N	4:00 PM	01

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MATTHEWS FUNDS	MIJFX	577130792	MATTHEWS JAPAN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MJFOX	577130800	MATTHEWS JAPAN FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIKOX	577130826	MATTHEWS KOREA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MAKOX	577130305	MATTHEWS KOREA FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MATTHEWS FUNDS	MIPTX	577130834	MATTHEWS PACIFIC TIGER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MATTHEWS FUNDS	MAPTX	577130107	MATTHEWS PACIFIC TIGER FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
MEEDER FUNDS	BLNAX	58510R739	MEEDER BALANCED FUND ADVISER CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	BLNIX	58510R721	MEEDER BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLDFX	58510R705	MEEDER BALANCED FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	IFAAAX	58510R622	MEEDER CONSERVATIVE ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	IFAIX	58510R846	MEEDER CONSERVATIVE ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLRUX	58510R861	MEEDER CONSERVATIVE ALLOCATION FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	DYGAX	58510R770	MEEDER DYNAMIC ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	DYGIX	58510R762	MEEDER DYNAMIC ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLDGX	58510R879	MEEDER DYNAMIC ALLOCATION FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	GBPAX	58510R713	MEEDER GLOBAL ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	GBPIX	58510R697	MEEDER GLOBAL ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLFGX	58510R606	MEEDER GLOBAL ALLOCATION FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	DVOAX	58510R648	MEEDER MODERATE ALLOCATION FUND ADVISOR CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	DVOIX	58510R630	MEEDER MODERATE ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLDOX	58510R853	MEEDER MODERATE ALLOCATION FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	FLMAX	58510R796	MEEDER MUIRFIELD FUND ADVISER CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	FLMIX	58510R788	MEEDER MUIRFIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLMFX	58510R309	MEEDER MUIRFIELD FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	QNTAX	58510R820	MEEDER QUANTEX FUND ADVISER CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	QNTIX	58510R812	MEEDER QUANTEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLCGX	58510R101	MEEDER QUANTEX FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	SRUAX	58510R663	MEEDER SPECTRUM FUND ADVISER CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	SRUIX	58510R655	MEEDER SPECTRUM FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLSPX	58510R408	MEEDER SPECTRUM FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MEEDER FUNDS	BNDAX	58510R689	MEEDER TACTICAL INCOME FUND ADVISER CLASS	T	O	N	4:00 PM	01
MEEDER FUNDS	BNDIX	58510R671	MEEDER TACTICAL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MEEDER FUNDS	FLBDX	58510R507	MEEDER TACTICAL INCOME FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
MERCATOR FUNDS	MOOPX	19423L839	MERCATOR INTERNATIONAL OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MERCATOR FUNDS	MOPPX	19423L102	MERCATOR INTERNATIONAL OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MERGER/VIRTUS FUNDS	MERFX	589509108	THE MERGER FUND CLASS A	NTF^	A	N	4:00 PM	01
MERGER/VIRTUS FUNDS	MERIX	589509207	THE MERGER FUND CLASS I	T	I	N	4:00 PM	01
MERGER/VIRTUS FUNDS	WCFRX	95737C707	VIRTUS WESTCHESTER CREDIT EVENT FUND CLASS A	T	A	N	4:00 PM	01
MERGER/VIRTUS FUNDS	WCFIX	95737C806	VIRTUS WESTCHESTER CREDIT EVENT FUND CLASS I	T	I	N	4:00 PM	01

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MERGER/VIRTUS FUNDS	WCERX	95737C509	VIRTUS WESTCHESTER EVENT-DRIVEN FUND CLASS A	NTF^	A	N	4:00 PM	01
MERGER/VIRTUS FUNDS	WCEIX	95737C608	VIRTUS WESTCHESTER EVENT-DRIVEN FUND CLASS I	T	I	N	4:00 PM	01
MERIDIAN FUNDS	MFCAX	589619865	MERIDIAN CONTRARIAN FUND CLASS A	NTF^	A	N	4:00 PM	01
MERIDIAN FUNDS	MFCCX	589619774	MERIDIAN CONTRARIAN FUND CLASS C	NTF^	C	N	4:00 PM	01
MERIDIAN FUNDS	MFCIX	589619873	MERIDIAN CONTRARIAN FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MERIDIAN FUNDS	MVALX	589619204	MERIDIAN CONTRARIAN FUND LEGACY CLASS	T	L	Y	4:00 PM	01
MERIDIAN FUNDS	MRAEX	589619501	MERIDIAN ENHANCED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MERIDIAN FUNDS	MRCEX	589619790	MERIDIAN ENHANCED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MERIDIAN FUNDS	MRIEX	589619402	MERIDIAN ENHANCED EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MERIDIAN FUNDS	MEIFX	589619303	MERIDIAN ENHANCED EQUITY FUND LEGACY CLASS	T	L	N	4:00 PM	01
MERIDIAN FUNDS	MRAGX	589619808	MERIDIAN GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
MERIDIAN FUNDS	MRCGX	589619782	MERIDIAN GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
MERIDIAN FUNDS	MRRGX	589619881	MERIDIAN GROWTH FUND INSTITUTIONAL CLASS	T+	I	Y	4:00 PM	01
MERIDIAN FUNDS	MRIGX	589619709	MERIDIAN GROWTH FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
MERIDIAN FUNDS	MERDX	589619105	MERIDIAN GROWTH FUND LEGACY CLASS	T	L	Y	4:00 PM	01
MERIDIAN FUNDS	MSGAX	589619832	MERIDIAN SMALL CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
MERIDIAN FUNDS	MSGCX	589619766	MERIDIAN SMALL CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
MERIDIAN FUNDS	MSGRX	589619824	MERIDIAN SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
MERIDIAN FUNDS	MISGX	589619840	MERIDIAN SMALL CAP GROWTH FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
MERIDIAN FUNDS	MSGGX	589619816	MERIDIAN SMALL CAP GROWTH FUND LEGACY CLASS	T	L	N	4:00 PM	01
METROPOLITAN FUNDS	MWATX	592905400	METROPOLITAN WEST ALPHA TRAK 500 FUND CLASS M	T	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWCBX	592905665	METROPOLITAN WEST CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWCSX	592905657	METROPOLITAN WEST CORPORATE BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWESX	592905616	METROPOLITAN WEST ESG SECURITIZED FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWERX	592905590	METROPOLITAN WEST ESG SECURITIZED FUND CLASS M	T	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWFEX	592905715	METROPOLITAN WEST FLEXIBLE INCOME FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWFSX	592905699	METROPOLITAN WEST FLEXIBLE INCOME FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWFLX	592905723	METROPOLITAN WEST FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWFRX	592905731	METROPOLITAN WEST FLOATING RATE INCOME FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWHYX	592905871	METROPOLITAN WEST HIGH YIELD BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWHIX	592905848	METROPOLITAN WEST HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWIIX	592905855	METROPOLITAN WEST INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWIMX	592905863	METROPOLITAN WEST INTERMEDIATE BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWIGX	592905681	METROPOLITAN WEST INVSTMT GR CRDT FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWISX	592905673	METROPOLITAN WEST INVSTMT GR CRDT FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWLNX	592905780	METROPOLITAN WEST LOW DURATION BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
METROPOLITAN FUNDS	MWLIx	592905608	METROPOLITAN WEST LOW DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWLDX	592905202	METROPOLITAN WEST LOW DURATION BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWOPX	592905582	METROPOLITAN WEST OPPORTUNISTIC HIGH INCOME CREDIT FUND CLASS I	T	I	N	4:00 PM	01

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METROPOLITAN FUNDS	MWORX	592905574	METROPOLITAN WEST OPPORTUNISTIC HIGH INCOME CREDIT FUND CLASS M	T	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWSIX	592905822	METROPOLITAN WEST STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWSTX	592905830	METROPOLITAN WEST STRATEGIC INCOME FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWTNX	592905772	METROPOLITAN WEST TOTAL RETURN BOND FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
METROPOLITAN FUNDS	MWTIX	592905509	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWTTX	592905640	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I2	T+	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWTRX	592905103	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWTSX	592905764	METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS P	T+	P	N	4:00 PM	01
METROPOLITAN FUNDS	MWUIX	592905798	METROPOLITAN WEST ULTRA SHORT BOND FD CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWUSX	592905814	METROPOLITAN WEST ULTRA SHORT BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWCIX	592905749	METROPOLITAN WEST UNCONSTRAINED BOND FUND CLASS I	T	I	N	4:00 PM	01
METROPOLITAN FUNDS	MWCRX	592905756	METROPOLITAN WEST UNCONSTRAINED BOND FUND CLASS M	NTF	M	N	4:00 PM	01
METROPOLITAN FUNDS	MWCPX	592905632	METROPOLITAN WEST UNCONSTRAINED BOND FUND PLAN CLASS	T+	P	N	4:00 PM	01
MFS FUNDS	MAAGX	55273G686	MFS AGGRESSIVE GROWTH ALLOCATION CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCAGX	55273G660	MFS AGGRESSIVE GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MIAGX	55273G652	MFS AGGRESSIVE GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MAWAX	55273G371	MFS AGGRESSIVE GROWTH ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MAAHX	55273G124	MFS AGGRESSIVE GROWTH ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MLALX	55273N392	MFS ALABAMA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFALX	55273N301	MFS ALABAMA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MARLX	55273N426	MFS ARKANSAS MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFARX	55273N400	MFS ARKANSAS MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MUEAX	55273W400	MFS BLENDED RESEARCH CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MUECX	55273W608	MFS BLENDED RESEARCH CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MUSEX	55273W103	MFS BLENDED RESEARCH CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MUEUX	55273W517	MFS BLENDED RESEARCH CORE EQUITY FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	BRKAX	552987331	MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	BRKCX	552987315	MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRKIX	552987299	MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	BRWAX	552743874	MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	BRWCX	552743858	MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRWJX	552743841	MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	BRXAX	552987539	MFS BLENDED RESEARCH INTL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	BRXCX	552987513	MFS BLENDED RESEARCH INTL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRXIX	552987497	MFS BLENDED RESEARCH INTL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	BMSFX	552743643	MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	BMSLX	552743619	MFS BLENDED RESEARCH MID CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	BMSDX	552743627	MFS BLENDED RESEARCH MID-CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRSDX	552743775	MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	BRSHX	552743759	MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRSJX	552743742	MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	BRUDX	552746224	MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	BRUGX	552746190	MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	BRUHX	552746182	MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MCAVX	55273N418	MFS CALIFORNIA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MCFTX	55273N590	MFS CALIFORNIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCCAX	55273N558	MFS CALIFORNIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MCSAX	55276T859	MFS COMMODITY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCSHX	55276T792	MFS COMMODITY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MCSIX	55276T842	MFS COMMODITY STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MACFX	55273G827	MFS CONSERVATIVE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MACVX	55273G793	MFS CONSERVATIVE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MACIX	55273G785	MFS CONSERVATIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MACKX	552746406	MFS CONSERVATIVE ALLOCATION FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MRGAX	552983884	MFS CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MRGCX	552983819	MFS CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MRGRX	552983686	MFS CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFBFX	55272P208	MFS CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFBCX	55272P703	MFS CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MBDIX	55272P877	MFS CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	DIFAX	552982837	MFS DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	DIFCX	552982829	MFS DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	DIFIX	552982811	MFS DIVERSIFIED INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	DIFDX	552982795	MFS DIVERSIFIED INCOME FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MEDIX	55273E640	MFS EMERGING MARKET DEBT FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MEDAX	55273E673	MFS EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MEDCX	55273E657	MFS EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MEDEX	552743502	MFS EMERGING MARKETS DEBT FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	EMLAX	552746455	MFS EMERGING MARKETS DEBT LOCAL CURRENCY FD CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	EMLCX	552746430	MFS EMERGING MARKETS DEBT LOCAL CURRENCY FD CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	EMLIX	552746422	MFS EMERGING MARKETS DEBT LOCAL CURRENCY FD CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MEMAX	55273E509	MFS EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MEMCX	55273E889	MFS EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MEMIX	55273E855	MFS EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MEMFX	552746752	MFS EMERGING MARKETS EQUITY FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	EEMPX	552988537	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	EEMRX	552988529	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	EEMIX	552988511	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	EEMDX	552988495	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	EEMEX	552988487	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	EEMFX	552988479	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	EEMGX	552988461	MFS EMERGING MARKETS EQUITY RESEARCH FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	EQNAX	552988636	MFS EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	EQNCX	552988610	MFS EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	EQNIX	552988594	MFS EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGATX	55273N384	MFS GEORGIA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MMGAX	55273N608	MFS GEORGIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	DVRAX	55276T107	MFS GLOBAL ALTERNATIVE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	DVRCX	55276T305	MFS GLOBAL ALTERNATIVE STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	DVRIX	55276T404	MFS GLOBAL ALTERNATIVE STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MWEFX	552986507	MFS GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MWECX	552986887	MFS GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MWEIX	552986861	MFS GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MWOFX	552989303	MFS GLOBAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MWOCX	552989501	MFS GLOBAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MWOIX	552989808	MFS GLOBAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MHOAX	552984700	MFS GLOBAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MHOXC	552984882	MFS GLOBAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MHOIX	552984874	MFS GLOBAL HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	GLNAX	552987646	MFS GLOBAL NEW DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	GLNCX	552987620	MFS GLOBAL NEW DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	GLNIX	552987612	MFS GLOBAL NEW DISCOVERY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGBAX	552746638	MFS GLOBAL OPPORTUNISTIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MGBDX	552746612	MFS GLOBAL OPPORTUNISTIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MGBJX	552746596	MFS GLOBAL OPPORTUNISTIC BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGLAX	552982753	MFS GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MGLCX	552982670	MFS GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MGLIX	552982746	MFS GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGLKX	552982654	MFS GLOBAL REAL ESTATE FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MFWTX	552986101	MFS GLOBAL TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFWCX	552986804	MFS GLOBAL TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFWIX	552986853	MFS GLOBAL TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGBRX	552986788	MFS GLOBAL TOTAL RETURN FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MFGSX	552982100	MFS GOVERNMENT SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFGDX	552982308	MFS GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MGSIX	552982407	MFS GOVERNMENT SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MAGWX	55273G736	MFS GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	MCGWX	55273G710	MFS GROWTH ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MGWIX	55273G694	MFS GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MAGMX	552746109	MFS GROWTH ALLOCATION FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MGALX	55273G363	MFS GROWTH ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MAGEX	552746208	MFS GROWTH ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MFEGX	552985103	MFS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFECX	552985889	MFS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFEIX	552985863	MFS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFELX	552985731	MFS GROWTH FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MFEHX	552985723	MFS GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MFEJX	552985715	MFS GROWTH FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MHITX	552984106	MFS HIGH-INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MHICX	552984502	MFS HIGH-INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MHIIX	552984601	MFS HIGH-INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFIOX	552989105	MFS INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MIOCX	552989600	MFS INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFIIX	552989709	MFS INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MIAAX	55272P414	MFS INFLATION ADJUSTED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MIACX	55272P331	MFS INFLATION ADJUSTED BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MIAIX	55272P398	MFS INFLATION- ADJUSTED BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MDIDX	55273G330	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MDIGX	55273G314	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MDIJX	55273G298	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MDIOX	55273G165	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MDIHX	55273G157	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MDITX	55273G140	MFS INTERNATIONAL DIVERSIFICATION FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MIEIX	552966806	MFS INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
MFS FUNDS	MGRAX	55273E103	MFS INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MGRCX	55273E863	MFS INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MQGIX	55273E848	MFS INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MGRTX	552746695	MFS INTERNATIONAL GROWTH FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MGIAX	55273E301	MFS INTERNATIONAL INTRINSIC VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
MFS FUNDS	MGICX	55273E871	MFS INTERNATIONAL INTRINSIC VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
MFS FUNDS	MINIX	55273E822	MFS INTERNATIONAL INTRINSIC VALUE FUND CLASS I	T	I	Y	4:00 PM	01
MFS FUNDS	MINHX	552746646	MFS INTERNATIONAL INTRINSIC VALUE FUND CLASS R4	T	K	Y	4:00 PM	01
MFS FUNDS	MKVBX	552743528	MFS INTERNATIONAL LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MKV CX	552743510	MFS INTERNATIONAL LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MKVIX	552743494	MFS INTERNATIONAL LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MIDAX	552981888	MFS INTERNATIONAL NEW DISCOVERY FUND CLASS A	NTF^	A	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	MIDCX	552981862	MFS INTERNATIONAL NEW DISCOVERY FUND CLASS C	NTF^	C	Y	4:00 PM	01
MFS FUNDS	MWNIX	552981854	MFS INTERNATIONAL NEW DISCOVERY FUND CLASS I	T	I	Y	4:00 PM	01
MFS FUNDS	MFLAX	55275E770	MFS LIFETIME 2020 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFLCX	55275E754	MFS LIFETIME 2020 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFLIX	55275E747	MFS LIFETIME 2020 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	LTTAX	55279J882	MFS LIFETIME 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	LTTCX	55279J866	MFS LIFETIME 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	LTTIX	55279J858	MFS LIFETIME 2025 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MLTAX	55275E671	MFS LIFETIME 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MLTCX	55275E655	MFS LIFETIME 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MLTIX	55275E648	MFS LIFETIME 2030 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	LFEAX	55279J791	MFS LIFETIME 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	LFECX	55279J775	MFS LIFETIME 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	LFEDX	55279J767	MFS LIFETIME 2035 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MLFAX	55275E572	MFS LIFETIME 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MLFCX	55275E556	MFS LIFETIME 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MLFIX	55275E549	MFS LIFETIME 2040 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	LTMAX	55279J718	MFS LIFETIME 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	LTMDX	55279J684	MFS LIFETIME 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	LTMKX	55279J676	MFS LIFETIME 2045 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFFSX	55275E382	MFS LIFETIME 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFFDX	55275E366	MFS LIFETIME 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFFIX	55275E358	MFS LIFETIME 2050 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	LFIAX	55279J627	MFS LIFETIME 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	LFICX	55279J593	MFS LIFETIME 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	LFIIX	55279J585	MFS LIFETIME 2055 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFJAX	55279J536	MFS LIFETIME 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFJCX	55279J510	MFS LIFETIME 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFJIX	55279J494	MFS LIFETIME 2060 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFJEX	55279J486	MFS LIFETIME 2060 FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	LFTFX	55279J437	MFS LIFETIME 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	LFTGX	55279J429	MFS LIFETIME 2065 FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	LFTHX	55279J411	MFS LIFETIME 2065 FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MLLAX	55275E101	MFS LIFETIME INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MLLCX	55275E309	MFS LIFETIME INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MLLIX	55275E408	MFS LIFETIME INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MQLFX	55272P109	MFS LIMITED MATURITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MQLIX	55272P869	MFS LIMITED MATURITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MQLCX	55272P802	MFS LIMITED-MATURITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	MLVAX	55273H312	MFS LOW VOLATILITY EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MLVGX	55273H288	MFS LOW VOLATILITY EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MLVHX	55273H270	MFS LOW VOLATILITY EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MVGAX	55273H213	MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MVG CX	55273H189	MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MVGIX	55273H171	MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MNWAX	552746315	MFS MANAGED WEALTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MNWCX	552746281	MFS MANAGED WEALTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MNWIX	552746273	MFS MANAGED WEALTH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MMDIX	55273N376	MFS MARYLAND MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFSMX	55273N707	MFS MARYLAND MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MIGFX	575719109	MFS MASSACHUSETTS INVESTORS GROWTH STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MIGDX	575719307	MFS MASSACHUSETTS INVESTORS GROWTH STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MGTIX	575719406	MFS MASSACHUSETTS INVESTORS GROWTH STOCK FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MIGMX	575719869	MFS MASSACHUSETTS INVESTORS GROWTH STOCK FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MITTX	575736103	MFS MASSACHUSETTS INVESTORS TRUST CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MITCX	575736301	MFS MASSACHUSETTS INVESTORS TRUST CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MITIX	575736400	MFS MASSACHUSETTS INVESTORS TRUST CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MTALX	55273N368	MFS MASSACHUSETTS MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFSSX	55273N806	MFS MASSACHUSETTS MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCVIX	55272P596	MFS MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	OTCAX	552987703	MFS MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	OTCCX	552987885	MFS MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	OTCIX	552987877	MFS MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MVCAX	55272P638	MFS MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MVCCX	55272P612	MFS MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MCVRX	55273W590	MFS MID-CAP VALUE FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MVCHX	55273W574	MFS MID-CAP VALUE FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MMSTX	55273N350	MFS MISSISSIPPI MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MISSX	55273N103	MFS MISSISSIPPI MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MAMAX	55273G777	MFS MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MMACX	55273G751	MFS MODERATE ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MMAIX	55273G744	MFS MODERATE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MAMFX	552746703	MFS MODERATE ALLOCATION FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MARRX	55273G348	MFS MODERATE ALLOCATION FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MAMHX	552746802	MFS MODERATE ALLOCATION FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MAMJX	552746885	MFS MODERATE ALLOCATION FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MMHYX	552984304	MFS MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MMIIX	552984692	MFS MUNICIPAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	MMHCX	552984866	MFS MUNICIPAL HIGH-INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MFIAX	55273N574	MFS MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MMIDX	55273N459	MFS MUNICIPAL INCOME FUND CLASS A1	NTF^	A	Y	4:00 PM	01
MFS FUNDS	MMICX	55273N525	MFS MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MIMIX	55273N434	MFS MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MIUAX	55283C105	MFS MUNICIPAL INTERMEDIATE FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MIUCX	55283C204	MFS MUNICIPAL INTERMEDIATE FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MIUIX	55283C303	MFS MUNICIPAL INTERMEDIATE FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MTLIX	55274K504	MFS MUNICIPAL LIMITED MATURITY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MTLFX	55272P505	MFS MUNICIPAL LIMITED-MATURITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MTLCX	55272P885	MFS MUNICIPAL LIMITED-MATURITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MNDAX	552983553	MFS NEW DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MNDCX	552983538	MFS NEW DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MNDIX	552983520	MFS NEW DISCOVERY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MNDHX	55273H742	MFS NEW DISCOVERY FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	NDVAX	55278M100	MFS NEW DISCOVERY VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
MFS FUNDS	NDVCX	55278M308	MFS NEW DISCOVERY VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
MFS FUNDS	NDVIX	55278M407	MFS NEW DISCOVERY VALUE FUND CLASS I	T	I	Y	4:00 PM	01
MFS FUNDS	NDVSX	55278M605	MFS NEW DISCOVERY VALUE FUND CLASS R2	T	H	Y	4:00 PM	01
MFS FUNDS	MNYLX	55273N343	MFS NEW YORK MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MSNYX	55273N889	MFS NEW YORK MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCNYX	55273N467	MFS NEW YORK MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MNCLX	55273N335	MFS NORTH CAROLINA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MSNCX	55273N871	MFS NORTH CAROLINA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MCNCX	55273N541	MFS NORTH CAROLINA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MPALX	55273N327	MFS PENNSYLVANIA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFPAX	55273N798	MFS PENNSYLVANIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	FPPAX	55278K872	MFS PRUDENT INVESTOR FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	FPPEX	55278K856	MFS PRUDENT INVESTOR FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	FPPJX	55278K849	MFS PRUDENT INVESTOR FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFRFX	552981102	MFS RESEARCH FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MFRCX	552981508	MFS RESEARCH FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MRFIX	552981706	MFS RESEARCH FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MRSAX	552983512	MFS RESEARCH INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MRICX	552983488	MFS RESEARCH INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MRSIX	552983470	MFS RESEARCH INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MTSCX	55273N319	MFS SOUTH CAROLINA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFSCX	55273N863	MFS SOUTH CAROLINA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MTCAX	552983462	MFS TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MFS FUNDS	MTCCX	552983447	MFS TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MTCIX	552983439	MFS TECHNOLOGY FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MRBFX	55272P810	MFS TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MRBCX	55272P786	MFS TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MRBIX	55272P778	MFS TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MRBHX	55272P257	MFS TOTAL RETURN BOND FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MRBJX	55272P240	MFS TOTAL RETURN BOND FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MSFRX	552981300	MFS TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MTRCX	552981607	MFS TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MTRIX	552981805	MFS TOTAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MSFFX	552981474	MFS TOTAL RETURN FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MSFJX	552981458	MFS TOTAL RETURN FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MMUFX	552986309	MFS UTILITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MMUCX	552986705	MFS UTILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MMUIX	552986879	MFS UTILITIES FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MURRX	552986770	MFS UTILITIES FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MMUJX	552986671	MFS UTILITIES FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MEIAX	552983801	MFS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MEICX	552983835	MFS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MEIIX	552983694	MFS VALUE FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MEIGX	55273H650	MFS VALUE FUND CLASS R1	T	G	N	4:00 PM	01
MFS FUNDS	MVRRX	552983116	MFS VALUE FUND CLASS R2	T	H	N	4:00 PM	01
MFS FUNDS	MEIHX	55273H643	MFS VALUE FUND CLASS R3	T	J	N	4:00 PM	01
MFS FUNDS	MEIJX	55273H635	MFS VALUE FUND CLASS R4	T	K	N	4:00 PM	01
MFS FUNDS	MIVAX	55273N285	MFS VIRGINIA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MSVAX	55273N830	MFS VIRGINIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MFS FUNDS	MVACX	55273N533	MFS VIRGINIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
MFS FUNDS	MWVIX	55273N277	MFS WEST VIRGINIA MUNI BOND FUND CLASS I	T	I	N	4:00 PM	01
MFS FUNDS	MFVWX	55273N822	MFS WEST VIRGINIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MIDAS FUNDS	MIDSX	59562C109	MIDAS FUND	T		N	4:00 PM	01
MIDAS FUNDS	MISEX	59563P109	MIDAS MAGIC FUND	T		N	4:00 PM	01
MILLER CONVERTIBLE FUNDS	MCFAV	60055P102	MILLER CONVERTIBLE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MILLER CONVERTIBLE FUNDS	MCFCX	60055P300	MILLER CONVERTIBLE BOND FUND CLASS C	NTF^	C	N	4:00 PM	02
MILLER CONVERTIBLE FUNDS	MCIFX	60055P201	MILLER CONVERTIBLE BOND FUND CLASS I	T	I	N	4:00 PM	01
MILLER CONVERTIBLE FUNDS	MCPIX	60055P805	MILLER CONVERTIBLE PLUS FUND CLASS I	T	I	N	4:00 PM	01
MILLER CONVERTIBLE FUNDS	MIFIX	60055P862	MILLER INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MILLER FUNDS	LMCJX	89832P432	MILLER INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
MILLER FUNDS	LCMNX	89832P424	MILLER INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
MILLER FUNDS	LMCKX	89832P416	MILLER INCOME FUND CLASS FI	T	F	N	4:00 PM	01
MILLER FUNDS	LMCLX	89832P390	MILLER INCOME FUND CLASS I	T	I	N	4:00 PM	01
MILLER FUNDS	LMCMX	89832P382	MILLER INCOME FUND CLASS IS	T	S	N	4:00 PM	01
MILLER FUNDS	LGOAX	89832P374	MILLER OPPORTUNITY TRUST CLASS A	NTF^	A	N	4:00 PM	01
MILLER FUNDS	LMOPX	89832P366	MILLER OPPORTUNITY TRUST CLASS C	NTF^	C	N	4:00 PM	01
MILLER FUNDS	LMOFX	89832P358	MILLER OPPORTUNITY TRUST CLASS FI	T	F	N	4:00 PM	01
MILLER FUNDS	LMNOX	89832P333	MILLER OPPORTUNITY TRUST CLASS I	T	I	N	4:00 PM	01
MILLER FUNDS	LMORX	89832P341	MILLER OPPORTUNITY TRUST CLASS R	T	R	N	4:00 PM	01
MILLER FUNDS	MVISX	89832P325	MILLER OPPORTUNITY TRUST FUND CLASS IS	T	S	N	4:00 PM	01
MIRAE FUNDS	MALGX	60462F101	MIRAE ASSET EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	02
MIRAE FUNDS	MCLGX	60462F200	MIRAE ASSET EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	02
MIRAE FUNDS	MILGX	60462F309	MIRAE ASSET EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	02
MIRAE FUNDS	MCCGX	60462F838	MIRAE EMERGING MARKETS GREAT CONSUMER FUND CL C	NTF^	C	N	4:00 PM	02
MIRAE FUNDS	MECGX	60462F846	MIRAE EMERGING MARKETS GREAT CONSUMER FUND CLASS A	NTF^	A	N	4:00 PM	02
MIRAE FUNDS	MICGX	60462F820	MIRAE EMERGING MARKETS GREAT CONSUMER FUND CLASS I	T	I	N	4:00 PM	02
MMA PRAXIS FUNDS	MBAPX	74006E876	PRAXIS GENESIS BALANCED PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MCONX	74006E884	PRAXIS GENESIS CONSERVATIVE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MGAFX	74006E751	PRAXIS GENESIS GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MGNDX	74006E843	PRAXIS GROWTH INDEX FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MMDEX	74006E868	PRAXIS GROWTH INDEX FUND CLASS I	T	I	N	4:00 PM	02
MMA PRAXIS FUNDS	MIIIX	74006E769	PRAXIS IMPACT BOND CLASS I	T	I	N	4:00 PM	02
MMA PRAXIS FUNDS	MIIAX	74006E504	PRAXIS IMPACT BOND FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MPLAX	74006E801	PRAXIS INTERNATIONAL INDEX FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MPLIX	74006E702	PRAXIS INTERNATIONAL INDEX FUND CLASS I	T	I	N	4:00 PM	02
MMA PRAXIS FUNDS	MMSCX	74006E793	PRAXIS SMALL CAP INDEX FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MMSIX	74006E827	PRAXIS SMALL CAP INDEX FUND CLASS I	T	I	N	4:00 PM	02
MMA PRAXIS FUNDS	MVIAX	74006E603	PRAXIS VALUE INDEX FUND CLASS A	NTF^	A	N	4:00 PM	02
MMA PRAXIS FUNDS	MVIIX	74006E785	PRAXIS VALUE INDEX FUND CLASS I	T	I	N	4:00 PM	02
MOERUS FUNDS	MOWNX	66538H807	MOERUS WORLD WIDE VALUE FUND CLASS N	T	N	N	4:00 PM	01
MOERUS FUNDS	MOWIX	66538H880	MOERUS WORLD WIDE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MONDRIAN FUNDS	MPEMX	36381Y207	MONDRIAN EMERGING MARKETS EQUITY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MONDRIAN FUNDS	MGIFX	36381Y603	MONDRIAN GLOBAL LISTED INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MONDRIAN FUNDS	MPIEX	36381Y108	MONDRIAN INTERNATIONAL EQUITY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MONDRIAN FUNDS	MPIFX	36381Y306	MONDRIAN INTERNATIONAL GOVERNMENT FIXED INCOME FUND INSTITUTIONAL	T	I	N	4:00 PM	01
MONDRIAN FUNDS	MPUSX	36381Y504	MONDRIAN U.S. SMALL CAP EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MONETTA FUNDS	MONTX	60934G802	MONETTA FUND	T		N	4:00 PM	02

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MONETTA FUNDS	MYIFX	60934G703	MONETTA YOUNG INVESTOR GROWTH FUND	T		N	4:00 PM	02
MONTEAGLE FUNDS	HEQFX	612263749	MONTEAGLE OPPORTUNITY EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
MONTEAGLE FUNDS	MVEIX	612263509	MONTEAGLE SELECT VALUE FUND	T		N	4:00 PM	01
MONTEAGLE FUNDS	SMDFX	612263756	MONTEAGLE SMART DIVERSIFICATION FUND CLASS I	T	I	N	4:00 PM	01
MONTEAGLE FUNDS	BIGTX	612263764	MONTEAGLE TEXAS FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MDOEX	61768B869	MORGAN STANLEY DEVELOPING OPPORTUNITY PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSIBX	61744J655	MORGAN STANLEY ACTIVE INTL ALLOCATION PORTFOLIO FD CL A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAKAX	61768B604	MORGAN STANLEY CHINA EQUITY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAKCX	61768B703	MORGAN STANLEY CHINA EQUITY PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAKIX	61768B505	MORGAN STANLEY CHINA EQUITY PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAKSX	61768B802	MORGAN STANLEY CHINA EQUITY PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MDOAX	61768B851	MORGAN STANLEY DEVELOPING OPPORTUNITY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MDOBX	61768B844	MORGAN STANLEY DEVELOPING OPPORTUNITY PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	EUGAX	616939104	MORGAN STANLEY EUROPEAN EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	EUGDX	616939401	MORGAN STANLEY EUROPEAN EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	GLCAX	61766J427	MORGAN STANLEY GLOBAL COUNTERPOINT PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	GLCDX	61766J419	MORGAN STANLEY GLOBAL COUNTERPOINT PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	GLCIX	61766J435	MORGAN STANLEY GLOBAL COUNTERPOINT PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSJAX	61766J138	MORGAN STANLEY GLOBAL ENDURANCE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSJCX	61766J120	MORGAN STANLEY GLOBAL ENDURANCE FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSJIX	61766J146	MORGAN STANLEY GLOBAL ENDURANCE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	DINAX	616961108	MORGAN STANLEY GLOBAL FIXED INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	DINDX	616961405	MORGAN STANLEY GLOBAL FIXED INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSBEX	61768B752	MORGAN STANLEY GLOBAL FOCUS REAL ESTATE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSBKX	61768B745	MORGAN STANLEY GLOBAL FOCUS REAL ESTATE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSBDX	61768B760	MORGAN STANLEY GLOBAL FOCUS REAL ESTATE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSBPX	61768B737	MORGAN STANLEY GLOBAL FOCUS REAL ESTATE PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGKAX	61768B208	MORGAN STANLEY GLOBAL PERMANENCE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGKIX	61768B109	MORGAN STANLEY GLOBAL PERMANENCE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGKCX	61768B307	MORGAN STANLEY GLOBAL PERMANENCE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	CPOAX	61747T106	MORGAN STANLEY INSIGHT FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSCMX	61762C202	MORGAN STANLEY INSIGHT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	CPODX	61747T403	MORGAN STANLEY INSIGHT FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAIJX	61768B877	MORGAN STANLEY INST ACTIVE INTERNATIONAL ALLOCATION FUND CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MULSX	617455696	MORGAN STANLEY INST TRUST ULTRA SHORT INCOME PORT FUND CLASS IR	T+	R	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSHNX	61768B810	MORGAN STANLEY INSTITUTIONAL PERMANENCE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSHOX	61768B794	MORGAN STANLEY INSTITUTIONAL PERMANENCE FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSHMX	61768B828	MORGAN STANLEY INSTITUTIONAL PERMANENCE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSHPX	61768B786	MORGAN STANLEY INSTITUTIONAL PERMANENCE FUND CLASS IS	T+	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MORGAN STANLEY FUNDS	MTGAX	616962106	MORGAN STANLEY MORTGAGE SECURITIES TRUST CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUIIX	617455688	MORGAN STANLEY TRUST ULTRA-SHORT INCOME PORTFOLIO FUND CLASS INS	T+	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAAYX	61768B711	MORGAN STANLEY U.S. FOCUS REAL ESTATE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MABBX	61768B695	MORGAN STANLEY U.S. FOCUS REAL ESTATE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAAWX	61768B729	MORGAN STANLEY U.S. FOCUS REAL ESTATE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MABCX	61768B687	MORGAN STANLEY U.S. FOCUS REAL ESTATE PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	USGAX	616969101	MORGAN STANLEY U.S. GOVT SECURITIES TRUST CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	USGDX	616969408	MORGAN STANLEY U.S. GOVT SECURITIES TRUST CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUAIX	617455670	MORGAN STANLEY ULTRA SHORT INCOME PORTFOLIO CLASS A	T+	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUIMX	617455498	MORGAN STANLEY ULTRA-SHORT MUNICIPAL INCOME FUND INSTITUTIONAL CL	T+	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSIPX	61765E106	MS GLOBAL FIXED INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSMTX	616962502	MS MORTGAGE SECURITIES TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSGVX	616969507	MS U.S. GOVERNMENT SECURITIES TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MTGDX	616962403	MSIA MORTGAGE SECURITIES TRUST FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSAAX	61760X554	MSIF ACTIVE INT'L ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAPPX	61756E776	MSIF ADVANTAGE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MPAIX	61756E784	MSIF ADVANTAGE PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSPRX	61760X547	MSIF ADVANTAGE PORTOFLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSAUX	61760X240	MSIF ASIA OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSAWX	61760X232	MSIF ASIA OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSAQX	61760X224	MSIF ASIA OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSEDX	61760X513	MSIF EMERGING MARKETS EXTERNAL DEBT PORT FUND CL C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MEAPX	61760X406	MSIF EMERGING MARKETS EXTERNAL DEBT PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MEAIX	61760X208	MSIF EMERGING MARKETS EXTERNAL DEBT PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MELAX	61760X596	MSIF EMERGING MARKETS LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MELIX	61760X612	MSIF EMERGING MARKETS LEADERS FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MEMLX	61760X273	MSIF EMERGING MARKETS LEADERS PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MMMPX	61760X794	MSIF EMERGING MARKETS PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MMKBX	61744J580	MSIF EMERGING MARKETS PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSEPX	61760X497	MSIF EMERGING MARKETS PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSEEX	616939708	MSIF EUROPEAN EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLNAX	61766J609	MSIF GLOBAL CONCENTRATED PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLNCX	61766J708	MSIF GLOBAL CONCENTRATED PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLNIX	61766J500	MSIF GLOBAL CONCENTRATED PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLNSX	61766J807	MSIF GLOBAL CONCENTRATED PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLMAX	61766J872	MSIF GLOBAL CORE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLMCX	61766J864	MSIF GLOBAL CORE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLMIX	61766J880	MSIF GLOBAL CORE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLMSX	61766J856	MSIF GLOBAL CORE PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MORGAN STANLEY FUNDS	MSGFX	61760X463	MSIF GLOBAL FRANCHISE FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSFAX	61744J283	MSIF GLOBAL FRANCHISE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSFBX	61744J275	MSIF GLOBAL FRANCHISE PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGGIX	61756E693	MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MTIPX	61756E644	MSIF GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MTIIX	61756E651	MSIF GLOBAL INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIGPX	61756E578	MSIF GLOBAL INSIGHT PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSPTX	61760X489	MSIF GLOBAL INSIGHT PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIGIX	61756E594	MSIF GLOBAL INSIGHT PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGZZX	61768B778	MSIF GLOBAL INSIGHT PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGGPX	61756E685	MSIF GLOBAL OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGTSX	61760X786	MSIF GLOBAL OPPORTUNITY FUND CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSOPX	61760X448	MSIF GLOBAL OPPORTUNITY PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MRLAX	61744J143	MSIF GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGREX	61760X778	MSIF GLOBAL REAL ESTATE FUND CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSRDY	61760X422	MSIF GLOBAL REAL ESTATE PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MRLBX	61744J135	MSIF GLOBAL REAL ESTATE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGQAX	61760X646	MSIF GLOBAL SUSTAIN PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSGQX	61760X430	MSIF GLOBAL SUSTAIN PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGQIX	61760X653	MSIF GLOBAL SUSTAIN PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSEGX	61744J564	MSIF GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSGUX	61760X414	MSIF GROWTH PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSYIX	617440235	MSIF HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSACX	61744J846	MSIF INC ACTIVE INT'L ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGEMX	61744J820	MSIF INC EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSIQX	61744J408	MSIF INC INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSUSX	61744J671	MSIF INC U.S. REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MGRPX	61760X711	MSIF INC. GROWTH PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSEQX	61744J861	MSIF INC. GROWTH PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSCOX	61760X299	MSIF INCEPTION PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSSMX	61744J614	MSIF INCEPTION PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSSGX	61744J309	MSIF INCEPTION PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MFAPX	61756E446	MSIF INTERNATIONAL ADVANTAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MFAIX	61756E461	MSIF INTERNATIONAL ADVANTAGE FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSIAX	61760X380	MSIF INTERNATIONAL ADVANTAGE PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIQBX	61744J499	MSIF INTERNATIONAL EQUITY PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSECX	61760X372	MSIF INTERNATIONAL EQUITY PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIOPX	61756E826	MSIF INTERNATIONAL OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIOIX	61756E834	MSIF INTERNATIONAL OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MORGAN STANLEY FUNDS	MSOCX	61760X364	MSIF INTERNATIONAL OPPORTUNITY PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MRJAX	61766J179	MSIF MULTI-ASSET REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MRJCX	61766J161	MSIF MULTI-ASSET REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MRJIX	61766J187	MSIF MULTI-ASSET REAL RETURN FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MFMPX	61760X810	MSIF NEXT GEN EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSFEX	61760X331	MSIF NEXT GEN EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MFMIK	61760X836	MSIF NEXT GEN EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSRFX	61760X562	MSIF NEXT GEN EMERGING MARKETS PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSGTX	61760X315	MSIF SELECT GLOBAL INFRASTRUCTURE PORT CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSLDX	617455753	MSIF SHORT DURATION INCOME PORTFOLIO CLASS C	NTF^	C	Y	4:00 PM	01
MORGAN STANLEY FUNDS	MPFIX	617440300	MSIF TRUST CORE PLUS FIXED INCOME FUND INST CLASS	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MACGX	617440599	MSIF TRUST DISCOVERY PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSMFX	617455746	MSIF TRUST DISCOVERY PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MMCGX	617455308	MSIF TRUST DISCOVERY PORTFOLIO FUND CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MPEGX	617440508	MSIF TRUST DISCOVERY PORTFOLIO FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MPBAX	617440839	MSIF TRUST GLOBAL STRATEGIST PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MPLDX	617440862	MSIF TRUST LIMITED DURATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUOAX	61766J831	MSIF U.S. CORE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUOCX	61766J823	MSIF U.S. CORE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUOIX	61766J849	MSIF U.S. CORE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUOSX	61766J815	MSIF U.S. CORE PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MUSDX	61744J457	MSIF U.S. REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSURX	61760X281	MSIF U.S. REAL ESTATE PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSCKX	617455795	MSIFT CORE PLUS FIXED INCOME PORTFOLIO FD CL C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MFAX	617440581	MSIFT CORE PLUS FIXED INCOME PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MIGAX	617440490	MSIFT CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSBOX	617455787	MSIFT CORPORATE BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MPFDX	617440805	MSIFT CORPORATE BOND PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAAUX	617455282	MSIFT DYNAMIC VALUE PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAAOX	617455274	MSIFT DYNAMIC VALUE PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAAQX	617455290	MSIFT DYNAMIC VALUE PORTFOLIO CLASS I	T	I	N	4:00 PM	01
MORGAN STANLEY FUNDS	MAADX	617455266	MSIFT DYNAMIC VALUE PORTFOLIO CLASS IS	T+	S	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSSOX	617455779	MSIFT GLOBAL STRATEGIST PORT FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MBAAX	617440557	MSIFT GLOBAL STRATEGIST PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSYPX	617440219	MSIFT HIGH YIELD BOND PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSHDX	617455761	MSIFT HIGH YIELD PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORGAN STANLEY FUNDS	MLDAX	617440359	MSIFT LIMITED DURATION PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
MORGAN STANLEY FUNDS	MSPDX	617455738	MSIFT STRATEGIC INCOME PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
MORNINGSTAR FUNDS	MSTVX	617697883	MORNINGSTAR ALTERNATIVES FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
MORNINGSTAR FUNDS	MSTBX	617697602	MORNINGSTAR DEFENSIVE BOND FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTGX	617697305	MORNINGSTAR GLOBAL INCOME FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTFX	617697206	MORNINGSTAR INTERNATIONAL EQUITY FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTMX	617697701	MORNINGSTAR MULTISECTOR BOND FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTPX	617697503	MORNINGSTAR MUNICIPAL BOND FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTRX	617697404	MORNINGSTAR TOTAL RETURN BOND FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTQX	617697107	MORNINGSTAR U.S. EQUITY FUND	T		N	4:00 PM	01
MORNINGSTAR FUNDS	MSTSX	617697800	MORNINGSTAR UNCONSTRAINED ALLOCATION FUND	T		N	4:00 PM	01
MUHLENKAMP FUND	MUHLX	56166Y438	MUHLENKAMP FUND	NTF		N	4:00 PM	01
MUZINICH FUNDS	MZCIX	74316J540	MUZINICH CREDIT OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
MUZINICH FUNDS	MZCSX	74316J532	MUZINICH CREDIT OPPORTUNITIES FUND SUPRA INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
MUZINICH FUNDS	MZLSX	74316P132	MUZINICH LOW DURATION FUND SUPRA INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
MUZINICH FUNDS	MZHSX	74316J565	MUZINICH U.S. HIGH YIELD CREDIT FUND SUPRA INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
NATIONWIDE FUNDS	NWAGX	63868D852	NATIONWIDE ALLIANZGI INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWAKX	63868D837	NATIONWIDE ALLIANZGI INTL GROWTH FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWUAX	63867N265	NATIONWIDE AMERICAN CENTURY SMALL-CAP INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWUCX	63867N257	NATIONWIDE AMERICAN CENTURY SMALL-CAP INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWUSX	63867N240	NATIONWIDE AMERICAN CENTURY SMALL-CAP INCOME FUND INST SERVICE CL	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXIX	63868C573	NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWXJX	63868C565	NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWXLX	63868C540	NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND INST SVC CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXHX	63868C581	NATIONWIDE AMUNDI STRATEGIC INCOME FD INSTITUTIONAL SERVICE CL	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXEX	63868C623	NATIONWIDE AMUNDI STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWXFX	63868C615	NATIONWIDE AMUNDI STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWHHX	63868B856	NATIONWIDE BAILARD COGNITIVE VALUE FD INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWHDX	63868B807	NATIONWIDE BAILARD COGNITIVE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWHEX	63868B880	NATIONWIDE BAILARD COGNITIVE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWHNX	63868B799	NATIONWIDE BAILARD INTERNATIONAL EQUITIES FD INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWHJX	63868B849	NATIONWIDE BAILARD INTERNATIONAL EQUITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWHKX	63868B831	NATIONWIDE BAILARD INTERNATIONAL EQUITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWHOX	63868B781	NATIONWIDE BAILARD TECHNOLOGY & SCIENCE FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWHPX	63868B773	NATIONWIDE BAILARD TECHNOLOGY & SCIENCE FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWHUX	63868B740	NATIONWIDE BAILARD TECHNOLOGY AND SCIENCE FD INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWALX	63868D811	NATIONWIDE BNY MELLON DISCIPLINE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWAOX	63868D779	NATIONWIDE BNY MELLON DISCIPLINE VALUE FUND CLASS IS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NMFAX	63867R282	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GCGRX	63867R266	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NGISX	63867V192	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE FUND CLASS IS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIONWIDE FUNDS	NWAEX	63868D878	NATIONWIDE BNY MELLON DYNAMIC U.S. CORE FUND EAGLE CLASS	NTF^	E	N	4:00 PM	01
NATIONWIDE FUNDS	NBDAX	63867R100	NATIONWIDE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GBDCX	63867R308	NATIONWIDE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	MUIBX	63867R407	NATIONWIDE BOND FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	GBICX	63867R860	NATIONWIDE BOND INDEX CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	GBIAX	63867R886	NATIONWIDE BOND INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWXOX	63868C524	NATIONWIDE BOND INDEX FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWCPX	63868B500	NATIONWIDE CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWCSX	63868B609	NATIONWIDE CORE PLUS BOND FUND CLASS IS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWHAX	63867N778	NATIONWIDE DESTINATION 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWHSX	63867N737	NATIONWIDE DESTINATION 2025 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWIAX	63867N711	NATIONWIDE DESTINATION 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWISX	63867N661	NATIONWIDE DESTINATION 2030 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWLAX	63867N646	NATIONWIDE DESTINATION 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWLSX	63867N596	NATIONWIDE DESTINATION 2035 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWMAX	63867N570	NATIONWIDE DESTINATION 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWMSX	63867N539	NATIONWIDE DESTINATION 2040 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWNAX	63867N513	NATIONWIDE DESTINATION 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWNSX	63867N463	NATIONWIDE DESTINATION 2045 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWOAX	63867N448	NATIONWIDE DESTINATION 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWOSX	63867N398	NATIONWIDE DESTINATION 2050 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NTDAX	63867N224	NATIONWIDE DESTINATION 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NTDSX	63867N166	NATIONWIDE DESTINATION 2055 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWWRX	63867N158	NATIONWIDE DESTINATION 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWWTX	63867N133	NATIONWIDE DESTINATION 2060 FUND CLASS R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NWVVX	63867N117	NATIONWIDE DESTINATION 2060 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWAQX	63868D753	NATIONWIDE DESTINATION 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWARX	63868D746	NATIONWIDE DESTINATION 2065 FUND CLASS R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NWATX	63868D720	NATIONWIDE DESTINATION 2065 FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWEAX	63867N703	NATIONWIDE DESTINATION RETIREMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWESX	63867N869	NATIONWIDE DESTINATION RETIREMENT FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWGHX	63868B591	NATIONWIDE DIAMOND HILL LARGE CAP CONCENTRATED FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWGIX	63868B583	NATIONWIDE DIAMOND HILL LARGE CAP CONCENTRATED FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWGKX	63868B567	NATIONWIDE DIAMOND HILL LARGE CAP CONCENTRATED FUND INSTL SRVC CL	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXAX	63868C664	NATIONWIDE EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWXBX	63868C656	NATIONWIDE EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWXDX	63868C631	NATIONWIDE EMERGING MARKETS DEBT FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWFAX	63867R654	NATIONWIDE FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GTRCX	63867R639	NATIONWIDE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIONWIDE FUNDS	MUIFX	63867R621	NATIONWIDE FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWHVX	63868B732	NATIONWIDE GENEVA MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWHWX	63868B724	NATIONWIDE GENEVA MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWHYX	63868B690	NATIONWIDE GENEVA MID CAP GROWTH FUND INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWKDX	63868B658	NATIONWIDE GENEVA SMALL CAP GROWTH FD INSTL SERVICE CLASS	T	I	Y	4:00 PM	01
NATIONWIDE FUNDS	NWHZX	63868B682	NATIONWIDE GENEVA SMALL CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
NATIONWIDE FUNDS	NWKBX	63868B674	NATIONWIDE GENEVA SMALL CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
NATIONWIDE FUNDS	GGEAX	63867V184	NATIONWIDE GLOBAL SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GGE CX	63867V176	NATIONWIDE GLOBAL SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	GGESX	63867V168	NATIONWIDE GLOBAL SUSTAINABLE EQUITY FUND INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWAUX	63868D712	NATIONWIDE GQG U.S. QUALITY EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWAWX	63868D688	NATIONWIDE GQG U.S. QUALITY EQUITY FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXNX	63868C532	NATIONWIDE INFLATION PROTECTED SEC FD INST SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NIFAX	63867R142	NATIONWIDE INFLATION PROTECTED SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GIAX	63867T700	NATIONWIDE INTERNATIONAL INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GIICX	63867T882	NATIONWIDE INTERNATIONAL INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWXPX	63868C516	NATIONWIDE INTERNATIONAL INDEX FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWXSX	63868C474	NATIONWIDE INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWXVX	63868C441	NATIONWIDE INTERNATIONAL SMALL CAP FUND INST SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	GMMRX	63867T551	NATIONWIDE INVESTOR DESTINATION MODERATELY CONSERVATIVE FD CL R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NDACX	63867T833	NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FD CL C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NDASX	63867T791	NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FD SEV CL	T	S	N	4:00 PM	01
NATIONWIDE FUNDS	NDAAX	63867T858	NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FUND CL A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GAFRX	63867T825	NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FUND CLASS R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NWWHX	63868B245	NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FUND INST SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NDCAX	63867T783	NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FD CL A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NDCCX	63867T767	NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FD CL C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NDCSX	63867T734	NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FD SERV CLASS	T	S	N	4:00 PM	01
NATIONWIDE FUNDS	GCFRX	63867T759	NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FUND CLASS R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NWWLX	63868B195	NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FUND INST SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NDMSX	63867T593	NATIONWIDE INVESTOR DESTINATIONS MODER AGGRESSIVE FD SERV CLASS	T	S	N	4:00 PM	01
NATIONWIDE FUNDS	NCDMX	63867T692	NATIONWIDE INVESTOR DESTINATIONS MODERATE FD CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NSDMX	63867T668	NATIONWIDE INVESTOR DESTINATIONS MODERATE FD SERVICE CLASS	T	S	N	4:00 PM	01
NATIONWIDE FUNDS	NADMX	63867T726	NATIONWIDE INVESTOR DESTINATIONS MODERATE FUND CL A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GMDRX	63867T684	NATIONWIDE INVESTOR DESTINATIONS MODERATE FUND CLASS R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NWWJX	63868B229	NATIONWIDE INVESTOR DESTINATIONS MODERATE FUND INST SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWWIX	63868B237	NATIONWIDE INVESTOR DESTINATIONS MODERATE-AGGRESSIVE FUND INST SE	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWWKX	63868B211	NATIONWIDE INVESTOR DESTINATIONS MODERATE-CONSERVAT FUND INST SE	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIONWIDE FUNDS	NDMAX	63867T650	NATIONWIDE INVESTOR DESTINATIONS MODERATELY AGG FD CL A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NDMCX	63867T635	NATIONWIDE INVESTOR DESTINATIONS MODERATELY AGGR FD CL C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	GMARX	63867T627	NATIONWIDE INVESTOR DESTINATIONS MODERATELY AGGRESSIVE FUND CL R	T	R	N	4:00 PM	01
NATIONWIDE FUNDS	NSDCX	63867T536	NATIONWIDE INVESTOR DESTINATIONS MODERATELY CONSERV FUND SERVICE	T	S	N	4:00 PM	01
NATIONWIDE FUNDS	NCDCX	63867T569	NATIONWIDE INVESTOR DESTINATIONS MODERATELY CONSEV FD CL C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NADCX	63867T585	NATIONWIDE INVST DESTINATIONS MODERT CONSERV FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWZLX	63868D100	NATIONWIDE LOOMIS ALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWZNX	63868D308	NATIONWIDE LOOMIS ALL CAP GROWTH FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWJGX	63868C102	NATIONWIDE LOOMIS CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWJHX	63868C201	NATIONWIDE LOOMIS CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWJJX	63868C409	NATIONWIDE LOOMIS CORE BOND FUND INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWJVX	63868C813	NATIONWIDE LOOMIS SHORT TERM BOND FD INSTL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWJSX	63868C847	NATIONWIDE LOOMIS SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWJTX	63868C839	NATIONWIDE LOOMIS SHORT TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	GMCCX	63867U814	NATIONWIDE MID CAP MARKET INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWXQX	63868C490	NATIONWIDE MID CAP MARKET INDEX FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	GMXAX	63867U830	NATIONWIDE MID-CAP MARKET INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWJCX	63868B377	NATIONWIDE NYSE ARCA TECH 100 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWJDJ	63868B369	NATIONWIDE NYSE ARCA TECH 100 INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWJFX	63868B344	NATIONWIDE NYSE ARCA TECH 100 INDEX FUND INSTITUTIONAL SERVICE CLAS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	GRMAX	63867U376	NATIONWIDE S&P 500 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GRMCX	63867U350	NATIONWIDE S&P 500 INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	GRISX	63867U327	NATIONWIDE S&P 500 INDEX FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	GMRAX	63867V705	NATIONWIDE SMALL CAP INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	GMRGX	63867V887	NATIONWIDE SMALL CAP INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWXRJ	63868C482	NATIONWIDE SMALL CAP INDEX FUND INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIONWIDE FUNDS	NWSAX	63867V226	NATIONWIDE SMALL COMPANY GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
NATIONWIDE FUNDS	NWSIX	63867V218	NATIONWIDE SMALL COMPANY GROWTH FUND INSTITUTIONAL SERVICE CLASS	T	I	Y	4:00 PM	01
NATIONWIDE FUNDS	NWGPX	63868B518	NATIONWIDE WCM FOCUSED SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIONWIDE FUNDS	NWGQX	63868B336	NATIONWIDE WCM FOCUSED SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIONWIDE FUNDS	NWGSX	63868B484	NATIONWIDE WCM FOCUSED SMALL CAP INSTITUTIONAL SERVICE CLASS	T	I	N	4:00 PM	01
NATIXIS FUNDS	NRFAJ	63872W102	AEW GLOBAL FOCUSED REAL ESTATE FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NRCFX	63872W300	AEW GLOBAL FOCUSED REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NRFNX	63872W508	AEW GLOBAL FOCUSED REAL ESTATE FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NRFYX	63872W409	AEW GLOBAL FOCUSED REAL ESTATE FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	GAFAX	63872T703	ALPHASIMPLEX GLOBAL ALTERNATIVES FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	GAFCX	63872T802	ALPHASIMPLEX GLOBAL ALTERNATIVES FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	GAFNX	63872T448	ALPHASIMPLEX GLOBAL ALTERNATIVES FUND CLASS N	T+	N	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIXIS FUNDS	GAFYX	63872T885	ALPHASIMPLEX GLOBAL ALTERNATIVES FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	AMFAX	63872T745	ALPHASIMPLEX MANAGED FUTURES STRATEGY FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	ASFCX	63872T737	ALPHASIMPLEX MANAGED FUTURES STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	ASFYX	63872T729	ALPHASIMPLEX MANAGED FUTURES STRATEGY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	GCPAX	367829843	GATEWAY EQUITY CALL PREMIUM FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	GPCPX	367829835	GATEWAY EQUITY CALL PREMIUM FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	GCPYX	367829827	GATEWAY EQUITY CALL PREMIUM FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	GATEX	367829207	GATEWAY FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	GTECX	367829702	GATEWAY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	GTEXX	367829777	GATEWAY FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	GTEYX	367829884	GATEWAY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEFRX	63872R798	LOOMIS SAYLES CORE PLUS BOND FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NECRX	63872R772	LOOMIS SAYLES CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NERNX	63872R574	LOOMIS SAYLES CORE PLUS BOND FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NERYX	63872R764	LOOMIS SAYLES CORE PLUS BOND FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LOCAX	543488647	LOOMIS SAYLES CREDIT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIXIS FUNDS	LOCCX	543488639	LOOMIS SAYLES CREDIT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LOCYX	543488613	LOOMIS SAYLES CREDIT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LGMAX	46602Q107	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LGMCX	46602Q206	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LSWWX	543487870	LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LGMNX	543488753	LOOMIS SAYLES GLOBAL EQUITY AND INCOME FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LSAGX	63872T240	LOOMIS SAYLES GLOBAL GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LSCGX	63872T232	LOOMIS SAYLES GLOBAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LSNGX	63873P882	LOOMIS SAYLES GLOBAL GROWTH FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LSGGX	63872T224	LOOMIS SAYLES GLOBAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LGRRX	543487128	LOOMIS SAYLES GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LGRGX	543487219	LOOMIS SAYLES GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LGRNX	543488845	LOOMIS SAYLES GROWTH FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LSGRX	543487110	LOOMIS SAYLES GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEFHX	543487383	LOOMIS SAYLES HIGH INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NEHCX	543487367	LOOMIS SAYLES HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NEHYX	543488803	LOOMIS SAYLES HIGH INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LSCDX	543495675	LOOMIS SAYLES INTERMEDIATE DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	MIMAX	63872T471	LOOMIS SAYLES INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	MIMCX	63872T463	LOOMIS SAYLES INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	MIMYX	63872T455	LOOMIS SAYLES INTERMEDIATE MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LIGGX	543488597	LOOMIS SAYLES INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIXIS FUNDS	LIGCX	543488589	LOOMIS SAYLES INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIXIS FUNDS	LIGYX	543488563	LOOMIS SAYLES INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LIGAX	543488878	LOOMIS SAYLES INVESTMENT GRADE BOND FUND ADMIN CL	NTF^	E	Y	4:00 PM	01
NATIXIS FUNDS	LIGRX	543487144	LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LGBCX	543487235	LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LGBNX	543488829	LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LSIIX	543487136	LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEFLX	543487359	LOOMIS SAYLES LIMITED TERM GOVT AND AGENCY FD CL A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NECLX	543487334	LOOMIS SAYLES LIMITED TERM GOVT AND AGENCY FD CL C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NELYX	543487326	LOOMIS SAYLES LIMITED TERM GOVT AND AGENCY FUND CL Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LSFAX	63872T570	LOOMIS SAYLES SENIOR FLOATING RATE AND FIXED INCOME FD CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LSFCX	63872T562	LOOMIS SAYLES SENIOR FLOATING RATE AND FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LSFNX	63873P866	LOOMIS SAYLES SENIOR FLOATING RATE AND FIXED INCOME FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LSFYX	63872T554	LOOMIS SAYLES SENIOR FLOATING RATE AND FIXED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LABAX	63872T646	LOOMIS SAYLES STRATEGIC ALPHA FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	LABCX	63872T638	LOOMIS SAYLES STRATEGIC ALPHA FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	LASNIX	63873P817	LOOMIS SAYLES STRATEGIC ALPHA FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	LASYX	63872T620	LOOMIS SAYLES STRATEGIC ALPHA FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEZAX	543488860	LOOMIS SAYLES STRATEGIC INCOME FUND ADMIN CLASS	NTF^	E	N	4:00 PM	01
NATIXIS FUNDS	NEFZX	543487284	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NECZX	543487268	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NEZNX	543488795	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NEZYX	543487250	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	MGGAX	63872R525	MIROVA GLOBAL GREEN BOND FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	MGGNX	63872R517	MIROVA GLOBAL GREEN BOND FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	MGGYX	63872R491	MIROVA GLOBAL GREEN BOND FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	ESGMX	63872R558	MIROVA GLOBAL SUSTAINABLE EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	ESGCX	63872R541	MIROVA GLOBAL SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	ESGNX	63872R392	MIROVA GLOBAL SUSTAINABLE EQUITY FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	ESGYX	63872R533	MIROVA GLOBAL SUSTAINABLE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	MRVAX	63872R368	MIROVA INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	MRVNX	63872R350	MIROVA INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	MRVYX	63872R343	MIROVA INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	MUSAX	63872R335	MIROVA U.S. SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NATIXIS FUNDS	MUSCX	63872R327	MIROVA U.S. SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	MUSYX	63872R293	MIROVA U.S. SUSTAINABLE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NOIYX	63872R426	NATIXIS FUNDS TRUST I OAKMARK INTERNATIONAL FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	LSDRX	543495725	NATIXIS INTERMEDIATE DURATION BOND FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NATIXIS FUNDS	LSDIX	543495709	NATIXIS INTERMEDIATE DURATION BOND FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEFOX	63872T109	NATIXIS OAKMARK FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NECOX	63872T307	NATIXIS OAKMARK FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NEOYX	63872T406	NATIXIS OAKMARK FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NOIAX	63872R624	NATIXIS OAKMARK INTERNATIONAL FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NOICX	63872R616	NATIXIS OAKMARK INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NSFBX	63872W607	NATIXIS SUSTAINABLE FUTURE 2015 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFDX	63872W706	NATIXIS SUSTAINABLE FUTURE 2020 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFEX	63872W805	NATIXIS SUSTAINABLE FUTURE 2025 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFFX	63872W888	NATIXIS SUSTAINABLE FUTURE 2030 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFGX	63872W870	NATIXIS SUSTAINABLE FUTURE 2035 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFHX	63872W862	NATIXIS SUSTAINABLE FUTURE 2040 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFJX	63872W854	NATIXIS SUSTAINABLE FUTURE 2045 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFKX	63872W847	NATIXIS SUSTAINABLE FUTURE 2050 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFLX	63872W839	NATIXIS SUSTAINABLE FUTURE 2055 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NSFMX	63872W821	NATIXIS SUSTAINABLE FUTURE 2060 FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	NEFSX	63872R871	NATIXIS U.S. EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NECCX	63872R855	NATIXIS U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	NESYX	63872R848	NATIXIS U.S. EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	VNVAX	63872T844	VAUGHAN NELSON MID-CAP FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	VNVCX	63872T836	VAUGHAN NELSON MID-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	VNVNX	63872T430	VAUGHAN NELSON MID-CAP FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	VNVYX	63872T828	VAUGHAN NELSON MID-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	VNSAX	63872T513	VAUGHAN NELSON SELECT FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	VNSCX	63872T497	VAUGHAN NELSON SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
NATIXIS FUNDS	VNSNX	63873P841	VAUGHAN NELSON SELECT FUND CLASS N	T+	N	N	4:00 PM	01
NATIXIS FUNDS	VNSYX	63872T489	VAUGHAN NELSON SELECT FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEFJX	63872R756	VAUGHAN NELSON SMALL CAP VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
NATIXIS FUNDS	NEJYX	63872R723	VAUGHAN NELSON SMALL CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
NATIXIS FUNDS	NEJCX	63872R731	VAUGHAN NELSON SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NAVIGATOR FUNDS	NAVAX	66537X803	NAVIGATOR EQUITY HEDGED FUND CLASS A	NTF^	A	N	4:00 PM	01
NAVIGATOR FUNDS	NAV CX	66537X886	NAVIGATOR EQUITY HEDGED FUND CLASS C	NTF^	C	N	4:00 PM	01
NAVIGATOR FUNDS	NAVIX	66537X878	NAVIGATOR EQUITY HEDGED FUND CLASS I	T	I	N	4:00 PM	01
NAVIGATOR FUNDS	NTBAX	66538B594	NAVIGATOR TACTICAL FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NAVIGATOR FUNDS	NTBCX	66538B586	NAVIGATOR TACTICAL FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NAVIGATOR FUNDS	NTBIX	66538B578	NAVIGATOR TACTICAL FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
NAVIGATOR FUNDS	NTIIX	66538J399	NAVIGATOR TACTICAL INVESTMENT GRADE BOND FUND CLASS I	T	I	N	4:00 PM	01
NAVIGATOR FUNDS	NUSAX	66538J530	NAVIGATOR ULTRA SHORT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NAVIGATOR FUNDS	NUSIX	66538J522	NAVIGATOR ULTRA SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NC SHAREHOLDER SERVICES	AVOAX	85520V624	ARIN LARGE CAP THETA FUND ADVISOR CLASS	T	O	N	4:00 PM	01
NC SHAREHOLDER SERVICES	AVOLX	85520V632	ARIN LARGE CAP THETA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NC SHAREHOLDER SERVICES	MDCEX	85520V434	MATISSE DISCOUNTED CLOSED-END FUND STRATEGY INST CLASS	T	I	N	4:00 PM	01
NC SHAREHOLDER SERVICES	QCIBX	85520V293	QCI BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NC SHAREHOLDER SERVICES	RAMSX	85520V764	ROUMELL OPPORTUNISTIC VALUE FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
NC SHAREHOLDER SERVICES	NAVFX	85520V731	SECTOR ROTATION FUND	T+		N	4:00 PM	01
NEEDHAM FUNDS	NEAIX	63983V506	NEEDHAM AGGRESSIVE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEEDHAM FUNDS	NEAGX	63983V209	NEEDHAM AGGRESSIVE GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
NEEDHAM FUNDS	NEEIX	63983V407	NEEDHAM GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEEDHAM FUNDS	NEEGX	63983V100	NEEDHAM GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
NEEDHAM FUNDS	NESIX	63983V605	NEEDHAM SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEEDHAM FUNDS	NESGX	63983V308	NEEDHAM SMALL CAP GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBIIX	641224811	NB INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBSX	641224506	NEUBERGER & BERMAN FOCUS FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBFCX	640917506	NEUBERGER & BERMAN FOCUS FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGIX	641233200	NEUBERGER & BERMAN GENESIS FUND INSTL SHARES	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGNX	641224100	NEUBERGER & BERMAN GENESIS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGEX	640917100	NEUBERGER & BERMAN GENESIS TRUST CLASS	NTF	T	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGUAX	641224209	NEUBERGER & BERMAN GUARDIAN FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGTX	640917209	NEUBERGER & BERMAN GUARDIAN FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NPRTX	641224407	NEUBERGER & BERMAN LARGE CAP VALUE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBPTX	640917407	NEUBERGER & BERMAN LARGE CAP VALUE FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMANX	641224308	NEUBERGER & BERMAN MID CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBMTX	640917308	NEUBERGER & BERMAN MID CAP GROWTH FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBRVX	641224886	NEUBERGER & BERMAN MID CAP INTRINSIC VALUE FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBEX	640917886	NEUBERGER & BERMAN MID CAP INTRINSIC VALUE FUND TRUST CLASS	NTF	T	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NEUBERGER BERMAN FUNDS	NBRFX	641224845	NEUBERGER & BERMAN REAL ESTATE FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBMIX	641224803	NEUBERGER & BERMAN SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBMOX	640917803	NEUBERGER & BERMAN SMALL CAP GROWTH FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NABAX	64128R871	NEUBERGER BERMAN ABSOLUTE RETURN MULTI-MANAGER FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NABCX	64128R863	NEUBERGER BERMAN ABSOLUTE RETURN MULTI-MANAGER FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NABIX	64128R855	NEUBERGER BERMAN ABSOLUTE RETURN MULTI-MANAGER FUND INSTITUTION	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGLCX	64128R202	NEUBERGER BERMAN ALTERNATIVE GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRBCX	64128R806	NEUBERGER BERMAN COMMODITY STRATEGY CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRBAX	64128R707	NEUBERGER BERMAN COMMODITY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRBIX	64128R889	NEUBERGER BERMAN COMMODITY STRATEGY INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NCRAX	64128K207	NEUBERGER BERMAN CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NCRCX	64128K306	NEUBERGER BERMAN CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NCRLX	64128K405	NEUBERGER BERMAN CORE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NCRIX	64128K504	NEUBERGER BERMAN CORE BOND FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NDGAX	64122Q325	NEUBERGER BERMAN DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NDGCX	64122Q317	NEUBERGER BERMAN DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NDGIX	64122Q291	NEUBERGER BERMAN DIVIDEND GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NERAX	64128K553	NEUBERGER BERMAN EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NERCX	64128K546	NEUBERGER BERMAN EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NERIX	64128K538	NEUBERGER BERMAN EMERGING MARKETS DEBT FUND INSTL	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEMIX	641224415	NEUBERGER BERMAN EMERGING MARKETS EQUITY FD INSTL CL	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEMAX	641224431	NEUBERGER BERMAN EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEMCX	641224423	NEUBERGER BERMAN EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NEUBERGER BERMAN FUNDS	NBHAX	641224522	NEUBERGER BERMAN EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBHGX	641224514	NEUBERGER BERMAN EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBHIX	641224498	NEUBERGER BERMAN EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFIIX	64128K694	NEUBERGER BERMAN FLOATING RATE INC FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFIAX	64128K728	NEUBERGER BERMAN FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFICX	64128K710	NEUBERGER BERMAN FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBFAX	64122M209	NEUBERGER BERMAN FOCUS FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFAAX	64122Q879	NEUBERGER BERMAN FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFACX	64122Q861	NEUBERGER BERMAN FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NFALX	64122Q853	NEUBERGER BERMAN FOCUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGAX	64122M605	NEUBERGER BERMAN GENESIS FUND ADVISOR CLASS	T	O	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGLAX	64128R103	NEUBERGER BERMAN GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGLIX	64128R301	NEUBERGER BERMAN GLOBAL ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGRIX	64122Q424	NEUBERGER BERMAN GLOBAL REAL ESTATE FUND INST CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGDCX	641224332	NEUBERGER BERMAN GUARDIAN CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBGUX	64122M308	NEUBERGER BERMAN GUARDIAN FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGDAX	641224340	NEUBERGER BERMAN GUARDIAN FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NGDLX	641224290	NEUBERGER BERMAN GUARDIAN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NHILX	64128K868	NEUBERGER BERMAN HIGH INCOME BOND FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NHINX	64128K850	NEUBERGER BERMAN HIGH INCOME BOND FD INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NHIAX	64128K702	NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NHICX	64128K801	NEUBERGER BERMAN HIGH INCOME BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIQAX	64122Q598	NEUBERGER BERMAN INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NEUBERGER BERMAN FUNDS	NIQCX	64122Q580	NEUBERGER BERMAN INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIQVX	64122Q564	NEUBERGER BERMAN INTERNATIONAL EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIQTX	64122Q556	NEUBERGER BERMAN INTERNATIONAL EQUITY FUND TRUST CLASS	T	T	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBNAX	641224621	NEUBERGER BERMAN INTERNATIONAL SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBNCX	641224613	NEUBERGER BERMAN INTERNATIONAL SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NILIX	641224761	NEUBERGER BERMAN INTERNATIONAL SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NILTX	641224753	NEUBERGER BERMAN INTERNATIONAL SELECT FUND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIOAX	64122Q275	NEUBERGER BERMAN INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIOCX	64122Q267	NEUBERGER BERMAN INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIOIX	64122Q259	NEUBERGER BERMAN INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NINAX	64122Q507	NEUBERGER BERMAN INTRINSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NINCX	64122Q606	NEUBERGER BERMAN INTRINSIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NINLX	64122Q705	NEUBERGER BERMAN INTRINSIC VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NPNAX	64122Q796	NEUBERGER BERMAN LARGE CAP VALUE FD CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBPIX	641224787	NEUBERGER BERMAN LARGE CAP VALUE FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NPNCX	64122Q788	NEUBERGER BERMAN LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NLSAX	64128R400	NEUBERGER BERMAN LONG SHORT FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NLSCX	64128R509	NEUBERGER BERMAN LONG SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NLSIX	64128R608	NEUBERGER BERMAN LONG SHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMGCX	641224258	NEUBERGER BERMAN MID CAP GROWTH CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMBMX	64122M407	NEUBERGER BERMAN MID CAP GROWTH FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMGAX	641224266	NEUBERGER BERMAN MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBMLX	641224662	NEUBERGER BERMAN MID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NEUBERGER BERMAN FUNDS	NBRAX	64122Q739	NEUBERGER BERMAN MID CAP INTRINSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBRCX	64122Q721	NEUBERGER BERMAN MID CAP INTRINSIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBRTX	641224779	NEUBERGER BERMAN MID CAP INTRINSIC VALUE FUND INSTL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMUAX	64122Q101	NEUBERGER BERMAN MULTI-CAP OPPORTUNITIES CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMUCX	64122Q200	NEUBERGER BERMAN MULTI-CAP OPPORTUNITIES CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMULX	64122Q309	NEUBERGER BERMAN MULTI-CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMUIX	64128K843	NEUBERGER BERMAN MUNI INTERMEDIATE BOND FD INVESTOR CL	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMNAX	64128K686	NEUBERGER BERMAN MUNI INTERMEDIATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMNCX	64128K678	NEUBERGER BERMAN MUNI INTERMEDIATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMHIX	64128K439	NEUBERGER BERMAN MUNICIPAL HIGH INCOME FD INSTL CL	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMHAX	64128K454	NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMHCX	64128K447	NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIMAX	64128K371	NEUBERGER BERMAN MUNICIPAL IMPACT FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NIMCX	64128K363	NEUBERGER BERMAN MUNICIPAL IMPACT FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMIIX	64128K587	NEUBERGER BERMAN MUNICIPAL IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NMNLX	64128K660	NEUBERGER BERMAN MUNICIPAL INTERMEDIATE BOND FUND INST CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NREAX	64122Q762	NEUBERGER BERMAN REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRECX	64122Q754	NEUBERGER BERMAN REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBRIX	641224795	NEUBERGER BERMAN REAL ESTATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSHAX	64128K652	NEUBERGER BERMAN SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSHCX	64128K645	NEUBERGER BERMAN SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSHLX	64128K637	NEUBERGER BERMAN SHORT DURATION BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSBIX	64128K819	NEUBERGER BERMAN SHORT DURATION BOND INVESTOR CLASS	NTF	V	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NEUBERGER BERMAN FUNDS	NSBTX	64128K785	NEUBERGER BERMAN SHORT DURATION BOND TRUST CLASS	NTF	T	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSNCX	641224217	NEUBERGER BERMAN SMALL CAP GROWTH CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBSMX	641224530	NEUBERGER BERMAN SMALL CAP GROWTH FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBMVX	641224829	NEUBERGER BERMAN SMALL CAP GROWTH FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSNAX	641224225	NEUBERGER BERMAN SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSTLX	64128K751	NEUBERGER BERMAN STRATEGIC INCOME FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSTAX	64128K777	NEUBERGER BERMAN STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSTCX	64128K769	NEUBERGER BERMAN STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NSTTX	64128K744	NEUBERGER BERMAN STRATEGIC INCOME FUND TRUST CLASS	NTF	T	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEQAX	64122Q192	NEUBERGER BERMAN U.S. EQUITY IMPACT FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEQCX	64122Q184	NEUBERGER BERMAN U.S. EQUITY IMPACT FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NEQIX	64122Q176	NEUBERGER BERMAN U.S. EQUITY IMPACT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NUPIX	64128R566	NEUBERGER BERMAN U.S. EQUITY INDEX PUTWRITE STRATEGY FD INSTITUTIO	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NUPAX	64128R582	NEUBERGER BERMAN U.S. EQUITY INDEX PUTWRITE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NUPCX	64128R574	NEUBERGER BERMAN U.S. EQUITY INDEX PUTWRITE STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBPBX	64122M506	NEUBERGER BERMAN VALUE FUND ADVISOR CLASS	T	O	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRAAX	641224175	SUSTAINABLE EQUITY CLASS A	NTF^	A	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NRACX	641224167	SUSTAINABLE EQUITY CLASS C	NTF^	C	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBSLX	641224654	SUSTAINABLE EQUITY INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBSRX	641224605	SUSTAINABLE EQUITY INVESTOR CLASS	NTF	V	Y	4:00 PM	01
NEUBERGER BERMAN FUNDS	NBSTX	640917860	SUSTAINABLE EQUITY TRUST CLASS	NTF	T	Y	4:00 PM	01
NEW COVENANT FUNDS	NCICX	643642200	NEW COVENANT INCOME FUND	T		N	4:00 PM	01
NEWFOUND FUNDS	NFDIX	66538G569	NEWFOUND RISK MANAGED U.S. SECTOR FUND CLASS I	T	I	N	4:00 PM	01
NICHOLAS FUNDS	NSEIX	653734103	NICHOLAS EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
NICHOLAS FUNDS	NICSX	653735100	NICHOLAS FUND	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NICHOLAS FUNDS	NCTWX	653740100	NICHOLAS II FUND CLASS I	T	I	N	4:00 PM	01
NICHOLAS FUNDS	NNTWX	653740209	NICHOLAS II FUND CLASS N	NTF	N	N	4:00 PM	01
NICHOLAS FUNDS	NCLEX	653738104	NICHOLAS LIMITED EDITION FUND CLASS I	T	I	N	4:00 PM	01
NICHOLAS FUNDS	NNLEX	653738203	NICHOLAS LIMITED EDITION INC CLASS N	NTF	N	N	4:00 PM	01
NORTH SQUARE FUNDS	ADVGX	66263L775	NORTH SQUARE ADVISORY RESEARCH ALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ADVNX	66263L791	NORTH SQUARE ADVISORY RESEARCH STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ODGIX	66263L601	NORTH SQUARE ALL-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	NSIVX	66263L767	NORTH SQUARE ALTRINSIC INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ORSIX	66263L825	NORTH SQUARE DYNAMIC SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ORILX	66263L700	NORTH SQUARE MULTI STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
NORTH SQUARE FUNDS	PORYX	66263L833	NORTH SQUARE MULTI-STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ORDNX	66263L882	NORTH SQUARE OAK RIDGE DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ORIGX	66263L304	NORTH SQUARE OAK RIDGE SMALL CAP GROWTH FUND CL A	NTF^	A	N	4:00 PM	01
NORTH SQUARE FUNDS	ORIYX	66263L858	NORTH SQUARE OAK RIDGE SMALL-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFRX	66263L718	NORTH SQUARE TACTICAL DEFENSIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFZX	66263L692	NORTH SQUARE TACTICAL DEFENSIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFWX	66263L684	NORTH SQUARE TACTICAL DEFENSIVE FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFAX	66263L734	NORTH SQUARE TACTICAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFCX	66263L742	NORTH SQUARE TACTICAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NORTH SQUARE FUNDS	ETFOX	66263L726	NORTH SQUARE TACTICAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NORTH SQUARE FUNDS	STTGX	66263L650	NORTH SQUARE TRILOGY ALTERNATIVE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTH SQUARE FUNDS	STTCX	66263L668	NORTH SQUARE TRILOGY ALTERNATIVE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
NORTH SQUARE FUNDS	STTIX	66263L676	NORTH SQUARE TRILOGY ALTERNATIVE RETURN FUND CLASS I	T	I	N	4:00 PM	01
NORTH STAR FUNDS	NSBDX	66538F603	NORTH STAR BOND FUND CLASS I	T	I	N	4:00 PM	01
NORTH STAR FUNDS	NSDVX	66538A265	NORTH STAR DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
NORTH STAR FUNDS	NSMVX	66538A299	NORTH STAR MICRO CAP FUND CLASS I	T	I	N	4:00 PM	01
NORTH STAR FUNDS	NSOPX	66538A877	NORTH STAR OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTH STAR FUNDS	NSOIX	66538A869	NORTH STAR OPPORTUNITY FUND CLASS I	T	I	N	4:00 PM	01
NORTHEAST INVESTORS FUNDS	NTHFX	664210101	NORTHEAST INVESTMENT TRUST FUND	T+		N	4:00 PM	01
NORTHERN FUNDS	NOAZX	665162764	NORTHERN ARIZONA TAX EXEMPT BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOBOX	665162533	NORTHERN BOND INDEX FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NCITX	665162749	NORTHERN CALIFORNIA INTERMEDIATE TAX EXEMPT FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NCATX	665162731	NORTHERN CALIFORNIA TAX EXEMPT BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOCBX	665162376	NORTHERN CORE BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOEMX	665162582	NORTHERN EMERGING MARKETS EQUITY INDEX FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOIEX	665162202	NORTHERN EQUITY INCOME FUND	NTF		N	4:00 PM	01
NORTHERN FUNDS	NOFIX	665162806	NORTHERN FIXED INCOME FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NGREX	665162541	NORTHERN GLOBAL REAL ESTATE INDEX FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NORTHERN FUNDS	NSRIX	665130308	NORTHERN GLOBAL SUSTAINABILITY FUND	T		N	4:00 PM	01
NORTHERN FUNDS	BBALX	665162392	NORTHERN GLOBAL TACTICAL ASSET ALLOCATION FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NHFIX	665162699	NORTHERN HIGH YIELD FIXED INCOME FUND	NTF		N	4:00 PM	01
NORTHERN FUNDS	NHYMX	665162681	NORTHERN HIGH YIELD MUNICIPAL FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOITX	665162871	NORTHERN INTERMED- IATE TAX EXEMPT BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOINX	665130209	NORTHERN INTL EQUITY INDEX FD	T		N	4:00 PM	01
NORTHERN FUNDS	NOLCX	665162590	NORTHERN LARGE CAP CORE FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOLVX	665162632	NORTHERN LARGE CAP VALUE FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOMIX	665130100	NORTHERN MID-CAP INDEX PORTFOLIO	T		N	4:00 PM	01
NORTHERN FUNDS	NMMEX	665162483	NORTHERN MULTI- MANAGER EMERGING MARKETS EQUITY FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NMMGX	665162475	NORTHERN MULTI- MANAGER GLOBAL REAL ESTATE FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NMHYX	665162442	NORTHERN MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NMIEX	665162558	NORTHERN MULTI- MANAGER INT'L EQUITY FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NMEDX	665162343	NORTHERN MULTI-MANAGER EMERGING MARKET DEBT OPPTY FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NMFIX	665162384	NORTHERN MULTI-MANAGER GLOBAL LISTED INFRASTRUCTURE FUND	T		N	4:00 PM	01
NORTHERN FUNDS	BSBAX	665162368	NORTHERN SHORT BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NSITX	665162525	NORTHERN SHORT INTERMEDIATE TAX EXEMPT FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NSIUX	665162756	NORTHERN SHORT INTERMEDIATE U.S. GOV'T FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NSGRX	665162665	NORTHERN SMALL CAP CORE FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NSIDX	665162723	NORTHERN SMALL CAP INDEX FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOSGX	665162400	NORTHERN SMALL CAP VALUE FUND	NTF		N	4:00 PM	01
NORTHERN FUNDS	NOSIX	665162772	NORTHERN STOCK INDEX FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NTAUX	665162459	NORTHERN TAX- ADVANTAGED U.S. FIXED INCOME FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOTEX	665162863	NORTHERN TAX EXEMPT BOND FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NOUGX	665162889	NORTHERN U.S. GOVERN MENT FUND	T		N	4:00 PM	01
NORTHERN FUNDS	BTIAX	665162350	NORTHERN U.S. TREASURY INDEX FUND	T		N	4:00 PM	01
NORTHERN FUNDS	NUSFX	665162467	NORTHERN ULTRA-SHORT FIXED INCOME FUND	T		N	4:00 PM	01
NORTHERN LIGHTS TRUST	DPFAX	66537X183	DEER PARK TOTAL RETURN CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTHERN LIGHTS TRUST	DPFCX	66537X175	DEER PARK TOTAL RETURN CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
NORTHERN LIGHTS TRUST	DPFNX	66537X167	DEER PARK TOTAL RETURN CREDIT FUND CLASS I	T	I	N	4:00 PM	01
NORTHERN LIGHTS TRUST	EGLAX	66537Y322	EAGLE MLP STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTHERN LIGHTS TRUST	EGLCX	66537Y249	EAGLE MLP STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
NORTHERN LIGHTS TRUST	EGLIX	66537Y314	EAGLE MLP STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
NORTHERN LIGHTS TRUST	EGLNX	66539A736	EAGLE MLP STRATEGY FUND CLASS N	T	N	N	4:00 PM	01
NORTHERN LIGHTS TRUST	PTAAX	66539A876	PRINCETON LONG SHORT TREASURY FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTHERN LIGHTS TRUST	PTAIX	66539A868	PRINCETON LONG SHORT TREASURY FUND CLASS I	T	I	N	4:00 PM	01
NORTHERN LIGHTS TRUST	PPFAX	66539A405	PRINCETON PREMIUM FUND CLASS A	NTF^	A	N	4:00 PM	01
NORTHERN LIGHTS TRUST	PPFIX	66539A603	PRINCETON PREMIUM FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NUANCE FUNDS	NCVLX	56166Y206	NUANCE CONCENTRATED VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NUANCE FUNDS	NCAVX	56166Y602	NUANCE CONCENTRATED VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
NUANCE FUNDS	NCLIX	56166Y263	NUANCE CONCENTRATED VALUE LONG SHORT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
NUANCE FUNDS	NCLSX	56166Y255	NUANCE CONCENTRATED VALUE LONG/SHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NUANCE FUNDS	NMVZX	56167N712	NUANCE MID CAP VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
NUANCE FUNDS	NMVLX	56166Y511	NUANCE MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
NUANCE FUNDS	NMAVX	56166Y495	NUANCE MIDCAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
NUVEEN FUNDS	FLAAX	67065Q889	NUVEEN ALL AMERICAN MUNICIPAL BOND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FACCX	67065Q665	NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FAARX	67065Q855	NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FAZTX	67065L104	NUVEEN ARIZONA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FZCCX	67065L633	NUVEEN ARIZONA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NMARX	67065L401	NUVEEN ARIZONA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NCHAX	67065N589	NUVEEN CALIFORNIA HIGH YIELD MUNI BOND FUND CLASS A	NTF^	A	Y	4:00 PM	01
NUVEEN FUNDS	NCHRX	67065N555	NUVEEN CALIFORNIA HIGH YIELD MUNI BOND FUND CLASS I	T	I	Y	4:00 PM	01
NUVEEN FUNDS	NAWSX	67065N480	NUVEEN CALIFORNIA HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	Y	4:00 PM	01
NUVEEN FUNDS	NCAAX	67065N100	NUVEEN CALIFORNIA MUNICIPAL BD CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NAKFX	67065N548	NUVEEN CALIFORNIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NCSPX	67065N407	NUVEEN CALIFORNIA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FCOTX	67065L609	NUVEEN COLORADO MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFKX	67065L625	NUVEEN COLORADO MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FCORX	67065L880	NUVEEN COLORADO MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FCTTX	67065N886	NUVEEN CONNECTICUT MUNI BOND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FDCDX	67065N530	NUVEEN CONNECTICUT MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FCTRX	67065N852	NUVEEN CONNECTICUT MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NCIRX	67073H400	NUVEEN CORE IMPACT BOND MANAGED ACCOUNTS PORTFOLIO	T		N	4:00 PM	01
NUVEEN FUNDS	FJSIX	670678218	NUVEEN CREDIT INCOME FUND CLASS A3	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FCSIX	670678267	NUVEEN CREDIT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FJSYX	670678234	NUVEEN CREDIT INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NSBAX	67065W662	NUVEEN DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NSBCX	67065W647	NUVEEN DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NSBRX	67065W639	NUVEEN DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FFEIX	670678887	NUVEEN DIVIDEND VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FFECX	670678317	NUVEEN DIVIDEND VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FAQIX	670678879	NUVEEN DIVIDEND VALUE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NEKAX	670713734	NUVEEN EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NEKCX	670713726	NUVEEN EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NEKIX	670713718	NUVEEN EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NELAX	67065W183	NUVEEN EQUITY LONG/SHORT FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NUVEEN FUNDS	NELCX	67065W175	NUVEEN EQUITY LONG/SHORT FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NELIX	67065W167	NUVEEN EQUITY LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NWQAX	670700608	NUVEEN FLEXIBLE INCOME FUND CLASS A3	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NWQCX	670700707	NUVEEN FLEXIBLE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NWQIX	670700806	NUVEEN FLEXIBLE INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NFRAX	67066D713	NUVEEN FLOATING RATE INCOME FUND CLASS A5	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NFFCX	67066D697	NUVEEN FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NFRIX	67066D689	NUVEEN FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FGATX	67065P501	NUVEEN GEORGIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FGCCX	67065P717	NUVEEN GEORGIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FGARX	67065P808	NUVEEN GEORGIA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NUGAX	670725464	NUVEEN GLOBAL DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NUGCX	670725456	NUVEEN GLOBAL DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NUGIX	670725449	NUVEEN GLOBAL DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NQGAX	67064Y321	NUVEEN GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NQGCX	67064Y313	NUVEEN GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NQGIX	67064Y297	NUVEEN GLOBAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FGIAX	670690494	NUVEEN GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FGNCX	670690528	NUVEEN GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FGIYX	670690510	NUVEEN GLOBAL INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NGJAX	670700665	NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NGJCX	670700657	NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NGJIX	670700640	NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NCOAX	67066D754	NUVEEN HIGH YIELD INCOME FUND CLASS A3	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NCFCX	67066D747	NUVEEN HIGH YIELD INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NCOIX	67066D739	NUVEEN HIGH YIELD INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NHMAX	67065Q749	NUVEEN HIGH YIELD MUNICIPAL BOND FD CLASS A	NTF^	A	Y	4:00 PM	01
NUVEEN FUNDS	NHCCX	67065Q624	NUVEEN HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	Y	4:00 PM	01
NUVEEN FUNDS	NHMRX	67065Q772	NUVEEN HIGH YIELD MUNICIPAL BOND FUND CLASS I	T	I	Y	4:00 PM	01
NUVEEN FUNDS	NMBAX	67065Q202	NUVEEN INTERMEDIATE DURATION MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NNCCX	67065Q640	NUVEEN INTERMEDIATE DURATION MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NUVBX	67065Q400	NUVEEN INTERMEDIATE DURATION MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NUIAX	670725423	NUVEEN INTERNATIONAL DIVIDEND GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NUICX	670725415	NUVEEN INTERNATIONAL DIVIDEND GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NUIIX	670725399	NUVEEN INTERNATIONAL DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NBQAX	670725738	NUVEEN INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NBQCX	670725720	NUVEEN INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NBQIX	670725712	NUVEEN INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NWAIX	670713502	NUVEEN INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NUVEEN FUNDS	NWSCX	670713601	NUVEEN INTERNATIONAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NWPIX	670713700	NUVEEN INTERNATIONAL SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NAIGX	67065W506	NUVEEN INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NCIGX	67065W704	NUVEEN INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NGRRX	67065W803	NUVEEN INTERNATIONAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FSCCX	670678200	NUVEEN INVESTMENT SMALL- CAP VALUE FUND CL I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FKSTX	67065R101	NUVEEN KANSAS MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFOX	67065R671	NUVEEN KANSAS MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FRKSX	67065R408	NUVEEN KANSAS MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FKYTX	67065R507	NUVEEN KENTUCKY MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FKCCX	67065R663	NUVEEN KENTUCKY MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FKYRX	67065R804	NUVEEN KENTUCKY MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FLRYX	670690338	NUVEEN LARGE CAP SELECT FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FLRAX	670690296	NUVEEN LARGE-CAP SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FLYCX	670690312	NUVEEN LARGE-CAP SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NQCAX	67064Y628	NUVEEN LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NQCCX	67064Y594	NUVEEN LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NQCRX	67064Y586	NUVEEN LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FLTDX	67065Q848	NUVEEN LIMITED TERM MUNI BOND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFJX	67065Q632	NUVEEN LIMITED TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FLTRX	67065Q822	NUVEEN LIMITED-TERM MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FTLAX	67065P881	NUVEEN LOUISIANA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFLX	67065P691	NUVEEN LOUISIANA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FTLRX	67065P857	NUVEEN LOUISIANA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NMDAX	67065L831	NUVEEN MARYLAND MUNICIPAL BD CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NACCX	67065L617	NUVEEN MARYLAND MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NMMDX	67065L799	NUVEEN MARYLAND MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NMAAX	67065N845	NUVEEN MASSACHUSETTS MUNICIPAL BD CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NAAGX	67065N522	NUVEEN MASSACHUSETTS MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NBMAX	67065N811	NUVEEN MASSACHUSETTS MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FMITX	67065R853	NUVEEN MICHIGAN MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFNX	67065R655	NUVEEN MICHIGAN MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NMMIX	67065R820	NUVEEN MICHIGAN MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FRSLX	670690718	NUVEEN MID CAP GROWTH OPPORTUNITIES CL A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FISGX	670690759	NUVEEN MID CAP GROWTH OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FMECX	670690734	NUVEEN MID CAP OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FACSX	670678333	NUVEEN MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FASEX	670678853	NUVEEN MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FSEIX	670678663	NUVEEN MID-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NUVEEN FUNDS	FAMAX	670678713	NUVEEN MINNESOTA INTER TAX FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAMTX	670678689	NUVEEN MINNESOTA INTER TAX FREE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NIBCX	670693100	NUVEEN MINNESOTA INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NTCCX	670693209	NUVEEN MINNESOTA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FYMNX	670678192	NUVEEN MINNESOTA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FJMNX	670678184	NUVEEN MINNESOTA TAX FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FMOTX	67065R812	NUVEEN MISSOURI MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAPPX	67065R648	NUVEEN MISSOURI MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FMMRX	67065R770	NUVEEN MISSOURI MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NQVAX	67064Y792	NUVEEN MULTI-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NQVCX	67064Y776	NUVEEN MULTI-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NQVRX	67064Y768	NUVEEN MULTI-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NMTRX	67073H103	NUVEEN MUNICIPAL TOTAL RETURN FUND MANAGED ACCOUNTS	T		N	4:00 PM	01
NUVEEN FUNDS	NAAFX	670693308	NUVEEN NEBRASKA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FNTAX	670678499	NUVEEN NEBRASKA TAX-FREE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FNTYX	670678523	NUVEEN NEBRASKA TAX-FREE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NNJAX	67065N753	NUVEEN NEW JERSEY MUNICIPAL BOND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NJCCX	67065N514	NUVEEN NEW JERSEY MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NMNJX	67065N720	NUVEEN NEW JERSEY MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FNMTX	67065L781	NUVEEN NEW MEXICO MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FNCCX	67065L591	NUVEEN NEW MEXICO MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FNMRX	67065L757	NUVEEN NEW MEXICO MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NNYAX	67065N670	NUVEEN NEW YORK MUNICIPAL BOND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NAJPX	67065N498	NUVEEN NEW YORK MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NTNYX	67065N647	NUVEEN NEW YORK MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FLNCX	67065P840	NUVEEN NORTH CAROLINA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FDCCX	67065P683	NUVEEN NORTH CAROLINA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FCNRX	67065P816	NUVEEN NORTH CAROLINA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FOHTX	67065R762	NUVEEN OHIO MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FAFMX	67065R630	NUVEEN OHIO MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NXOHX	67065R739	NUVEEN OHIO MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NAFOX	670693407	NUVEEN OREGON INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FOTAX	670678242	NUVEEN OREGON INTERMEDIATE TAX FREE FD CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FORCX	670678408	NUVEEN OREGON INTERMEDIATE TAX FREE FUND CL I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FPNTX	67065L740	NUVEEN PENNSYLVANIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FPCCX	67065L583	NUVEEN PENNSYLVANIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NBPAX	67065L716	NUVEEN PENNSYLVANIA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NPSAX	670700103	NUVEEN PREFERRED SECURITIES AND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NPSCX	670700301	NUVEEN PREFERRED SECURITIES AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
NUVEEN FUNDS	NPSRX	670700400	NUVEEN PREFERRED SECURITIES AND INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NRIAX	670690171	NUVEEN REAL ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NRICX	670690163	NUVEEN REAL ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NRIIIX	670690155	NUVEEN REAL ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FARCX	670678507	NUVEEN REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FRLCX	670678473	NUVEEN REAL ESTATE SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FREAX	670678705	NUVEEN REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NVHAX	67065Q673	NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NVCCX	67065Q616	NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NVHIX	67065Q699	NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FSHAX	670690593	NUVEEN SHORT TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NAAEX	670693506	NUVEEN SHORT TERM MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FSHYX	670690486	NUVEEN SHORT TERM MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FIMPX	670690817	NUVEEN SMALL -CAP GROWTH OPPS FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FHMCX	670690858	NUVEEN SMALL CAP SELECT FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	ARSTX	670690874	NUVEEN SMALL CAP SELECT FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FSCAX	670678309	NUVEEN SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FSCVX	670678341	NUVEEN SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NSMAX	67064Y578	NUVEEN SMALL/MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NSMCX	67064Y552	NUVEEN SMALL/MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NSMRX	67064Y545	NUVEEN SMALL/MID-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FRMPX	670690767	NUVEEN SMALL-CAP GROWTH OPPORTUNITIES FD CL A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FMPCX	670690783	NUVEEN SMALL-CAP GROWTH OPPORTUNITIES FD CL C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	EMGRX	670690833	NUVEEN SMALL-CAP SELECT FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NSCAX	67064Y750	NUVEEN SMALL-CAP VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NSCCX	67064Y735	NUVEEN SMALL-CAP VALUE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NSCRX	67064Y727	NUVEEN SMALL-CAP VALUE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FCDDX	670678366	NUVEEN STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	FCBCX	670678382	NUVEEN STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FCBYX	670678390	NUVEEN STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NSAOX	67065Q590	NUVEEN STRATEGIC MUNICIPAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NSCOX	67065Q582	NUVEEN STRATEGIC MUNICIPAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NSIOX	67065Q574	NUVEEN STRATEGIC MUNICIPAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	FVCCX	67065L575	NUVEEN VIRGINIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	FVATX	67065L690	NUVEEN VIRGINIA MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NMVAX	67065L666	NUVEEN VIRGINIA MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
NUVEEN FUNDS	NWCAX	670725688	NUVEEN WINSLOW LARGE-CAP GROWTH ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
NUVEEN FUNDS	NWCCX	670725670	NUVEEN WINSLOW LARGE-CAP GROWTH ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
NUVEEN FUNDS	NVLIX	670725662	NUVEEN WINSLOW LARGE-CAP GROWTH ESG FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
OAK FUNDS	BOGSX	671081404	BLACK OAK EMERGING TECHNOLOGY FUND	T		N	4:00 PM	01
OAK FUNDS	LOGSX	671081503	LIVE OAK HEALTH SCIENCES FUND	T		N	4:00 PM	01
OAK FUNDS	POGSX	671081206	PIN OAK EQUITY FUND	T		N	4:00 PM	01
OAK FUNDS	ROGSX	671081305	RED OAK TECHNOLOGY SELECT PORTFOLIO	T		N	4:00 PM	01
OAK FUNDS	RIVSX	671081701	RIVER OAK DISCOVERY FUND	T		N	4:00 PM	01
OAK FUNDS	RCKSX	671081602	ROCK OAK CORE GROWTH FUND	T		N	4:00 PM	01
OAK FUNDS	WOGSX	671081107	WHITE OAK GROWTH STOCK FUND	T		N	4:00 PM	01
OAKMARK FUNDS	OAYCX	413838640	OAKMARK BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANCX	413838632	OAKMARK BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAYBX	413838772	OAKMARK EQUITY AND INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANBX	413838764	OAKMARK EQUITY AND INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAKBX	413838400	OAKMARK EQUITY AND INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAYMX	413838798	OAKMARK FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANMX	413838780	OAKMARK FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAYGX	413838681	OAKMARK GLOBAL FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANGX	413838673	OAKMARK GLOBAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAYWX	413838756	OAKMARK GLOBAL SELECT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANWX	413838749	OAKMARK GLOBAL SELECT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAKWX	413838822	OAKMARK GLOBAL SELECT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAYIX	413838731	OAKMARK INTERNATIONAL FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANIX	413838723	OAKMARK INTERNATIONAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAKIX	413838202	OAKMARK INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAYEX	413838665	OAKMARK INTERNATIONAL SMALL CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANEX	413838657	OAKMARK INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAYLX	413838715	OAKMARK SELECT FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OAKMARK FUNDS	OANLX	413838699	OAKMARK SELECT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
OAKMARK FUNDS	OAKLX	413838608	OAKMARK SELECT FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAKMX	413838103	THE OAKMARK FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAKGX	413838830	THE OAKMARK GLOBAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OAKMARK FUNDS	OAKEX	413838509	THE OAKMARK INTERNATIONAL SMALL-CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OBERWEIS FUNDS	OBCHX	674375407	OBERWEIS CHINA OPPORTUNITIES FUND	NTF		N	4:00 PM	01
OBERWEIS FUNDS	OCHIX	674375860	OBERWEIS CHINA OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
OBERWEIS FUNDS	OIEMX	674375845	OBERWEIS EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
OBERWEIS FUNDS	OBEMX	674375837	OBERWEIS EMERGING MARKETS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
OBERWEIS FUNDS	OBGIX	674375886	OBERWEIS GLOBAL OPPORTUNITIES FUND INSTIUTIONAL CLASS	T	I	N	4:00 PM	01
OBERWEIS FUNDS	OBEGX	674375100	OBERWEIS GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
OBERWEIS FUNDS	OBIOX	674375506	OBERWEIS INTERNATIONAL OPPORTUNITIES FUND	NTF		N	4:00 PM	01
OBERWEIS FUNDS	OBIIIX	674375704	OBERWEIS INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
OBERWEIS FUNDS	OMCIX	674375803	OBERWEIS MICRO CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
OBERWEIS FUNDS	OBMCX	674375209	OBERWEIS MICRO-CAP FUND	T		N	4:00 PM	01
OBERWEIS FUNDS	OBSIX	674375878	OBERWEIS SMALL CAP OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
OBERWEIS FUNDS	OBSOX	674375308	OBERWEIS SMALL-CAP OPPORTUNITIES FUND	T		N	4:00 PM	01
OCM GOLD FUNDS	OCMAX	67087F200	OCM GOLD FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
OCM GOLD FUNDS	OCMGX	67087F101	OCM GOLD FUND INVESTOR CLASS	T	V	N	4:00 PM	01
OLSTEIN FUNDS	OFAFX	56167N621	OLSTEIN ALL CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
OLSTEIN FUNDS	OFVX	56167N563	OLSTEIN ALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
OLSTEIN FUNDS	OFALX	56167N613	OLSTEIN ALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
OLSTEIN FUNDS	OFSFX	56167N571	OLSTEIN STRATEGIC OPPORTUNITIES FUND ADVISOR CLASS	T	O	N	4:00 PM	02
OLSTEIN FUNDS	OFSAX	56167N597	OLSTEIN STRATEGIC OPPORTUNITIES FUND CLASS A	NTF	A	N	4:00 PM	01
OLSTEIN FUNDS	OFSCX	56167N589	OLSTEIN STRATEGIC OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
OPTIMUM FUNDS	OAFIX	246118681	OPTIMUM FIXED INCOME FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCFIX	246118665	OPTIMUM FIXED INCOME FUND CLASS C	T+	C	N	4:00 PM	01
OPTIMUM FUNDS	OIFIX	246118657	OPTIMUM FIXED INCOME FUND CLASS I	T+	I	N	4:00 PM	01
OPTIMUM FUNDS	OAIEX	246118731	OPTIMUM INTERNATIONAL FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCIEX	246118715	OPTIMUM INTERNATIONAL FUND CLASS C	T+	C	N	4:00 PM	01
OPTIMUM FUNDS	OIIEX	246118699	OPTIMUM INTERNATIONAL FUND CLASS I	T+	I	N	4:00 PM	01
OPTIMUM FUNDS	OALGX	246118707	OPTIMUM LARGE CAP GROWTH FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCLGX	246118889	OPTIMUM LARGE CAP GROWTH FUND CLASS C	T+	C	N	4:00 PM	01
OPTIMUM FUNDS	OILGX	246118871	OPTIMUM LARGE CAP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
OPTIMUM FUNDS	OALVX	246118863	OPTIMUM LARGE CAP VALUE FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCLVX	246118848	OPTIMUM LARGE CAP VALUE FUND CLASS C	T+	C	N	4:00 PM	01
OPTIMUM FUNDS	OILVX	246118830	OPTIMUM LARGE CAP VALUE FUND CLASS I	T+	I	N	4:00 PM	01
OPTIMUM FUNDS	OISGX	246118780	OPTIMUM SMALL CAP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
OPTIMUM FUNDS	OASGX	246118822	OPTIMUM SMALL-MID CAP GROWTH FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCSGX	246118798	OPTIMUM SMALL-MID CAP GROWTH FUND CLASS C	T+	C	N	4:00 PM	01
OPTIMUM FUNDS	OASVX	246118772	OPTIMUM SMALL-MID CAP VALUE FUND CLASS A	T+	A	N	4:00 PM	01
OPTIMUM FUNDS	OCSVX	246118756	OPTIMUM SMALL-MID CAP VALUE FUND CLASS C	T+	C	N	4:00 PM	02
OPTIMUM FUNDS	OISVX	246118749	OPTIMUM SMALL-MID CAP VALUE FUND CLASS I	T+	I	N	4:00 PM	01
ORINDA FUNDS	OIOAX	74925K144	ORINDA INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	02
ORINDA FUNDS	OIODX	74925K136	ORINDA INCOME OPPORTUNITIES FUND CLASS D	NTF^	D	N	4:00 PM	02
ORINDA FUNDS	OIOIX	74925K151	ORINDA INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	02
O'SHAUGHNESSY FUNDS	OFVIX	00770X444	O'SHAUGHNESSY MARKET LEADERS VALUE FUND CLASS I	T	I	N	4:00 PM	02
OSTERWEIS FUNDS	OSTGX	74316P744	OSTERWEIS EMERGING OPPORTUNITY FUND	T		N	4:00 PM	01
OSTERWEIS FUNDS	OSTRX	74316P736	OSTERWEIS TOTAL RETURN FUND	T		N	4:00 PM	01
OSTERWEIS FUNDS	OSTFX	742935406	THE OSTERWEIS FUND	T		N	4:00 PM	01
OSTERWEIS FUNDS	OSTVX	74316J771	THE OSTERWEIS GROWTH AND INCOME FUND	T		N	4:00 PM	01
OSTERWEIS FUNDS	OSTIX	742935489	THE OSTERWEIS STRATEGIC INCOME FUND	T		N	4:00 PM	01
OTTER CREEK FUNDS	OTTRX	74316J342	OTTER CREEK LONG/SHORT OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

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OTTER CREEK FUNDS	OTCRX	74316J334	OTTER CREEK LONG/SHORT OPPORTUNITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLIDX	69447T722	PACIFIC CORE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLIAX	69447T813	PACIFIC CORE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLNCX	69447T789	PACIFIC CORE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLIIX	69447T797	PACIFIC CORE INCOME FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLEDX	69447T367	PACIFIC ESG CORE BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLEBX	69447T375	PACIFIC ESG CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLFDX	69447T714	PACIFIC FLOATING RATE INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLFLX	69448A870	PACIFIC FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLBCX	69448A862	PACIFIC FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLFRX	69447T771	PACIFIC FLOATING RATE INCOME FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLHYX	69447T755	PACIFIC HIGH INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLAHX	69448A102	PACIFIC HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLCHX	69448A201	PACIFIC HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLHIX	69448A300	PACIFIC HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	POEDX	69447T649	PACIFIC PORTFOLIO OPTIMIZATION AGGRESSIVE GROWTH FUND ADVISOR CLA	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	POCEX	694289323	PACIFIC PORTFOLIO OPTIMIZATION AGGRESSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	POEAX	694289349	PACIFIC PORTFOLIO OPTIMIZATION AGGRESSIVE-GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLCDX	69447T680	PACIFIC PORTFOLIO OPTIMIZATION CONSERVATIVE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	POAAX	694289471	PACIFIC PORTFOLIO OPTIMIZATION CONSERVATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	POACX	694289455	PACIFIC PORTFOLIO OPTIMIZATION CONSERVATIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PMADX	69447T656	PACIFIC PORTFOLIO OPTIMIZATION GROWTH FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PODAX	694289372	PACIFIC PORTFOLIO OPTIMIZATION GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PODCX	694289356	PACIFIC PORTFOLIO OPTIMIZATION GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PMCDX	69447T672	PACIFIC PORTFOLIO OPTIMIZATION MODERATE CONSERVA- TIVE FUND ADVISO	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	POBAX	694289448	PACIFIC PORTFOLIO OPTIMIZATION MODERATE- CONSERVATIVE FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	POBCX	694289422	PACIFIC PORTFOLIO OPTIMIZATION MODERATE- CONSERVATIVE FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	POMDX	69447T664	PACIFIC PORTFOLIO OPTIMIZATION MODERATE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	POCAX	694289414	PACIFIC PORTFOLIO OPTIMIZATION MODERATE FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	POMCX	694289380	PACIFIC PORTFOLIO OPTIMIZATION MODERATE FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLDSX	69447T748	PACIFIC SHORT DURATION INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLADX	69448A409	PACIFIC SHORT DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLCSX	69448A508	PACIFIC SHORT DURATION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLSDX	69448A607	PACIFIC SHORT DURATION INCOME FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFQDX	69448A433	PACIFIC SMALL CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFFDX	69448A367	PACIFIC SMALL CAP VALUE FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFMDX	69448A482	PACIFIC SMALL MID CAP FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFDAX	69448A524	PACIFIC SMALL/MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PACIFIC LIFE FUNDS	PFPCX	69448A516	PACIFIC SMALL/MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFKAX	69448A466	PACIFIC SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFACX	69448A458	PACIFIC SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFEAX	69448A391	PACIFIC SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PFHCX	69448A383	PACIFIC SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLSFX	69447T730	PACIFIC STRATEGIC INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLSTX	69448A706	PACIFIC STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLCNX	69448A805	PACIFIC STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLSRX	69448A888	PACIFIC STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLUDX	69448A219	PACIFIC ULTRA SHORT INCOME FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PACIFIC LIFE FUNDS	PLUIX	69448A227	PACIFIC ULTRA SHORT INCOME FUND CLASS I	T	I	N	4:00 PM	01
PALM VALLEY FUNDS	PVCMX	81752T684	PALM VALLEY CAPITAL FUND	T		N	4:00 PM	01
PARADIGM FUNDS	PVIVX	69901E500	PARADIGM MICRO-CAP FUND	NTF		N	4:00 PM	01
PARADIGM FUNDS	PFSLX	69901E302	PARADIGM SELECT FUND	NTF		N	4:00 PM	01
PARADIGM FUNDS	PVFAX	69901E104	PARADIGM VALUE FUND	NTF		N	4:00 PM	01
PARNASSUS FUNDS	PRILX	701769408	PARNASSUS CORE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARNASSUS FUNDS	PRBLX	701769101	PARNASSUS CORE EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PARNASSUS FUNDS	PARWX	701765869	PARNASSUS ENDEAVOR FUND	NTF	V	N	4:00 PM	01
PARNASSUS FUNDS	PFPWX	701765406	PARNASSUS ENDEAVOR FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARNASSUS FUNDS	PRFIX	701769200	PARNASSUS FIXED INCOME FUND	NTF	V	N	4:00 PM	01
PARNASSUS FUNDS	PFPLX	701765703	PARNASSUS FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARNASSUS FUNDS	PARNX	701765109	PARNASSUS FUND	NTF	V	N	4:00 PM	01
PARNASSUS FUNDS	PFPRX	701765307	PARNASSUS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARNASSUS FUNDS	PFPMX	701765505	PARNASSUS MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARNASSUS FUNDS	PARMX	701765885	PARNASSUS MID-CAP FUND	NTF	V	N	4:00 PM	01
PARTNERSELECT FUNDS	IDMAX	56170L810	IM DOLAN MCENIRY CORPORATE BOND FUND ADVISOR CLASS	T	O	N	4:00 PM	01
PARTNERSELECT FUNDS	IDMIX	56170L836	IM DOLAN MCENIRY CORPORATE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	MASFX	53700T801	PARTNERSELECT ALTERNATIVE STRATEGIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	MASNX	53700T884	PARTNERSELECT ALTERNATIVE STRATEGIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PARTNERSELECT FUNDS	MSEFX	53700T108	PARTNERSELECT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	MAHIX	53700T876	PARTNERSELECT HIGH INCOME ALTERNATIVES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	MSILX	53700T207	PARTNERSELECT INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	POIVX	53700T843	PARTNERSELECT OLDFIELD INTERNATIONAL VALUE FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
PARTNERSELECT FUNDS	PFSVX	53700T850	PARTNERSELECT SBH FOCUSED SMALL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PXBIX	704223460	PAX CORE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXBX	704223478	PAX CORE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXWIX	70422T109	PAX ELLEVATE GLOBAL WOMEN'S LEADERSHIP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PXWEX	70422T208	PAX ELLEVATE GLOBAL WOMEN'S LEADERSHIP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXEAX	704223551	PAX GLOBAL ENVIRONMENTAL MARKETS FUND CLASS A	NTF	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PAX WORLD FUNDS	PGINX	704223775	PAX GLOBAL ENVIRONMENTAL MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PGRNX	704223783	PAX GLOBAL ENVIRONMENTAL MARKETS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PAX WORLD FUNDS	PXGOX	704223429	PAX GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXGX	704223437	PAX GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXDIX	704223445	PAX GLOBAL SUSTAINABLE ECONOMY FUND INVESTOR CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXDX	704223452	PAX GLOBAL SUSTAINABLE INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXHAX	704223577	PAX HIGH YIELD BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PAX WORLD FUNDS	PXHIX	704223809	PAX HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXHX	704223700	PAX HIGH YIELD BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PAX WORLD FUNDS	PXNIX	704223742	PAX INTERNATIONAL SUSTAINABLE ECONOMY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PXINX	704223759	PAX INTERNATIONAL SUSTAINABLE ECONOMY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXLIX	704223486	PAX LARGE CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXLX	704223494	PAX LARGE CAP FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAX WORLD FUNDS	PXSAX	704223569	PAX SMALL CAP FUND CLASS A	NTF	A	N	4:00 PM	01
PAX WORLD FUNDS	PXSIX	704223817	PAX SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PXSCX	704223825	PAX SMALL CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PAX WORLD FUNDS	PAXIX	704223205	PAX SUSTAINABLE ALLOCATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PAXWX	704223106	PAX SUSTAINABLE ALLOCATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PAX WORLD FUNDS	PXGAX	704223585	PAX U.S. SUSTAINABLE ECONOMY FUND CLASS A	NTF	A	N	4:00 PM	01
PAX WORLD FUNDS	PWGIX	704223502	PAX U.S. SUSTAINABLE ECONOMY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PAX WORLD FUNDS	PXWGX	704223403	PAX U.S. SUSTAINABLE ECONOMY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYARX	70432T107	PAYDEN & RYGEL ABSOLUTE RETURN BOND FUND INVESTOR CL	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYCWX	704329333	PAYDEN & RYGEL CORE BOND FUND ADVISER CLASS	NTF	O	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYCBX	704329408	PAYDEN & RYGEL CORE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYACX	704329366	PAYDEN & RYGEL CORPORATE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYEWX	704329325	PAYDEN & RYGEL EMERGING MARKETS BOND FUND ADVISER CLASS	NTF	O	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYEIX	704329242	PAYDEN & RYGEL EMERGING MARKETS BOND FUND CLASS SI	T+	S	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYEMX	704329531	PAYDEN & RYGEL EMERGING MARKETS BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYCEX	704329234	PAYDEN & RYGEL EMERGING MARKETS CORPORATE BOND FUND INVESTOR CL	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYELX	704329275	PAYDEN & RYGEL EMERGING MARKETS LOCAL BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYVAX	704329259	PAYDEN & RYGEL EQUITY INCOME FUND ADVISER CLASS	NTF	O	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYVLX	704329739	PAYDEN & RYGEL EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYFRX	704329226	PAYDEN & RYGEL FLOATING RATE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYGFX	704329101	PAYDEN & RYGEL GLOBAL FIXED INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYGSX	704329697	PAYDEN & RYGEL GLOBAL LOW DURATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYGNX	704329473	PAYDEN & RYGEL GNMA FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYHRX	704329572	PAYDEN & RYGEL HIGH INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYLMX	704329606	PAYDEN & RYGEL LIMITED MATURITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYSBX	704329200	PAYDEN & RYGEL LOW DURATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PAYDEN & RYGEL FUNDS	PKCBX	70432R101	PAYDEN & RYGEL P/K CASH BALANCE PLAN FUND ADVISER CLASS	NTF	O	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PKBIX	70432R200	PAYDEN & RYGEL P/K CASH BALANCE PLAN FUND CLASS SI	T+	S	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PKCRX	704329358	PAYDEN & RYGEL P/K CASH BALANCE PLAN FUND RETIREMENT CLASS	NTF	R	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYUSX	704329796	PAYDEN & RYGEL U.S. GOVERNMENT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYCRX	704329515	PAYDEN CALIFORNIA MUNICIPAL SOCIAL IMPACT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYGIX	70432T701	PAYDEN GLOBAL FIXED INCOME FUND CLASS SI	T+	S	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYLSX	70432T602	PAYDEN LIMITED MATURITY FUND CLASS SI	T+	S	N	4:00 PM	01
PAYDEN & RYGEL FUNDS	PYSGX	704329168	PAYDEN STRATEGIC INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PEAR TREE FUNDS	QEMAX	70472Q872	PEAR TREE AXIOM EMERGING MARKETS WORLD EQUITY FUND INSTITUTIONAL	T	I	N	4:00 PM	01
PEAR TREE FUNDS	GEOSX	70472Q716	PEAR TREE ESSEX ENVIRONMENTAL OPPORTUNITIES FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
PEAR TREE FUNDS	EEOFX	70472Q724	PEAR TREE ESSEX ENVIRONMENTAL OPPORTUNITIES FUND ORDINARY CLASS	T	O	N	4:00 PM	01
PEAR TREE FUNDS	QFFOX	70472Q880	PEAR TREE PANAGORA EMERGING MARKETS FUND ORDINARY SHARES	T	O	N	4:00 PM	01
PEAR TREE FUNDS	QFVIX	70472Q807	PEAR TREE POLARIS FOREIGN VALUE FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
PEAR TREE FUNDS	QFVOX	70472Q708	PEAR TREE POLARIS FOREIGN VALUE FUND ORDINARY SHARES	NTF	O	N	4:00 PM	01
PEAR TREE FUNDS	QUSIX	70472Q500	PEAR TREE POLARIS FOREIGN VALUE SMALL CAP FUND INSTITUTIONAL SHARE	T	I	N	4:00 PM	01
PEAR TREE FUNDS	QUSOX	70472Q609	PEAR TREE POLARIS FOREIGN VALUE SMALL CAP FUND ORDINARY CLASS	NTF	O	N	4:00 PM	01
PEAR TREE FUNDS	QISIX	70472Q740	PEAR TREE POLARIS INTERNATIONAL OPPORTUNITIES FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
PEAR TREE FUNDS	QISOX	70472Q757	PEAR TREE POLARIS INTERNATIONAL OPPORTUNITIES FUND ORDINARY CLASS	T	O	N	4:00 PM	01
PEAR TREE FUNDS	QBNAX	70472Q302	PEAR TREE POLARIS SMALL CAP FUND INSITUTIONAL SHARES	T	I	N	4:00 PM	01
PEAR TREE FUNDS	USBNX	70472Q401	PEAR TREE POLARIS SMALL CAP FUND ORDINARY SHARES	T	O	N	4:00 PM	01
PEAR TREE FUNDS	QGIAX	70472Q104	PEAR TREE QUALITY FUND INSTITUTIONAL FUND	T	I	N	4:00 PM	01
PEAR TREE FUNDS	USBOX	70472Q203	PEAR TREE QUALITY FUND ORDINARY SHARES	T	O	N	4:00 PM	01
PENN CAPITAL FUNDS	PSCNX	707269304	PENN CAPITAL SPECIAL SITUATIONS SMALL CAP EQUITY FUND INST CLASS	T	I	N	4:00 PM	01
PENSION PARTNERS FUNDS	ATCIX	56167N639	ATAC INFLATION ROTATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PENSION PARTNERS FUNDS	ATACX	56166Y818	ATAC INFLATION ROTATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTCRX	89834E195	PERFORMANCE TRUST CREDIT FUND CLASS I	T	I	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTRMX	89833W162	PERFORMANCE TRUST MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTIMX	89833W170	PERFORMANCE TRUST MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTAOX	89833W121	PERFORMANCE TRUST STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTCOX	89833W113	PERFORMANCE TRUST STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PERFORMANCE TRUST FUNDS	PTIAX	89833W394	PERFORMANCE TRUST STRATEGIC BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PERMANENT FUNDS	PAGDX	714199346	PERMANENT AGGRESSIVE GROWTH PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
PERMANENT FUNDS	PAGHX	714199387	PERMANENT AGGRESSIVE GROWTH PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
PERMANENT FUNDS	PAGRX	714199304	PERMANENT PORTFOLIO AGGRESSIVE GROWTH FUND CLASS I	NTF	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PERMANENT FUNDS	PRPDX	714199148	PERMANENT PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
PERMANENT FUNDS	PRPHX	714199189	PERMANENT PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
PERMANENT FUNDS	PRPFX	714199106	PERMANENT PORTFOLIO FUND CLASS I	NTF	I	N	4:00 PM	01
PERMANENT FUNDS	PRTBX	714199205	PERMANENT PORTFOLIO TREASURY BILL FUND CLASS I	NTF	I	N	4:00 PM	01
PERMANENT FUNDS	PRVBX	714199403	PERMANENT PORTFOLIO VERSATILE BOND FUND CLASS I	NTF	I	N	4:00 PM	01
PERMANENT FUNDS	PRVDX	714199445	PERMANENT VESATILE BOND PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
PERMANENT FUNDS	PRVHX	714199486	PERMANENT VESATILE BOND PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
PERRITT FUNDS	PRCGX	714402203	PERRITT MICROCAP OPPORTUNITIES FUND	NTF		N	4:00 PM	01
PERRITT FUNDS	PREOX	714402104	PERRITT ULTRA MICROCAP FUND	NTF		N	4:00 PM	01
PERSIMMON FUNDS	LSEIX	66538E770	PERSIMMON LONG/SHORT FUND CLASS I	T	I	N	4:00 PM	01
PFG FUNDS	PFDOX	66538J878	PFG ACTIVE CORE BOND STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFcox	66539A710	PFG AMERICAN CONSERVATIVE INCOME STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFGGX	66539A694	PFG AMERICAN GROWTH STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFADX	66538J829	PFG BNY MELLON DIVERSIFIER STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFESX	66539A686	PFG BR EQUITY ESG STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFFBX	66539A512	PFG FIDELITY INSTITUTIONAL AM BOND ESG STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
PFG FUNDS	PFFFX	66539A660	PFG FIDELITY INSTITUTIONAL AM EQUITY INDEX STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFFSX	66539A678	PFG FIDELITY INSTITUTIONAL AM EQUITY SECTOR STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFIox	66539A488	PFG INVESCO THEMATIC ESG STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
PFG FUNDS	PFJHX	66539A496	PFG JANUS HENDERSON BALANCED STRATEGY FUND CLASS R	T	R	N	4:00 PM	01
PFG FUNDS	PFSEX	66538J605	PFG JP MORGAN TACTICAL AGGRESSIVE STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFJDX	66538J852	PFG JP MORGAN TACTICAL MODERATE STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFTEx	66538J837	PFG MEEDER TACTICAL STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFSMX	66538J506	PFG MFS AGGRESSIVE GROWTH STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFG FUNDS	PFTSX	66539A652	PFG TACTICAL INCOME STRATEGY FUND CLASS R	NTF	R	N	4:00 PM	01
PFS FUNDS	CASTX	71709W401	CASTLE FOCUS FUND CLASS C	NTF^	C	N	4:00 PM	01
PFS FUNDS	MOATX	71709W302	CASTLE FOCUS FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PFS FUNDS	CRDBX	71709W716	CONQUER RISK DEFENSIVE BULL FUND CLASS N	T	N	N	4:00 PM	01
PFS FUNDS	CRMVX	71709W740	CONQUER RISK MANAGED VOLATILITY FUND CLASS N	T	N	N	4:00 PM	01
PFS FUNDS	CRTOX	71709W724	CONQUER RISK TACTICAL OPPORTUNITIES FUND CLASS N	T	N	N	4:00 PM	01
PFS FUNDS	CRTBX	71709W732	CONQUER RISK TACTICAL ROTATION FUND CLASS N	T	N	N	4:00 PM	01
PFS FUNDS	TFCGX	71709W799	TAYLOR FRIGON CORE GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PHAEACIAN FUNDS	PPIVX	238210306	PHAEACIAN ACCENT INTERNATIONAL VALUE FUND	T		N	4:00 PM	01
PHAEACIAN FUNDS	PPGVX	238210207	PHAEACIAN GLOBAL VALUE FUND	T		N	4:00 PM	01
PIA FUNDS	PBBBX	007989577	PIA BBB BOND FUND MANAGED ACCOUNT COMPLETION SHARES	T		N	4:00 PM	01
PIA FUNDS	PHYSX	007989163	PIA HIGH YIELD FUND INSTITUTIONAL CLASS	NTF	I	N	4:00 PM	01
PIA FUNDS	PIAMX	00770X378	PIA HIGH YIELD MANAGED ACCOUNT COMPLETION SHARES FUND	T		N	4:00 PM	01
PIA FUNDS	PMTGX	007989494	PIA MBS BOND FUND MANAGED ACCOUNT COMPLETION SHARES	T		N	4:00 PM	01
PIA FUNDS	PIASX	007989551	PIA SHORT-TERM SECURITIES FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO ALLIANZ FISH FUNDS	FXICX	01882B205	PIMCO FIXED INCOME SHARES FUND SERIES C	T+	C	N	4:00 PM	01
PIMCO ALLIANZ FISH FUNDS	FXIMX	01882B304	PIMCO FIXED INCOME SHARES FUND SERIES M	T+	M	N	4:00 PM	01
PIMCO ALLIANZ FISH FUNDS	FXIRX	01882B403	PIMCO FIXED INCOME SHARES FUND SERIES R	T+	R	N	4:00 PM	01
PIMCO FUNDS	PAUAX	72200Q232	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PAUCX	72200Q216	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PAUPX	72201M438	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PAUNX	72202E518	PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PAUIX	72200Q182	PIMCO ALL ASSET ALL AUTHORITY FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
PIMCO FUNDS	PAALX	722005618	PIMCO ALL ASSET FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PASAX	72200Q711	PIMCO ALL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PASCX	72200Q687	PIMCO ALL ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PALPX	72201M867	PIMCO ALL ASSET FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PAANX	72202E492	PIMCO ALL ASSET FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PAAIX	722005626	PIMCO ALL ASSET FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCMBX	693389785	PIMCO CA INTERM MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PCDAX	72201F649	PIMCO CA SHORT DURATION MUNI FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PCFCX	72201P332	PIMCO CALIFORNIA INTERMEDIATE MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCIPX	72201M859	PIMCO CALIFORNIA INTERMEDIATE MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCIMX	693389769	PIMCO CALIFORNIA INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLA	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCTTX	72201U877	PIMCO CALIFORNIA MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PCTGX	72201U869	PIMCO CALIFORNIA MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCTPX	72201U885	PIMCO CALIFORNIA MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCTIX	72201U802	PIMCO CALIFORNIA MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	GCMVX	72202G331	PIMCO CALIFORNIA MUNICIPAL INTERMEDIATE VALUE FUND INSTITUTIONAL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCDIX	72201F656	PIMCO CALIFORNIA SHORT DURATION MUNI INCOME FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCDPX	72201M420	PIMCO CALIFORNIA SHORT DURATION MUNICIPAL INCOME FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCEBX	72202G240	PIMCO CLIMATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PCECX	72202G190	PIMCO CLIMATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCEPX	72202G224	PIMCO CLIMATE BOND FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCEWX	72202G232	PIMCO CLIMATE BOND FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PCEIX	72202G216	PIMCO CLIMATE BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCLAX	72201P159	PIMCO COMMODITIES PLUS STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PCPCX	72201P142	PIMCO COMMODITIES PLUS STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCLPX	72201P167	PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCLNX	72202E484	PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PCLIX	72201P175	PIMCO COMMODITIES PLUS STRATEGY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCRAX	722005584	PIMCO COMMODITY REAL RETURN STRATEGY FUND A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PCRRX	722005659	PIMCO COMMODITY REAL RETURN STRATEGY FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PCRCX	722005568	PIMCO COMMODITY REAL RETURN STRATEGY FUND C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PCSRX	72201P225	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL R	T	R	N	4:00 PM	01
PIMCO FUNDS	PCRPX	72201M842	PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCRNX	72202E476	PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PCRIX	722005667	PIMCO COMMODITY REAL RETURN STRATEGY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PZCRX	72201W451	PIMCO CREDIT OPPORTUNITIES BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PCCRXX	72201W444	PIMCO CREDIT OPPORTUNITIES BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PPCRX	72201W428	PIMCO CREDIT OPPORTUNITIES BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCARX	72201W394	PIMCO CREDIT OPPORTUNITIES BOND FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PDVAX	72200Q661	PIMCO DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PDICX	72200Q646	PIMCO DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PDVPX	72201M826	PIMCO DIVERSIFIED INCOME FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PDNIX	72202E468	PIMCO DIVERSIFIED INCOME FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PDIIX	693391880	PIMCO DIVERSIFIED INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PQIZX	72201T748	PIMCO DIVIDEND AND INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PQICX	72201T730	PIMCO DIVIDEND AND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PQIPX	72201T714	PIMCO DIVIDEND AND INCOME FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PQIIX	72201T672	PIMCO DIVIDEND AND INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PUBAX	72201M479	PIMCO DYNAMIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PUBCX	72201M297	PIMCO DYNAMIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PUCPX	72201M453	PIMCO DYNAMIC BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFNUX	72202E179	PIMCO DYNAMIC BOND FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFIUX	72201M487	PIMCO DYNAMIC BOND FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLMAX	72201F102	PIMCO EMERG MRKTS CURRENCY AND SHORT- TERM INVESTS FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PLMIX	72201F409	PIMCO EMERG MRKTS CURRENCY AND SHORT- TERM INVESTS FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PAEMX	693391575	PIMCO EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PEBCX	693391591	PIMCO EMERGING MARKETS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PEMPX	72201M818	PIMCO EMERGING MARKETS BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PEBNX	72202E443	PIMCO EMERGING MARKETS BOND FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PEBIX	693391559	PIMCO EMERGING MARKETS BOND FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PEMIX	72201P522	PIMCO EMERGING MARKETS CORPORATE BOND FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLMPX	72201M834	PIMCO EMERGING MARKETS CURRENCY AND SHORT TERM INVESTS FUND CL I	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFSIX	72201U562	PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PELAX	72201F425	PIMCO EMERGING MARKETS LOCAL CURRENCY AND BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PELCX	72201F391	PIMCO EMERGING MARKETS LOCAL CURRENCY AND BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PELPX	72201M396	PIMCO EMERGING MARKETS LOCAL CURRENCY AND BOND FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PELNX	72202E450	PIMCO EMERGING MARKETS LOCAL CURRENCY AND BOND FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PELBX	72201F516	PIMCO EMERGING MARKETS LOCAL CURRENCY AND BOND FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PEGAX	72202G133	PIMCO ESG INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PEGBX	72202G125	PIMCO ESG INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PEGPX	72202G158	PIMCO ESG INCOME FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PEGQX	72202G141	PIMCO ESG INCOME FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PEGIX	72202G166	PIMCO ESG INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PEDPX	72201M388	PIMCO EXTENDED DURATION FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PEDIX	72201F599	PIMCO EXTENDED DURATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PFATX	72201F110	PIMCO FUNDAMENTAL ADVANTAGE ABSOLUTE RETURN STRATEGY FUND INST	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSAIX	72201M446	PIMCO GLOBAL ADVANTAGE STRATEGY BOND FD INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PGSAX	72201M321	PIMCO GLOBAL ADVANTAGE STRATEGY BOND FUDN CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PAIIX	693390346	PIMCO GLOBAL BOND OPPORT FUND CL A (U.S. DOLLAR-HEDGED)	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PGNPX	72201M750	PIMCO GLOBAL BOND OPPORT FUND CL I-2 (U.S. DOLLAR-HEDGED)	T	Y	N	4:00 PM	01
PIMCO FUNDS	PGBIX	693390130	PIMCO GLOBAL BOND OPPORT FUND INSTL CL (U.S. DOLLAR- HEDGED)	T+	I	N	4:00 PM	01
PIMCO FUNDS	PGDAX	693390148	PIMCO GLOBAL BOND OPPORTUNITIES FUND ADMIN (U.S. DOLLAR- HEDGED)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PADMX	693390684	PIMCO GLOBAL BOND OPPORTUNITIES FUND ADMIN CLASS (UNHEDGED)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PCIIX	693390320	PIMCO GLOBAL BOND OPPORTUNITIES FUND CL C (U.S. DOLLAR- HEDGED)	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PIGLX	693390874	PIMCO GLOBAL BOND OPPORTUNITIES FUND INSTL CLASS (UNHEDGED)	T+	I	N	4:00 PM	01
PIMCO FUNDS	PAGPX	72202G356	PIMCO GLOBAL BOND PPORTUNITIES FUND CLASS A (UNHEDGED)	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PGMAX	72201P407	PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PGMCX	72201P506	PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PGAPX	72201P605	PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PGAIX	72201P100	PIMCO GLOBAL CORE ASSET ALLOCATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PPGNX	72201M743	PIMCO GNMA AND GOVERNMENT SECURITIES FUND CL I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCGNX	693391492	PIMCO GNMA AND GOVERNMENT SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PANNX	72202G349	PIMCO GNMA AND GOVERNMENT SECURITIES FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PDMIX	693391450	PIMCO GNMA AND GOVERNMENT SECURITIES FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PAGNX	693391476	PIMCO GNMA AND GOVERNMENT SECURITIES FUND SECURITIES FUND	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PHDAX	693390379	PIMCO HIGH YIELD CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PHDCX	693390353	PIMCO HIGH YIELD CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PHLPX	72201M735	PIMCO HIGH YIELD FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PHNNX	72202E161	PIMCO HIGH YIELD FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PHIYX	693390841	PIMCO HIGH YIELD FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PYMCX	72201F524	PIMCO HIGH YIELD MUNI BOND FD CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PYMAX	72201F730	PIMCO HIGH YIELD MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PYMPX	72201M727	PIMCO HIGH YIELD MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PYMNX	72203G850	PIMCO HIGH YIELD MUNICIPAL BOND FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PHMIX	72201F672	PIMCO HIGH YIELD MUNICIPAL FUND INST CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PHSAX	72201W600	PIMCO HIGH YIELD SPECTRUM FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PHSCX	72201W709	PIMCO HIGH YIELD SPECTRUM FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PHSPX	72201W303	PIMCO HIGH YIELD SPECTRUM FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PHFNX	72202E419	PIMCO HIGH YIELD SPECTRUM FUND CLASS I-3	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PHSIX	72201W204	PIMCO HIGH YIELD SPECTRUM FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PHYAX	693390650	PIMCO HIGH-YIELD FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PIINX	72201F482	PIMCO INCOME FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PONAX	72201F474	PIMCO INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PONCX	72201F466	PIMCO INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PONPX	72201M719	PIMCO INCOME FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PIPNX	72202E393	PIMCO INCOME FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PIMIX	72201F490	PIMCO INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PZRMX	72201W386	PIMCO INFLATION RESPONSE MULTI- ASSET FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PPRMX	72201W352	PIMCO INFLATION RESPONSE MULTI- ASSET FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PIRMX	72201W337	PIMCO INFLATION RESPONSE MULTI- ASSET FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PFONX	72202E435	PIMCO INTERNATIONAL BOND FUND (U.S. DOLLAR-HEDGED) CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFUNX	72202E427	PIMCO INTERNATIONAL BOND FUND (UNHEDGED) CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFRAX	693390692	PIMCO INTERNATIONAL BOND FUND ADMIN CL (U.S. DOLLAR-HEDGED)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PFUUX	72201F771	PIMCO INTERNATIONAL BOND FUND ADMIN CLASS (UNHEDGED)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PFOAX	693391245	PIMCO INTERNATIONAL BOND FUND CLASS A (U.S. DOLLAR-HEDGED)	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PFUAX	722005196	PIMCO INTERNATIONAL BOND FUND CLASS A (UNHEDGED)	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PFOCX	693391260	PIMCO INTERNATIONAL BOND FUND CLASS C (U.S. DOLLAR-HEDGED)	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PFRCX	722005188	PIMCO INTERNATIONAL BOND FUND CLASS C (UNHEDGED)	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PFUPX	72201M776	PIMCO INTERNATIONAL BOND FUND CLASS I-2 (UNHEDGED)	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFBPX	72201M784	PIMCO INTERNATIONAL BOND FUND CLASS I-2 (US DOLLAR-HEDGED)	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFUIX	722005220	PIMCO INTERNATIONAL BOND FUND INST CLASS (UNHEDGED)	T+	I	N	4:00 PM	01
PIMCO FUNDS	PFORX	693390882	PIMCO INTERNATIONAL BOND FUND INSTL CL (U.S. DOLLAR-HEDGED)	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSKIX	72201F573	PIMCO INTERNATIONAL STOCKSPPLUS AR STRATEGY FUND (UNHEDGED) INST C	T+	I	N	4:00 PM	01
PIMCO FUNDS	PGCAX	722005592	PIMCO INVESTMENT GRADE CREDIT BOND FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PBDAX	722008307	PIMCO INVESTMENT GRADE CREDIT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PBDCX	722008406	PIMCO INVESTMENT GRADE CREDIT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PBDPX	72201M685	PIMCO INVESTMENT GRADE CREDIT BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCNNX	72202E385	PIMCO INVESTMENT GRADE CREDIT BOND FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PIGIX	722005816	PIMCO INVESTMENT GRADE CREDIT BOND FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLRAX	72202G372	PIMCO LONG DURATION TOTAL RETURN BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PLRIX	72201F623	PIMCO LONG DURATION TOTAL RETURN FD INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLRCX	72202G364	PIMCO LONG DURATION TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PLRPX	72201M362	PIMCO LONG DURATION TOTAL RETURN FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFGAX	693391187	PIMCO LONG TERM U.S. GOVT CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PFGCX	693391203	PIMCO LONG TERM U.S. GOVT CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PLCPX	72201P621	PIMCO LONG-TERM CREDIT BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTCIX	72201P647	PIMCO LONG-TERM CREDIT BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PRTPX	72201W816	PIMCO LONG-TERM REAL RETURN FUND CLASS I-2	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PRAIX	72200Q505	PIMCO LONG-TERM REAL RETURN FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLGBX	693390775	PIMCO LONG-TERM U.S. GOVERNMENT FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PLTPX	72201M677	PIMCO LONG-TERM U.S. GOVERNMENT FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PGOVX	693390205	PIMCO LONG-TERM U.S. GOVERNMENT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTLAX	693390411	PIMCO LOW DURATION CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PTLCX	693390387	PIMCO LOW DURATION CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PSRZX	72201W758	PIMCO LOW DURATION CREDIT FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PSRWX	72201W741	PIMCO LOW DURATION CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PSRPX	72201W782	PIMCO LOW DURATION CREDIT FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSRIX	72201W790	PIMCO LOW DURATION CREDIT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLUPX	72201W824	PIMCO LOW DURATION ESG FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PLDIX	693390858	PIMCO LOW DURATION ESG FUND CLASS INST	T+	I	N	4:00 PM	01
PIMCO FUNDS	PLDAX	693390767	PIMCO LOW DURATION FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PLCCX	72201U141	PIMCO LOW DURATION FUND CLASS C2	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PLDPX	72201M669	PIMCO LOW DURATION FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTLNX	72202E377	PIMCO LOW DURATION FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PTLDX	693390304	PIMCO LOW DURATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PDFAX	693390668	PIMCO LOW DURATION II FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PLDTX	693390791	PIMCO LOW DURATION II FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PFIAX	722005162	PIMCO LOW DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PFNCX	722005154	PIMCO LOW DURATION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PLDCX	72201U133	PIMCO LOW DURATION INCOME FUND CLASS C2	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PFTPX	72201M792	PIMCO LOW DURATION INCOME FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFNIX	72202E369	PIMCO LOW DURATION INCOME FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFIIX	722005170	PIMCO LOW DURATION INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PMOPX	72201P233	PIMCO MODERATE DURATION FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PMDRX	693390593	PIMCO MODERATE DURATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSANX	72202E344	PIMCO MORTGAGE BACKED SECURITIES FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PMZAX	72201U679	PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PMZCX	72201U661	PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PMZPX	72201U646	PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PMZNX	72202E351	PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PMZIX	72201U638	PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PMRAX	693391526	PIMCO MORTGAGE-BACKED SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PMRPX	72201M651	PIMCO MORTGAGE-BACKED SECURITIES FD CL I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTRIX	693391500	PIMCO MORTGAGE-BACKED SECURITIES FUND INST CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PMLAX	693391617	PIMCO MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PMLCX	693391633	PIMCO MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PMUPX	72201M644	PIMCO MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PMUNX	72203H106	PIMCO MUNICIPAL BOND FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFMIX	693391658	PIMCO MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PMNTX	72201U307	PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PMNNX	72201U406	PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PMNPX	72201U208	PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PMNIX	72201U109	PIMCO NATIONAL INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	GNMVX	72202G315	PIMCO NATIONAL MUNICIPAL INTERMEDIATE VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PBFCX	72201P340	PIMCO NEW YORK MUNI BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PNYNX	72203H205	PIMCO NEW YORK MUNICIPAL BOND FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PNYIX	693389710	PIMCO NEW YORK MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PNYAX	693389736	PIMCO NY INTERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PNYPX	72201M347	PIMCO NY MUNICIPAL BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFANX	72202E666	PIMCO PREFERRED AND CAPITAL SECURITIES FUND CL A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PFCJX	72202G281	PIMCO PREFERRED AND CAPITAL SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PPPNX	72202E658	PIMCO PREFERRED AND CAPITAL SECURITIES FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFNNX	72202E336	PIMCO PREFERRED AND CAPITAL SECURITIES FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PFINX	72202E682	PIMCO PREFERRED AND CAPITAL SECURITIES FUND INSTL CL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PEAFX	72202L678	PIMCO RAE EMERGING MARKETS FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PEPFX	72202L652	PIMCO RAE EMERGING MARKETS FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PEIFX	72202L645	PIMCO RAE EMERGING MARKETS FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTFAX	72201M164	PIMCO RAE FUNDAMENTAL ADVANTAGE PLUS FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PZRAX	72202L587	PIMCO RAE GLOBAL EX-U.S. FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PZRPX	72202L561	PIMCO RAE GLOBAL EX-U.S. FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PZRIX	72202L553	PIMCO RAE GLOBAL EX-U.S. FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PFQAX	72202L637	PIMCO RAE GLOBAL FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PFQPX	72202L611	PIMCO RAE GLOBAL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PFQIX	72202L595	PIMCO RAE GLOBAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PPYAX	72202L546	PIMCO RAE INTERNATIONAL FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PPYPX	72202L520	PIMCO RAE INTERNATIONAL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PPYIX	72202L512	PIMCO RAE INTERNATIONAL FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PEFFX	72201U539	PIMCO RAE PLUS EMG FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PEFCX	72201U521	PIMCO RAE PLUS EMG FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PEFPX	72201M115	PIMCO RAE PLUS EMG FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PEFIX	72201M131	PIMCO RAE PLUS EMG FUND INST CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PXTAX	72201F839	PIMCO RAE PLUS FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PIXAX	72201F888	PIMCO RAE PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PIXCX	72201F870	PIMCO RAE PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PIXPX	72201M768	PIMCO RAE PLUS FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PXTNX	72202E328	PIMCO RAE PLUS FUND CLASS I-3	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PXTIX	72201F854	PIMCO RAE PLUS FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTSOX	72201W139	PIMCO RAE PLUS INTERNATIONAL FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PTIPX	72201U232	PIMCO RAE PLUS INTERNATIONAL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTSIX	72201W667	PIMCO RAE PLUS INTERNATIONAL FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCFAX	72201U265	PIMCO RAE PLUS SMALL FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PCFEX	72201U257	PIMCO RAE PLUS SMALL FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCCPX	72201U224	PIMCO RAE PLUS SMALL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PCFIX	72201W675	PIMCO RAE PLUS SMALL FUND INSTL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PKAAX	72202L496	PIMCO RAE U.S. FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PKAPX	72202L470	PIMCO RAE U.S. FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PKAIX	72202L462	PIMCO RAE U.S. FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PMJAX	72202L454	PIMCO RAE U.S. SMALL FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PMJPX	72202L439	PIMCO RAE U.S. SMALL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PMJIX	72202L421	PIMCO RAE U.S. SMALL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PWLBX	72202G273	PIMCO RAE WORLDWIDE LONG/SHORT PLUS FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PWLEX	72202G265	PIMCO RAE WORLDWIDE LONG/SHORT PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PWLMX	72202G257	PIMCO RAE WORLDWIDE LONG/SHORT PLUS FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PWLIX	72202E609	PIMCO RAE WORLDWIDE LONG/SHORT PLUS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PETPX	72201M628	PIMCO REAL ESTATE REAL RETURN STRATEGY FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PETAX	72200Q299	PIMCO REAL ESTATE REAL RETURN STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PETCX	72200Q273	PIMCO REAL ESTATE REAL RETURN STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PNRNX	72202E294	PIMCO REAL ESTATE REAL RETURN STRATEGY FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PRRSX	72200Q257	PIMCO REAL ESTATE REAL RETURN STRATEGY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PARRX	693391112	PIMCO REAL RETURN FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PRTNX	693391120	PIMCO REAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PRTCX	693391146	PIMCO REAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PRLPX	72201M636	PIMCO REAL RETURN FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PRNPX	72202E310	PIMCO REAL RETURN FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PRRIX	693391104	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PPZDX	72202L801	PIMCO REALPATH BLEND 2025 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PPZAX	72202L702	PIMCO REALPATH BLEND 2025 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PPZRX	72202L884	PIMCO REALPATH BLEND 2025 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PBPRX	72202L868	PIMCO REALPATH BLEND 2030 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PBPAX	72202L876	PIMCO REALPATH BLEND 2030 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PBPNX	72202L850	PIMCO REALPATH BLEND 2030 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PDGDX	72202L835	PIMCO REALPATH BLEND 2035 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PDGAX	72202L843	PIMCO REALPATH BLEND 2035 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PDGZX	72202L827	PIMCO REALPATH BLEND 2035 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PVPRX	72202L793	PIMCO REALPATH BLEND 2040 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PVPAX	72202L819	PIMCO REALPATH BLEND 2040 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PVPNX	72202L785	PIMCO REALPATH BLEND 2040 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PVQDX	72202L769	PIMCO REALPATH BLEND 2045 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PVQAX	72202L777	PIMCO REALPATH BLEND 2045 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PVQNX	72202L751	PIMCO REALPATH BLEND 2045 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PPQDX	72202L736	PIMCO REALPATH BLEND 2050 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PPQAX	72202L744	PIMCO REALPATH BLEND 2050 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PPQZX	72202L728	PIMCO REALPATH BLEND 2050 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PRQDX	72202L694	PIMCO REALPATH BLEND 2055 FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PRQAX	72202L710	PIMCO REALPATH BLEND 2055 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PRQZX	72202L686	PIMCO REALPATH BLEND 2055 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PRBEX	72202L322	PIMCO REALPATH BLEND 2060 FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PRBAX	72202L348	PIMCO REALPATH BLEND 2060 FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PRBMX	72202L314	PIMCO REALPATH BLEND 2060 FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PBRDX	72202L207	PIMCO REALPATH BLEND INCOME FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PBRAX	72202L108	PIMCO REALPATH BLEND INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PBRNX	72202L306	PIMCO REALPATH BLEND INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PAIQX	72201U786	PIMCO SHORT ASSET INVESTMENT FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PAIAX	72201U794	PIMCO SHORT ASSET INVESTMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PAIPX	72201U810	PIMCO SHORT ASSET INVESTMENT FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PANDX	72202E286	PIMCO SHORT ASSET INVESTMENT FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PAIDX	72201U828	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSDAX	693389843	PIMCO SHORT DURATION MUNI INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PSDCX	693389868	PIMCO SHORT DURATION MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCCMX	72202G117	PIMCO SHORT DURATION MUNICIPAL INCOME FUND CLASS C2	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PSDUX	72203H304	PIMCO SHORT DURATION MUNICIPAL INCOME FUND CLASS I3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSDIX	693389827	PIMCO SHORT DURATION MUNICIPAL INCOME FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSHAX	693391211	PIMCO SHORT TERM CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PFTCX	693391237	PIMCO SHORT TERM CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PTSPX	72201M594	PIMCO SHORT TERM FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSDPX	72201M610	PIMCO SHORT-DURATION MUNI INCOME FUND CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSFAX	693390734	PIMCO SHORT-TERM FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PTSXN	72202E278	PIMCO SHORT-TERM FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PTSHX	693390601	PIMCO SHORT-TERM FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCKCX	72201F680	PIMCO SMALL CAP STOCKS PLUS AR STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PCKTX	72201U216	PIMCO SMALL CAP STOCKSPLUS AR STRATEGY FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PPUAX	72201F557	PIMCO STOCKPLUS INTERNATIONAL FUND (UNHEDGED) CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PPUCX	72201F540	PIMCO STOCKPLUS INTERNATIONAL FUND (UNHEDGED) CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PPLPX	72201M693	PIMCO STOCKPLUS INTERNATIONAL FUND (UNHEDGED) CLASS I2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PIPAX	72200Q430	PIMCO STOCKPLUS INTERNATIONAL FUND CLASS A (US DOLLAR HEDGED)	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PIPCX	72200Q414	PIMCO STOCKPLUS INTERNATIONAL FUND CLASS C (US DOLLAR HEDGED)	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PIUHX	72201M370	PIMCO STOCKPLUS INTERNATIONAL FUND CLASS I-2 (US DOLLAR HEDGED)	T	Y	N	4:00 PM	01
PIMCO FUNDS	PISIX	72200Q380	PIMCO STOCKPLUS INTERNATIONAL FUND INSTITUTIONAL CLASS (US DOLLAR HEDGED)	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSLDX	72201F433	PIMCO STOCKPLUS LONG DURATION FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PCKAX	72201F698	PIMCO STOCKPLUS SMALL FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PCKPX	72201M586	PIMCO STOCKPLUS SMALL FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PPLAX	693390759	PIMCO STOCKS PLUS FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PSPRX	72200Q729	PIMCO STOCKS PLUS FUND CLASS R	T	R	N	4:00 PM	01
PIMCO FUNDS	PSTKX	693390403	PIMCO STOCKS PLUS FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTOAX	72200Q620	PIMCO STOCKSPLUS ABSOLUTE RETURN FD CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PSOCX	72200Q596	PIMCO STOCKSPLUS ABSOLUTE RETURN FD CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PTOPX	72201M560	PIMCO STOCKSPLUS ABSOLUTE RETURN FD CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSPTX	722005642	PIMCO STOCKSPLUS ABSOLUTE RETURN FD INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSPNX	72202E260	PIMCO STOCKSPLUS ABSOLUTE RETURN FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSSAX	72201F763	PIMCO STOCKSPLUS AR SHORT STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PSSCX	72201F755	PIMCO STOCKSPLUS AR SHORT STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PSPAX	693391393	PIMCO STOCKSPLUS CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PSPCX	693391419	PIMCO STOCKSPLUS CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PSKPX	72201M578	PIMCO STOCKSPLUS FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSTNX	72202E252	PIMCO STOCKSPLUS FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PISNX	72202E245	PIMCO STOCKSPLUS INTERNATIONAL FUND (U.S. DOLLAR-HEDGED) CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSKNX	72202E237	PIMCO STOCKSPLUS INTERNATIONAL FUND (UNHEDGED) CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSPLX	72201M339	PIMCO STOCKSPLUS SHORT FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PSNNX	72202E229	PIMCO STOCKSPLUS SHORT FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSTIX	693390486	PIMCO STOCKSPLUS SHORT FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PSNSX	72202E211	PIMCO STOCKSPLUS SMALL FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PSCSX	72201F797	PIMCO STOCKSPLUS SMALL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	ATMAX	72201P738	PIMCO STRATEGIC BOND FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	ATMCX	72201P720	PIMCO STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PUTPX	72201P753	PIMCO STRATEGIC BOND FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PUTIX	72201P746	PIMCO STRATEGIC BOND FUND INST CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTTAX	693390445	PIMCO TOTAL RETURN CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PTTCX	693390429	PIMCO TOTAL RETURN CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PRFAX	693390627	PIMCO TOTAL RETURN ESG FUND ADMIN CLASS	T+	E	N	4:00 PM	01
PIMCO FUNDS	PTGAX	72202G182	PIMCO TOTAL RETURN ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PTGCX	72202G174	PIMCO TOTAL RETURN ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PRAPX	72201P597	PIMCO TOTAL RETURN ESG FUND CLASS I-2	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIMCO FUNDS	PTSAX	693390866	PIMCO TOTAL RETURN ESG FUND CLASS INSTL	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTRAX	693390726	PIMCO TOTAL RETURN FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PTPPX	72201M552	PIMCO TOTAL RETURN FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTTNX	72202E195	PIMCO TOTAL RETURN FUND CLASS I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PMPX	72201P258	PIMCO TOTAL RETURN FUND II CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PTTRX	693390700	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTUIX	72201W717	PIMCO TOTAL RETURN FUND IV INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PRADX	693390544	PIMCO TOTAL RETURN II FUND (ADMIN SHARES)	T+	E	N	4:00 PM	01
PIMCO FUNDS	PMBIX	693390551	PIMCO TOTAL RETURN II FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PIMCO FUNDS	PTUZX	72201W691	PIMCO TOTAL RETURN IV FUND CLASS A	NTF	A	N	4:00 PM	01
PIMCO FUNDS	PMRCX	693391542	PIMCO TOTAL RETURN MORTGAGE FD CLASS C	NTF^	C	N	4:00 PM	01
PIMCO FUNDS	PQTNX	72202E187	PIMCO TRENDS MANAGED FUTURES STRATEGY FUND CL I-3	T	Z	N	4:00 PM	01
PIMCO FUNDS	PQTAX	72201U497	PIMCO TRENDS MANAGED FUTURES STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIMCO FUNDS	PQTPX	72201U463	PIMCO TRENDS MANAGED FUTURES STRATEGY FUND CLASS I-2	T	Y	N	4:00 PM	01
PIMCO FUNDS	PQTIX	72201U455	PIMCO TRENDS MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
PINNACLE FUNDS	APTRX	66538R862	PINNACLE SHERMAN BREAKAWAY STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PINNACLE FUNDS	CPTRX	66538R854	PINNACLE SHERMAN BREAKAWAY STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PINNACLE FUNDS	IPTRX	66538R847	PINNACLE SHERMAN BREAKAWAY STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
PINNACLE FUNDS	APSHX	66538G452	PINNACLE SHERMAN MULTI-STRATEGY CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
PINNACLE FUNDS	CPSHX	66538G445	PINNACLE SHERMAN MULTI-STRATEGY CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
PINNACLE FUNDS	IPSHX	66538G437	PINNACLE SHERMAN MULTI-STRATEGY CORE FUND CLASS I	T	I	N	4:00 PM	01
PIONEER FUNDS	PBMFX	72387W705	PIONEER AMT-FREE MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	MNBCX	72387W887	PIONEER AMT-FREE MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PBYMX	72387W747	PIONEER AMT-FREE MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	AOBLX	72387P106	PIONEER BALANCED ESG FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCBCX	72387P304	PIONEER BALANCED ESG FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	AYBLX	72387P403	PIONEER BALANCED ESG FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIOBX	723622106	PIONEER BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCYBX	723622304	PIONEER BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PBFRX	723622502	PIONEER BOND FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PICYX	723622403	PIONEER BOND FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIOTX	724010103	PIONEER CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCOTX	724010301	PIONEER CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	CERPX	72388U302	PIONEER CORE EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PVFX	724010509	PIONEER CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	RCRAX	72388E100	PIONEER CORPORATE HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	RCRCX	72388E209	PIONEER CORPORATE HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	RCRYX	72388E308	PIONEER CORPORATE HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PINDX	723922100	PIONEER DISCIPLINED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIONEER FUNDS	INDCX	723922308	PIONEER DISCIPLINED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	INYDX	723922407	PIONEER DISCIPLINED GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	CVFCX	72387X109	PIONEER DISCIPLINED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	CVCFX	72387X307	PIONEER DISCIPLINED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	CVRFX	72387X505	PIONEER DISCIPLINED VALUE FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	CVFYX	72387X406	PIONEER DISCIPLINED VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PEMEX	723884888	PIONEER EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PEMSX	723884862	PIONEER EMERGING MARKETS EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PEQIX	72366V108	PIONEER EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCEQX	72366V306	PIONEER EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PQIRX	72366V504	PIONEER EQUITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PYEQX	72366V405	PIONEER EQUITY INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PMARX	72387S407	PIONEER FLEXIBLE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PRRCX	72387S506	PIONEER FLEXIBLE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PMYRX	72387S605	PIONEER FLEXIBLE OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	FLYRX	72387S308	PIONEER FLOATING RATE FUND CL Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	FLARX	72387S100	PIONEER FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	FLRCX	72387S209	PIONEER FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PIODX	723682100	PIONEER FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCODX	723682308	PIONEER FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PIORX	723682506	PIONEER FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PYODX	723682407	PIONEER FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIGFX	723695102	PIONEER FUNDAMENTAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	FUNCX	723695300	PIONEER FUNDAMENTAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PFGRX	723695508	PIONEER FUNDAMENTAL GROWTH FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	FUNYX	723695409	PIONEER FUNDAMENTAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	GLOSX	72387N705	PIONEER GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	GCSLX	72387N887	PIONEER GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PGEKX	72387N663	PIONEER GLOBAL EQUITY FUND CLASS K	T+	K	N	4:00 PM	01
PIONEER FUNDS	PRGEX	72387N655	PIONEER GLOBAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PGSYX	72387N788	PIONEER GLOBAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PGYCX	72369G306	PIONEER GLOBAL HIGH FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PGHYX	72369G108	PIONEER GLOBAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	GHYYX	72369G405	PIONEER GLOBAL HIGH YIELD Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	SUGAX	723884854	PIONEER GLOBAL SUSTAINABLE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	SUGCX	723884847	PIONEER GLOBAL SUSTAINABLE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	SUGYX	723884839	PIONEER GLOBAL SUSTAINABLE GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PGSVX	723884821	PIONEER GLOBAL SUSTAINABLE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	GBVCX	723884813	PIONEER GLOBAL SUSTAINABLE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIONEER FUNDS	PSUYX	723884797	PIONEER GLOBAL SUSTAINABLE VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIMAX	72387N846	PIONEER HIGH INCOME MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	HICMX	72387N838	PIONEER HIGH INCOME MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	HIMYX	72387N820	PIONEER HIGH INCOME MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	TAHYX	72369B109	PIONEER HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PYICX	72369B307	PIONEER HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	TYHRX	72369B505	PIONEER HIGH YIELD FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	TYHYX	72369B406	PIONEER HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIIFX	723709101	PIONEER INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	INVYX	723709507	PIONEER INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PCITX	723709309	PIONEER INTL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PISVX	723884789	PIONEER INTRINSIC VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PVCCX	723884771	PIONEER INTRINSIC VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PISYX	723884763	PIONEER INTRINSIC VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	HIMUX	723922605	PIONEER MAP HIGH INCOME MUNICIPAL FUND COMMON CLASS	T		N	4:00 PM	01
PIONEER FUNDS	PCMRX	72375Q504	PIONEER MID CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PCGRX	72375Q108	PIONEER MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCCGX	72375Q306	PIONEER MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PMCKX	72375Q702	PIONEER MID-CAP VALUE FUND CLASS K	T+	K	N	4:00 PM	01
PIONEER FUNDS	PYCGX	72375Q405	PIONEER MID-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PMFRX	72387P593	PIONEER MULTI ASSET INCOME FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	PMAIX	72387P643	PIONEER MULTI-ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PMACX	72387P635	PIONEER MULTI-ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PMFYX	72387P627	PIONEER MULTI-ASSET INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	MUARX	72387S886	PIONEER MULTI-ASSET REAL RETURN FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	MAFRX	72388E407	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	MCFRX	72388E506	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	MAUKX	72388E803	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS K	T+	K	N	4:00 PM	01
PIONEER FUNDS	MYFRX	72388E605	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PYREX	723848404	PIONEER REAL ESTATE FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PWREX	723848107	PIONEER REAL ESTATE SHARES CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PCREX	723848305	PIONEER REAL ESTATE SHARES CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	SIFFX	72387P551	PIONEER SECURITIZED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	SYFFX	72387P544	PIONEER SECURITIZED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PGOFX	72387W408	PIONEER SELECT MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	GOFCX	72387W606	PIONEER SELECT MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PGRRX	72387W739	PIONEER SELECT MID CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	GROYX	72387W762	PIONEER SELECT MID CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PSMKX	72387W721	PIONEER SELECT MID-CAP GROWTH FUND CLASS K	T+	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PIONEER FUNDS	STABX	72387U105	PIONEER SHORT-TERM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PSHCX	72387U303	PIONEER SHORT-TERM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	PSHYX	72387U402	PIONEER SHORT-TERM INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PIALX	723556106	PIONEER SOLUTIONS BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PIDCX	723556304	PIONEER SOLUTIONS BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	IMOYX	723556825	PIONEER SOLUTIONS BALANCED FUND CLASS Y	T	Y	N	4:00 PM	01
PIONEER FUNDS	PSRAX	723884102	PIONEER STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PIONEER FUNDS	PSRCX	723884300	PIONEER STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PIONEER FUNDS	STIRX	723884508	PIONEER STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
PIONEER FUNDS	STRYX	723884409	PIONEER STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PLUMB FUNDS	PLBBX	976586107	PLUMB BALANCED FUND	NTF		N	4:00 PM	01
PLUMB FUNDS	PLABX	976586503	PLUMB BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
PLUMB FUNDS	PLIBX	976586305	PLUMB BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PLUMB FUNDS	PLBEX	976586206	PLUMB EQUITY FUND	NTF		N	4:00 PM	01
PLUMB FUNDS	PLAEX	976586602	PLUMB EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PLUMB FUNDS	PLIEX	976586404	PLUMB EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PMC FUNDS	PMFIX	89833W816	PMC CORE FIXED INCOME FUND	NTF		N	4:00 PM	02
PMC FUNDS	PMFQX	89834G802	PMC CORE FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
PMC FUNDS	PMDEX	89833W618	PMC DIVERSIFIED EQUITY FUND	NTF		N	4:00 PM	02
PMC FUNDS	PMDQX	89834G885	PMC DIVERSIFIED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
POPLAR FOREST FUNDS	IPFCX	00770X535	POPLAR FOREST CORNERSTONE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
POPLAR FOREST FUNDS	PFPFX	00768D814	POPLAR FOREST PARTNERS FUND CLASS A	NTF	A	N	4:00 PM	02
POPLAR FOREST FUNDS	IPFPX	00768D798	POPLAR FOREST PARTNERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
PORT STREET FUNDS	PSQGX	56166Y529	PORT STREET QUALITY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PORTFOLIO 21 FUNDS	PORIX	742935356	TRILLIUM ESG GLOBAL EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PORTFOLIO 21 FUNDS	PORTX	742935588	TRILLIUM ESG GLOBAL EQUITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
PORTFOLIO 21 FUNDS	TSMDX	74316P785	TRILLIUM SMALL MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRIMECAP ODYSSEY FUNDS	POAGX	74160Q202	PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND	T		Y	4:00 PM	01
PRIMECAP ODYSSEY FUNDS	POGRX	74160Q103	PRIMECAP ODYSSEY GROWTH FUND	T		N	4:00 PM	01
PRIMECAP ODYSSEY FUNDS	POSIX	74160Q301	PRIMECAP ODYSSEY STOCK FUND	T		N	4:00 PM	01
PRINCIPAL FUNDS	PFUEX	74255L191	FINISTERRE EMERGING MARKETS TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PFUMX	74255L175	FINISTERRE EMERGING MARKETS TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PBLAX	74255L472	PRINCIPAL BLUE CHIP FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PBLCX	74255L464	PRINCIPAL BLUE CHIP FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PBCKX	74255L589	PRINCIPAL BLUE CHIP FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PBCJX	74257B100	PRINCIPAL BLUE CHIP FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PBIJX	742553134	PRINCIPAL BOND MARKET INDEX FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PNIIX	742553316	PRINCIPAL BOND MARKET INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	SRCMX	74254V695	PRINCIPAL CALIFORNIA MUNICIPAL FD CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRINCIPAL FUNDS	SRCCX	74254V679	PRINCIPAL CALIFORNIA MUNICIPAL FD CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PCMFY	742537459	PRINCIPAL CALIFORNIA MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	CMNWX	74254V349	PRINCIPAL CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	CMNCX	74254V323	PRINCIPAL CAPITAL APPRECIATION FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PWCIX	74254U432	PRINCIPAL CAPITAL APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PCSFY	742537202	PRINCIPAL CAPITAL SECURITIES FUND CLASS S	T	S	N	4:00 PM	01
PRINCIPAL FUNDS	CMPIX	74254V638	PRINCIPAL CORE FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	CNMCX	74254V612	PRINCIPAL CORE FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PIOJX	742553449	PRINCIPAL CORE FIXED INCOME FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PIOIX	74254U473	PRINCIPAL CORE FIXED INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PRBDX	74254T245	PRINCIPAL CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PMSIX	74253M159	PRINCIPAL CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PBMJX	74253M167	PRINCIPAL CORE PLUS BOND FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PRWLX	74254T328	PRINCIPAL DIVERSIFIED INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PIIJX	74253Q887	PRINCIPAL DIVERSIFIED INTERNATIONAL FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PIIIX	74253Q879	PRINCIPAL DIVERSIFIED INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PDNCX	74254V810	PRINCIPAL DIVERSIFIED INTL FD CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PRDAX	74254V182	PRINCIPAL DIVERSIFIED REAL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PDRDX	74254V166	PRINCIPAL DIVERSIFIED REAL ASSET FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PEMCX	74257B308	PRINCIPAL EDGE MIDCAP FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PEDGX	742537426	PRINCIPAL EDGE MIDCAP FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PEIJX	74257B209	PRINCIPAL EQUITY INC OME FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PQIAX	74254V869	PRINCIPAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PEUCX	74254V844	PRINCIPAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PEIIX	74254U499	PRINCIPAL EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PGBAX	74254V224	PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PGDCX	74254V216	PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PGDIX	74254V232	PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PRIAX	74254T294	PRINCIPAL GLOBAL EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PMKCX	74254U754	PRINCIPAL GLOBAL EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PIEJX	74253Q705	PRINCIPAL GLOBAL EMERGING MARKETS FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PIEIX	74253Q804	PRINCIPAL GLOBAL EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMSAX	74255L720	PRINCIPAL GLOBAL MULTI STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PSMIX	74255L696	PRINCIPAL GLOBAL MULTI STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	POSIX	74254V273	PRINCIPAL GLOBAL REAL ESTATE SEC FUND INSTL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	POSCX	74254V281	PRINCIPAL GLOBAL REAL ESTATE SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	POSAX	74254V299	PRINCIPAL GLOBAL REAL ESTATE SECURITIES FUND CL A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	CMPGX	74254V372	PRINCIPAL GOVERNMENT & HIGH QUALITY BOND FD CL A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	CCUGX	74254V356	PRINCIPAL GOVERNMENT & HIGH QUALITY BOND FD CL C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRINCIPAL FUNDS	PMRIX	74254U457	PRINCIPAL GOVERNMENT & HIGH QUALITY BOND FD CL I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMRJX	742553514	PRINCIPAL GOVERNMENT & HIGH QUALITY BOND FD CL J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PYHIX	74254R637	PRINCIPAL HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHYTX	74254U481	PRINCIPAL HIGH YIELD CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	CPHYX	74254V661	PRINCIPAL HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	CCHIX	74254V646	PRINCIPAL HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PIPIX	74254T815	PRINCIPAL INFLATION PROTECTION FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PIPJX	74254T823	PRINCIPAL INFLATION PROTECTION FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PINIX	74253Q226	PRINCIPAL INTERNATIONAL I FUND INSTI. CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PISMX	742537475	PRINCIPAL INTERNATIONAL SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PINZX	742553571	PRINCIPAL INTERNATIONAL VALUE I FD INSTL CL	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PIDIX	742553324	PRINCIPAL INT'L EQUITY INDEX FUND INSTL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLGAX	74255L480	PRINCIPAL LARGE-CAP GROWTH FUND I CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PLGIX	74253J784	PRINCIPAL LARGE-CAP GROWTH FUND I CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLGJX	74253Q481	PRINCIPAL LARGE-CAP GROWTH FUND I CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PLSAX	74254T476	PRINCIPAL LARGE CAP S&P 500 INDEX FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PLICX	74254U747	PRINCIPAL LARGE CAP S&P 500 INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PLFIX	74253Q788	PRINCIPAL LARGE CAP S&P 500 INDEX FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSPJX	74253Q796	PRINCIPAL LARGE CAP S&P 500 INDEX FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PLVIX	74253J677	PRINCIPAL LARGE CAP VALUE FUND III CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLVJX	74253M738	PRINCIPAL LARGE CAP VALUE FUND III CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PHTMX	74255L365	PRINCIPAL LIFE TIME HYBRID 2015 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTTX	74255L332	PRINCIPAL LIFE TIME HYBRID 2020 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTQX	74255L290	PRINCIPAL LIFE TIME HYBRID 2025 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTNX	74255L266	PRINCIPAL LIFE TIME HYBRID 2030 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTJX	74255L233	PRINCIPAL LIFE TIME HYBRID 2035 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLTQX	742537780	PRINCIPAL LIFE TIME HYBRID 2040 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTYX	742537756	PRINCIPAL LIFE TIME HYBRID 2045 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTUX	742537723	PRINCIPAL LIFE TIME HYBRID 2050 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLTNX	742537681	PRINCIPAL LIFE TIME HYBRID 2055 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLTHX	742537657	PRINCIPAL LIFE TIME HYBRID 2060 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PHTFX	74255L399	PRINCIPAL LIFE TIME HYBRID INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PENAX	74254T120	PRINCIPAL LIFETIME 2010 FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PTAJX	74253M530	PRINCIPAL LIFETIME 2010 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PTTIX	74253M548	PRINCIPAL LIFETIME 2010 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	LTINX	742553605	PRINCIPAL LIFETIME 2015 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PTBAX	74254U101	PRINCIPAL LIFETIME 2020 FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PLFJX	74253M472	PRINCIPAL LIFETIME 2020 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PLWIX	74253M464	PRINCIPAL LIFETIME 2020 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRINCIPAL FUNDS	PTCAX	74254U309	PRINCIPAL LIFETIME 2030 FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PLTJX	74253M415	PRINCIPAL LIFETIME 2030 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PMTIX	74253M399	PRINCIPAL LIFETIME 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PTDAX	74254U507	PRINCIPAL LIFETIME 2040 FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PTDJX	74253M340	PRINCIPAL LIFETIME 2040 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PTDIX	74253M332	PRINCIPAL LIFETIME 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PPEAX	74254U705	PRINCIPAL LIFETIME 2050 FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PFLJX	74253M274	PRINCIPAL LIFETIME 2050 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PPLIX	74253M266	PRINCIPAL LIFETIME 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLTZX	74255L563	PRINCIPAL LIFETIME 2060 FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLTAX	74255L571	PRINCIPAL LIFETIME 2060 FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PLJIX	74256W238	PRINCIPAL LIFETIME 2065 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	LTIUX	742553720	PRINCIPAL LIFETIME HYBRID 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	LTRIX	742553746	PRINCIPAL LIFETIME HYBRID 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	LTFIX	742553712	PRINCIPAL LIFETIME HYBRID 2055 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLHHX	74256W162	PRINCIPAL LIFETIME HYBRID 2065 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLSJX	74253M217	PRINCIPAL LIFETIME STRATEGIC INCOME CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PALTX	74254T138	PRINCIPAL LIFETIME STRATEGIC INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
PRINCIPAL FUNDS	PLSIX	74253M191	PRINCIPAL LIFETIME STRATEGIC INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PEMGX	74254T443	PRINCIPAL MIDCAP FUND CLASS A	NTF^	A	Y	4:00 PM	01
PRINCIPAL FUNDS	PMBGX	74254U721	PRINCIPAL MIDCAP FUND CLASS C	NTF^	C	Y	4:00 PM	01
PRINCIPAL FUNDS	PMBJX	74253Q754	PRINCIPAL MIDCAP FUND CLASS J	T	J	Y	4:00 PM	01
PRINCIPAL FUNDS	PCBIX	74253Q747	PRINCIPAL MIDCAP FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
PRINCIPAL FUNDS	PGWIX	74253Q721	PRINCIPAL MIDCAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMGJX	74253Q739	PRINCIPAL MIDCAP GROWTH FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PPIMX	74253Q614	PRINCIPAL MIDCAP GROWTH FUND III CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PPQJX	74253Q622	PRINCIPAL MIDCAP GROWTH FUND III CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	MPSIX	74253Q697	PRINCIPAL MIDCAP S&P 400 INDEX FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMFJX	74253Q713	PRINCIPAL MIDCAP S&P 400 INDEX FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PCMVX	74257B662	PRINCIPAL MID-CAP VALUE I FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PVMIX	74253Q192	PRINCIPAL MIDCAP VALUE I FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PVEJX	742553464	PRINCIPAL MIDCAP VALUE I FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PMOAX	74255L639	PRINCIPAL OPPORTUNISTIC MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	POEYX	742537517	PRINCIPAL ORIGIN EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	POEIX	742537491	PRINCIPAL ORIGIN EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PRFCX	74254U614	PRINCIPAL PREFERRED SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PRRAX	74254T278	PRINCIPAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PRCEX	74254V786	PRINCIPAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PIREX	74253Q580	PRINCIPAL REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRINCIPAL FUNDS	PREJX	74253Q598	PRINCIPAL REAL ESTATE SECURITIES FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	SABPX	74254V547	PRINCIPAL SAM BALANCED PORTFOLIO CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	SCBPX	74254V521	PRINCIPAL SAM BALANCED PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PSBIX	74254U424	PRINCIPAL SAM BALANCED PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSAJX	74254U416	PRINCIPAL SAM BALANCED PORTFOLIO FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	SCIPX	74254V489	PRINCIPAL SAM CONS BALANCED PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PCCIX	74254U341	PRINCIPAL SAM CONS BALANCED PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PCBJX	74254U333	PRINCIPAL SAM CONS BALANCED PORTFOLIO FUND CL J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PCWIX	74254V315	PRINCIPAL SAM CONSERV GROWTH PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	SAIPX	74254V513	PRINCIPAL SAM CONSERVATIVE BALANCED PORT CL A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	SAGPX	74254V471	PRINCIPAL SAM CONSERVATIVE GROWTH PORT FD CL A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	SCGPX	74254V455	PRINCIPAL SAM CONSERVATIVE GROWTH PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PCGJX	74254U267	PRINCIPAL SAM CONSERVATIVE GROWTH PORTFOLIO FUND CL J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	SCUPX	74254V422	PRINCIPAL SAM FLEXIBLE INCOME PORTFOLIO CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	SAUPX	74254V448	PRINCIPAL SAM FLEXIBLE INCOME PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PIFIX	74254U192	PRINCIPAL SAM FLEXIBLE INCOME PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PFIJX	74254U184	PRINCIPAL SAM FLEXIBLE INCOME PORTFOLIO FUND CL J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	SACAX	74254V414	PRINCIPAL SAM STRATEGIC GROWTH PORTFOLIO FD CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	SWHCX	74254V380	PRINCIPAL SAM STRATEGIC GROWTH PORTFOLIO FUND CL C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PSWIX	74254V109	PRINCIPAL SAM STRATEGIC GROWTH PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSWJX	74254V208	PRINCIPAL SAM STRATEGIC GROWTH PORTFOLIO FUND CL J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	STCCX	74254V554	PRINCIPAL SHORT TERM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PSHIX	74254U440	PRINCIPAL SHORT- TERM INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSJIX	74255L605	PRINCIPAL SHORT TERM INCOME FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	SRHQX	74254V562	PRINCIPAL SHORT-TERM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PPVIX	74254R694	PRINCIPAL SMALL CAP VALUE II FUND INSTITUTIONAL SHARES	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMDAX	74255L753	PRINCIPAL SMALL MID CAP DIVIDEND INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PSMHX	74257B647	PRINCIPAL SMALL- MIDCAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PMDIX	74255L738	PRINCIPAL SMALL/MID-CAP DIVIDEND INCOME FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PLLAX	74254T369	PRINCIPAL SMALLCAP FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PSMCX	74254U523	PRINCIPAL SMALLCAP FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	PSLIX	74253Q564	PRINCIPAL SMALLCAP FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSBJX	74253Q572	PRINCIPAL SMALLCAP FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PGRTX	74253J461	PRINCIPAL SMALLCAP GROWTH FUND I CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSIJX	74253M837	PRINCIPAL SMALLCAP GROWTH FUND I CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PSSIX	74253Q523	PRINCIPAL SMALLCAP S&P 600 INDEX FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PSSJX	74253Q531	PRINCIPAL SMALLCAP S&P 600 INDEX FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PSMJX	742553456	PRINCIPAL SMALLCAP VALUE II FUND CLASS J	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PMDX	74255L613	PRINCIPAL SMALL-MIDCAP DIVIDEND INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRINCIPAL FUNDS	PPSIX	74253Q416	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES INCOME FD INST	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PPSAX	74254T252	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES INCOME FUND C	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PPSJX	74253Q184	PRINCIPAL SPECTRUM PREFERRED AND CAPITAL SECURITIES INCOME FUND C	T	J	N	4:00 PM	01
PRINCIPAL FUNDS	PTEAX	74254V802	PRINCIPAL TAX EXEMPT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRINCIPAL FUNDS	PITEX	742537434	PRINCIPAL TAX EXEMPT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PRINCIPAL FUNDS	PTBCX	74254V877	PRINCIPAL TAX-EXEMPT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRINCIPAL FUNDS	POMFX	742537442	PRINICIPAL OPPORTUNISTIC MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
PRINCIPAL STREET PARTNERS	GSTAX	56167N670	PRINCIPAL STREET HIGH INCOME MUNI FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PROBABILITIES FUNDS	PROAX	66538B727	PROBABILITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PROBABILITIES FUNDS	PROCX	66538B818	PROBABILITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PROBABILITIES FUNDS	PROTX	66538B792	PROBABILITIES FUND CLASS I	T	I	N	4:00 PM	01
PROFUNDS	AFBIX	74318X711	ACCESS FLEX BEAR HIGH YIELD PROFUND FUND INVESTOR CLASS	NTF		N	2:45	01
PROFUNDS	AFBSX	74318X679	ACCESS FLEX BEAR HIGH YIELD PROFUND FUND SERVICE CLASS	T		N	2:45	01
PROFUNDS	FYAIX	74318X695	ACCESS FLEX HIGH YIELD PROFUND FUND INVESTOR CLASS	NTF		N	2:45	01
PROFUNDS	FYASX	74318X661	ACCESS FLEX HIGH YIELD PROFUND FUND SERVICE CLASS	T		N	2:45	01
PROFUNDS	BKPIX	74318Q302	BANKS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	BKPSX	74318Q401	BANKS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	BMPSX	743185498	BASIC MATERIALS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	BMPIX	743185514	BASIC-MATERIALS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	BRPIX	743185886	BEAR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	BRPSX	743185308	BEAR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	BIPIX	743185480	BIOTECHNOLOGY ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	BIPXS	743185472	BIOTECHNOLOGY ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	BTCFX	74318X653	BITCOIN STRATEGY PROFUND FUND INVESTOR CLASS	T		N	2:45	01
PROFUNDS	BLPIX	743185704	BULL PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	BLPSX	743185100	BULL PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	WCPSX	743185274	COMMUNICATIONS SERVICES ULTRASECTOR PROFUND SERVICE CLASS	T		N	3:35	01
PROFUNDS	WCPIX	743185282	COMMUNICATIONS SERVICES ULTRASECTOR PROFUND INVERSTOR CLASS	NTF		N	3:35	01
PROFUNDS	CNPIX	743185464	CONSUMER GOODS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	CNPSX	743185456	CONSUMER GOODS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	CYPIX	743185332	CONSUMER SERVICES ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	CYPSX	743185324	CONSUMER SERVICES ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UEPIX	743185811	EUROPE 30 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UEPSX	743185829	EUROPE 30 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	FDPIX	74318A190	FALLING U.S. DOLLAR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	FDPSX	74318A182	FALLING U.S. DOLLAR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	FNPIX	743185399	FINANCIAL ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	FNPSX	743185381	FINANCIAL ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PROFUNDS	HCPIX	743185373	HEALTHCARE ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	HCPSX	743185365	HEALTHCARE ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	IDPIX	743185225	INDUSTRIALS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	IDPSX	743185217	INDUSTRIALS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	INPIX	743185357	INTERNET ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	INPSX	743185340	INTERNET ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	LGPIX	74318A489	LARGE-CAP GROWTH PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	LGPSX	74318A471	LARGE-CAP GROWTH PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	LVPIX	74318A513	LARGE-CAP VALUE PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	LVPSX	74318A497	LARGE-CAP VALUE PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	MGPIX	74318Q831	MID-CAP GROWTH PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	MGPSX	74318Q765	MID-CAP GROWTH PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	MDPIX	74318Q856	MID-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	MDPSX	74318Q781	MID-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	MLPIX	74318Q849	MID-CAP VALUE PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	MLPSX	74318Q773	MID-CAP VALUE PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	OTPIX	743185159	NASDAQ-100 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	OTPSX	743185142	NASDAQ-100 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	ENPIX	743185175	OIL & GAS ULTRASECTOR PROFUND (INVESTOR CLASS)	NTF		N	3:35	01
PROFUNDS	ENPSX	743185167	OIL & GAS ULTRASECTOR PROFUND (SERVICE CLASS)	T		N	3:35	01
PROFUNDS	OEPIX	74318Q708	OIL EQUIPMENT, SERVICES & DISTRIB. ULTRASECTOR PROFUND (INVESTOR SH	NTF		N	3:35	01
PROFUNDS	OEPSX	74318Q807	OIL EQUIPMENT, SERVICES & DISTRIB. ULTRASECTOR PROFUND (SERVICE SHA	T		N	3:35	01
PROFUNDS	PHPIX	743185423	PHARMACEUTICALS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	PHPSX	743185415	PHARMACEUTICALS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	PMPIX	743185316	PRECIOUS METALS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	PMPSX	743185290	PRECIOUS METALS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	REPIX	743185530	REAL ESTATE ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	REPSX	743185522	REAL ESTATE ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	RTPIX	74318A265	RISING RATES OPPORTUNITY 10 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	RTPSX	74318A257	RISING RATES OPPORTUNITY 10 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	RRPIX	74318A596	RISING RATES OPPORTUNITY PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	RRPSX	74318A588	RISING RATES OPPORTUNITY PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	RDPIX	74318A224	RISING U.S. DOLLAR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	RDPSX	74318A216	RISING U.S. DOLLAR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SMPIX	743185449	SEMICONDUCTOR ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SMPSX	743185431	SEMICONDUCTOR ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SOPIX	74318A570	SHORT NASDAQ-100 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SOPSX	74318A562	SHORT NASDAQ-100 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SNPIX	74318W655	SHORT OIL & GAS PROFUND (INVESTOR SHARES)	NTF		N	3:35	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PROFUNDS	SNPSX	74318W663	SHORT OIL & GAS PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SPPIX	74318W671	SHORT PRECIOUS METALS PROFUND INVESTOR SHARES	NTF		N	3:35	01
PROFUNDS	SPPSX	74318W689	SHORT PRECIOUS METALS PROFUND SERVICE SHARES	T		N	3:35	01
PROFUNDS	SRPIX	74318W697	SHORT REAL ESTATE PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SRPSX	74318W705	SHORT REAL ESTATE PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SHPIX	74318A554	SHORT SMALL-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SHPSX	74318A547	SHORT SMALL-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SGPIX	74318Q864	SMALL-CAP GROWTH PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SGPSX	74318Q799	SMALL-CAP GROWTH PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SLPIX	74318Q880	SMALL-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SLPSX	74318Q823	SMALL-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	SVPIX	74318Q872	SMALL-CAP VALUE PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	SVPSX	74318Q815	SMALL-CAP VALUE PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	TEPIX	743185266	TECHNOLOGY ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	TEPSX	743185258	TECHNOLOGY ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	TCPIX	743185241	TELECOMMUNICATIONS ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	TCPSX	743185233	TELECOMMUNICATIONS ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	GVPIX	74318A620	U.S. GOVERNMENT PLUS FUND PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	GVPSX	74318A612	U.S. GOVERNMENT PLUS FUND PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	URPIX	743185878	ULTRABEAR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	URPSX	743185407	ULTRABEAR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	ULPIX	743185803	ULTRABULL PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	ULPSX	743185209	ULTRABULL PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UGPIX	74318W846	ULTRACHINA PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UGPSX	74318X745	ULTRACHINA PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UDPIX	74318A539	ULTRADOW 30 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UDPSX	74318A521	ULTRADOW 30 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UUPIX	74318X869	ULTRAEMERGING MARKETS PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UUPSX	74318X851	ULTRAEMERGING MARKETS PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UNPIX	74318X703	ULTRAINTERNATIONAL PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UNPSX	74318X802	ULTRAINTERNATIONAL PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UJPIX	743185696	ULTRAJAPAN PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UJPSX	743185639	ULTRAJAPAN PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UBPIX	74318X752	ULTRALATIN AMERICA FUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UBPSX	74318X786	ULTRALATIN AMERICA FUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UMPIX	743185720	ULTRAMID-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UMPSX	743185654	ULTRAMID-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UOPIX	743185860	ULTRANASDAQ-100 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UOPXS	743185506	ULTRANASDAQ-100 PROFUND (SERVICE SHARES)	T		N	3:35	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PROFUNDS	UHPIX	74318X737	ULTRASHORT CHINA PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UHPSX	74318X729	ULTRASHORT CHINA PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UWPIX	74318A372	ULTRASHORT DOW 30 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UWPSX	74318A364	ULTRASHORT DOW 30 PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UVPIX	74318X844	ULTRASHORT EMERGING MARKETS PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UVPSX	74318X836	ULTRASHORT EMERGING MARKETS PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UXPIX	74318X885	ULTRASHORT INTERNATIONAL PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UXPSX	74318X877	ULTRASHORT INTERNATIONAL PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UKPIX	74318X109	ULTRASHORT JAPAN PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UKPSX	74318X208	ULTRASHORT JAPAN PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UFPIX	74318X760	ULTRASHORT LATIN AMERICA FUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UFPSX	74318X778	ULTRASHORT LATIN AMERICA FUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UIPIX	74318A356	ULTRASHORT MID-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UIPSX	74318A349	ULTRASHORT MID-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	USPIX	743185845	ULTRASHORT NASDAQ- 100 PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	USPSX	743185837	ULTRASHORT NASDAQ- 100 PROFUNDS (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UCPIX	74318A331	ULTRASHORT SMALL- CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UCPSX	74318A323	ULTRASHORT SMALL- CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UAPIX	743185746	ULTRASMALL-CAP PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UAPSX	743185670	ULTRASMALL-CAP PROFUND (SERVICE SHARES)	T		N	3:35	01
PROFUNDS	UTPIX	743185191	UTILITIES ULTRASECTOR PROFUND (INVESTOR SHARES)	NTF		N	3:35	01
PROFUNDS	UTPSX	743185183	UTILITIES ULTRASECTOR PROFUND (SERVICE SHARES)	T		N	3:35	01
PROSPECTOR FUNDS	PCAFX	743588105	PROSPECTOR CAPITAL APPRECIATION FUND	T		N	4:00 PM	02
PROSPECTOR FUNDS	POPFX	743588204	PROSPECTOR OPPORTUNITY FUND	T		N	4:00 PM	02
PROVIDENT FUNDS	PROVX	74405V107	PROVIDENT TRUST STRATEGY FUND	T		N	4:00 PM	01
PRUDENTIAL FUNDS	PADAX	74441J852	PGIM ABSOLUTE RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PADCX	74441J845	PGIM ABSOLUTE RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PADZX	74441J829	PGIM ABSOLUTE RETURN BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PIBAX	74437E883	PGIM BALANCED FUND A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PABCX	74437E867	PGIM BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PABFX	74437E859	PGIM BALANCED FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PBCAX	74440X100	PGIM CALIFORNIA MUNI INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PCICX	74440X308	PGIM CALIFORNIA MUNI INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PCIZX	74440X407	PGIM CALIFORNIA MUNI INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	TPCAX	875921769	PGIM CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	TPCCX	875921751	PGIM CORE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	TAIBX	875921801	PGIM CORE BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PCWAX	875921694	PGIM CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PCWCX	875921686	PGIM CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PCWRX	875921660	PGIM CORPORATE BOND FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	TGBMX	875921702	PGIM CORPORATE BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PDHAX	743969479	PGIM EMERGING MARKETS DEBT HARD CURRENCY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PDHVX	743969446	PGIM EMERGING MARKETS DEBT HARD CURRENCY FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	EMDAX	743969750	PGIM EMERGING MARKETS DEBT LOCAL CURRENCY FUND CL A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	EMDCX	743969743	PGIM EMERGING MARKETS DEBT LOCAL CURRENCY FUND CL C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	EMDZX	743969727	PGIM EMERGING MARKETS DEBT LOCAL CURRENCY FUND CL Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PGANX	74442J802	PGIM ESG HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PGAUX	74442J885	PGIM ESG HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGAVX	74442J877	PGIM ESG HIGH YIELD FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PAIWX	74440B785	PGIM ESG TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PAIYX	74440B777	PGIM ESG TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PAIZX	74440B769	PGIM ESG TOTAL RETURN BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	FRFAX	74439V602	PGIM FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	FRFCX	74439V701	PGIM FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	FRFZX	74439V800	PGIM FLOATING RATE INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PAJAX	74440K645	PGIM GLOBAL DYNAMIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PAJCX	74440K637	PGIM GLOBAL DYNAMIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PAJZX	74440K611	PGIM GLOBAL DYNAMIC BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PURGX	744336660	PGIM GLOBAL REAL ESTATE FUND CL R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PURAX	744336108	PGIM GLOBAL REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PURCX	744336306	PGIM GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PURRX	744336405	PGIM GLOBAL REAL ESTATE FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PUREX	744336678	PGIM GLOBAL REAL ESTATE FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PURZX	744336504	PGIM GLOBAL REAL ESTATE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	GTRAX	74439A103	PGIM GLOBAL TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PHEAX	74439A707	PGIM GLOBAL TOTAL RETURN FUND CLASS A (USD HEDGED)	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PCTRX	74439A301	PGIM GLOBAL TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PHECX	74439A806	PGIM GLOBAL TOTAL RETURN FUND CLASS C (USD HEDGED)	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGTOX	74439A863	PGIM GLOBAL TOTAL RETURN FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PGTSX	74439A855	PGIM GLOBAL TOTAL RETURN FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PZTRX	74439A400	PGIM GLOBAL TOTAL RETURN FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PHEZX	74439A871	PGIM GLOBAL TOTAL RETURN FUND CLASS Z (USD HEDGED)	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PGVAX	74439V107	PGIM GOVERNMENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PRICX	74439V305	PGIM GOVERNMENT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGVZX	74439V404	PGIM GOVERNMENT INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PHYGX	74442J703	PGIM HIGH YIELD FD CL R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PBHAX	74440Y108	PGIM HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	JDYRX	74440Y603	PGIM HIGH YIELD FUND CLASS R	T	R	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PHYEX	74442J604	PGIM HIGH YIELD FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PRHCX	74440Y306	PGIM HIGH YIELD FUND, INC CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PHYZX	74440Y801	PGIM HIGH YIELD FUND, INC CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PCGAX	74442X108	PGIM INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PCCFX	74442X306	PGIM INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PDCZX	74442X504	PGIM INCOME BUILDER FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PXBCX	74441J720	PGIM INTERNATIONAL BOND BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PXBAX	74441J738	PGIM INTERNATIONAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PXBZX	74441J696	PGIM INTERNATIONAL BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PUFCX	74441P304	PGIM JENN FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PSSRX	74441P783	PGIM JENN FINANCIAL SERVICES FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PFSZX	74441P403	PGIM JENN FINANCIAL SERVICES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PHLCX	74441P700	PGIM JENN HEALTH SCIENCES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PHSZX	74441P866	PGIM JENN HEALTH SCIENCES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PGKAX	744336652	PGIM JENN TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PGKCX	744336645	PGIM JENN TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGKZX	744336637	PGIM JENN TECHNOLOGY FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PEQZX	74441T405	PGIM JENNISON BLEND FUND CL Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PBQAX	74441T108	PGIM JENNISON BLEND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PRECX	74441T306	PGIM JENNISON BLEND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	TBDAX	74440V104	PGIM JENNISON DIVERSIFIED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	TBDCX	74440V302	PGIM JENNISON DIVERSIFIED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	TBDZX	74440V690	PGIM JENNISON DIVERSIFIED GRWOTH FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PDEAX	743969644	PGIM JENNISON EMERGING MARKETS EQUITY OPPORTUNITIES CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PDECX	743969636	PGIM JENNISON EMERGING MARKETS EQUITY OPPORTUNITIES CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PDEZX	743969610	PGIM JENNISON EMERGING MARKETS EQUITY OPPORTUNITIES CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PFSAX	74441P106	PGIM JENNISON FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SPFAX	74440K504	PGIM JENNISON FOCUSED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SPFCX	74440K702	PGIM JENNISON FOCUSED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	SPFZX	74440K868	PGIM JENNISON FOCUSED GROWTH FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJIAX	74437E503	PGIM JENNISON FOCUSED VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PJGCX	74437E701	PGIM JENNISON FOCUSED VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PJGZX	74437E800	PGIM JENNISON FOCUSED VALUE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SPQAX	74441L808	PGIM JENNISON GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	AGOCX	74441L873	PGIM JENNISON GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PJERX	74441L790	PGIM JENNISON GLOBAL EQUITY INCOME FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	JDEZX	74441L832	PGIM JENNISON GLOBAL EQUITY INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PGJAX	743969792	PGIM JENNISON GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PGJCX	743969784	PGIM JENNISON GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PGJZX	743969776	PGIM JENNISON GLOBAL INFRASTRUCTURE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PRJAX	743969719	PGIM JENNISON GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PRJCX	743969693	PGIM JENNISON GLOBAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRJBX	743969438	PGIM JENNISON GLOBAL OPPORTUNITIES FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PRJDX	743969420	PGIM JENNISON GLOBAL OPPORTUNITIES FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PRJZX	743969685	PGIM JENNISON GLOBAL OPPORTUNITIES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJFCX	74437E305	PGIM JENNISON GROWTH FUND CL C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PJGRX	74437E651	PGIM JENNISON GROWTH FUND CL R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PJFOX	74437E420	PGIM JENNISON GROWTH FUND CL R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PJFZX	74437E404	PGIM JENNISON GROWTH FUND CL Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJFAX	74437E107	PGIM JENNISON GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PJFPX	74437E412	PGIM JENNISON GROWTH FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PHLAX	74441P502	PGIM JENNISON HEALTH SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PWJAX	743969677	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PWJCX	743969669	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PWJRX	743969487	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PWJBX	743969412	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PWJDX	743969396	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PWJZX	743969651	PGIM JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PAHWX	744336561	PGIM JENNISON INTERNATIONAL SMALL/MID-CAP OPPORTUNITIES FUND CLASS	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PAILX	744336553	PGIM JENNISON INTERNATIONAL SMALL/MID-CAP OPPORTUNITIES FUND CLASS	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PAINX	744336546	PGIM JENNISON INTERNATIONAL SMALL/MID-CAP OPPORTUNITIES FUND CLASS	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	JDERX	74441C600	PGIM JENNISON MID CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PEEAX	74441C105	PGIM JENNISON MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PEGEX	74441C865	PGIM JENNISON MID-CAP GROWTH FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PEGGX	74441C857	PGIM JENNISON MID-CAP GROWTH FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PEGCX	74441C303	PGIM JENNISON MID-CAP GROWTH FUND, INC. CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PEGZX	74441C808	PGIM JENNISON MID-CAP GROWTH FUND, INC. CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PRPAX	74440G701	PGIM JENNISON MLP FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PRPCX	74440G800	PGIM JENNISON MLP FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRPZX	74440G883	PGIM JENNISON MLP FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PGNAX	74441K107	PGIM JENNISON NATURAL RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PNRCX	74441K305	PGIM JENNISON NATURAL RESOURCES FUND, INC CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	JNRRX	74441K404	PGIM JENNISON NATURAL RESOURCES FUND, INC CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PNRZX	74441K503	PGIM JENNISON NATURAL RESOURCES FUND, INC CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PAHSX	744336611	PGIM JENNISON NEXTGENERATIONGLBL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PAHTX	744336595	PGIM JENNISON NEXTGENERATIONGLBL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PAHUX	744336587	PGIM JENNISON NEXTGENERATIONGLBL OPPORTUNITIES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJDAX	74440V823	PGIM JENNISON RISING DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PJDCX	74440V815	PGIM JENNISON RISING DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PJDZX	74440V799	PGIM JENNISON RISING DIVIDEND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	JSCRX	74441N507	PGIM JENNISON SMALL COMPANY FUND CL R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PGOAX	74441N101	PGIM JENNISON SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PSCCX	74441N309	PGIM JENNISON SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PSCHX	74441N861	PGIM JENNISON SMALL COMPANY FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PSCJX	74441N853	PGIM JENNISON SMALL COMPANY FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PSCZX	74441N408	PGIM JENNISON SMALL COMPANY FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PRUAX	74441P858	PGIM JENNISON UTILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	JDURX	74441P825	PGIM JENNISON UTILITY FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PRUZX	74441P817	PGIM JENNISON UTILITY FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PBEAX	74440N102	PGIM JENNISON VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PEICX	74440N300	PGIM JENNISON VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	JDVRX	74440N607	PGIM JENNISON VALUE FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PEIZX	74440N805	PGIM JENNISON VALUE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PCUFX	74441P833	PGIM JENNISON UTILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRHAX	74440M104	PGIM MUNICIPAL HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PHICX	74440M302	PGIM MUNICIPAL HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PHIZX	74440M401	PGIM MUNICIPAL HIGH INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	DNMZX	74441U402	PGIM NATIONAL MUNICIPAL FUND CL Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PRNMX	74441U105	PGIM NATIONAL MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PNMCX	74441U303	PGIM NATIONAL MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PQCZX	74440E854	PGIM QMA COMMODITY STRATEGIES FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJRAX	743969859	PGIM QUANT SOLUTIONS INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PJRCX	743969875	PGIM QUANT SOLUTIONS INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PJIZX	743969883	PGIM QUANT SOLUTIONS INTERNATIONAL EQUITY FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PTMAX	74441J100	PGIM QUANT SOLUTIONS LARGE-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PTMCX	74441J308	PGIM QUANT SOLUTIONS LARGE-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PTEZX	74441J407	PGIM QUANT SOLUTIONS LARGE-CAP CORE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SUVAX	74440K108	PGIM QUANT SOLUTIONS LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SUVCX	74440K306	PGIM QUANT SOLUTIONS LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRVRX	74440K736	PGIM QUANT SOLUTIONS LARGE-CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	SUVZX	74440K405	PGIM QUANT SOLUTIONS LARGE-CAP VALUE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SPRAX	74441L105	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	NCBVX	74441L303	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	SDVRX	74441L782	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PMVEX	74441L758	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS R2	T+	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PMVFX	74441L741	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	SPVZX	74441L709	PGIM QUANT SOLUTIONS MID-CAP VALUE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	TSVAX	875921785	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	TRACX	875921710	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	TSVRX	875921843	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PSVDX	875921611	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PSVKX	875921595	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	TASVX	875921306	PGIM QUANT SOLUTIONS SMALL-CAP VALUE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PSIAX	74441F108	PGIM QUANT SOLUTIONS STOCK INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PSICX	74441F306	PGIM QUANT SOLUTIONS STOCK INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PDSIX	74441F405	PGIM QUANT SOLUTIONS STOCK INDEX FUND CLASS I	T	I	N	4:00 PM	01
PRUDENTIAL FUNDS	PSIFX	74441F504	PGIM QUANT SOLUTIONS STOCK INDEX FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PUDZX	74440K777	PGIM REAL ASSET FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PUDAX	74440K819	PGIM REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PUDCX	74440K785	PGIM REAL ASSETS FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRKAX	74441J761	PGIM REAL ESTATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PRKCX	74441J753	PGIM REAL ESTATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PRKZX	74441J746	PGIM REAL ESTATE INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SCFOX	74441F801	PGIM SECURITIZED CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SCFVX	74441F884	PGIM SECURITIZED CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	SCFZX	74441F876	PGIM SECURITIZED CREDIT FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SREAX	74441J811	PGIM SELECT REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SRECX	74441J795	PGIM SELECT REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	SREZX	74441J779	PGIM SELECT REAL ESTATE FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	HYSAX	74442J109	PGIM SHORT DURATION HIGH YIELD INCOME FUND CL A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	HYSCX	74442J208	PGIM SHORT DURATION HIGH YIELD INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	HYSZX	74442J307	PGIM SHORT DURATION HIGH YIELD INCOME FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	SDMAX	74440B876	PGIM SHORT DURATION MULTI-SECTOR BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	SDMCX	74440B868	PGIM SHORT DURATION MULTI-SECTOR BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	SDMZX	74440B843	PGIM SHORT DURATION MULTI-SECTOR BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PDSAX	744336835	PGIM SHORT DURATION MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PDSCX	744336827	PGIM SHORT DURATION MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PDSZX	744336819	PGIM SHORT DURATION MUNI FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PIFEX	74441R805	PGIM SHORT TERM CORP BOND FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PIFGX	74441R888	PGIM SHORT-TERM CORP BOND FUND CL R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PBSMX	74441R102	PGIM SHORT-TERM CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PIFCX	74441R300	PGIM SHORT-TERM CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	JDTRX	74441R409	PGIM SHORT-TERM CORPORATE BOND FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PIFZX	74441R508	PGIM SHORT-TERM CORPORATE BOND FUND CLASS Z	T	Z	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PRUDENTIAL FUNDS	PUCAX	74440K678	PGIM STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PUCCX	74440K660	PGIM STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PUCZX	74440K652	PGIM STRATEGIC BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PDBAX	74440B108	PGIM TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PDBCX	74440B306	PGIM TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	DTBRX	74440B801	PGIM TOTAL RETURN BOND FUND CLASS R	T	R	Y	4:00 PM	01
PRUDENTIAL FUNDS	PDBRX	74440B819	PGIM TOTAL RETURN BOND FUND CLASS R2	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PBSX	74440B793	PGIM TOTAL RETURN BOND FUND CLASS R4	T+	R	N	4:00 PM	01
PRUDENTIAL FUNDS	PDBZX	74440B405	PGIM TOTAL RETURN BOND FUND CLASS Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJEZX	744336884	PGIM U.S. REAL ESTATE FUND CL Z	T	Z	N	4:00 PM	01
PRUDENTIAL FUNDS	PJEAX	744336603	PGIM U.S. REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PJECX	744336801	PGIM U.S. REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGAEX	74440K512	PGIM WADHWANI SYSTEMATIC ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
PRUDENTIAL FUNDS	PGAFX	74440K496	PGIM WADHWANI SYSTEMATIC ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
PRUDENTIAL FUNDS	PGAGX	74440K488	PGIM WADHWANI SYSTEMATIC ABSOLUTE RETURN FUND CLASS Z	T	Z	N	4:00 PM	01
PSI FUNDS	FXSAX	66537V187	PSI STRATEGIC GROWTH FUND CLASS A	NTF	A	N	4:00 PM	01
PUTNAM FUNDS	P GEOX	37252M108	GEORGE PUTNAM BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PGPCX	37252M306	GEORGE PUTNAM BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGEYX	37252M603	GEORGE PUTNAM BALANCED FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PCIYX	746449305	PUTNAM CALIFORNIA TAX EXEMPT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PCTCX	74645A871	PUTNAM CALIFORNIA TAX-EXEMPT INCOME FD CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PCTEX	74645A301	PUTNAM CALIFORNIA TAX-EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PCONX	746476100	PUTNAM CONVERTIBLE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PRCCX	746476506	PUTNAM CONVERTIBLE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PCGYX	746476407	PUTNAM CONVERTIBLE SECURITIES FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PDVYX	746704501	PUTNAM DIVERSIFIED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PDVCX	746704303	PUTNAM DIVERSIFIED INCOME TRUST CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PDINX	746704105	PUTNAM DIVERSIFIED INCOME TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAARX	746444819	PUTNAM DYMANIC ASSET ALLOCATION BALANCED FUND CL R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PABYX	746444884	PUTNAM DYMANIC ASSET ALLOCATION BALANCED FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PABAX	746444306	PUTNAM DYNAMIC ASSET ALLOCATION BALANCED FUND CL A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	AABCX	746444876	PUTNAM DYNAMIC ASSET ALLOCATION BALANCED FUND CL C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PACAX	746444504	PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FD CL A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PACCX	746444868	PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FD CL C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PACRX	746444785	PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FD CL R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PACYX	746444801	PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FD CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PAEAX	746444108	PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND CL A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAECX	746444850	PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND CL C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PASRX	746444793	PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND CL R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PAGYX	746444702	PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PDREX	746764240	PUTNAM DYNAMIC RISK ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PDRFX	746764224	PUTNAM DYNAMIC RISK ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PDRRX	746764190	PUTNAM DYNAMIC RISK ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PDRYX	746764182	PUTNAM DYNAMIC RISK ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PEMMX	746764109	PUTNAM EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PEMZX	746764307	PUTNAM EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PEMLX	746764505	PUTNAM EMERGING MARKETS EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PEMYX	746764604	PUTNAM EMERGING MARKETS EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTRNX	746764372	PUTNAM FIXED INCOME ABSOLUTE RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PTRGX	746764356	PUTNAM FIXED INCOME ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PTRKX	746764331	PUTNAM FIXED INCOME ABSOLUTE RETURN FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PYTRX	746764323	PUTNAM FIXED INCOME ABSOLUTE RETURN FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PFLRX	746763481	PUTNAM FLOATING RATE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PFICX	746763465	PUTNAM FLOATING RATE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PFLX	746763440	PUTNAM FLOATING RATE INCOME FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PFRYX	746763226	PUTNAM FLOATING RATE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PGIAX	746764646	PUTNAM FOCUSED EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PGIEX	746764620	PUTNAM FOCUSED EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGIOX	746764596	PUTNAM FOCUSED EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PGILX	746764588	PUTNAM FOCUSED EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PEQUX	746772102	PUTNAM FOCUSED INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PUGCX	746772300	PUTNAM FOCUSED INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGLRX	746772607	PUTNAM FOCUSED INTERNATIONAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PEQYX	746772409	PUTNAM FOCUSED INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PHSTX	746778109	PUTNAM GLOBAL HEALTH CARE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PCHSX	746778307	PUTNAM GLOBAL HEALTH CARE FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PHSYX	746778505	PUTNAM GLOBAL HEALTH CARE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PGGYX	74677Q604	PUTNAM GLOBAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PGGIX	74677Q109	PUTNAM GLOBAL INCOME TRUST FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PGGLX	74677Q307	PUTNAM GLOBAL INCOME TRUST FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGTAX	746764570	PUTNAM GLOBAL TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PGTDX	746764554	PUTNAM GLOBAL TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGTRX	746764539	PUTNAM GLOBAL TECHNOLOGY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PGTYX	746764521	PUTNAM GLOBAL TECHNOLOGY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	POGAX	746802800	PUTNAM GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	POGCX	746802644	PUTNAM GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PGOYX	746802586	PUTNAM GROWTH OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PHYLX	74678J500	PUTNAM HIGH YEILD FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PFJAX	74678J609	PUTNAM HIGH YIELD FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PHAYX	74678J401	PUTNAM HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PHYIX	74678J104	PUTNAM HIGH-YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PINCX	746792100	PUTNAM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PUICX	746792308	PUTNAM INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PIFRX	746792605	PUTNAM INCOME FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PNCYX	746792407	PUTNAM INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PIMEX	74676A816	PUTNAM INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PIMFX	74676A782	PUTNAM INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PIMYX	74676A766	PUTNAM INTERMEDIATE-TERM MUNICIPAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	POVSX	74680E101	PUTNAM INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PIGCX	74680E309	PUTNAM INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PIERX	74680E606	PUTNAM INTERNATIONAL EQUITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	POVYX	74680E507	PUTNAM INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PNGAX	746763200	PUTNAM INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PIGRX	746763754	PUTNAM INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PNGYX	746763614	PUTNAM INTERNATIONAL VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PNVAX	746802859	PUTNAM INTL CAPITAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PUVCX	746802537	PUTNAM INTL CAPITAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PICRX	746802149	PUTNAM INTL CAPITAL OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PIVYX	746802495	PUTNAM INT'L CAPITAL OPPS FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PEYAX	746745108	PUTNAM LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PEQCX	746745504	PUTNAM LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PEQRX	746745603	PUTNAM LARGE-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PEIYX	746745405	PUTNAM LARGE-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PMAYX	74683H507	PUTNAM MASS TAX EXEMPT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PXMAX	74683H309	PUTNAM MASSACHUSETTS TAX- EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PMMCX	74683H408	PUTNAM MASSACHUSETTS TAX EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PMNYX	74683M506	PUTNAM MINNESOTA TAX EXEMPT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PXMNX	74683M308	PUTNAM MINNESOTA TAX-EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PMOCX	74683M407	PUTNAM MINNESOTA TAX-EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PMORX	74676A493	PUTNAM MORTGAGE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PMOZX	74676A485	PUTNAM MORTGAGE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PMOYX	74676A477	PUTNAM MORTGAGE OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PGVCX	746885300	PUTNAM MORTGAGE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PUSYX	746885409	PUTNAM MORTGAGE SECURITIES FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PGSIX	746885102	PUTNAM MORTGAGE SECURITITES FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PDMAX	746764315	PUTNAM MULTI ASSET ABSOLUTE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PDMCX	746764281	PUTNAM MULTI ASSET ABSOLUTE RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PDMRX	746764265	PUTNAM MULTI ASSET ABSOLUTE RETURN FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PDMYX	746764257	PUTNAM MULTI ASSET ABSOLUTE RETURN FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PMYAX	74676P888	PUTNAM MULTI-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PMYCX	74676P862	PUTNAM MULTI-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PMYZX	74676P847	PUTNAM MULTI-CAP CORE FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PMYYX	74676P839	PUTNAM MULTI-CAP CORE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTNJX	746915107	PUTNAM NEW JERSEY TAX EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PNJYX	746915503	PUTNAM NEW JERSEY TAX EXEMPT INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PNJCX	746915404	PUTNAM NEW JERSEY TAX-EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PNYYX	746837301	PUTNAM NEW YORK TAX EXEMPT INCOME FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTEIX	74683Q309	PUTNAM NEW YORK TAX- EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PNCX	74683Q606	PUTNAM NEW YORK TAX EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	POTYX	746849504	PUTNAM OHIO TAX EXEMPT INCOME FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PXOHX	746849306	PUTNAM OHIO TAX- EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	POOCX	746849405	PUTNAM OHIO TAX EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PPRYX	74680L386	PUTNAM PANAGORA RISK PARITY CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PPRPX	74680L469	PUTNAM PANAGORA RISK PARITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PPRNX	74680L444	PUTNAM PANAGORA RISK PARITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PPROX	74680L428	PUTNAM PANAGORA RISK PARITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PPTYX	746852508	PUTNAM PENNSYLVANIA TAX EXEMPT INCOME FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTEPX	746852102	PUTNAM PENNSYLVANIA TAX EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PPNCX	746852409	PUTNAM PENNSYLVANIA TAX-EXEMPT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PNRAX	746802883	PUTNAM RESEARCH FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PRACX	746802628	PUTNAM RESEARCH FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PURYX	746802487	PUTNAM RESEARCH FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PBDLX	74686J708	PUTNAM RETIREMENT ADVANTAGE 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PRTJX	74686J880	PUTNAM RETIREMENT ADVANTAGE 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRTWX	74686J872	PUTNAM RETIREMENT ADVANTAGE 2025 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PLZYX	74686J856	PUTNAM RETIREMENT ADVANTAGE 2025 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PDLTX	74686J849	PUTNAM RETIREMENT ADVANTAGE 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PDLKX	74686J823	PUTNAM RETIREMENT ADVANTAGE 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PKAX	74686J815	PUTNAM RETIREMENT ADVANTAGE 2030 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PDGKX	74686J781	PUTNAM RETIREMENT ADVANTAGE 2030 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PDFLX	74686J773	PUTNAM RETIREMENT ADVANTAGE 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PDAXX	74686J757	PUTNAM RETIREMENT ADVANTAGE 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PDAXX	74686J740	PUTNAM RETIREMENT ADVANTAGE 2035 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	POWYX	74686J724	PUTNAM RETIREMENT ADVANTAGE 2035 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PCCLX	74686J716	PUTNAM RETIREMENT ADVANTAGE 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PBBZX	74686J682	PUTNAM RETIREMENT ADVANTAGE 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PBAOX	74686J674	PUTNAM RETIREMENT ADVANTAGE 2040 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PALZX	74686J658	PUTNAM RETIREMENT ADVANTAGE 2040 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PALGX	74686J641	PUTNAM RETIREMENT ADVANTAGE 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAFPX	74686J625	PUTNAM RETIREMENT ADVANTAGE 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PAFZX	74686J617	PUTNAM RETIREMENT ADVANTAGE 2045 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PAFJX	74686J583	PUTNAM RETIREMENT ADVANTAGE 2045 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PAEZX	74686J575	PUTNAM RETIREMENT ADVANTAGE 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAENX	74686J559	PUTNAM RETIREMENT ADVANTAGE 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PAEQX	74686J542	PUTNAM RETIREMENT ADVANTAGE 2050 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PHPDX	74686J328	PUTNAM RETIREMENT ADVANTAGE 2050 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PADYX	74686J526	PUTNAM RETIREMENT ADVANTAGE 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PACWX	74686J492	PUTNAM RETIREMENT ADVANTAGE 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PACSX	74686J484	PUTNAM RETIREMENT ADVANTAGE 2055 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PAAWX	74686J468	PUTNAM RETIREMENT ADVANTAGE 2055 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PAAVX	74686J450	PUTNAM RETIREMENT ADVANTAGE 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAAPX	74686J435	PUTNAM RETIREMENT ADVANTAGE 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PAAMX	74686J427	PUTNAM RETIREMENT ADVANTAGE 2060 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PAKJX	74686J393	PUTNAM RETIREMENT ADVANTAGE 2060 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PCJZX	74686K101	PUTNAM RETIREMENT ADVANTAGE 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PCKBX	74686K200	PUTNAM RETIREMENT ADVANTAGE 2065 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PCKFX	74686K309	PUTNAM RETIREMENT ADVANTAGE 2065 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PCKEX	74686K804	PUTNAM RETIREMENT ADVANTAGE 2065 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	POMGX	74686J104	PUTNAM RETIREMENT ADVANTAGE MATURITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PLFGX	74686J302	PUTNAM RETIREMENT ADVANTAGE MATURITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PAKYX	74686J401	PUTNAM RETIREMENT ADVANTAGE MATURITY FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PAETX	74686J609	PUTNAM RETIREMENT ADVANTAGE MATURITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRROX	746859750	PUTNAM RETIREMENT READY 2025 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859735	PUTNAM RETIREMENT READY 2025 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRRQX	746859818	PUTNAM RETIREMENT READY 2030 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859784	PUTNAM RETIREMENT READY 2030 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRRWX	746859867	PUTNAM RETIREMENT READY 2035 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859842	PUTNAM RETIREMENT READY 2035 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRRZX	746859602	PUTNAM RETIREMENT READY 2040 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859800	PUTNAM RETIREMENT READY 2040 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRVLX	746859107	PUTNAM RETIREMENT READY 2045 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859305	PUTNAM RETIREMENT READY 2045 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRRJX	746859370	PUTNAM RETIREMENT READY 2050 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859354	PUTNAM RETIREMENT READY 2050 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRRFX	746859313	PUTNAM RETIREMENT READY 2055 FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PRTLX	746859255	PUTNAM RETIREMENT READY 2055 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRTFX	746859248	PUTNAM RETIREMENT READY 2060 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS		746859222	PUTNAM RETIREMENT READY 2060 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRTRX	746859198	PUTNAM RETIREMENT READY 2060 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PRTYX	746859180	PUTNAM RETIREMENT READY 2060 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PCJQX	74686J310	PUTNAM RETIREMENT READY 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PCJRX	74686J294	PUTNAM RETIREMENT READY 2065 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PCJUX	74686J286	PUTNAM RETIREMENT READY 2065 FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PCJSX	74686J237	PUTNAM RETIREMENT READY 2065 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRMAX	746859537	PUTNAM RETIREMENT READY MATURITY FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PRMCX	746859511	PUTNAM RETIREMENT READY MATURITY FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRMYX	746859388	PUTNAM RETIREMENT READY MATURITY FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRRPX	746859438	PUTNAM RETIREMENTREADY 2025 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRRTX	746859446	PUTNAM RETIREMENTREADY 2030 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRRYX	746859453	PUTNAM RETIREMENTREADY 2035 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRZZX	746859461	PUTNAM RETIREMENTREADY 2040 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRVYX	746859479	PUTNAM RETIREMENTREADY 2045 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PRRUX	746859321	PUTNAM RETIREMENTREADY 2050 FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS		746859289	PUTNAM RETIREMENTREADY 2055 FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PARTX	746764448	PUTNAM SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PARQX	746764422	PUTNAM SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PRARX	746764398	PUTNAM SHORT DURATION BOND FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PARYX	746764380	PUTNAM SHORT DURATION BOND FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PSMTX	74676A840	PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PSMEX	74676A865	PUTNAM SHORT-TERM MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PSMYX	74676A824	PUTNAM SHORT-TERM MUNICIPAL INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PNSAX	746763549	PUTNAM SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PNSCX	746763523	PUTNAM SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PSGRX	746763366	PUTNAM SMALL CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PSYGX	746763499	PUTNAM SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PSLAX	746802610	PUTNAM SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PSLCX	746802560	PUTNAM SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PSCRX	74680L402	PUTNAM SMALL CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PYSVX	746802289	PUTNAM SMALL CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PPNAX	746872308	PUTNAM STRATEGIC INTERMEDIATE MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PAMTX	746872803	PUTNAM STRATEGIC INTERMEDIATE MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PAMYX	746872886	PUTNAM STRATEGIC INTERMEDIATE MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PMVAX	746802511	PUTNAM SUSTAINABLE FUTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PMPCX	746802263	PUTNAM SUSTAINABLE FUTURE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
PUTNAM FUNDS	PMVYX	746802198	PUTNAM SUSTAINABLE FUTURE FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PNOPX	746916105	PUTNAM SUSTAINABLE LEADERS FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PNOCX	746916303	PUTNAM SUSTAINABLE LEADERS FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PNORX	746916600	PUTNAM SUSTAINABLE LEADERS FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PNOYX	746916402	PUTNAM SUSTAINABLE LEADERS FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTECX	746870401	PUTNAM TAX EXEMPT INCOME FD CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PTEYX	746870500	PUTNAM TAX EXEMPT INCOME FUND CL Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTFYX	746872878	PUTNAM TAX FREE HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
PUTNAM FUNDS	PTAEX	746870104	PUTNAM TAX-EXEMPT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PTHAX	746872407	PUTNAM TAX-FREE HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PTCCX	746872704	PUTNAM TAX-FREE HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PSDTX	74676P755	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
PUTNAM FUNDS	PSDLX	74676P730	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
PUTNAM FUNDS	PSDRX	74676P714	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS R	T	R	N	4:00 PM	01
PUTNAM FUNDS	PSDYX	74676P698	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
PZENA FUNDS	PZIEX	00770X675	PZENA EMERGING MARKETS VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PZENA FUNDS	PZVEX	00770X683	PZENA EMERGING MARKETS VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PZENA FUNDS	PZVIX	00770X352	PZENA INTERNATIONAL SMALL CAP VALUE FD INVESTOR CLASS	NTF	V	N	4:00 PM	01
PZENA FUNDS	PZIIIX	00770X345	PZENA INTERNATIONAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PZENA FUNDS	PZINX	00770X279	PZENA INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PZENA FUNDS	PZVNX	00770X287	PZENA INTERNATIONAL VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
PZENA FUNDS	PZIMX	00770X659	PZENA MID CAP FOCUSED VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
PZENA FUNDS	PZVMX	00770X667	PZENA MID CAP FOCUSED VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
PZENA FUNDS	PZISX	00770X394	PZENA SMALL CAP VALUE FUND CLASS INSTITUTIONAL	T	I	N	4:00 PM	01
PZENA FUNDS	PZVSX	00770X410	PZENA SMALL CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
Q3 FUNDS	QAISX	90386H487	Q3 ALL-WEATHER SECTOR ROTATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
Q3 FUNDS	QAWSX	90386H495	Q3 ALL-WEATHER SECTOR ROTATION FUND INVESTOR CLASS	T	V	N	4:00 PM	01
Q3 FUNDS	QACTX	90386H396	Q3 ALL-WEATHER TACTICAL FUND CLASS C	NTF^	C	N	4:00 PM	01
Q3 FUNDS	QAITX	90386H461	Q3 ALL-WEATHER TACTICAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
Q3 FUNDS	QAWTX	90386H479	Q3 ALL-WEATHER TACTICAL FUND INVESTOR CLASS	T	V	N	4:00 PM	01
QUAKER FUNDS	QUAGX	746935865	QUAKER IMPACT GROWTH FUND ADVISOR CLASS	NTF	O	N	4:00 PM	02
QUAKER FUNDS	QAGIX	746935584	QUAKER IMPACT GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	02
QUAKER FUNDS	QSVIX	746935568	QUAKER SMALL/MID CAP IMPACT VALUE FD INSTITUTIONAL CL	T	I	N	4:00 PM	02
QUAKER FUNDS	QUSVX	746935766	QUAKER SMALL/MID-CAP IMPACT VALUE FUND ADVISOR CLASS	NTF	O	N	4:00 PM	02
RANGER FUNDS	RFIMX	75281Y404	RANGER MICRO CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RANGER FUNDS	RFISX	75281Y206	RANGER SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RANGER FUNDS	GLDPX	75281Y602	RG GOLD+ FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RATIONAL FUNDS	HSUAX	628255804	RATIONAL DYNAMIC BRANDS FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	HSUCX	628255887	RATIONAL DYNAMIC BRANDS FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RATIONAL FUNDS	HSUTX	628255879	RATIONAL DYNAMIC BRANDS FUND CLASS I	T	I	N	4:00 PM	01
RATIONAL FUNDS	HDCAX	628255101	RATIONAL EQUITY ARMOR FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	HDCEX	628255200	RATIONAL EQUITY ARMOR FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	HDCTX	628255309	RATIONAL EQUITY ARMOR FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RATIONAL FUNDS	IGOAX	628255382	RATIONAL INFLATION GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	IGOCX	628255374	RATIONAL INFLATION GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	IGOIX	628255366	RATIONAL INFLATION GROWTH FUND CLASS I	T	I	N	4:00 PM	01
RATIONAL FUNDS	RFXAX	628255457	RATIONAL SPECIAL SITUATIONS INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	RFXCX	628255440	RATIONAL SPECIAL SITUATIONS INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	RFXIX	628255432	RATIONAL SPECIAL SITUATIONS INCOME FUND CLASS I	T	I	N	4:00 PM	01
RATIONAL FUNDS	HBAFX	628255846	RATIONAL STRATEGIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	RHSIX	628255754	RATIONAL STRATEGIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
RATIONAL FUNDS	HRSAX	628255606	RATIONAL TACTICAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	HRSFX	628255770	RATIONAL TACTICAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	HRSTX	628255705	RATIONAL TACTICAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RATIONAL FUNDS	PBXAX	628255424	RATIONAL/PIER 88 CONVERTIBLE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	PBXCX	628255416	RATIONAL/PIER 88 CONVERTIBLE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	PBXIX	628255390	RATIONAL/PIER 88 CONVERTIBLE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
RATIONAL FUNDS	RDMAX	628255747	RATIONAL/RESOLVE ADAPTIVE ASSET ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
RATIONAL FUNDS	RDMCX	628255739	RATIONAL/RESOLVE ADAPTIVE ASSET ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
RATIONAL FUNDS	RDMIX	628255721	RATIONAL/RESOLVE ADAPTIVE ASSET ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
RBC FUNDS	ACASX	74926P779	RBC ACCESS CAPITAL COMMUNITY INVESTMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	ACCSX	74926P761	RBC ACCESS CAPITAL COMMUNITY INVESTMENT FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RESAX	74926P456	RBC BLUEBAY EMERGING MARKET SELECT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RBESX	74926P399	RBC BLUEBAY EMERGING MARKET SELECT BOND FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RHYAX	74926P423	RBC BLUEBAY GLOBAL HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RGHYX	74926P381	RBC BLUEBAY GLOBAL HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	REEAX	74926P324	RBC EMERGING MARKET EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	REMVX	74933U803	RBC EMERGING MARKETS VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	TETAX	74926P605	RBC ENTERPRISE FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	TETIX	74926P803	RBC ENTERPRISE FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RGOIX	74926P183	RBC GLOBAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RIOIX	74926P175	RBC INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	REEIX	74926P316	RBC MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	TMVAX	74926P829	RBC MICROCAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RMVIX	74926P365	RBC MICROCAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	TMCIX	74926P308	RBC MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RSHFX	74926P258	RBC SHORT DURATION FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RSDIX	74926P274	RBC SHORT DURATION FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RBC FUNDS	TEEAX	74926P860	RBC SMALL CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RCSIX	74926P357	RBC SMALL CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	RSVIX	74926P225	RBC SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
RBC FUNDS	TMCAX	74926P100	RBC SMID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RULFX	74926P241	RBC ULTRA SHORT FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RBC FUNDS	RUSIX	74926P266	RBC ULTRA-SHORT FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
RECURRENT FUNDS	RMLPX	90214Q303	RECURRENT MLP & INFRASTRUCTURE FD CL I	T	I	N	4:00 PM	01
REDWOOD FUNDS	RWIIX	90214Q709	REDWOOD ALPHAFactor TACTICAL INTER FUND CLASS I	T	I	N	4:00 PM	01
REDWOOD FUNDS	RWINX	90214Q600	REDWOOD ALPHAFactor TACTICAL INTER FUND CLASS N	T	N	N	4:00 PM	01
REDWOOD FUNDS	RWMIX	90213U123	REDWOOD MANAGED MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
REDWOOD FUNDS	RWDIX	90213U719	REDWOOD MANAGED VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
REDWOOD FUNDS	RWDNX	90213U693	REDWOOD MANAGED VOLATILITY FUND CLASS N	T	N	N	4:00 PM	01
REDWOOD FUNDS	RWDYX	90213U685	REDWOOD MANAGED VOLATILITY FUND CLASS Y	T	Y	N	4:00 PM	01
REDWOOD FUNDS	RWSIX	90214Q881	REDWOOD SYSTEMATIC MACRO TREND ("SMART") FUND CLASS I	T	I	N	4:00 PM	01
REDWOOD FUNDS	RWSNX	90214Q808	REDWOOD SYSTEMATIC MACRO TREND ("SMART") FUND CLASS N	T	N	N	4:00 PM	01
REGAN CAPITAL FUNDS	RCIRX	89832P242	REGAN TOTAL RETURN INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
REGAN CAPITAL FUNDS	RCTRX	89832P259	REGAN TOTAL RETURN INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
REINHART FUNDS	RPMVX	56166Y834	REINHART MIDCAP PRIVATE MARKET VALUE FD ADVISOR CL	T	O	N	4:00 PM	01
RESQ FUNDS	RQEAX	66538E291	RESQ DYNAMIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
RESQ FUNDS	RQECX	66538G718	RESQ DYNAMIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
RESQ FUNDS	RQEIX	66538E267	RESQ DYNAMIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
RESQ FUNDS	RQIAX	66538E283	RESQ STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RESQ FUNDS	RQICX	66538G726	RESQ STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
RESQ FUNDS	RQIIX	66538E275	RESQ STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
REYNOLDS FUNDS	RBCGX	761724103	REYNOLDS BLUE CHIP GROWTH FUND	T		N	4:00 PM	02
RIVER CANYON FUNDS	RCTIX	00770G656	RIVER CANYON TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RCRIX	76882K660	RIVERPARK FLOATING RATE CMBS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RCRFX	76882K678	RIVERPARK FLOATING RATE CMBS FUND RETAIL CLASS	T	R	N	4:00 PM	01
RIVERPARK FUNDS	RPXIX	76882K108	RIVERPARK LARGE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RPXFX	76882K207	RIVERPARK LARGE GROWTH FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
RIVERPARK FUNDS	RLSIX	76882K850	RIVERPARK LONG / SHORT OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RLSFX	76882K868	RIVERPARK LONG / SHORT OPPORTUNITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
RIVERPARK FUNDS	RPHIX	76882K702	RIVERPARK SHORT TERM HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
RIVERPARK FUNDS	RPHYX	76882K801	RIVERPARK SHORT TERM HIGH YIELD FUND RETAIL CLASS	NTF	R	Y	4:00 PM	01
RIVERPARK FUNDS	RSIIX	76882K769	RIVERPARK STRATEGIC INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RSIVX	76882K751	RIVERPARK STRATEGIC INCOME FUND RETAIL CLASS	T	R	N	4:00 PM	01
RIVERPARK FUNDS	RWGIX	76882K306	WEDGEWOOD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
RIVERPARK FUNDS	RWGFY	76882K405	WEDGEWOOD FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
RMB FUNDS	RMBHX	74968B605	RMB FUND CLASS A	NTF^	A	N	4:00 PM	02

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RMB FUNDS	RMBJX	74968B704	RMB FUND CLASS C	NTF^	C	N	4:00 PM	02
RMB FUNDS	RMBGX	74968B886	RMB FUND CLASS I	T	I	N	4:00 PM	02
RMB FUNDS	RMBTX	74968B860	RMB INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	02
RMB FUNDS	RMBPX	74968B852	RMB JAPAN FUND CLASS I	T	I	N	4:00 PM	02
RMB FUNDS	RMBKX	74968B407	RMB MENDON FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	02
RMB FUNDS	RMBNX	74968B506	RMB MENDON FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	02
RMB FUNDS	RMBLX	74968B803	RMB MENDON FINANCIAL SERVICES FUND CLASS I	T	I	N	4:00 PM	02
RMB FUNDS	RMBBX	74968B837	RMB SMALL CAP FUND CLASS I	T	I	N	4:00 PM	02
RMB FUNDS	RMBMX	74968B811	RMB SMID CAP FUND CLASS I	T	I	N	4:00 PM	02
ROCKEFELLER FUNDS	RKCAX	89834G786	ROCKEFELLER CLIMATE SOLUTIONS FUND CLASS A	NTF^	A	N	4:00 PM	01
ROCKEFELLER FUNDS	RKCIX	89834G794	ROCKEFELLER CLIMATE SOLUTIONS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
ROYCE FUNDS	RDVCX	780811634	ROYCE DIVIDEND VALUE FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RDIIX	780811717	ROYCE DIVIDEND VALUE FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RDVIX	780905188	ROYCE DIVIDEND VALUE FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYDVX	780905436	ROYCE DIVIDEND VALUE FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RGFIX	780811626	ROYCE GLOBAL FINANCIAL SERVICES FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RYFSX	780905469	ROYCE GLOBAL FINANCIAL SERVICES FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RINPX	780811618	ROYCE INTERNATIONAL PREMIER FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RIPIX	780811576	ROYCE INTERNATIONAL PREMIER FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RIPNX	780811667	ROYCE INTERNATIONAL PREMIER FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYIPX	780811790	ROYCE INTERNATIONAL PREMIER FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RYMCX	780905774	ROYCE MICRO-CAP FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RYOTX	780905709	ROYCE MICRO-CAP FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RMCFX	780905659	ROYCE MICRO-CAP FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	ROFCX	780905378	ROYCE OPPORTUNITY FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	ROFIX	780905691	ROYCE OPPORTUNITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RYPNX	780905832	ROYCE OPPORTUNITY FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYOFX	780905758	ROYCE OPPORTUNITY FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RYPCX	780905816	ROYCE PENNSYLVANIA MUTUAL FUND CONSULANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RPMIX	78081A303	ROYCE PENNSYLVANIA MUTUAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	PENNX	780905840	ROYCE PENNSYLVANIA MUTUAL FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYPFX	780905386	ROYCE PENNSYLVANIA MUTUAL FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RPRRX	780905287	ROYCE PREMIER FUND CLASS R	T	R	N	4:00 PM	01
ROYCE FUNDS	RPRCX	780905550	ROYCE PREMIER FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RPFIX	780905683	ROYCE PREMIER FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RYPRX	780905600	ROYCE PREMIER FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RPFFX	780905634	ROYCE PREMIER FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RVVHX	780905220	ROYCE SMALL CAP VALUE FUND INVESTMENT CL	T	V	N	4:00 PM	01
ROYCE FUNDS	RVFCX	780905360	ROYCE SMALL-CAP FUND CONSULTANT CL	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
ROYCE FUNDS	RYVFX	780905733	ROYCE SMALL-CAP VALUE FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RVPCX	780905345	ROYCE SMALLER COMPANIES GROWTH FUND CONSTULTANT CL	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RVPIX	780905337	ROYCE SMALLER COMPANIES GROWTH FUND INST CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RVPHX	780905212	ROYCE SMALLER COMPANIES GROWTH FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYVPX	780905741	ROYCE SMALLER COMPANIES GROWTH FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RSQCX	780905543	ROYCE SPECIAL EQUITY FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RSEIX	780905535	ROYCE SPECIAL EQUITY FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RYSEX	780905782	ROYCE SPECIAL EQUITY FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RSEFX	780905527	ROYCE SPECIAL EQUITY FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
ROYCE FUNDS	RYTCX	780905592	ROYCE TOTAL RETURN FUND CONSULTANT CLASS	NTF^	C	N	4:00 PM	01
ROYCE FUNDS	RTRIX	780905717	ROYCE TOTAL RETURN FUND INST CLASS	T+	I	N	4:00 PM	01
ROYCE FUNDS	RYTRX	780905881	ROYCE TOTAL RETURN FUND INVESTMENT CLASS	T	V	N	4:00 PM	01
ROYCE FUNDS	RYTFX	780905642	ROYCE TOTAL RETURN FUND SERVICE CLASS	NTF	S	N	4:00 PM	01
RUSSELL FUNDS	RAZCX	78250F588	RIC MULTI-ASSET GROWTH STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RBLAX	782478622	RUSSELL BALANCED STRATEGY FUND CL A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	REMCX	782493431	RUSSELL EMERGING MARKETES FUND CL C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	REMAX	782494603	RUSSELL EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RMMTX	78250G388	RUSSELL EMERGING MARKETS FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	REMSX	782493746	RUSSELL EMERGING MARKETS FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	REAAX	782478598	RUSSELL EQUITY GROWTH STRATEGY FD CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RELCX	782493399	RUSSELL EQUITY GROWTH STRATEGY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RELSX	782493118	RUSSELL EQUITY GROWTH STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RSQAX	782494611	RUSSELL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	REQSX	782494595	RUSSELL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RLISX	782494587	RUSSELL EQUITY INCOME FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RGEAX	782478143	RUSSELL GLOBAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RGECX	782478135	RUSSELL GLOBAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RGDTX	78250G446	RUSSELL GLOBAL EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RGESX	782478119	RUSSELL GLOBAL EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RGIAX	782494280	RUSSELL GLOBAL INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RGCIX	782494272	RUSSELL GLOBAL INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RGFTX	78250H550	RUSSELL GLOBAL INFRASTRUCTURE FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RREAX	782494405	RUSSELL GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RRSCX	782493423	RUSSELL GLOBAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RETTX	78250H493	RUSSELL GLOBAL REAL ESTATE SECURITIES FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RALAX	782478614	RUSSELL GROWTH STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RALCX	782493381	RUSSELL GROWTH STRATEGY FUND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RNTTX	78250G495	RUSSELL INTERNATIONAL DEVELOPED MARKETS FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RINTX	782494488	RUSSELL INTERNATIONAL DEVELOPED MARKETS FUND CLASS S	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RUSSELL FUNDS	RLNAX	782494512	RUSSELL INT'L DEVELOPED MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RLNCX	782494496	RUSSELL INT'L DEVELOPED MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RMGYX	78250F547	RUSSELL INV MULTI-ASSET GROWTH STRATEGY FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	REQAX	782494579	RUSSELL INVESTEMENTS SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RGISX	782494256	RUSSELL INVESTMENT GLOBAL INFRASTRUCTURE FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RFAAX	782494322	RUSSELL INVESTMENT GRADE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RFACX	782494827	RUSSELL INVESTMENT GRADE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RIWTX	78250H824	RUSSELL INVESTMENT GRADE BOND FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RFATX	782494835	RUSSELL INVESTMENT GRADE BOND FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RGIYX	782494249	RUSSELL INVESTMENTS GLOBAL INFRASTRUCTURE FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RREYX	782478713	RUSSELL INVESTMENTS GLOBAL REAL ESTATE SECS FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RRESX	782493761	RUSSELL INVESTMENTS GLOBAL REAL ESTATE SECURITIES FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RALSX	782493126	RUSSELL INVESTMENTS LIFEPOINTS GROWTH STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RMHYX	78250F398	RUSSELL INVESTMENTS MULTIFACTOR BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	REQCX	782494561	RUSSELL INVESTMENTS SUSTAINABLE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	REQTX	782494553	RUSSELL INVESTMENTS SUSTAINABLE EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RBLCX	782493373	RUSSELL LIFEPOINT BALANCED STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RBSLX	782493134	RUSSELL LIFEPOINTS BALANCED STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RCLAX	782478648	RUSSELL LIFEPOINTS CONSERVATIVE STRATEGY FD CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RCLCX	782493357	RUSSELL LIFEPOINTS CONSERVATIVE STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RCLSX	782478200	RUSSELL LIFEPOINTS CONSERVATIVE STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RMLSX	782478101	RUSSELL LIFEPOINTS MODERATE STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RMLAX	782478630	RUSSELL MODERATE STRATEGY FD CL A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RMLCX	782493365	RUSSELL MODERATE STRATEGY FUND CL C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RMGSX	78250F554	RUSSELL MULTI ASSET GROWTH STRATEGY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RMYAX	78249R255	RUSSELL MULTI- STRATEGY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RMYCX	78249R248	RUSSELL MULTI- STRATEGY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RGYTX	78250H444	RUSSELL MULTI- STRATEGY INCOME FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RMYYX	78249R214	RUSSELL MULTI STRATEGY INCOME FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RAZAX	78250F596	RUSSELL MULTI-ASSET GROWTH STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RMATX	78250H386	RUSSELL MULTI-ASSET GROWTH STRATEGY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTITX	78249R263	RUSSELL MULTIFACTOR INTERNATIONAL EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTISX	78249R297	RUSSELL MULTIFACTOR INTERNATIONAL EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTIYX	78249R289	RUSSELL MULTIFACTOR INTERNATIONAL EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RTDAX	78249R388	RUSSELL MULTIFACTOR U.S. EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTDCX	78249R370	RUSSELL MULTIFACTOR U.S. EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTDTX	78249R271	RUSSELL MULTIFACTOR U.S. EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTDSX	78249R354	RUSSELL MULTIFACTOR U.S. EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTDYX	78249R347	RUSSELL MULTIFACTOR U.S. EQUITY FUND CLASS Y	T+	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RUSSELL FUNDS	RMYSX	78249R222	RUSSELL MULTI-STRATEGY INCOME FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RGCAx	782494231	RUSSELL OPPORTUNISTIC CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RGOTX	78250G123	RUSSELL OPPORTUNISTIC CREDIT FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RGCSX	782494199	RUSSELL OPPORTUNISTIC CREDIT FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RSBTX	782494801	RUSSELL SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RSBCX	782493340	RUSSELL SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RSCTX	78250H766	RUSSELL SHORT DURATION BOND FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RFBSX	782493506	RUSSELL SHORT DURATION BOND FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RSBYX	782494421	RUSSELL SHORT DURATION BOND FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RFDAX	782494470	RUSSELL STRATEGIC BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RFCCX	782494462	RUSSELL STRATEGIC BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RSYTX	78250H873	RUSSELL STRATEGIC BOND FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RFCTX	782494454	RUSSELL STRATEGIC BOND FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTEAX	782494314	RUSSELL TAX EXEMPT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTECX	782493324	RUSSELL TAX EXEMPT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTHAX	78249R156	RUSSELL TAX EXEMPT HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTHCX	78249R149	RUSSELL TAX EXEMPT HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RBCUX	78250H667	RUSSELL TAX-EXEMPT BOND FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RLVSX	782493837	RUSSELL TAX-EXEMPT BOND FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RHYTX	78250H725	RUSSELL TAX-EXEMPT HIGH YIELD BOND FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTHSX	78249R123	RUSSELL TAX-EXEMPT HIGH YIELD BOND FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTNAX	78249R198	RUSSELL TAX-MANAGED INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTNCX	78249R180	RUSSELL TAX-MANAGED INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTIUX	78250G222	RUSSELL TAX-MANAGED INTERNATIONAL EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTNSX	78249R164	RUSSELL TAX-MANAGED INTERNATIONAL EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTXCX	78250F257	RUSSELL TAX-MANAGED REAL ASSETS DETAIL FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTXAX	78250F265	RUSSELL TAX-MANAGED REAL ASSETS FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTXSX	78250F182	RUSSELL TAX-MANAGED REAL ASSETS FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTXMX	78250F224	RUSSELL TAX-MANAGED REAL ASSETS FUND DETAIL FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTLAX	782494348	RUSSELL TAX-MANAGED U.S. LARGE CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTL CX	782478309	RUSSELL TAX-MANAGED U.S. LARGE CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTMTX	78250G339	RUSSELL TAX-MANAGED U.S. LARGE CAP FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RETSX	782493720	RUSSELL TAX-MANAGED U.S. LARGE-CAP FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RTSAX	782494330	RUSSELL TAX-MANAGED U.S. MID & SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RTSCX	782478507	RUSSELL TAX-MANAGED U.S. MID & SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RTOUX	78250G271	RUSSELL TAX-MANAGED U.S. MID & SMALL CAP FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RTSSX	782478408	RUSSELL TAX-MANAGED U.S. MID AND SMALL-CAP FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RLACX	782494546	RUSSELL U.S. SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RLECX	782494538	RUSSELL U.S. SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
RUSSELL FUNDS	RUNTX	78250G594	RUSSELL U.S. SMALL CAP EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RLESX	782494520	RUSSELL U.S. SMALL-CAP EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RSEAX	78249R859	RUSSELL U.S. STRATEGIC EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RSECX	78249R842	RUSSELL U.S. STRATEGIC EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RUSTX	78250G768	RUSSELL U.S. STRATEGIC EQUITY FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RSEX	78249R826	RUSSELL U.S. STRATEGIC EQUITY FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RUTAX	78250F737	RUSSELL UNCONSTRAINED TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
RUSSELL FUNDS	RUTCX	78250F729	RUSSELL UNCONSTRAINED TOTAL RETURN FUND CLASS C	NTF^	C	N	4:00 PM	01
RUSSELL FUNDS	RUCTX	78250H501	RUSSELL UNCONSTRAINED TOTAL RETURN FUND CLASS M	T	M	N	4:00 PM	01
RUSSELL FUNDS	RUTSX	78250F687	RUSSELL UNCONSTRAINED TOTAL RETURN FUND CLASS S	T	S	N	4:00 PM	01
RUSSELL FUNDS	RUTYX	78250F679	RUSSELL UNCONSTRAINED TOTAL RETURN FUND CLASS Y	T+	Y	N	4:00 PM	01
RUSSELL FUNDS	RGCCX	782494223	RUSSELL OPPORTUNISTIC CREDIT FUND CLASS C	NTF^	C	N	4:00 PM	01
SALIENT FUNDS	KIRAX	34987A210	SALIENT GLOBAL REAL ESTATE FUND CLASS A	NTF	A	N	4:00 PM	01
SALIENT FUNDS	KIRCX	34987A194	SALIENT GLOBAL REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
SALIENT FUNDS	KIRYX	34986P101	SALIENT GLOBAL REAL ESTATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SALIENT FUNDS	FFIRX	34987A186	SALIENT GLOBAL REAL ESTATE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SALIENT FUNDS	KIFAX	34987A319	SALIENT SELECT INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
SALIENT FUNDS	KIFCX	34987A293	SALIENT SELECT INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
SALIENT FUNDS	KIFYX	34987A160	SALIENT SELECT INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SALIENT FUNDS	FFSLX	34987A178	SALIENT SELECT INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SALIENT FUNDS	FTAGX	34986P846	SALIENT TACTICAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
SALIENT FUNDS	FTGOX	34986P200	SALIENT TACTICAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
SALIENT FUNDS	FTGWX	34986P408	SALIENT TACTICAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SALIENT FUNDS	FFTGX	34986P309	SALIENT TACTICAL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SMAPX	79471L404	SALIENT MLP & ENERGY INFRASTRUCTURE FD CL A	NTF^	A	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SMFPX	79471L503	SALIENT MLP & ENERGY INFRASTRUCTURE FD CL C	NTF^	C	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SMLPX	79471L602	SALIENT MLP & ENERGY INFRASTRUCTURE FD CL I	T	I	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SBTAX	79471L750	SALIENT TACTICAL PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SBTCX	79471L776	SALIENT TACTICAL PLUS FUND CLASS C	NTF^	C	N	4:00 PM	01
SALIENT MF TRUST FUNDS	BTPIX	79471L743	SALIENT TACTICAL PLUS FUND CLASS F	T	F	N	4:00 PM	01
SALIENT MF TRUST FUNDS	SBTIX	79471L768	SALIENT TACTICAL PLUS FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SEPCX	803431584	SARATOGA ADVANTAGE TRST ENERGY & BASIC MATERIAL FUND CL C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SIECX	803431824	SARATOGA ADVANTAGE TRUST INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SIEPX	803431709	SARATOGA ADVANTAGE TRUST INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SQBCX	803431790	SARATOGA ADVANTAGE TRUST INVESTMENT QUALITY BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SIBPX	803431204	SARATOGA ADVANTAGE TRUST INVESTMENT QUALITY BOND FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SLGCX	803431865	SARATOGA ADVANTAGE TRUST LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SLCGX	803431501	SARATOGA ADVANTAGE TRUST LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SLVCX	803431881	SARATOGA ADVANTAGE TRUST LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SARATOGA FUNDS	SLCVX	803431402	SARATOGA ADVANTAGE TRUST LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SMBPX	803431303	SARATOGA ADVANTAGE TRUST MUNI BOND FUND CL I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SMBCX	803431774	SARATOGA ADVANTAGE TRUST MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SSCYX	803431493	SARATOGA ADVANTAGE TRUST SMALL CAPITALIZATION FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SSCCX	803431840	SARATOGA ADVANTAGE TRUST SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SSCPX	803431600	SARATOGA ADVANTAGE TRUST SMALL-CAP FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SABAX	80343J569	SARATOGA AGGRESSIVE BALANCED ALLOCATION PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SABCX	80343J551	SARATOGA AGGRESSIVE BALANCED ALLOCATION PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SABIX	80343J577	SARATOGA AGGRESSIVE BALANCED ALLOCATION PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SCAAX	80343J692	SARATOGA CONSERVATIVE BALANCED PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SUMCX	80343J684	SARATOGA CONSERVATIVE BALANCED PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	LUNAX	80343J718	SARATOGA CONSERVATIVE BALANCED PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SBMBX	803431618	SARATOGA ENERGY & BASIC MATERIALS FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SEPIX	803431576	SARATOGA ENERGY AND BASIC MATERIAL PORTFOLIO CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SFPAX	803431659	SARATOGA FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SFPCX	803431634	SARATOGA FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SFPIX	803431626	SARATOGA FINANCIAL SERVICES PORTFOLIO CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SHPAX	803431741	SARATOGA HEALTH & BIOTECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SHPCX	803431725	SARATOGA HEALTH & BIOTECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SBHIX	803431717	SARATOGA HEALTH AND BIOTECHNOLOGY PORTFOLIO CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SIEYX	803431485	SARATOGA INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SQBAX	803431477	SARATOGA INVESTMENT QUALITY BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SLGYX	803431519	SARATOGA LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SLVYX	803431527	SARATOGA LARGE CAPITALIZATION VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SPMAX	803431568	SARATOGA MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SPMCX	803431543	SARATOGA MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SMIPX	803431535	SARATOGA MID CAPITALIZATION PORTFOLIO CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SMPAX	80343J635	SARATOGA MODERATE BALANCED ALLOCATION PORTFOLIO FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SBMCX	80343J627	SARATOGA MODERATE BALANCED ALLOCATION PORTFOLIO FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SBMIX	80343J643	SARATOGA MODERATE BALANCED ALLOCATION PORTFOLIO FUND CLASS I	T	I	N	4:00 PM	01
SARATOGA FUNDS	SAMAX	80343J593	SARATOGA MODERATELY AGGRESSIVE BALANCED ALLOCATION PORTFOLIO CL	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SAMCX	80343J585	SARATOGA MODERATELY AGGRESSIVE BALANCED ALLOCATION PORTFOLIO CL	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SAMIX	80343J619	SARATOGA MODERATELY AGGRESSIVE BALANCED ALLOCATION PORTFOLIO CL	T	I	N	4:00 PM	01
SARATOGA FUNDS	SMACX	80343J668	SARATOGA MODERATELY CONSERVATIVE BALANCED PORTFOLIO FUND CLASS	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	SBCCX	80343J650	SARATOGA MODERATELY CONSERVATIVE BALANCED PORTFOLIO FUND CLASS	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	SMICX	80343J676	SARATOGA MODERATELY CONSERVATIVE BALANCED PORTFOLIO FUND CLASS	T	I	N	4:00 PM	01
SARATOGA FUNDS	STPAX	803431691	SARATOGA TECHNOLOGY & COMM FUND CLASS A	NTF^	A	N	4:00 PM	01
SARATOGA FUNDS	STPCX	803431675	SARATOGA TECHNOLOGY & COMM FUND CLASS C	NTF^	C	N	4:00 PM	01
SARATOGA FUNDS	STPIX	803431667	SARATOGA TECHNOLOGY AND COMMUNICATIONS PORTFOLIO CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SAVOS FUNDS	SVDHX	80534U100	SAVOS DYNAMIC HEDGING FUND	T+		N	4:00 PM	01
SCHARF FUNDS	LOGIX	00768D384	SCHARF FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SCHARF FUNDS	WRLDX	00770X584	SCHARF GLOBAL OPPORTUNITY FUND RETAIL CLASS	T	R	N	4:00 PM	01
SCHWAB FUNDS	SWMIX	808509640	LAUDUS INTERNATIONAL MARKETMASTERS FUND	T		N	4:00 PM	01
SCHWAB FUNDS	LGILX	51855Q549	LAUDUS U.S. LARGE CAP GROWTH FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SNXFX	808517106	SCHWAB 1000 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWOBX	808509863	SCHWAB BALANCED FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWCAX	808517304	SCHWAB CALIFORNIA LONG-TERM TAX-FREE BOND FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWANX	808509806	SCHWAB CORE EQUITY FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWDSX	808509657	SCHWAB DIVIDEND EQUITY FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SFREX	808509160	SCHWAB FUNDAMENTAL GLOBAL REAL ESTATE INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SFNNX	808509376	SCHWAB FUNDAMENTAL INTERNATIONAL LARGE COMPANY INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SFILX	808509319	SCHWAB FUNDAMENTAL INTERNATIONAL SMALL COMPANY INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SFENX	808509343	SCHWAB FUNDAMENTAL MARKETS LARGE COMPANY INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SFLNX	808509442	SCHWAB FUNDAMENTAL U.S. LARGE COMPANY INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SFSNX	808509418	SCHWAB FUNDAMENTAL U.S. SMALL COMPANY INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWASX	808517759	SCHWAB GLOBAL REAL ESTATE FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWHFX	808509731	SCHWAB HEALTH CARE FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SICNX	808509210	SCHWAB INTERNATIONAL CORE EQUITY FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWISX	808509830	SCHWAB INTERNATIONAL INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWLSX	808509517	SCHWAB LARGE-CAP GROWTH FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWEGX	808509814	SCHWAB MARKET TRACK ALL EQUITY PORTFOLIO	T		N	4:00 PM	01
SCHWAB FUNDS	SWBGX	808509400	SCHWAB MARKET TRACK BALANCE PORTFOLIO	T		N	4:00 PM	01
SCHWAB FUNDS	SWCGX	808509509	SCHWAB MARKET TRACK CONSERVATIVE PORTFOLIO	T		N	4:00 PM	01
SCHWAB FUNDS	SWHGX	808509301	SCHWAB MARKET TRACK GROWTH PORTFOLIO	T		N	4:00 PM	01
SCHWAB FUNDS	SWKRX	808509285	SCHWAB MONTHLY INCOME ENHANCED PAYOUT FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWLRX	808509277	SCHWAB MONTHLY INCOME MAXIMUM PAYOUT FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWJRX	808509293	SCHWAB MONTHLY INCOME MODERATE PAYOUT FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWHYX	808517684	SCHWAB OPPORTUNISTIC MUNICIPAL BOND FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWPPX	808509855	SCHWAB S&P 500 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWSBX	808517692	SCHWAB SHORT TERM BOND INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWSCX	808509673	SCHWAB SMALL-CAP EQUITY FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWSSX	808509848	SCHWAB SMALL-CAP INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWBRX	808509566	SCHWAB TARGET 2010 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYAX	80850L205	SCHWAB TARGET 2010 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWGRX	808509269	SCHWAB TARGET 2015 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYBX	80850L403	SCHWAB TARGET 2015 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWCRX	808509558	SCHWAB TARGET 2020 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYLY	80850L601	SCHWAB TARGET 2020 INDEX FUND	T+		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SCHWAB FUNDS	SWHRX	808509251	SCHWAB TARGET 2025 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYDX	80850L809	SCHWAB TARGET 2025 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWDRX	808509541	SCHWAB TARGET 2030 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYEX	80850L874	SCHWAB TARGET 2030 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWIRX	808509244	SCHWAB TARGET 2035 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYFX	80850L858	SCHWAB TARGET 2035 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWERX	808509533	SCHWAB TARGET 2040 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYGX	80850L833	SCHWAB TARGET 2040 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWMRX	808509194	SCHWAB TARGET 2045 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYHX	80850L817	SCHWAB TARGET 2045 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWNRX	808509186	SCHWAB TARGET 2050 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYMX	80850L783	SCHWAB TARGET 2050 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWORX	808509178	SCHWAB TARGET 2055 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYJX	80850L767	SCHWAB TARGET 2055 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWPRX	808509152	SCHWAB TARGET 2060 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYNX	80850L742	SCHWAB TARGET 2060 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWQRX	80850L684	SCHWAB TARGET 2065 FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWYOX	80850L692	SCHWAB TARGET 2065 INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWNTX	808517403	SCHWAB TAX-FREE BOND FUND	T		N	4:00 PM	01
SCHWAB FUNDS	SWTSX	808509756	SCHWAB TOTAL STOCK MARKET INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWRSX	808517817	SCHWAB TREASURY INFLATION PROTECTED SECURITIES INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWAGX	808517718	SCHWAB U.S. AGGREGATE BOND INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWLGX	80850L718	SCHWAB U.S. LARGE- CAP GROWTH INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWLVX	80850L734	SCHWAB U.S. LARGE-CAP VALUE INDEX FUND	T+		N	4:00 PM	01
SCHWAB FUNDS	SWMCX	80850L726	SCHWAB U.S. MID-CAP INDEX FUND	T+		N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBHAX	81580H654	SBH ALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBRAX	81580H662	SBH ALL-CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBEMX	81580H621	SBH EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBHEX	81580H613	SBH EMERGING MARKETS FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBSIX	81580H597	SBH INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBHSX	81580H589	SBH INTERNATIONAL SMALL CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBASX	81580H563	SBH SMALL-CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBHCX	81580H571	SBH SMALL-CAP CORE FUND RETAIL CLASS	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SEGALL BRYANT & HAMILL FDS	SBHVX	81580H639	SBH SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBRVX	81580H647	SBH SMALL-CAP VALUE FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WICOX	81580H738	SEGALL BRYANT & HAMILL COLORADO TAX FREE FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTCOX	81580H852	SEGALL BRYANT & HAMILL COLORADO TAX FREE FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WIIFX	81580H746	SEGALL BRYANT & HAMILL FUNDAMENTAL INTL SMALL CAP FUND INSTL CL	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WIMVX	81580H795	SEGALL BRYANT & HAMILL GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTMVX	81580H506	SEGALL BRYANT & HAMILL GLOBAL ALL-CAP FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WITAX	81580H696	SEGALL BRYANT & HAMILL MUNICIPAL OPPORTUNITIES FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTTAX	81580H845	SEGALL BRYANT & HAMILL MUNICIPAL OPPORTUNITIES FUND RETAIL CLASS	T	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WIIBX	81580H761	SEGALL BRYANT & HAMILL PLUS BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTIBX	81580H860	SEGALL BRYANT & HAMILL PLUS BOND FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WILTX	81580H779	SEGALL BRYANT & HAMILL QUALITY HIGH YIELD FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTLTX	81580H878	SEGALL BRYANT & HAMILL QUALITY HIGH YIELD FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBAPX	81580H670	SEGALL BRYANT & HAMILL SHORT TERM PLUS FUND INSTL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	SBHPX	81580H688	SEGALL BRYANT & HAMILL SHORT TERM PLUS FUND RETAIL CLASS	T	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WISGX	81580H811	SEGALL BRYANT & HAMILL SMALL CAP GROWTH FUND INSTL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTSGX	81580H407	SEGALL BRYANT & HAMILL SMALL CAP GROWTH FUND RETAIL CL	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WEQIX	81580H837	SEGALL BRYANT & HAMILL WORKPLACE EQUALITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WEQRX	81580H100	SEGALL BRYANT & HAMILL WORKPLACE EQUALITY FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
SEGALL BRYANT & HAMILL FDS	WTIFX	81580H886	SEGALL BRYANT& HAMILL FUNDAMENTAL INTL SMALL CAP FUND RETAIL CL	NTF	R	N	4:00 PM	01
SEI FUNDS	SASDX	784111460	SEI AGGRESSIVE STRATEGY FUND CLASS D	NTF^	D	N	4:00 PM	01
SEI FUNDS	SSGAX	784111619	SEI AGGRESSIVE STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SKTAX	784111544	SEI ASSET ALLOCATION TRUST CORE MARKET STRATEGY ALLOCATION FUND C	T	F	N	4:00 PM	01
SEI FUNDS	SBDAX	784118648	SEI CALIFORNIA MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	CAVAX	784116105	SEI CATHOLIC VALUES EQUITY FUND CLASS F	T	F	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SEI FUNDS	CFVAX	784116204	SEI CATHOLIC VALUES FIXED INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	COIAX	78413L662	SEI CONSERVATIVE INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SSTDX	784111429	SEI CONSERVATIVE STRAGETY FUND CLASS D	NTF^	D	N	4:00 PM	01
SEI FUNDS	SMGAX	784111676	SEI CONSERVATIVE STRATEGY ALLOCATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SVSAX	784111692	SEI CONSERVATIVE STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	TRLVX	783925506	SEI CORE FIXED INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SOKAX	784111569	SEI CORE MARKET STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SDGFX	783965445	SEI DAILY INCOME TRUST SHORT DURATION GOV FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SECYX	783965429	SEI DAILY INCOME TRUST ULTRA SHORT DURATION BOND FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	STDAX	784111726	SEI DEFENSIVE STRATEGY ALLOCATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SNSAX	784111742	SEI DEFENSIVE STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SDYAX	78413L696	SEI DYNAMIC ASSET ALLOCATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SVTAX	783925415	SEI GLOBAL MANAGED VOLATILITY FUND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEGMX	783965643	SEI GNMA FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SHYAX	783925647	SEI HIGH YIELD BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SIEDX	78411R828	SEI INSTITUTIONAL INTERNATIONAL TRUST EMERGING MARKETS DEBT FUND C	T	Y	N	4:00 PM	01
SEI FUNDS	SEQFX	78411R836	SEI INSTITUTIONAL INTERNATIONAL TRUST EMERGING MARKETS EQUITY FUND	T	Y	N	4:00 PM	01
SEI FUNDS	SEFCX	78411R810	SEI INSTITUTIONAL INTERNATIONAL TRUST INTERNATIONAL EQUITY FUND CLAS	T	Y	N	4:00 PM	01
SEI FUNDS	SIFIX	78411R794	SEI INSTITUTIONAL INTERNATIONAL TRUST INTERNATIONAL FIXED INCOME FUN	T	Y	N	4:00 PM	01
SEI FUNDS	COIYX	78413L654	SEI INSTITUTIONAL MANAGED TRUST CONSERVATIVE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SCFYX	78413L100	SEI INSTITUTIONAL MANAGED TRUST CORE FIXED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SDYYX	78413L688	SEI INSTITUTIONAL MANAGED TRUST DYNAMIC ASSET ALLOC FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SGLYX	78413L308	SEI INSTITUTIONAL MANAGED TRUST GLOBAL MANAGED VOL FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SIYYX	78413L407	SEI INSTITUTIONAL MANAGED TRUST HIGH YIELD BOND FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SLYCX	78413L506	SEI INSTITUTIONAL MANAGED TRUST LARGE CAP FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SLRYX	78413L605	SEI INSTITUTIONAL MANAGED TRUST LARGE CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SLGFX	78413L597	SEI INSTITUTIONAL MANAGED TRUST LARGE CAP INDEX FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SVAYX	78413L704	SEI INSTITUTIONAL MANAGED TRUST LARGE CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SMOYX	78413L779	SEI INSTITUTIONAL MANAGED TRUST MULTI ASSET ACCUMULATION FUND CLAS	T	Y	N	4:00 PM	01
SEI FUNDS	SLIYX	78413L753	SEI INSTITUTIONAL MANAGED TRUST MULTI ASSET INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SLFYX	78413L746	SEI INSTITUTIONAL MANAGED TRUST MULTI ASSET INFLATION MANAGED FUND	T	Y	N	4:00 PM	01
SEI FUNDS	SMUYX	78413L886	SEI INSTITUTIONAL MANAGED TRUST MULTI STRATEGY ALT FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SMYFX	78413L860	SEI INSTITUTIONAL MANAGED TRUST SMALL CAP FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SMAYX	78413L852	SEI INSTITUTIONAL MANAGED TRUST SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SPVYX	78413L845	SEI INSTITUTIONAL MANAGED TRUST SMALL CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	TFCYX	78413L639	SEI INSTITUTIONAL MANAGED TRUST TAX FREE CONSERVATIVE INCOME FUND	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SEI FUNDS	SIMYX	78413L613	SEI INSTITUTIONAL MANAGED TRUST TAX MANAGED INTL VOL FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	STLYX	78413L837	SEI INSTITUTIONAL MANAGED TRUST TAX MANAGED LARGE CAP FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	STMPX	78413L811	SEI INSTITUTIONAL MANAGED TRUST TAX MANAGED SMALL MID CAP FUND CLA	T	Y	N	4:00 PM	01
SEI FUNDS	STVYX	78413L829	SEI INSTITUTIONAL MANAGED TRUST TAX MANAGED VOLATILITY FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SUSYX	78413L787	SEI INSTITUTIONAL MANAGED TRUST U.S. MANAGED VOLATILITY FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SEIMX	784118408	SEI INTERMEDIATE TERM MUNICIPAL FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SITEX	78411R851	SEI INTERNATIONAL EMERGING MARKETS DEBT FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SIEMX	78411R703	SEI INTERNATIONAL EMERGING MARKETS FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEITX	78411R109	SEI INTERNATIONAL EQUITY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEFIX	78411R307	SEI INTERNATIONAL FIXED-INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SLGAX	783925217	SEI LARGE CAP FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SELCX	783925662	SEI LARGE CAP GROWTH FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	TRMVX	783925100	SEI LARGE CAP VALUE FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SGOAX	784111494	SEI MARKET GROWTH STRATEGY ALLOCATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SMKDX	784111445	SEI MARKET GROWTH STRATEGY FUND CLASS D	NTF^	D	N	4:00 PM	01
SEI FUNDS	SRWAX	784111528	SEI MARKET GROWTH STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SMAAX	784118663	SEI MASSACHUSETTS MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEMEX	783925795	SEI MID-CAP FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SMSDX	784111478	SEI MODERATE STRATEGY FUND CLASS D	NTF^	D	N	4:00 PM	01
SEI FUNDS	SMOAX	784111650	SEI MODERATE STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SMSAX	783925167	SEI MULTI STRATEGY ALTERNATIVES FUND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SAAAX	783925159	SEI MULTI-ASSET ACCUMULATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SCLAX	783925126	SEI MULTI-ASSET CAPITAL STABILITY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SIOAX	783925142	SEI MULTI-ASSET INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SIFAX	783925134	SEI MULTI-ASSET INFLATION MANAGED FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SENJX	784118689	SEI NEW JERSEY MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SENYX	784118671	SEI NEW YORK MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEPAX	784118630	SEI PENNSYLVANIA MUNICIPAL BOND FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SETAX	783925472	SEI REAL ESTATE FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SRAAX	783925233	SEI REAL RETURN FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SSPIX	783925316	SEI S&P 500 INDEX FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SXMAX	784111635	SEI SAAT MODERATE STRATEGY ALLOCATION FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SUMAX	784118564	SEI SHORT DURATION MUNICIPAL FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	TCSGX	783965858	SEI SHORT-DURATION GOVERNMENT FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SLLAX	783925191	SEI SMALL CAP FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SSCGX	783925837	SEI SMALL CAP GROWTH FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SESVX	783925688	SEI SMALL CAP VALUE FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SCYYX	784118523	SEI TAX EXEMPT TRUST CALIFORNIA MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SHYMX	784118531	SEI TAX EXEMPT TRUST SHORT DURATION MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SEI FUNDS	STAYX	784118465	SEI TAX EXEMPT TRUST TAX ADVANTAGED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	SMINX	78413L621	SEI TAX MANAGED INTERNATIONAL MANAGED VOLATILITY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SEATX	784118556	SEI TAX-ADVANTAGED INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SINYX	784118549	SEI TAX-EXEMPT TRUST INTERMEDIATE TERM MUNICIPAL FUND CLASS Y	T	Y	N	4:00 PM	01
SEI FUNDS	TFCAX	78413L647	SEI TAX-FREE CONSERVATIVE INCOME FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SISAX	784111585	SEI TAX-MANAGED AGGRESSIVE STRATEGY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	TMLCX	783925571	SEI TAX-MANAGED LARGE-CAP FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	TMMAX	783925266	SEI TAX-MANAGED MANAGED VOLATILITY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	STMSX	783925563	SEI TAX-MANAGED SMALL/MID-CAP FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SVOAX	783925480	SEI U.S. MANAGED VOLATILITY FUND CLASS F	T	F	N	4:00 PM	01
SEI FUNDS	SECPX	783965866	SEI ULTRA SHORT DURATION BOND FUND CLASS F	T	F	N	4:00 PM	01
SELECTED FUNDS	SLADX	816221204	SELECTED AMERICAN SHARES CLASS D	NTF^	D	N	4:00 PM	01
SELECTED FUNDS	SLASX	816221105	SELECTED AMERICAN SHARES CLASS S	T	S	N	4:00 PM	01
SELECTED FUNDS	SLSDX	816272207	SELECTED INTERNATIONAL FUND CLASS D	NTF^	D	N	4:00 PM	01
SELECTED FUNDS	SLSSX	816272108	SELECTED INTERNATIONAL FUND CLASS S	T	S	N	4:00 PM	01
SEMPER MBS FUNDS	SEMBX	00770X295	SEMPER BRENTVIEW DIVIDEND GROWTH EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEMPER MBS FUNDS	SEMOX	00768D152	SEMPER MBS TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
SEMPER MBS FUNDS	SEMMX	00770X758	SEMPER MBS TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEMPER MBS FUNDS	SEMPX	00770X741	SEMPER MBS TOTAL RETURN FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SEMPER MBS FUNDS	SEMIX	00770X592	SEMPER SHORT DURATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SEMPER MBS FUNDS	SEMRX	00770X618	SEMPER SHORT DURATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SHELTON FUNDS	ICFSX	78410K782	ICON CONSUMER SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	ICFAX	78410K790	ICON CONSUMER SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	IOLZX	78410K832	ICON EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	ISTAX	78410K840	ICON EQUITY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	IOEZX	78410K816	ICON EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	IEQAX	78410K824	ICON EQUITY INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	IOBZX	78410K691	ICON FLEXIBLE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	IOBAX	78410K717	ICON FLEXIBLE BOND FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	ICTEX	78410K741	ICON HEALTH AND INFORMATION TECHNOLOGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	ICTTX	78410K758	ICON HEALTH AND INFORMATION TECHNOLOGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	ICBAX	78410K774	ICON NATURAL RESOURCES AND INFRASTRUCTURE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	ICBMX	78410K766	ICON NATURAL RESOURCES AND INFRASTRUCURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	ICTUX	78410K725	ICON UTILITIES AND INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	ICTVX	78410K733	ICON UTILITIES AND INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	EMSQX	78410K675	SHELTON EMERGING MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	EMSLX	78410K683	SHELTON EMERGING MARKETS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SHELTON FUNDS	EQTIX	82301Q759	SHELTON EQUITY INCOME FUND DIRECT CLASS	T		N	4:00 PM	01
SHELTON FUNDS	NEXTX	82301Q692	SHELTON GREEN ALPHA FUND	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SHELTON FUNDS	CFNTX	82301Q817	SHELTON GREEN CA TAX-FREE INCOME FUND	T		N	4:00 PM	01
SHELTON FUNDS	SISLX	78410K873	SHELTON INTERNATIONAL SELECT EQUITY FUND	T		N	4:00 PM	01
SHELTON FUNDS	SISEX	78410K865	SHELTON INTERNATIONAL SELECT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	NASDX	82301Q726	SHELTON NASDAQ 100 INDEX FUND	T		N	4:00 PM	01
SHELTON FUNDS	SPFIX	82301Q775	SHELTON S&P 500 INDEX FUND	T		N	4:00 PM	01
SHELTON FUNDS	SPMIX	82301Q767	SHELTON S&P MIDCAP INDEX FUND	T		N	4:00 PM	01
SHELTON FUNDS	SMCIX	82301Q742	SHELTON S&P SMALL CAP INDEX FUND	T		N	4:00 PM	01
SHELTON FUNDS	DEBTX	78410K808	SHELTON TACTICAL CREDIT FUND	T		N	4:00 PM	01
SHELTON FUNDS	DEBIX	78410K881	SHELTON TACTICAL CREDIT FUND INST CLASS	T	I	N	4:00 PM	01
SHELTON FUNDS	CAUSX	82301Q783	SHELTON U.S. GOV'T SECURITIES FUND	T		N	4:00 PM	01
SHENKMAN FUNDS	SFHIX	00770X576	SHENKMAN CAPITAL FLOATING RATE HIGH INCOME FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	02
SHENKMAN FUNDS	SCFAX	00770X501	SHENKMAN CAPITAL SHORT DURATION HIGH INCOME FUND CLASS A	NTF	A	N	4:00 PM	01
SHENKMAN FUNDS	SCFFX	00770X766	SHENKMAN CAPITAL SHORT DURATION HIGH INCOME FUND CLASS F	T	F	N	4:00 PM	01
SHENKMAN FUNDS	SCFIX	00770X709	SHENKMAN CAPITAL SHORT DURATION HIGH INCOME FUND INSTITUTIONAL CLF	T	I	N	4:00 PM	01
SIERRA FUNDS	SIRAX	66537T570	SIERRA TACTICAL ALL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	SIRZX	66537X282	SIERRA TACTICAL ALL ASSET FUND CLASS A1	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	SIRCX	66537V625	SIERRA TACTICAL ALL ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
SIERRA FUNDS	SIRJX	66537X274	SIERRA TACTICAL ALL ASSET FUND CLASS I1	NTF	I	N	4:00 PM	01
SIERRA FUNDS	SIRRX	66537T554	SIERRA TACTICAL ALL ASSET FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SIERRA FUNDS	SIRIX	66537T562	SIERRA TACTICAL ALL ASSET FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SIERRA FUNDS	STBKX	66539A728	SIERRA TACTICAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	STBDX	66538J480	SIERRA TACTICAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
SIERRA FUNDS	STBJX	66538J472	SIERRA TACTICAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SIERRA FUNDS	STBNX	66538J456	SIERRA TACTICAL BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SIERRA FUNDS	SSIZX	66537Y520	SIERRA TACTICAL CORE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	SSICX	66537Y512	SIERRA TACTICAL CORE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
SIERRA FUNDS	SSIRX	66537Y488	SIERRA TACTICAL CORE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SIERRA FUNDS	SSIIX	66537Y496	SIERRA TACTICAL CORE INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SIERRA FUNDS	STMKX	66538J589	SIERRA TACTICAL MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	STMHX	66538J498	SIERRA TACTICAL MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
SIERRA FUNDS	STMNX	66538J563	SIERRA TACTICAL MUNICIPAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SIERRA FUNDS	STMYX	66538J571	SIERRA TACTICAL MUNICIPAL FUND SPECIAL CLASS	T	S	N	4:00 PM	01
SIERRA FUNDS	SRFQX	66539A595	SIERRA TACTICAL RISK SPECTRUM 50 FUND CLASS A	NTF^	A	N	4:00 PM	01
SIERRA FUNDS	SRFKX	66539A587	SIERRA TACTICAL RISK SPECTRUM 50 FUND CLASS C	NTF^	C	N	4:00 PM	01
SIERRA FUNDS	SRFJX	66539A561	SIERRA TACTICAL RISK SPECTRUM 50 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SIERRA FUNDS	SRFNX	66539A579	SIERRA TACTICAL RISK SPECTRUM 50 FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SIERRA FUNDS	STMEX	66538J555	SIERRA TACTICAL SIERRA TACTICAL INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SIT FUNDS	SIBAX	82980D202	SIT BALANCED FUND	NTF		N	4:00 PM	01
SIT FUNDS	SDMGX	82980D400	SIT DEVELOPING MARKETS GROWTH FUND	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SIT FUNDS	SDVGX	82980D707	SIT DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
SIT FUNDS	SDVSX	82980D806	SIT DIVIDEND GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
SIT FUNDS	GDGIX	82980D889	SIT GLOBAL DIVIDEND GROWTH FUND CLASS I	T	I	N	4:00 PM	01
SIT FUNDS	GDGSX	82980D871	SIT GLOBAL DIVIDEND GROWTH FUND CLASS S	NTF	S	N	4:00 PM	01
SIT FUNDS	SNGRX	82980D103	SIT INTERNATIONAL GROWTH FUND	NTF		N	4:00 PM	01
SIT FUNDS	SNIGX	829797109	SIT LARGE CAP GROWTH FUND	NTF		N	4:00 PM	01
SIT FUNDS	NBNGX	829796101	SIT MID CAP GROWTH FUND	NTF		N	4:00 PM	01
SIT FUNDS	SMTFX	82979K100	SIT MINNESOTA TAX FREE INCOME FUND	NTF		N	4:00 PM	01
SIT FUNDS	SQIFX	82979K506	SIT QUALITY INCOME FUND	NTF		N	4:00 PM	01
SIT FUNDS	SDFSX	82980D855	SIT SMALL CAP DIVIDEND GROWTH FUND CLASS S	T	S	N	4:00 PM	01
SIT FUNDS	SSMGX	82980D301	SIT SMALL CAP GROWTH FUND	NTF		N	4:00 PM	01
SIT FUNDS	SNTIX	829799105	SIT TAX FREE INCOME FUND	NTF		N	4:00 PM	01
SIT FUNDS	SNTYX	82980B206	SIT TAX-FREE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
SIT FUNDS	SNGVX	829800101	SIT U.S. GOVERNMENT SECURITIES FUND	NTF		N	4:00 PM	01
SIT FUNDS	SNGYX	82980B107	SIT U.S. GOVERNMENT SECURITIES FUND CLASS Y	T	Y	N	4:00 PM	01
SMEAD FUNDS	SVFAX	83178C808	SMEAD VALUE FUND CLASS A	NTF	A	N	4:00 PM	01
SMEAD FUNDS	SVFCX	83178C105	SMEAD VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
SMEAD FUNDS	SVFFX	83178C873	SMEAD VALUE FUND CLASS I1	T	I	N	4:00 PM	01
SMEAD FUNDS	SVFYX	83178C709	SMEAD VALUE FUND CLASS Y	T+	Y	N	4:00 PM	01
SOUND MIND INVESTING FUNDS	SMILX	92046L684	SMI 50/40/10 FUND	T		N	4:00 PM	01
SOUND MIND INVESTING FUNDS	SMIDX	92046L718	SOUND MIND DYNAMIC ALLOCATION FUND	T		N	4:00 PM	01
SOUND MIND INVESTING FUNDS	SMIFX	92046L692	SOUND MIND INVESTING FUND	T		N	4:00 PM	01
SOUND SHORE FUNDS	SSHVX	836083204	SOUND SHORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SOUND SHORE FUNDS	SSHFX	836083105	SOUND SHORE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
SOUNDWATCH FUNDS	BHHEX	89832P457	SOUNDWATCH CORE HEDGED EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SPARROW FUNDS	SGNFX	846571206	SPARROW GROWTH FUND	T		N	4:00 PM	01
SPARROW FUNDS	SGFFX	846571107	SPARROW GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
SPROTT FUNDS	SGDIX	85208P204	SPROTT GOLD EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SPROTT FUNDS	SGDLX	85208P105	SPROTT GOLD EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
SPYGLASS FUNDS	SPYGX	56170L703	SPYGLASS GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
SSGA FUNDS	SSELX	784924326	SSGA EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSEOX	784924292	SSGA EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSEQX	784924284	SSGA EMERGING MARKETS FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSEMXX	784924789	SSGA EMERGING MARKETS FUND CLASS N	NTF	N	N	4:00 PM	01
SSGA FUNDS	SSFCX	85749T855	STATE STREET AGGREGATE BOND INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSFDX	85749T863	STATE STREET AGGREGATE BOND INDEX FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSFEX	85749T871	STATE STREET AGGREGATE BOND INDEX FUND CLASS K	T+	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SSGA FUNDS	SCSLX	85749Q216	STATE STREET CHINA EQUITY SELECT FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSKEX	85749T202	STATE STREET EMERGING MARKETS EQUITY INDEX FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSSVX	85749T780	STATE STREET EQUITY 500 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSSWX	85749T798	STATE STREET EQUITY 500 INDEX FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSSYX	85749T814	STATE STREET EQUITY 500 INDEX FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSGHX	85749T822	STATE STREET GLOBAL ALL-CAP EQUITY EX-U.S. INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSGJX	85749T830	STATE STREET GLOBAL ALL-CAP EQUITY EX-U.S. INDEX FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSGLX	85749T848	STATE STREET GLOBAL ALL-CAP EQUITY EX-U.S. INDEX FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSHQX	85749T889	STATE STREET HEDGED INTERNATIONAL DEVELOPED EQUITY INDEX FUND CLA	T+	K	N	4:00 PM	01
SSGA FUNDS	SSILX	784924276	STATE STREET INTERNATIONAL STOCK SELECTION FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSIPX	784924250	STATE STREET INTERNATIONAL STOCK SELECTION FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSIQX	784924243	STATE STREET INTERNATIONAL STOCK SELECTION FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSAIX	784924870	STATE STREET INTERNATIONAL STOCK SELECTION FUND CLASS N	NTF	N	N	4:00 PM	01
SSGA FUNDS	SVSPX	78472P109	STATE STREET S&P 500 INDEX FUND CLASS N	T+	N	N	4:00 PM	01
SSGA FUNDS	SSMJX	85749T608	STATE STREET SMALL/MID-CAP EQUITY INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
SSGA FUNDS	SSMLX	85749T509	STATE STREET SMALL/MID-CAP EQUITY INDEX FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSMKX	85749T400	STATE STREET SMALL/MID-CAP EQUITY INDEX FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSBOX	85749R800	STATE STREET TARGET RETIREMENT 2020 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSBSX	85749R859	STATE STREET TARGET RETIREMENT 2025 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSBYX	85749R818	STATE STREET TARGET RETIREMENT 2030 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSCKX	85749R768	STATE STREET TARGET RETIREMENT 2035 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSCQX	85749R727	STATE STREET TARGET RETIREMENT 2040 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSDEX	85749R677	STATE STREET TARGET RETIREMENT 2045 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSDLX	85749R636	STATE STREET TARGET RETIREMENT 2050 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSDQX	85749R586	STATE STREET TARGET RETIREMENT 2055 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSDYX	85749R545	STATE STREET TARGET RETIREMENT 2060 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSFJX	857492177	STATE STREET TARGET RETIREMENT 2065 FUND CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSFKX	857492169	STATE STREET TARGET RETIREMENT 2065 FUND CLASS K	T+	K	N	4:00 PM	01
SSGA FUNDS	SSBNX	85749R701	STATE STREET TARGET RETIREMENT FUND 2020 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSBRX	85749R867	STATE STREET TARGET RETIREMENT FUND 2025 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSBWX	85749R826	STATE STREET TARGET RETIREMENT FUND 2030 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSCJX	85749R776	STATE STREET TARGET RETIREMENT FUND 2035 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSCNX	85749R735	STATE STREET TARGET RETIREMENT FUND 2040 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSDDX	85749R685	STATE STREET TARGET RETIREMENT FUND 2045 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSDJX	85749R644	STATE STREET TARGET RETIREMENT FUND 2050 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSDOX	85749R594	STATE STREET TARGET RETIREMENT FUND 2055 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSDWX	85749R552	STATE STREET TARGET RETIREMENT FUND 2060 CLASS I	T	I	N	4:00 PM	01
SSGA FUNDS	SSFOX	85749R495	STATE STREET TARGET RETIREMENT FUND CLASS K	T+	K	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SSGA FUNDS	SSFNX	85749R511	STATE STREET TARGET RETIREMENT INCOME FUND CLASS I	T	I	N	4:00 PM	01
STANDPOINT FUNDS	BLNDX	90470L584	STANDPOINT MULTI-ASSET FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STANDPOINT FUNDS	REMI	90470L576	STANDPOINT MULTI-ASSET FUND INVESTOR CLASS	T	V	N	4:00 PM	01
STERLING CAPITAL FUNDS	SMDPX	85917K538	SMID OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	SMDQX	85917K520	SMID OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	SMDOX	85917K512	SMID OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	SBIAX	85917K660	STERLING CAPITAL BEHAVIORAL INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	SBIDX	85917K652	STERLING CAPITAL BEHAVIORAL INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	SBIIX	85917K645	STERLING CAPITAL BEHAVIORAL INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBTGX	85917L601	STERLING CAPITAL BEHAVIORAL LARGE CAP VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BCVCX	85917L809	STERLING CAPITAL BEHAVIORAL LARGE CAP VALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBISX	85917L882	STERLING CAPITAL BEHAVIORAL LARGE CAP VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	SPSDX	85917L304	STERLING CAPITAL BEHAVIORAL SMALL CAP CALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	SPSCX	85917L403	STERLING CAPITAL BEHAVIORAL SMALL CAP VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	SCCMX	85917K736	STERLING CAPITAL CORPORATE FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BAEIX	85917L726	STERLING CAPITAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BCEGX	85917L692	STERLING CAPITAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BEGIX	85917L684	STERLING CAPITAL EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BGVAX	85917L643	STERLING CAPITAL INTERMEDIATE U.S. GOVERNMENT FD CL A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BIUCX	85917L627	STERLING CAPITAL INTERMEDIATE U.S. GOVERNMENT FD CL C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBGVX	85917L619	STERLING CAPITAL INTERMEDIATE U.S. GOVERNMENT FD INST CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	OVEAX	85917L874	STERLING CAPITAL MID VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	OVECX	85917L858	STERLING CAPITAL MID VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	OVEIX	85917L841	STERLING CAPITAL MID VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBNCX	85917K876	STERLING CAPITAL NC INTERMEDIATE TAX FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BNCAX	85917L494	STERLING CAPITAL NORTH CAROLINA INTER TAX-FREE FD CL A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBNTX	85917L486	STERLING CAPITAL NORTH CAROLINA INTER TAX-FREE FUND INSTITUTIONAL CI	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	SCSSX	85917K769	STERLING CAPITAL QUALITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	SCSPX	85917K744	STERLING CAPITAL QUALITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BSCCX	85917K868	STERLING CAPITAL SC INTERMEDIATE TAX FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BSGAX	85917L668	STERLING CAPITAL SHORT DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BBSCX	85917K702	STERLING CAPITAL SHORT DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BSGX	85917L650	STERLING CAPITAL SHORT DURATION BOND FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	SPSAX	85917L106	STERLING CAPITAL SMALL CAP VALUE DIVERSIFIED FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BASCX	85917L478	STERLING CAPITAL SOUTH CAROLINA INTER TAX-FREE FD CL A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BSCIX	85917L460	STERLING CAPITAL SOUTH CAROLINA INTER TAX-FREE FD INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BOPAX	85917L775	STERLING CAPITAL SPECIAL OPP FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BOPCX	85917L759	STERLING CAPITAL SPECIAL OPP FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
STERLING CAPITAL FUNDS	BOPIX	85917L742	STERLING CAPITAL SPECIAL OPP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	STRLX	85917K637	STERLING CAPITAL STRATTON MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	STRGX	85917K561	STERLING CAPITAL STRATTON MID CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	STMDX	85917K553	STERLING CAPITAL STRATTON REAL ESTATE FD INSTL CL	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	STMMX	85917K611	STERLING CAPITAL STRATTON REAL ESTATE FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	STMOX	85917K595	STERLING CAPITAL STRATTON REAL ESTATE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	STSCX	85917K546	STERLING CAPITAL STRATTON SMALL CAP VALUE FD INST CL	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BICAX	85917L593	STERLING CAPITAL TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BIBTX	85917L569	STERLING CAPITAL TOTAL RETURN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BICCX	85917L577	STERLING CAPITAL TOTAL RETURN BONDN FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BUSRX	85917K785	STERLING CAPITAL ULTRA SHORT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BUSIX	85917K777	STERLING CAPITAL ULTRA SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BVACX	85917K850	STERLING CAPITAL VA INTERMEDIATE TAX FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	BVAAX	85917L452	STERLING CAPITAL VIRGINIA INTER TAX-FREE FUND CL A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	BVATX	85917L445	STERLING CAPITAL VIRGINIA INTER TAX-FREE FUND INST CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BWVAX	85917L437	STERLING CAPITAL WEST VIRGINIA INTER TAX-FREE FUND CL A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	OWVAX	85917L429	STERLING CAPITAL WEST VIRGINIA INTER TAX-FREE FUND INST CLASS	T	I	N	4:00 PM	01
STERLING CAPITAL FUNDS	BWVCX	85917K843	STERLING CAPITAL WV INTERMEDIATE TAX FREE FUND CLASS C	NTF^	C	N	4:00 PM	01
STERLING CAPITAL FUNDS	STSNX	85917K587	STERLING STRATTON SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
STERLING CAPITAL FUNDS	STSOX	85917K579	STERLING STRATTON SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SCJAX	860324714	STEWARD COVERED CALL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SCJCX	860324698	STEWARD COVERED CALL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SCJIX	860324672	STEWARD COVERED CALL INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SMNAX	860324458	STEWARD EQUITY MARKET NEUTRAL FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SMNIX	860324433	STEWARD EQUITY MARKET NEUTRAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SGIDX	860324862	STEWARD GLOBAL EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SGIFX	860324664	STEWARD GLOBAL EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SGISX	860324854	STEWARD GLOBAL EQUITY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SNTKX	860324805	STEWARD INTERNATIONAL ENHANCED INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SNTDX	860324649	STEWARD INTERNATIONAL ENHANCED INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SNTCX	860324706	STEWARD INTERNATIONAL ENHANCED INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SJCAX	860324425	STEWARD LARGE-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SJCIX	860324391	STEWARD LARGE-CAP CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SJGAX	860324383	STEWARD LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SJGIX	860324367	STEWARD LARGE-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SJVAX	860324359	STEWARD LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SJVIX	860324334	STEWARD LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SEAKX	860324409	STEWARD SELECT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SEAXX	860324599	STEWARD SELECT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
STEWARD FUNDS	SEACX	860324300	STEWARD SELECT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	SKGAX	860324326	STEWARD SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SKGIX	860324292	STEWARD SMALL-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STEWARD FUNDS	TRDFX	860324839	STEWARD VALUES FOCUSED SMALL/MID-CAP ENHANCED INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SSMEX	860324573	STEWARD VALUES FOCUSED SMALL/MID-CAP ENHANCED INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SCECX	860324847	STEWARD VALUES FOCUSED SMALL/MID-CAP ENHANCED INDEX FUND INST CL	T	I	N	4:00 PM	01
STEWARD FUNDS	SEEKX	860324201	STEWARD VALUES-FOCUSED LARGE-CAP ENHANCED INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
STEWARD FUNDS	SEEBX	860324623	STEWARD VALUES-FOCUSED LARGE-CAP ENHANCED INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
STEWARD FUNDS	SEECX	860324102	STEWARD VALUES-FOCUSED LARGE-CAP ENHANCED INDEX FUND INSTITUTION	T	I	N	4:00 PM	01
STIFEL FUNDS	SBFAX	89832P879	1919 FINANCIAL SERVICES FUND CLASS A	NTF^	A	N	4:00 PM	01
STIFEL FUNDS	SFSLX	89832P861	1919 FINANCIAL SERVICES FUND CLASS C	NTF^	C	N	4:00 PM	01
STIFEL FUNDS	LMRIX	89832P838	1919 FINANCIAL SERVICES FUND CLASS I	T	I	N	4:00 PM	01
STIFEL FUNDS	LMMDX	89832P754	1919 MARYLAND TAX- FREE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
STIFEL FUNDS	LMMCX	89832P747	1919 MARYLAND TAX- FREE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
STIFEL FUNDS	LMMIX	89832P721	1919 MARYLAND TAX- FREE INCOME FUND CLASS I	T	I	N	4:00 PM	01
STIFEL FUNDS	SSIAX	89832P820	1919 SOCIALLY RESPONSIVE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
STIFEL FUNDS	SESLX	89832P796	1919 SOCIALLY RESPONSIVE BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
STIFEL FUNDS	LMRNX	89832P762	1919 SOCIALLY RESPONSIVE BALANCED FUND CLASS I	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHCDX	861647600	STONE HARBOR EMERGING MARKETS CORPORATE DEBT FUND INSTITUTIONAL	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHADX	861647857	STONE HARBOR EMERGING MARKETS DEBT ALLOCATION FUND INST CLASS	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHMDX	861647105	STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHHYX	861647204	STONE HARBOR HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHLMX	861647501	STONE HARBOR LOCAL MARKETS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STONE HARBOR FUNDS	SHSIX	861647873	STONE HARBOR STRATEGIC INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
STONE RIDGE FUNDS	SRDAX	861728624	STONE RIDGE DIVERSIFIED ALTERNATIVES FUND CLASS I	T	I	N	4:00 PM	01
STONE RIDGE FUNDS	SRDBX	861728616	STONE RIDGE DIVERSIFIED ALTERNATIVES FUND CLASS J	T	J	N	4:00 PM	01
STONE RIDGE FUNDS	SHRIX	861728400	STONE RIDGE HIGH YIELD REINSURANCE RISK PREMIUM FUND CLASS I	T	I	N	4:00 PM	01
STONE RIDGE FUNDS	SHRMX	861728509	STONE RIDGE HIGH YIELD REINSURANCE RISK PREMIUM FUND CLASS M	T	M	N	4:00 PM	01
STONE RIDGE FUNDS	BTCIX	861728582	STONE RIDGE NYDIG BITCOIN STRATEGY II FUND CLASS I	T	I	N	4:00 PM	01
STONE RIDGE FUNDS	BTCMX	861728574	STONE RIDGE NYDIG BITCOIN STRATEGY II FUND CLASS M	T	M	N	4:00 PM	01
STONE RIDGE FUNDS	VRLIX	861728707	STONE RIDGE U.S. HEDGED EQUITY FUND CLASS I	T	I	N	4:00 PM	01
STONE RIDGE FUNDS	VRLMX	861728806	STONE RIDGE U.S. HEDGED EQUITY FUND CLASS M	T	M	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	DWUGX	74925K417	SGI GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	BOGIX	749255188	SGI SMALL-CAP GROWTH FUND	T	I	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	LVOLX	74925K516	SGI U.S. LARGE CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	SGICX	74925K284	SGI U.S. LARGE CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	SILVX	74925K524	SGI U.S. LARGE CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
SUMMIT GLOBAL FUNDS	LVSMX	74925K276	SGI U.S. SMALL CAP EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	SMLVX	74925K250	SGI U.S. SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
SUMMIT GLOBAL FUNDS	SCLVX	74925K268	SGI U.S. SMALL CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SWAN FUNDS	SDFAX	66538G692	SWAN DEFINED RISK EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
SWAN FUNDS	SDFCX	66538G684	SWAN DEFINED RISK EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
SWAN FUNDS	SDFIX	66538G676	SWAN DEFINED RISK EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
SWAN FUNDS	SDJAX	66538G288	SWAN DEFINED RISK FOREIGN FUND CLASS A	NTF^	A	N	4:00 PM	01
SWAN FUNDS	SDJCX	66538G270	SWAN DEFINED RISK FOREIGN FUND CLASS C	NTF^	C	N	4:00 PM	01
SWAN FUNDS	SDJIX	66538G262	SWAN DEFINED RISK FOREIGN FUND CLASS I	T	I	N	4:00 PM	01
SWAN FUNDS	SDRAX	66538E408	SWAN DEFINED RISK FUND CLASS A	NTF^	A	N	4:00 PM	01
SWAN FUNDS	SDRCX	66538E507	SWAN DEFINED RISK FUND CLASS C	NTF^	C	N	4:00 PM	01
SWAN FUNDS	SDRIX	66538E606	SWAN DEFINED RISK FUND CLASS I	T	I	N	4:00 PM	01
SWAN FUNDS	SDAAX	66538R821	SWAN DEFINED RISK GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
SWAN FUNDS	SDACX	66538R813	SWAN DEFINED RISK GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
SWAN FUNDS	SDAIX	66538R797	SWAN DEFINED RISK GROWTH FUND CLASS I	T	I	N	4:00 PM	01
SWAN FUNDS	SDCAX	66538G254	SWAN DEFINED RISK U.S. SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
SWAN FUNDS	SDCCX	66538G247	SWAN DEFINED RISK U.S. SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
SWAN FUNDS	SDCIX	66538G239	SWAN DEFINED RISK U.S. SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPATX	87190B805	SYMMETRY PANORAMIC ALTERNATIVES FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPGEX	87190B300	SYMMETRY PANORAMIC GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPGBX	87190B706	SYMMETRY PANORAMIC GLOBAL FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPILX	87190B201	SYMMETRY PANORAMIC INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPMFX	87190B607	SYMMETRY PANORAMIC MUNICIPAL FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPGTX	87190B409	SYMMETRY PANORAMIC TAX-MANAGED GLOBAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPUSX	87190B102	SYMMETRY PANORAMIC U.S. EQUITY FUND CLASS I	T	I	N	4:00 PM	01
SYMMETRY FUNDS	SPUBX	87190B508	SYMMETRY PANORAMIC U.S. FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRDMX	779585108	T ROWE DIVERSIFIED MID-CAP GROWTH FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPGEX	77956H732	T ROWE GLOBAL GROWTH STOCK FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAGLX	77956H716	T ROWE GLOBAL GROWTH STOCK FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRAMX	77956H740	T ROWE PRICE AFRICA AND MIDDLE EAST FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAWAX	779557206	T ROWE PRICE ALL-CAP OPPORTUNITIES FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PAAOX	77956H617	T ROWE PRICE ASIA OPPORTUNITIES FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRAOX	77956H625	T ROWE PRICE ASIA OPPORTUNITIES FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPBAX	77954G108	T ROWE PRICE BALANCED	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRBCX	77954Q106	T ROWE PRICE BLUE CHIP GROWTH	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PABGX	77954Q205	T ROWE PRICE BLUE CHIP GROWTH - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RRBGX	77954Q304	T ROWE PRICE BLUE CHIP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PRXCX	77954J102	T ROWE PRICE CALIFORNIA TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PACLX	77954M204	T ROWE PRICE CAP APPRECIATION FUND ADVISOR CLASS	NTF		Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	PRWCX	77954M105	T ROWE PRICE CAPITAL APPRECIATION	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PRPIX	741478101	T ROWE PRICE CORPORATE INCOME	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRDGX	779546100	T ROWE PRICE DIVIDEND GROWTH	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TADGX	779546209	T ROWE PRICE DIVIDEND GROWTH FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TREMX	77956H815	T ROWE PRICE EMERGING EUROPE FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PREMX	77956H872	T ROWE PRICE EMERGING MARKETS BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIKX	77956H492	T ROWE PRICE EMERGING MARKETS BOND FD ADVISOR CL	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRXIX	77956H534	T ROWE PRICE EMERGING MARKETS BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRECX	77956H658	T ROWE PRICE EMERGING MARKETS CORPORATE BOND FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PACEX	77956H641	T ROWE PRICE EMERGING MARKETS CORPORATE BOND FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRMSX	77956H864	T ROWE PRICE EMERGING MARKETS STOCK	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PRZIX	77956H484	T ROWE PRICE EMERGING MARKETS STOCK FUND CLASS I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PRFDX	779547108	T ROWE PRICE EQUITY INCOME	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAFDX	779547207	T ROWE PRICE EQUITY INCOME - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RRFDX	779547306	T ROWE PRICE EQUITY INCOME FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PREIX	779552108	T ROWE PRICE EQUITY INDEX 500	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRUIX	779552405	T ROWE PRICE EQUITY INDEX 500 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRESX	77956H401	T ROWE PRICE EUROPEAN STOCK	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PEMX	779552207	T ROWE PRICE EXTENDED EQUITY MARKET INDEX	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRISX	77954N103	T ROWE PRICE FINANCIAL SERVICES	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRFRX	87279B106	T ROWE PRICE FLOATING RATE FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAFRX	87279B205	T ROWE PRICE FLOATING RATE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	GTFBX	77957R705	T ROWE PRICE GEORGIA TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAFGX	87281T202	T ROWE PRICE GLOBAL ALLOCATION FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPGAX	87281T103	T ROWE PRICE GLOBAL ALLOCATION FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PGLOX	77956H344	T ROWE PRICE GLOBAL CONSUMER FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPOIX	77956H476	T ROWE PRICE GLOBAL HIGH INCOME BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPGIX	77956H633	T ROWE PRICE GLOBAL INDUSTRIALS FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRSNX	74149N106	T ROWE PRICE GLOBAL MULTI-SECTOR BOND FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRSAX	74149N205	T ROWE PRICE GLOBAL MULTI-SECTOR BOND FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRGRX	87279M201	T ROWE PRICE GLOBAL REAL ESTATE FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAGEX	87279M102	T ROWE PRICE GLOBAL REAL ESTATE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRGSX	77956H856	T ROWE PRICE GLOBAL STOCK	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAGSX	77956H765	T ROWE PRICE GLOBAL STOCK FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRGTX	74149A108	T ROWE PRICE GLOBAL TECHNOLOGY	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRIGX	74144Q872	T ROWE PRICE GLOBAL VALUE EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRGMX	779549104	T ROWE PRICE GNMA	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRGFX	741479109	T ROWE PRICE GROWTH STOCK	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRSAX	741479208	T ROWE PRICE GROWTH STOCK FUND ADVISOR CLASS	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	PRUFX	741479406	T ROWE PRICE GROWTH STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RRGSX	741479307	T ROWE PRICE GROWTH STOCK FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PRHSX	741480107	T ROWE PRICE HEALTH SCIENCES FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRHYX	741481105	T ROWE PRICE HIGH YIELD	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PAHIX	741481204	T ROWE PRICE HIGH YIELD - ADVISOR CLASS	NTF		Y	4:00 PM	01
T ROWE PRICE FUNDS	PRHIX	741481303	T ROWE PRICE HIGH YIELD FUND CLASS I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PRIPX	77958D101	T ROWE PRICE INFLATION PROTECTED BOND FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPCX	74144Q807	T ROWE PRICE INST INTERNATIONAL CONCENTRATED EQUITY FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TREBX	74144Q401	T ROWE PRICE INSTITUTIONAL EMERGING MKTS BOND FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPIFX	77958B402	T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRHYX	77958B204	T ROWE PRICE INSTITUTIONAL HIGH YIELD FUND	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	TPLGX	45775L507	T ROWE PRICE INSTITUTIONAL LARGE CAP CORE GROWTH FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PMEGX	45775L101	T ROWE PRICE INSTITUTIONAL MID CAP EQUITY GROWTH FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRSSX	45775L309	T ROWE PRICE INSTITUTIONAL SMALL CAP STOCK	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	IEMFX	74144Q203	T ROWE PRICE INST'L EMERGING MARKETS EQUITY FUND	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PAITX	77956H823	T ROWE PRICE INT STOCK - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRAHX	87279L203	T ROWE PRICE INTERMEDIATE TAX FREE HIGH YIELD FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRIHX	87279L104	T ROWE PRICE INTERMEDIATE TAX FREE HIGH YIELD FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPIBX	77956H104	T ROWE PRICE INTERNATIONAL BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPISX	77956H450	T ROWE PRICE INTERNATIONAL BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRNCX	77956H583	T ROWE PRICE INTERNATIONAL DISCIPLINED EQUITY FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRCNX	77956H591	T ROWE PRICE INTERNATIONAL DISCIPLINED EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRIDX	77956H302	T ROWE PRICE INTERNATIONAL DISCOVERY	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PIEQX	77958A107	T ROWE PRICE INTERNATIONAL EQUITY INDEX	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRITX	77956H203	T ROWE PRICE INTERNATIONAL STOCK	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRITX	77956H781	T ROWE PRICE INTERNATIONAL STOCK FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRIGX	77956H849	T ROWE PRICE INTERNATIONAL VALUE EQUITY FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIGX	77956H773	T ROWE PRICE INTERNATIONAL VALUE EQUITY FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRTIX	77956H518	T ROWE PRICE INTERNATIONAL VALUE EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RRIGX	77956H799	T ROWE PRICE INTERNATIONAL VALUE EQUITY FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PAIBX	77956H831	T ROWE PRICE INTL BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRJPX	77956H708	T ROWE PRICE JAPAN FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRLAX	77956H880	T ROWE PRICE LATIN AMERICA	T		N	4:00 PM	01
T ROWE PRICE FUNDS	MDXBX	77957R101	T ROWE PRICE MARYLAND TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRMDX	77957R606	T ROWE PRICE MD SHORT-TERM TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRMTX	741454102	T ROWE PRICE MEDIA & TELECOMMUNICATIONS & TECHNOLOGY FUND INVEST	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	PAMCX	779556208	T ROWE PRICE MID CAP GROWTH - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPTIX	779556406	T ROWE PRICE MID CAP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TAMVX	77957Y304	T ROWE PRICE MID CAP VALUE FUND ADVISOR	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRMIX	77957Y403	T ROWE PRICE MID CAP VALUE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPMGX	779556109	T ROWE PRICE MID-CAP GROWTH	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRMGX	779556307	T ROWE PRICE MID-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRMCX	77957Y106	T ROWE PRICE MID-CAP VALUE	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRMVX	77957Y205	T ROWE PRICE MID-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TMSSX	77958R209	T ROWE PRICE MULTI STRATEGY TOTAL RETURN FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRASX	77956H500	T ROWE PRICE NEW ASIA	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRNEX	779559103	T ROWE PRICE NEW ERA	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRJIX	779562206	T ROWE PRICE NEW HORIZONS FUND CLASS I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PRNHX	779562107	T ROWE PRICE NEW HORIZONS FUND INVESTOR CLASS	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PRCIX	779570100	T ROWE PRICE NEW INCOME	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PANIX	779570209	T ROWE PRICE NEW INCOME FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRXEX	779570407	T ROWE PRICE NEW INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RRNIX	779570308	T ROWE PRICE NEW INCOME FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	NJTFX	77957R507	T ROWE PRICE NEW JERSEY TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRNYX	779571108	T ROWE PRICE NEW YORK TAX-FREE BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TROX	77956H757	T ROWE PRICE OVERSEAS STOCK FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAEIX	77956H443	T ROWE PRICE OVERSEAS STOCK FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRDSX	779917103	T ROWE PRICE QM U.S. SMALL CAP GROWTH EQUITY FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRAFX	87279W100	T ROWE PRICE REAL ASSETS FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRIKX	87279W209	T ROWE PRICE REAL ASSETS FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRREX	779919109	T ROWE PRICE REAL ESTATE	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAREX	779919208	T ROWE PRICE REAL ESTATE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRFX	74149P812	T ROWE PRICE RETIREMENT 2005 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PARGX	74149P713	T ROWE PRICE RETIREMENT 2005 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTLX	74149P697	T ROWE PRICE RETIREMENT 2005 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PARAX	74149P861	T ROWE PRICE RETIREMENT 2010 ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRAX	74149P101	T ROWE PRICE RETIREMENT 2010 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTAX	74149P606	T ROWE PRICE RETIREMENT 2010 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRGX	74149P796	T ROWE PRICE RETIREMENT 2015 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PARHX	74149P689	T ROWE PRICE RETIREMENT 2015 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTMX	74149P671	T ROWE PRICE RETIREMENT 2015 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRBX	74149P200	T ROWE PRICE RETIREMENT 2020 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTBX	74149P705	T ROWE PRICE RETIREMENT 2020 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRHX	74149P788	T ROWE PRICE RETIREMENT 2025 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PARJX	74149P663	T ROWE PRICE RETIREMENT 2025 FUND ADVISOR CLASS	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	RRTNX	74149P655	T ROWE PRICE RETIREMENT 2025 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PARCX	74149P846	T ROWE PRICE RETIREMENT 2030 ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRCX	74149P309	T ROWE PRICE RETIREMENT 2030 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTCX	74149P804	T ROWE PRICE RETIREMENT 2030 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRJX	74149P770	T ROWE PRICE RETIREMENT 2035 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	R RTPX	74149P630	T ROWE PRICE RETIREMENT 2035 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PARDX	74149P838	T ROWE PRICE RETIREMENT 2040 ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRDY	74149P408	T ROWE PRICE RETIREMENT 2040 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTDX	74149P887	T ROWE PRICE RETIREMENT 2040 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRKX	74149P762	T ROWE PRICE RETIREMENT 2045 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTRX	74149P614	T ROWE PRICE RETIREMENT 2045 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	RRTFX	74149P721	T ROWE PRICE RETIREMENT 2050 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	RRTVX	74149P580	T ROWE PRICE RETIREMENT 2055 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRIX	74149P507	T ROWE PRICE RETIREMENT BALANCED FD	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PARIX	74149P820	T ROWE PRICE RETIREMENT INCOME FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RRTIX	74149P879	T ROWE PRICE RETIREMENT INCOME FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	PARBX	74149P853	T ROWE PRICE RETIRMENT 2020 ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PASTX	77957M201	T ROWE PRICE SCIENCE & TECH - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRWBX	77957P105	T ROWE PRICE SHORT- TERM BOND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PASHX	77957P204	T ROWE PRICE SHORT TERM BOND FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	OTCFX	779572106	T ROWE PRICE SMALL CAP STOCK	T		Y	4:00 PM	01
T ROWE PRICE FUNDS	PASSX	779572403	T ROWE PRICE SMALL CAP STOCK - ADVISOR CLASS	NTF		Y	4:00 PM	01
T ROWE PRICE FUNDS	OTIIX	779572502	T ROWE PRICE SMALL CAP STOCK FUND CLASS I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PRSVX	77957Q103	T ROWE PRICE SMALL- CAP VALUE	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PASVX	77957Q202	T ROWE PRICE SMALL CAP VALUE - ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRVIX	77957Q301	T ROWE PRICE SMALL CAP VALUE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPSIX	779906106	T ROWE PRICE SPECTRUM INCOME	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRINX	77957N308	T ROWE PRICE SUMMIT MUNICIPAL INCOME FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIMX	77957N506	T ROWE PRICE SUMMIT MUNICIPAL INCOME FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIFX	77957N407	T ROWE PRICE SUMMIT MUNICIPAL INTERMEDIATE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRSMX	77957N209	T ROWE PRICE SUMMIT MUNICIPAL INTERMEDIATE INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRARX	74149P572	T ROWE PRICE TARGET RETIREMENT 2005 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PANRX	74149P440	T ROWE PRICE TARGET RETIREMENT 2005 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRROX	74149P564	T ROWE PRICE TARGET RETIREMENT 2010 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAERX	74149P432	T ROWE PRICE TARGET RETIREMENT 2010 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PAHRX	74149P424	T ROWE PRICE TARGET RETIREMENT 2015 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRTX	74149P556	T ROWE PRICE TARGET RETIREMENT 2015 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIRX	74149P416	T ROWE PRICE TARGET RETIREMENT 2020 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRUX	74149P549	T ROWE PRICE TARGET RETIREMENT 2020 FUND INVESTOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	PAJRX	74149P390	T ROWE PRICE TARGET RETIREMENT 2025 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRVX	74149P531	T ROWE PRICE TARGET RETIREMENT 2025 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAKRX	74149P382	T ROWE PRICE TARGET RETIREMENT 2030 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRWX	74149P523	T ROWE PRICE TARGET RETIREMENT 2030 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PATVX	74149P374	T ROWE PRICE TARGET RETIREMENT 2035 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPGRX	74149P515	T ROWE PRICE TARGET RETIREMENT 2035 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRHRX	74149P499	T ROWE PRICE TARGET RETIREMENT 2040 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAHHX	74149P366	T ROWE PRICE TARGET RETIREMENT 2040 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPTFX	74149P481	T ROWE PRICE TARGET RETIREMENT 2045 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAFFX	74149P358	T ROWE PRICE TARGET RETIREMENT 2045 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRFOX	74149P473	T ROWE PRICE TARGET RETIREMENT 2050 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAOFX	74149P341	T ROWE PRICE TARGET RETIREMENT 2050 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRFFX	74149P465	T ROWE PRICE TARGET RETIREMENT 2055 FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAFTX	74149P333	T ROWE PRICE TARGET RETIREMENT 2055 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PATAX	779576206	T ROWE PRICE TAX FREE INCOME FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PREFX	779918309	T ROWE PRICE TAX-EFFICIENT MULTI-CAP GROWTH	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRFHX	741486104	T ROWE PRICE TAX-FREE HIGH YIELD FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRTAX	779576107	T ROWE PRICE TAX-FREE INCOME	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRFSX	779902105	T ROWE PRICE TAX-FREE SHORT INTERMEDIATE	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PATIX	779902204	T ROWE PRICE TAX-FREE SHORT-INTERMEDIATE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	POMIX	779552306	T ROWE PRICE TOTAL EQUITY MARKET INDEX	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAULX	74149R206	T ROWE PRICE U.S. LARGE CAP CORE FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRULX	74149R107	T ROWE PRICE U.S. LARGE CAP CORE FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PACOX	77954P306	T ROWE PRICE U.S.EQUITY RESEARCH FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRVLX	779578103	T ROWE PRICE VALUE	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAVLX	779578202	T ROWE PRICE VALUE FUND- ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPIX	779578301	T ROWE PRICE VALUE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRVAX	77957R408	T ROWE PRICE VIRGINIA TAX-FREE BOND FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRBUX	77957P303	T ROWE ULTRA SHORT TERM BOND FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRMX	74149P754	T. ROWE PRICE 2050 RETIREMENT FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRRNX	74149P747	T. ROWE PRICE 2055 RETIREMENT FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PNAIX	779557305	T. ROWE PRICE ALL-CAP OPPORTUNITIES FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RBAIX	77954G207	T. ROWE PRICE BALANCED FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBCIX	77954Q403	T. ROWE PRICE BLUE CHIP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TCFEX	77954J300	T. ROWE PRICE CALIFORNIA TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRAIX	77954M303	T. ROWE PRICE CAPITAL APPRECIATION FD CL I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	TRCLX	87281D603	T. ROWE PRICE CHINA EVOLUTION EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TCELX	87281D504	T. ROWE PRICE CHINA EVOLUTION EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	TICCX	87281Q109	T. ROWE PRICE CORPORATE INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PDGIX	779546308	T. ROWE PRICE DIVIDEND GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPELX	77956H161	T. ROWE PRICE DYNAMIC CREDIT FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPIDX	77956H179	T. ROWE PRICE DYNAMIC CREDIT FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAIEX	77956H567	T. ROWE PRICE DYNAMIC GLOBAL BOND FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPIEX	77956H575	T. ROWE PRICE DYNAMIC GLOBAL BOND FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TECIX	77956H351	T. ROWE PRICE EMERGING MARKETS CORPORATE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PAIJX	77956H393	T. ROWE PRICE EMERGING MARKETS DISCOVERY STOCK FUND ADVISOR CLAS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRIJX	77956H419	T. ROWE PRICE EMERGING MARKETS DISCOVERY STOCK FUND INVESTOR CLA	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRELX	77956H674	T. ROWE PRICE EMERGING MARKETS LOCAL CURRENCY BOND FD	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAELX	77956H666	T. ROWE PRICE EMERGING MARKETS LOCAL CURRENCY BOND FUND ADVISOR	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TEIMX	77956H369	T. ROWE PRICE EMERGING MARKETS LOCAL CURRENCY BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	REIPX	779547405	T. ROWE PRICE EQUITY INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TEUIX	77956H310	T. ROWE PRICE EUROPEAN STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBGAX	77957R861	T. ROWE PRICE GEORGIA TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PAIHX	77956H542	T. ROWE PRICE GLOBAL HIGH INCOME BOND FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	RPIHX	77956H559	T. ROWE PRICE GLOBAL HIGH INCOME BOND FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TGBLX	87281D819	T. ROWE PRICE GLOBAL IMPACT EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TGPEX	87281D793	T. ROWE PRICE GLOBAL IMPACT EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRGVX	74144Q856	T. ROWE PRICE GLOBAL VALUE EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TIIPX	77958D200	T. ROWE PRICE INFLATION PROTECTED BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RPLCX	77958B600	T. ROWE PRICE INSTITUTIONAL LONG DURATION CREDIT FUND	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PFFRX	77958B105	T. ROWE PRICE INSTL FLOATING RATE FUND CLASS F	T	F	N	4:00 PM	01
T ROWE PRICE FUNDS	TFHAX	87279L302	T. ROWE PRICE INTERMEDIATE TAX FREE HIGH YIELD FD CL I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTABX	77956H187	T. ROWE PRICE INTERNATIONAL BD FD ADVISOR CLASS (USD) (HDG)	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TNIBX	77956H211	T. ROWE PRICE INTERNATIONAL BD FD INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TNBMX	77956H195	T. ROWE PRICE INTERNATIONAL BOND FUND CLASS I (USD) (HDG)	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TIDDX	77956H377	T. ROWE PRICE INTERNATIONAL DISCOVERY FD CL I	T+	I	Y	4:00 PM	01
T ROWE PRICE FUNDS	PRIUX	77956H526	T. ROWE PRICE INTERNATIONAL STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRLGX	45775L408	T. ROWE PRICE LARGE-CAP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRGOX	87283V106	T. ROWE PRICE LARGE-CAP GROWTH FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TILCX	45775L200	T. ROWE PRICE LARGE-CAP VALUE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRLUX	87283V205	T. ROWE PRICE LARGE-CAP VALUE FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRBFX	872798103	T. ROWE PRICE LIMITED DURATION INFLATION FOCUSED BOND FD INVESTOR C	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRLDX	872798202	T. ROWE PRICE LIMITED DURATION INFLATION FOCUSED BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRMUX	77957R838	T. ROWE PRICE MARYLAND SHORT-TERM TAX-FREE BOND FD CL I	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	TFBIX	77957R853	T. ROWE PRICE MARYLAND TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TMSRX	77958R100	T. ROWE PRICE MULTI STRATEGY TOTAL RETURN FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PNSIX	77956H385	T. ROWE PRICE NEW ASIA FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRNEX	87282K101	T. ROWE PRICE NEW ERA FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRJIX	77957R820	T. ROWE PRICE NEW JERSEY TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRYIX	779571306	T. ROWE PRICE NEW YORK TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TROIX	77956H435	T. ROWE PRICE OVERSEAS STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TSBLX	872840103	T. ROWE PRICE QM U.S. BOND INDEX FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PBDIX	741495105	T. ROWE PRICE QM U.S. BOND INDEX FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TQSAX	779917608	T. ROWE PRICE QM U.S. SMALL & MID CAP CORE EQUITY FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TQSIX	779917707	T. ROWE PRICE QM U.S. SMALL & MID CAP CORE EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TQSMX	779917509	T. ROWE PRICE QM U.S. SMALL & MID CAP CORE EQUITY FUND INVESTOR CLAS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TQAAX	87283A201	T. ROWE PRICE QM U.S. SMALL CAP GROWTH EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TQVAX	779917889	T. ROWE PRICE QM U.S. VALUE EQUITY FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TQVIX	779917871	T. ROWE PRICE QM U.S. VALUE EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TQMVX	779917806	T. ROWE PRICE QM U.S. VALUE EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TIRRX	779919307	T. ROWE PRICE REAL ESTATE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPFX	872797105	T. ROWE PRICE RETIREMENT 2005 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPAX	872797204	T. ROWE PRICE RETIREMENT 2010 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRFGX	872797303	T. ROWE PRICE RETIREMENT 2015 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBRX	872797402	T. ROWE PRICE RETIREMENT 2020 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPHX	872797501	T. ROWE PRICE RETIREMENT 2025 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPCX	872797600	T. ROWE PRICE RETIREMENT 2030 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PARKX	74149P648	T. ROWE PRICE RETIREMENT 2035 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPJX	872797709	T. ROWE PRICE RETIREMENT 2035 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPDX	872797808	T. ROWE PRICE RETIREMENT 2040 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PARLX	74149P622	T. ROWE PRICE RETIREMENT 2045 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPKX	872797881	T. ROWE PRICE RETIREMENT 2045 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PARFX	74149P739	T. ROWE PRICE RETIREMENT 2050 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPMX	872797873	T. ROWE PRICE RETIREMENT 2050 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PAROX	74149P598	T. ROWE PRICE RETIREMENT 2055 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPNX	872797865	T. ROWE PRICE RETIREMENT 2055 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRYX	74149P317	T. ROWE PRICE RETIREMENT 2060 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRPLX	872797857	T. ROWE PRICE RETIREMENT 2060 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRZX	74149P291	T. ROWE PRICE RETIREMENT 2060 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRRLX	74149P325	T. ROWE PRICE RETIREMENT 2060 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRSJX	872797683	T. ROWE PRICE RETIREMENT 2065 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PASUX	872797675	T. ROWE PRICE RETIREMENT 2065 FUND ADVISOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	RRSUX	872797659	T. ROWE PRICE RETIREMENT 2065 FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPTX	872797840	T. ROWE PRICE RETIREMENT BALANCED FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLAX	872797469	T. ROWE PRICE RETIREMENT BLEND 2005 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLPX	872797618	T. ROWE PRICE RETIREMENT BLEND 2005 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLBX	872797451	T. ROWE PRICE RETIREMENT BLEND 2010 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLQX	872797592	T. ROWE PRICE RETIREMENT BLEND 2010 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLCX	872797444	T. ROWE PRICE RETIREMENT BLEND 2015 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLSX	872797584	T. ROWE PRICE RETIREMENT BLEND 2015 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLDX	872797436	T. ROWE PRICE RETIREMENT BLEND 2020 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TSBAX	872797576	T. ROWE PRICE RETIREMENT BLEND 2020 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLEX	872797428	T. ROWE PRICE RETIREMENT BLEND 2025 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLVX	872797568	T. ROWE PRICE RETIREMENT BLEND 2025 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLGX	872797410	T. ROWE PRICE RETIREMENT BLEND 2030 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLWX	872797550	T. ROWE PRICE RETIREMENT BLEND 2030 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLHX	872797394	T. ROWE PRICE RETIREMENT BLEND 2035 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TBLYX	872797543	T. ROWE PRICE RETIREMENT BLEND 2035 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLJX	872797386	T. ROWE PRICE RETIREMENT BLEND 2040 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBLX	872797535	T. ROWE PRICE RETIREMENT BLEND 2040 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLKX	872797378	T. ROWE PRICE RETIREMENT BLEND 2045 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBQX	872797527	T. ROWE PRICE RETIREMENT BLEND 2045 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLLX	872797360	T. ROWE PRICE RETIREMENT BLEND 2050 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBSX	872797519	T. ROWE PRICE RETIREMENT BLEND 2050 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLMX	872797352	T. ROWE PRICE RETIREMENT BLEND 2055 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBOX	872797493	T. ROWE PRICE RETIREMENT BLEND 2055 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLNX	872797345	T. ROWE PRICE RETIREMENT BLEND 2060 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBNX	872797485	T. ROWE PRICE RETIREMENT BLEND 2060 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBLOX	872797337	T. ROWE PRICE RETIREMENT BLEND 2065 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRBPX	872797477	T. ROWE PRICE RETIREMENT BLEND 2065 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRFKX	872797667	T. ROWE PRICE RETIREMENT I 2065 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TSIDX	77957P709	T. ROWE PRICE SHORT DURATION INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TSDLX	77957P600	T. ROWE PRICE SHORT DURATION INCOME FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TBSIX	77957P402	T. ROWE PRICE SHORT TERM BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRSIX	77957L302	T. ROWE PRICE SPECTRUM CONSERVATIVE ALLOCATION FUND	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PRSGX	779906205	T. ROWE PRICE SPECTRUM DIVERSIFIED EQUITY FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TSVPX	87283G109	T. ROWE PRICE SPECTRUM DIVERSIFIED EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TSPNX	87283G208	T. ROWE PRICE SPECTRUM INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PSILX	779906304	T. ROWE PRICE SPECTRUM INTERNATIONAL EQUITY FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TSINX	87283G307	T. ROWE PRICE SPECTRUM INTERNATIONAL EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRIMX	77957N704	T. ROWE PRICE SUMMIT MUNICIPAL INCOME FUND CLASS I	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	PRTMX	77957N605	T. ROWE PRICE SUMMIT MUNICIPAL INTERMEDIATE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TFRRX	872797832	T. ROWE PRICE TARGET 2005 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TORFX	872797824	T. ROWE PRICE TARGET 2010 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTRTX	872797816	T. ROWE PRICE TARGET 2015 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTURX	872797790	T. ROWE PRICE TARGET 2020 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRVVX	872797782	T. ROWE PRICE TARGET 2025 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TWRRX	872797774	T. ROWE PRICE TARGET 2030 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TPGPX	872797766	T. ROWE PRICE TARGET 2035 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRXRX	872797758	T. ROWE PRICE TARGET 2040 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRFWX	872797741	T. ROWE PRICE TARGET 2045 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TOORX	872797733	T. ROWE PRICE TARGET 2050 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPPX	872797725	T. ROWE PRICE TARGET 2055 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTOIX	872797717	T. ROWE PRICE TARGET 2060 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRSLX	872797642	T. ROWE PRICE TARGET 2065 FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PAZLX	872797634	T. ROWE PRICE TARGET 2065 FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPFDX	872797626	T. ROWE PRICE TARGET 2065 FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRTGX	74149P283	T. ROWE PRICE TARGET RETIREMENT 2060 FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TRTFX	74149P275	T. ROWE PRICE TARGET RETIREMENT 2060 FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TEEFX	779918408	T. ROWE PRICE TAX EFFICIENT EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TFILX	779576305	T. ROWE PRICE TAX FREE INCOME FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PTATX	872803309	T. ROWE PRICE TOTAL RETURN FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PTKIX	872803200	T. ROWE PRICE TOTAL RETURN FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PTTFX	872803101	T. ROWE PRICE TOTAL RETURN FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PCCOX	87282F102	T. ROWE PRICE U.S. EQUITY RESEARCH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TLDUX	87283F606	T. ROWE PRICE U.S. LIMITED DURATION TIPS INDEX FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TLDTX	87283F507	T. ROWE PRICE U.S. LIMITED DURATION TIPS INDEX FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRKIX	77957T404	T. ROWE PRICE U.S. TREASURY INTERMEDIATE INDEX FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRTIX	77957T107	T. ROWE PRICE U.S. TREASURY INTERMEDIATE INDEX FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	PRUUX	77957T503	T. ROWE PRICE U.S. TREASURY LONG-TERM INDEX FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRULX	77957T206	T. ROWE PRICE U.S. TREASURY LONG-TERM INDEX FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRSTX	77957P501	T. ROWE PRICE ULTRA SHORT TERM BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TFBVX	77957R788	T. ROWE PRICE VIRGINIA TAX-FREE BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TQGAX	779917301	T. ROWE QM GLOBAL EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TQGIX	779917400	T. ROWE QM GLOBAL EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TQGEX	779917202	T. ROWE QM GLOBAL EQUITY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TUHAX	741481501	T. ROWE U.S. HIGH YIELD FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	TUHIX	741481600	T. ROWE U.S. HIGH YIELD FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TUHYX	741481402	T. ROWE U.S. HIGH YIELD FUND INVESTOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
T ROWE PRICE FUNDS	RPTTX	779585207	T.ROWE DIVERSIFIED MID CAP GROWTH FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRGAX	77956H229	T.ROWE GLOBAL INDUSTRIALS FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PGMSX	87282M107	T.ROWE GLOBAL MULTI-SECTOR BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRXAX	779549203	T.ROWE GNMA FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRAMX	77956H260	T.ROWE PRICE AFRICA & MIDDLE EAST FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRWAX	779557107	T.ROWE PRICE ALL-CAP OPPORTUNITIES FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TRASX	77956H252	T.ROWE PRICE ASIA OPPORTUNITIES FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTMIX	87282P100	T.ROWE PRICE COMMUNICATIONS & TECHNOLOGY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PAOPX	87279J208	T.ROWE PRICE CREDIT OPPORTUNITIES FUND ADVISOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TCRRX	87279J307	T.ROWE PRICE CREDIT OPPORTUNITIES FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRCPX	87279J109	T.ROWE PRICE CREDIT OPPORTUNITIES FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RPEIX	77956H468	T.ROWE PRICE DYNAMIC GLOBAL BOND FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTEEX	77956H245	T.ROWE PRICE EMERGING EUROPE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	REVIX	77956H237	T.ROWE PRICE EMERGING MARKETS DISCOVERY STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TFIFX	87282H108	T.ROWE PRICE FINANCIAL SERVICES FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TFAIX	87279B304	T.ROWE PRICE FLOATING RATE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TGAFX	87281T301	T.ROWE PRICE GLOBAL ALLOCATION FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RGGIX	77956H294	T.ROWE PRICE GLOBAL GROWTH STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TIRGX	87279M300	T.ROWE PRICE GLOBAL REAL ESTATE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRGLX	77956H328	T.ROWE PRICE GLOBAL STOCK FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PGTIX	741494306	T.ROWE PRICE GLOBAL TECHNOLOGY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	THISX	87281Y102	T.ROWE PRICE HEALTH SCIENCES FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RICIX	77956H336	T.ROWE PRICE INTERNATIONAL DISCIPLINED EQUITY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RJAIX	77956H286	T.ROWE PRICE JAPAN FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	RLAIX	77956H278	T.ROWE PRICE LATIN AMERICA FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TQAIX	87283A102	T.ROWE PRICE QM U.S. SMALL CAP GROWTH EQUITY CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRSCX	77957M102	T.ROWE PRICE SCIENCE & TECHNOLOGY FUND INVESTOR CLASS	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TSNIX	87282N105	T.ROWE PRICE SCIENCE AND TECHNOLOGY FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PATFX	741486203	T.ROWE PRICE TAX FREE HIGH YIELD FUND ADVISOR CLASS	NTF		N	4:00 PM	01
T ROWE PRICE FUNDS	PTYIX	741486302	T.ROWE PRICE TAX FREE HIGH YIELD FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TTSIX	87282A103	T.ROWE PRICE TAX FREE SHORT INTERMEDIATE FD CL I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PRCOX	77954P108	T.ROWE PRICE U.S. EQUITY RESEARCH FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	RRCOX	77954P207	T.ROWE PRICE U.S. EQUITY RESEARCH FUND CLASS R	T	R	N	4:00 PM	01
T ROWE PRICE FUNDS	RCLIX	74149R305	T.ROWE PRICE U.S. LARGE CAP CORE FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	PIPIX	77957L609	T.ROWE PRICE SPECTRUM CONSERVATIVE ALLOCATION FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRPBX	77957L104	T.ROWE PRICE SPECTRUM MODERATE ALLOCATION FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TPPAX	77957L401	T.ROWE PRICE SPECTRUM MODERATE ALLOCATION FUND CLASS I	T+	I	N	4:00 PM	01
T ROWE PRICE FUNDS	TRSGX	77957L203	T.ROWE PRICE SPECTRUM MODERATE GROWTH ALLOCATION FUND	T		N	4:00 PM	01
T ROWE PRICE FUNDS	TGIPX	77957L500	T.ROWE PRICE SPECTRUM MODERATE GROWTH ALLOCATION FUND CLASS I	T+	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TACTICAL FUNDS	HTDAX	90213U370	HANLON TACTICAL DIVIDEND AND MOMENTUM FD CL A	T	A	N	4:00 PM	01
TACTICAL FUNDS	HTDCX	90213U362	HANLON TACTICAL DIVIDEND AND MOMENTUM FD CL C	NTF^	C	N	4:00 PM	01
TACTICAL FUNDS	HTDIX	90213U354	HANLON TACTICAL DIVIDEND AND MOMENTUM FD CL I	T	I	N	4:00 PM	01
TANAKA GROWTH FUNDS	TGFRX	875353302	TANAKA GROWTH FUND CLASS R	T+	R	N	4:00 PM	01
TCM GROWTH FUNDS	TCMSX	742935455	TCM SMALL CAP GROWTH FUND	T		Y	4:00 PM	01
TCW FUNDS	TGFTX	872365739	TCW ARTIFICIAL INTELLIGENCE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGJNX	872365721	TCW ARTIFICIAL INTELLIGENCE EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGPCX	87234N245	TCW CONSERVATIVE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGPNX	87234N237	TCW CONSERVATIVE ALLOCATION FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGCFX	87234N401	TCW CORE FIXED INCOME FD CL I	T	I	N	4:00 PM	01
TCW FUNDS	TGFNX	87234N724	TCW CORE FIXED INCOME FD CL N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGDMX	872365770	TCW DEVELOPING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGDPX	872365762	TCW DEVELOPING MARKETS EQUITY FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGWNX	872365408	TCW EMERGING MARKETS CURRENCY FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGEIX	87234N765	TCW EMERGING MARKETS INCOME FD CL I	T	I	N	4:00 PM	01
TCW FUNDS	TGINX	87234N351	TCW EMERGING MARKETS INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGEPX	872365713	TCW EMERGING MARKETS INCOME FUND CLASS P	T+	P	N	4:00 PM	01
TCW FUNDS	TGWIX	872365309	TCW EMERGING MARKETS LOCAL CCY INC FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGMAX	872365846	TCW EMERGING MARKETS MULTI-ASSET OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGMEX	872365838	TCW EMERGING MARKETS MULTI-ASSET OPPORTUNITIES FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGGBX	872365887	TCW GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGGFX	872365879	TCW GLOBAL BOND FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGREX	872365796	TCW GLOBAL REAL ESTATE FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGRYX	872365788	TCW GLOBAL REAL ESTATE FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGHYX	87234N708	TCW HIGH YIELD BOND FD CL I	T	I	N	4:00 PM	01
TCW FUNDS	TGHNX	87234N716	TCW HIGH YIELD BOND FD CL N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGUSX	872365754	TCW NEW AMERICAS PREMIER EQUITIES FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGUNX	872365747	TCW NEW AMERICAS PREMIER EQUITIES FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGDFX	87234N344	TCW RELATIVE VALUE DIVIDEND APPRECIATION FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGIGX	87234N518	TCW RELATIVE VALUE DIVIDEND APPRECIATION FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGDIX	87234N385	TCW RELATIVE VALUE LARGE CAP FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGDVX	87234N492	TCW RELATIVE VALUE LARGE CAP FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGCNX	87234N732	TCW SELECT EQUITIES FD CL N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGCEX	87234N302	TCW SELECT EQUITIES FD CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGSMX	87234N856	TCW SHORT TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGLMX	87234N880	TCW TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGMNX	87234N641	TCW TOTAL RETURN BOND FUND CLASS N	NTF	N	N	4:00 PM	01
TCW FUNDS	TGVOX	87234N799	TCW VALUE OPPORTUNITIES FD CLASS I	T	I	N	4:00 PM	01
TCW FUNDS	TGVNX	87234N583	TCW VALUE OPPORTUNITIES FUND CLASS N	NTF	N	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TERRA FUNDS	TFREX	89833X707	TERRA FIRMA U.S. CONCENTRATED REALTY EQUITY FUND	NTF		N	4:00 PM	01
TERRA FUNDS	TFRIX	89833X806	TERRA FIRMA U.S. CONCENTRATED REALTY EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAEX	87357J609	TACTICAL GROWTH ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAFX	87357J708	TACTICAL GROWTH ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAMX	87357J401	TACTICAL MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAUX	87357J500	TACTICAL MODERATE ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFADX	87357J880	TFA MULTIDIMENSIONAL TACTICAL FUND CLASS I	T	I	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAQX	87357J807	TFA QUANTITATIVE FUND CLASS I	T	I	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFALX	87357J203	TFA TACTICAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TFA/TACTICAL FUNDS	TFAZX	87357J302	TFA TACTICAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
THE ARBITRAGE FUNDS	ARGAX	03875R866	THE ARBITRAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
THE ARBITRAGE FUNDS	ARBCX	03875R502	THE ARBITRAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
THE ARBITRAGE FUNDS	ARBFX	03875R205	THE ARBITRAGE FUND CLASS I	T	I	N	4:00 PM	01
THE ARBITRAGE FUNDS	ARBFX	03875R106	THE ARBITRAGE FUND CLASS R	NTF	R	N	4:00 PM	01
THE ARBITRAGE FUNDS	ACFIX	03875R809	WATER ISLAND CREDIT OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
THE ARBITRAGE FUNDS	ARCFX	03875R700	WATER ISLAND CREDIT OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
THE ARBITRAGE FUNDS	AEDNX	03875R403	WATER ISLAND EVENT DRIVEN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE ARBITRAGE FUNDS	AGEAX	03875R858	WATER ISLAND EVENT-DRIVEN FUND CLASS A	NTF^	A	N	4:00 PM	01
THE ARBITRAGE FUNDS	AEDFX	03875R304	WATER ISLAND EVENT-DRIVEN FUND RETAIL CLASS	NTF	R	N	4:00 PM	01
THE COVERED BRIDGE FUNDS	TCBAX	66538E523	THE COVERED BRIDGE FUND CLASS A	NTF^	A	N	4:00 PM	01
THE COVERED BRIDGE FUNDS	TCBIX	66538E499	THE COVERED BRIDGE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE FAIRHOLME FUNDS	FAAFX	304871403	FAIRHOLME ALLOCATION FUND	T+		N	4:00 PM	01
THE FAIRHOLME FUNDS	FOCIX	304871304	FAIRHOLME FOCUSED INCOME FUND	T+		N	4:00 PM	01
THE FAIRHOLME FUNDS	FAIRX	304871106	THE FAIRHOLME FUND	T+		N	4:00 PM	01
THE NEW ALTERNATIVES FUNDS	NALFX	641868104	NEW ALTERNATIVES FUND CLASS A	T+	A	N	4:00 PM	02
THE NEW ALTERNATIVES FUNDS	NAEFX	641868203	NEW ALTERNATIVES FUND INVESTORS CLASS	T+	V	N	4:00 PM	02
THE TEBERG FUNDS	TEBRX	66538E226	TEBERG FUND	T		N	4:00 PM	01
THE TORRAY FUNDS	TORYX	891402109	THE TORRAY FUND	T		N	4:00 PM	01
THE WORLD FUNDS	AFAZX	98147A311	APPLIED FINANCE CORE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE WORLD FUNDS	AFALX	98148K292	APPLIED FINANCE CORE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
THE WORLD FUNDS	AFDZX	98147A287	APPLIED FINANCE EXPLORER FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE WORLD FUNDS	AFDVX	98147A295	APPLIED FINANCE EXPLORER FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
THE WORLD FUNDS	AFVZX	98148J188	APPLIED FINANCE SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE WORLD FUNDS	AFVLX	98148J196	APPLIED FINANCE SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
THE WORLD FUNDS	FSVVX	98148K128	CLIFFORD CAPITAL FOCUSED SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE WORLD FUNDS	CLIFX	98147A246	CLIFFORD CAPITAL PARTNERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
THE WORLD FUNDS	CLFFX	98147A253	CLIFFORD CAPITAL PARTNERS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
THE WORLD FUNDS	OTGAX	98148K227	OTG LATIN AMERICA FUND CLASS A	NTF	A	N	4:00 PM	01
THE WORLD FUNDS	PDFDX	98147A337	PERKINS DISCOVERY FUND	T	V	N	4:00 PM	01
THE WORLD FUNDS	HLRRX	98147A394	REMS REAL ESTATE VALUE OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THE WORLD FUNDS	HLPPX	98147A386	REMS REAL ESTATE VALUE OPPORTUNITY FUND PLATFORM CLASS	T	P	N	4:00 PM	01
THE WORLD FUNDS	USPFX	98147A279	UNION STREET PARTNERS VALUE FUND ADVISOR CLASS	NTF	O	N	4:00 PM	01
THE WORLD FUNDS	USPVX	98147A691	UNION STREET PARTNERS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
THE WORLD FUNDS	USPCX	98147A642	UNION STREET PARTNERS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
THIRD AVENUE FUNDS	REIFX	884116823	THIRD AVENUE INTERNATIONAL REAL ESTATE VALUE FUND CLASS I	T	I	N	4:00 PM	01
THIRD AVENUE FUNDS	REIZX	884116799	THIRD AVENUE INTERNATIONAL REAL ESTATE VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
THIRD AVENUE FUNDS	TARZX	884116831	THIRD AVENUE REAL ESTATE VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
THIRD AVENUE FUNDS	TAREX	884116401	THIRD AVENUE REAL ESTATE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THIRD AVENUE FUNDS	TVRVX	884116872	THIRD AVENUE REAL ESTATE VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
THIRD AVENUE FUNDS	TASCX	884116203	THIRD AVENUE SMALL CAP VALUE FUND	T	I	N	4:00 PM	01
THIRD AVENUE FUNDS	TASZX	884116849	THIRD AVENUE SMALL CAP VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
THIRD AVENUE FUNDS	TVSVX	884116880	THIRD AVENUE SMALL CAP VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
THIRD AVENUE FUNDS	TAVZX	884116856	THIRD AVENUE VALUE FUND CLASS Z	T+	Z	N	4:00 PM	01
THIRD AVENUE FUNDS	TAVFX	884116104	THIRD AVENUE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THIRD AVENUE FUNDS	TVFVX	884116807	THIRD AVENUE VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
THOMAS WHITE FUNDS	TWAOX	543917306	THOMAS WHITE AMERICAN OPPORTUNITY FUND INVESTOR CLASS	T	V	N	4:00 PM	02
THOMAS WHITE FUNDS	TWWIX	543917702	THOMAS WHITE INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
THOMAS WHITE FUNDS	TWWDX	543917108	THOMAS WHITE INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	02
THOMPSON IM FUNDS	THOPX	884891201	THOMPSON BOND FUND	T		N	4:00 PM	01
THOMPSON IM FUNDS	THPGX	884891300	THOMPSON LARGE CAP FUND	T		N	4:00 PM	01
THOMPSON IM FUNDS	THPMX	884891607	THOMPSON MIDCAP FUND	T		N	4:00 PM	01
THORNBURG FUNDS	TBWAX	885216721	THORNBURG BETTER WORLD INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TBWGX	885216713	THORNBURG BETTER WORLD INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TBWIX	885216697	THORNBURG BETTER WORLD INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	LTCAX	885215426	THORNBURG CA LIMITED TERM MUNICIPAL FD CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	LTCCX	885215418	THORNBURG CA LIMITED TERM MUNICIPAL FD CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	LTCIX	885215392	THORNBURG CA LIMITED TERM MUNICIPAL FD CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THDAX	885216408	THORNBURG DEVELOPING WORLD FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	THDCX	885216507	THORNBURG DEVELOPING WORLD FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THDIX	885216606	THORNBURG DEVELOPING WORLD FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THOAX	885215343	THORNBURG GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	THOCX	885215335	THORNBURG GLOBAL OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THOIX	885215327	THORNBURG GLOBAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THMCX	885215780	THORNBURG INTERMED MUNICIPAL CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THMIX	885215673	THORNBURG INTERMED MUNICIPAL NATIONAL INST	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
THORNBURG FUNDS	THIMX	885215202	THORNBURG INTERMED NATIONAL MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TGVAX	885215657	THORNBURG INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	THGCX	885215640	THORNBURG INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TGVIX	885215566	THORNBURG INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TIGCX	885215293	THORNBURG INTERNATIONAL GROWTH FD CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TIGAX	885215319	THORNBURG INTL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TINGX	885215244	THORNBURG INT'L GROWTH INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
THORNBURG FUNDS	TIBAX	885215558	THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TIBCX	885215541	THORNBURG INVESTMENT INCOME BUILDER FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TIBIX	885215467	THORNBURG INVESTMENT INCOME BUILDER FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THICX	885215764	THORNBURG LIMITED TERM INCOME CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THIFX	885215509	THORNBURG LIMITED TERM INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	LTMFX	885215459	THORNBURG LIMITED TERM MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	LTCMX	885215442	THORNBURG LIMITED TERM MUNICIPAL FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	LTMIX	885215434	THORNBURG LIMITED TERM MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	LTURX	885215491	THORNBURG LIMITED TERM U.S. GOVERNMENT FUND CLASS R3	T	J	Y	4:00 PM	01
THORNBURG FUNDS	LTUSX	885215103	THORNBURG LIMITED TERM U.S. GOVT CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	LTUCX	885215830	THORNBURG LIMITED TERM U.S. GOVT CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THIIX	885215681	THORNBURG LIMITED-TERM INCOME FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THRIX	885216762	THORNBURG LIMITED-TERM INCOME FUND CLASS R4	T	K	N	4:00 PM	01
THORNBURG FUNDS	LTUIX	885215699	THORNBURG LTD TERM U.S. GOVERNMENT INSTUTIONAL	T	I	N	4:00 PM	01
THORNBURG FUNDS	THNMX	885215301	THORNBURG NEW MEXICO INTERMEDIATE MUNI CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	THNDX	885215624	THORNBURG NEW MEXICO INTERMEDIATE MUNICIPAL FUND CLASS D	NTF^	D	N	4:00 PM	01
THORNBURG FUNDS	THNIX	885215285	THORNBURG NEW MEXICO INTERMEDIATE MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THNYX	885215665	THORNBURG NEW YORK INTERMEDIATE MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TNYIX	885216705	THORNBURG NEW YORK INTERMEDIATE MUNICIPAL FD CL I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TLMAX	885216788	THORNBURG SHORT DURATION MUNICIPAL FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TLMIX	885216770	THORNBURG SHORT DURATION MUNICIPAL FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TVAFX	885215731	THORNBURG SMALL/MID-CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TVCFX	885215715	THORNBURG SMALL/MID-CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TVIFX	885215632	THORNBURG SMALL/MID-CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	THCGX	885215582	THORNBURG SMALL/MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TCGCX	885215574	THORNBURG SMALL/MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	THIGX	885215475	THORNBURG SMALL/MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TSIAX	885215228	THORNBURG STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TSICX	885215210	THORNBURG STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
THORNBURG FUNDS	TSIIX	885215194	THORNBURG STRATEGIC INCOME FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TSSAX	885216101	THORNBURG STRATEGIC MUNICIPAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TSSCX	885216200	THORNBURG STRATEGIC MUNICIPAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
THORNBURG FUNDS	TSSIX	885216309	THORNBURG STRATEGIC MUNICIPAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TSUMX	885216580	THORNBURG SUMMIT FUND CLASS I	T	I	N	4:00 PM	01
THORNBURG FUNDS	TLDEX	885216812	THORNBURG ULTRA SHORT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
THORNBURG FUNDS	TLDIX	885216796	THORNBURG ULTRA SHORT INCOME FUND CLASS I	T	I	N	4:00 PM	01
THRIVENT FUNDS	TAAAX	885882332	THRIVENT AGGRESSIVE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TAAIX	885882258	THRIVENT AGGRESSIVE ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AABFX	885882407	THRIVENT BALANCED INCOME PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	IBBFX	885882605	THRIVENT BALANCED INCOME PLUS FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	XCLIX	88588T101	THRIVENT CHURCH LOAN AND INCOME FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AAHYX	885882472	THRIVENT DIVERSIFIED INCOME PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	THYFX	885882456	THRIVENT DIVERSIFIED INCOME PLUS FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TBFAX	885882134	THRIVENT GOVERNMENT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TBFX	885882126	THRIVENT GOVERNMENT BOND FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	THMBX	88588R600	THRIVENT HIGH INCOME MUNI BOND FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	LBHYX	885882795	THRIVENT HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	LBHIX	885882779	THRIVENT HIGH YIELD FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	LUBIX	885882449	THRIVENT INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	LBIIIX	885882423	THRIVENT INCOME FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TWAAX	885882159	THRIVENT INTERNATIONAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TWAIX	885882142	THRIVENT INTERNATIONAL ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AAAGX	885882100	THRIVENT LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	THLCX	885882308	THRIVENT LARGE CAP GROWTH FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AALGX	885882860	THRIVENT LARGE CAP STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	IILGX	885882845	THRIVENT LARGE CAP STOCK FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AAUTX	885882837	THRIVENT LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TLVIX	885882811	THRIVENT LARGE CAP VALUE FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	LBLAX	885882415	THRIVENT LIMITED MATURITY BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	THLIX	885882381	THRIVENT LIMITED MATURITY BOND FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TLVOX	88588R303	THRIVENT LOW VOLATILITY EQUITY FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AASCX	885882688	THRIVENT MID CAP STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TMSIX	885882662	THRIVENT MID-CAP STOCK FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	THMAX	885882316	THRIVENT MODERATE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TMAIX	885882233	THRIVENT MODERATE ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TMAAX	885882324	THRIVENT MODERATELY AGGRESSIVE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TMAFX	885882241	THRIVENT MODERATELY AGGRESSIVE ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TCAAX	885882290	THRIVENT MODERATELY CONSERVATIVE ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TCAIX	885882225	THRIVENT MODERATELY CONSERVATIVE ALLOCATION FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TMLDX	88588R402	THRIVENT MULTI DIMENSIONAL INCOME FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AAMBX	885882621	THRIVENT MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
THRIVENT FUNDS	TMBIX	885882597	THRIVENT MUNICIPAL BOND FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AAINX	885882704	THRIVENT OPPORTUNITY INCOME PLUS FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	IIINX	885882886	THRIVENT OPPORTUNITY INCOME PLUS FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	TSCGX	88588R501	THRIVENT SMALL CAP GROWTH FUND CLASS S	T	S	N	4:00 PM	01
THRIVENT FUNDS	AASMX	885882571	THRIVENT SMALL CAP STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
THRIVENT FUNDS	TSCSX	885882555	THRIVENT SMALL CAP STOCK FUND CLASS S	T	S	N	4:00 PM	01
TIAA CREF FUNDS	TIXRX	886315878	TIAA CREF 5-15 YEAR LADDERED TAX-EXEMPT BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TEQLX	87245M228	TIAA CREF EMERGING MARKETS EQUITY INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIEIX	87244W508	TIAA CREF EQUITY INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TINRX	886315746	TIAA CREF EQUITY INDEX FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIQRX	886315753	TIAA CREF EQUITY INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIIRX	886315761	TIAA CREF GROWTH AND INCOME FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIYRX	886315811	TIAA CREF HIGH YIELD FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIILX	87244W482	TIAA CREF INFLATION LINK BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCILX	87244W474	TIAA CREF INFLATION-LINKED BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIERX	886315779	TIAA CREF INTERNATIONAL EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRIEX	87244W490	TIAA CREF INTL EQUITY INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TRIRX	87244W672	TIAA CREF LARGE-CAP GROWTH INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLCX	87244W466	TIAA CREF LARGE-CAP VALUE FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRCVX	87244W656	TIAA CREF LARGE-CAP VALUE INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSFHX	87249N776	TIAA CREF LIFECYCLE 2065 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSFPX	87249N750	TIAA CREF LIFECYCLE 2065 FUND PREMIER CLASS	T+	P	N	4:00 PM	01
TIAA CREF FUNDS	TSFRX	87249N743	TIAA CREF LIFECYCLE 2065 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLEX	87244W458	TIAA CREF LIFECYCLE FUND 2010 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TFIHX	87249N735	TIAA CREF LIFECYCLE INDEX 2065 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TFITX	87249N727	TIAA CREF LIFECYCLE INDEX 2065 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TFIPX	87249N719	TIAA CREF LIFECYCLE INDEX 2065 FUND PREMIER CLASS	T+	P	N	4:00 PM	01
TIAA CREF FUNDS	TFIRX	87249N693	TIAA CREF LIFECYCLE INDEX 2065 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIMRX	886315845	TIAA CREF MANAGED ALLOCATION FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCMGX	87244W870	TIAA CREF MID-CAP GROWTH FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRGMX	87244W888	TIAA CREF MID-CAP GROWTH FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCMVX	87244W847	TIAA CREF MID-CAP VALUE FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TCSEX	87244W813	TIAA CREF QUANT SMALL CAP EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRSEX	87244W821	TIAA CREF QUANT SMALL-CAP EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCREX	87244W771	TIAA CREF REAL ESTATE SECS FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRRSX	87244W789	TIAA CREF REAL ESTATE SECS FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TRSPX	87244W698	TIAA CREF S&P 500 INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCTRX	886315704	TIAA CREF SHORT TERM BOND FUND II RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TRBIX	87244W565	TIAA CREF SMALL-CAP BLEND INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TIAA CREF FUNDS	TICRX	886315738	TIAA CREF SOCIAL CHOICE EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIXHX	87245P627	TIAA-CREF 5-15 YEAR LADDERED TAX-EXEMPT BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TITIX	886315860	TIAA-CREF 5-15 YEAR LADDERED TAX-EXEMPT BOND FUND INSTITUTIONAL CLA	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIBDX	87244W607	TIAA-CREF BOND FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TBIAX	87245P692	TIAA-CREF BOND INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TBIIX	87245M848	TIAA-CREF BOND INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TBILX	87245M616	TIAA-CREF BOND INDEX FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TBIRX	87245M855	TIAA-CREF BOND INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIBHX	87245P718	TIAA-CREF CORE BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIORX	886315712	TIAA-CREF CORE BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIDRX	886315720	TIAA-CREF CORE BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSBHX	87245P635	TIAA-CREF CORE IMPACT BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSBIX	87245R672	TIAA-CREF CORE IMPACT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSBRX	87245R714	TIAA-CREF CORE IMPACT BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TSBBX	87245R698	TIAA-CREF CORE IMPACT BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCBHX	87245P684	TIAA-CREF CORE PLUS BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIBFX	886315506	TIAA-CREF CORE PLUS BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCBPX	886315407	TIAA-CREF CORE PLUS BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TCBRX	886315605	TIAA-CREF CORE PLUS BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TEDHX	87245R318	TIAA-CREF EMERGING MARKETS DEBT FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TEDNX	87245R581	TIAA-CREF EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TEDLX	87245R623	TIAA-CREF EMERGING MARKETS DEBT FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TEDTX	87245R615	TIAA-CREF EMERGING MARKETS DEBT FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TEMHX	87245R375	TIAA-CREF EMERGING MARKETS EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TEMLX	87245M269	TIAA-CREF EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TEMSX	87245M285	TIAA-CREF EMERGING MARKETS EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TEQSX	87245M244	TIAA-CREF EMERGING MARKETS EQUITY INDEX FD RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TEQHX	87245M129	TIAA-CREF EMERGING MARKETS EQUITY INDEX FUND ADVISOR	T		N	4:00 PM	01
TIAA CREF FUNDS	TEQKX	87245M251	TIAA-CREF EMERGING MARKETS EQUITY INDEX FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TEMRX	87245M293	TIAA-CREF EMERGING MARKETS EQUITY RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TEIHX	87245R276	TIAA-CREF EQUITY INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TGRKX	87249N875	TIAA-CREF GREEN BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TGRNX	87249N883	TIAA-CREF GREEN BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TGROX	87249N842	TIAA-CREF GREEN BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TGRMX	87249N859	TIAA-CREF GREEN BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TGIHX	87245R185	TIAA-CREF GROWTH & INCOME FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIGRX	87244W409	TIAA-CREF GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRGIX	87244W763	TIAA-CREF GROWTH & INCOME FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIHRX	886315787	TIAA-CREF HIGH YIELD BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TIAA CREF FUNDS	TIHHX	87245P676	TIAA-CREF HIGH YIELD FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIHYX	886315795	TIAA-CREF HIGH-YIELD FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIHX	87245P668	TIAA-CREF INFLATION LINKED BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIKRX	886315696	TIAA-CREF INFLATION- LINKED BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIBNX	87245P528	TIAA-CREF INTERNATIONAL BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIBWX	87245P536	TIAA-CREF INTERNATIONAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIBEX	87245P486	TIAA-CREF INTERNATIONAL BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIBVX	87245P494	TIAA-CREF INTERNATIONAL BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIEHX	87245R342	TIAA-CREF INTERNATIONAL EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIEX	87244W102	TIAA-CREF INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRERX	87244W748	TIAA-CREF INTERNATIONAL EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCIHX	87245M111	TIAA-CREF INTERNATIONAL EQUITY INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCIEX	87244W516	TIAA-CREF INTERNATIONAL EQUITY INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIOHX	87245R334	TIAA-CREF INTERNATIONAL OPPORTUNITIES FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIOIX	87245R631	TIAA-CREF INTERNATIONAL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TIOSX	87245R664	TIAA-CREF INTERNATIONAL OPPORTUNITIES FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TIOTX	87245R656	TIAA-CREF INTERNATIONAL OPPORTUNITIES FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIRTX	87244W342	TIAA-CREF LARGE CAP GROWTH FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TILIX	87244W680	TIAA-CREF LARGE CAP GROWTH INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TILVX	87244W664	TIAA-CREF LARGE CAP VALUE INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TILGX	87244W334	TIAA-CREF LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TILRX	87244W326	TIAA-CREF LARGE-CAP GROWTH FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TRIHX	87245R292	TIAA-CREF LARGE-CAP GROWTH INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TILHX	87245R177	TIAA-CREF LARGE-CAP ROWTH FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TRLHX	87245R169	TIAA-CREF LARGE-CAP VALUE FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TRLIX	87244W730	TIAA-CREF LARGE-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRLCX	87244W722	TIAA-CREF LARGE-CAP VALUE FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	THCVX	87245R284	TIAA-CREF LARGE-CAP VALUE INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCLHX	87245P205	TIAA-CREF LIFECYCLE 2010 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCTIX	886315662	TIAA-CREF LIFECYCLE 2010 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCNHX	87245P304	TIAA-CREF LIFECYCLE 2015 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCNIX	886315654	TIAA-CREF LIFECYCLE 2015 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCWHX	87245P403	TIAA-CREF LIFECYCLE 2020 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCWIX	886315647	TIAA-CREF LIFECYCLE 2020 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCQHX	87245P502	TIAA-CREF LIFECYCLE 2025 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCYIX	886315639	TIAA-CREF LIFECYCLE 2025 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCHHX	87245P601	TIAA-CREF LIFECYCLE 2030 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCRIX	886315621	TIAA-CREF LIFECYCLE 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCYHX	87245P700	TIAA-CREF LIFECYCLE 2035 FUND ADVISOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TIAA CREF FUNDS	TCIIX	886315613	TIAA-CREF LIFECYCLE 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCZHX	87245P809	TIAA-CREF LIFECYCLE 2040 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCOIX	886315597	TIAA-CREF LIFECYCLE 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TTFHX	87245P882	TIAA-CREF LIFECYCLE 2045 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TTFIX	886315589	TIAA-CREF LIFECYCLE 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TTFRX	886315522	TIAA-CREF LIFECYCLE 2045 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TFTHX	87245P874	TIAA-CREF LIFECYCLE 2050 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TFTIX	886315571	TIAA-CREF LIFECYCLE 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLFRX	886315514	TIAA-CREF LIFECYCLE 2050 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TTRHX	87245P866	TIAA-CREF LIFECYCLE 2055 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TTRIX	87245M210	TIAA-CREF LIFECYCLE 2055 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TTRLX	87245M194	TIAA-CREF LIFECYCLE 2055 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TLXHX	87245P858	TIAA-CREF LIFECYCLE 2060 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLXNX	87245R573	TIAA-CREF LIFECYCLE 2060 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLXRX	87245R532	TIAA-CREF LIFECYCLE 2060 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TVIIX	87245R565	TIAA-CREF LIFECYCLE 2060 INDEX FUND INST'L CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCLIX	87244W441	TIAA-CREF LIFECYCLE FUND 2015 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLTX	87244W433	TIAA-CREF LIFECYCLE FUND 2020 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLFX	87244W425	TIAA-CREF LIFECYCLE FUND 2025 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLNX	87244W417	TIAA-CREF LIFECYCLE FUND 2030 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLRX	87244W391	TIAA-CREF LIFECYCLE FUND 2035 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCLOX	87244W383	TIAA-CREF LIFECYCLE FUND 2040 RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TLTHX	87245P833	TIAA-CREF LIFECYCLE INDEX 2010 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLTIX	87245M830	TIAA-CREF LIFECYCLE INDEX 2010 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLFAX	87245P825	TIAA-CREF LIFECYCLE INDEX 2015 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLFIX	87245M822	TIAA-CREF LIFECYCLE INDEX 2015 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLWHX	87245P817	TIAA-CREF LIFECYCLE INDEX 2020 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLWIX	87245M814	TIAA-CREF LIFECYCLE INDEX 2020 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLQHX	87245P791	TIAA-CREF LIFECYCLE INDEX 2025 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLQIX	87245M798	TIAA-CREF LIFECYCLE INDEX 2025 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLHHX	87245P783	TIAA-CREF LIFECYCLE INDEX 2030 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLHIX	87245M780	TIAA-CREF LIFECYCLE INDEX 2030 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLYHX	87245P775	TIAA-CREF LIFECYCLE INDEX 2035 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLYIX	87245M772	TIAA-CREF LIFECYCLE INDEX 2035 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLZHX	87245P767	TIAA-CREF LIFECYCLE INDEX 2040 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLZIX	87245M764	TIAA-CREF LIFECYCLE INDEX 2040 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLMHX	87245P759	TIAA-CREF LIFECYCLE INDEX 2045 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLXIX	87245M756	TIAA-CREF LIFECYCLE INDEX 2045 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLLHX	87245P742	TIAA-CREF LIFECYCLE INDEX 2050 FUND ADVISOR CLASS	T		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TIAA CREF FUNDS	TLIX	87245M749	TIAA-CREF LIFECYCLE INDEX 2050 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TTIH	87245P734	TIAA-CREF LIFECYCLE INDEX 2055 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TTIIX	87245M178	TIAA-CREF LIFECYCLE INDEX 2055 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TVIH	87245P726	TIAA-CREF LIFECYCLE INDEX 2060 FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TVITX	87245R557	TIAA-CREF LIFECYCLE INDEX 2060 FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TRCIX	87245M889	TIAA-CREF LIFECYCLE INDEX FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TLIH	87245P841	TIAA-CREF LIFECYCLE INDEX RETIREMENT INCOME FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TRILX	87245M731	TIAA-CREF LIFECYCLE INDEX RETIREMENT INCOME FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLRH	87245P106	TIAA-CREF LIFECYCLE RETIREMENT INCOME FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLRI	886315563	TIAA-CREF LIFECYCLE RETIREMENT INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TPIL	87245M335	TIAA-CREF LIFECYCLE RETIREMENT INCOME FUND PREMIER CLASS	T+	P	N	4:00 PM	01
TIAA CREF FUNDS	TLRR	886315498	TIAA-CREF LIFECYCLE RETIREMENT INCOME FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLIR	886315480	TIAA-CREF LIFECYCLE RETIREMENT INCOME FUND RETIREMENT CL	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSAH	87245R227	TIAA-CREF LIFESTYLE AGGRESSIVE GROWTH FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSAI	87245R748	TIAA-CREF LIFESTYLE AGGRESSIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSAL	87245R755	TIAA-CREF LIFESTYLE AGGRESSIVE GROWTH FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSAR	87245R722	TIAA-CREF LIFESTYLE AGGRESSIVE GROWTH FUND RETIREMENT CL	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TLSH	87245R250	TIAA-CREF LIFESTYLE CONSERVATIVE FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TCSIX	87245R870	TIAA-CREF LIFESTYLE CONSERVATIVE FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSCL	87245R888	TIAA-CREF LIFESTYLE CONSERVATIVE FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSCT	87245R854	TIAA-CREF LIFESTYLE CONSERVATIVE FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSGH	87245R235	TIAA-CREF LIFESTYLE GROWTH FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSGG	87245R789	TIAA-CREF LIFESTYLE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSGL	87245R797	TIAA-CREF LIFESTYLE GROWTH FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSGR	87245R763	TIAA-CREF LIFESTYLE GROWTH FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSIH	87245R268	TIAA-CREF LIFESTYLE INCOME FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSIT	87245R607	TIAA-CREF LIFESTYLE INCOME FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSIL	87245R508	TIAA-CREF LIFESTYLE INCOME FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLSR	87245R805	TIAA-CREF LIFESTYLE INCOME FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSMH	87245R243	TIAA-CREF LIFESTYLE MODERATE FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSIM	87245R839	TIAA-CREF LIFESTYLE MODERATE FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSML	87245R847	TIAA-CREF LIFESTYLE MODERATE FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSMT	87245R813	TIAA-CREF LIFESTYLE MODERATE FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TIMIX	886315837	TIAA-CREF MANAGED ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TITR	886315829	TIAA-CREF MANAGED ALLOCATION II FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCMH	87245R151	TIAA-CREF MID-CAP GROWTH FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TRPW	87244W805	TIAA-CREF MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRVH	87245R144	TIAA-CREF MID-CAP VALUE FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIMV	87244W862	TIAA-CREF MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TIAA CREF FUNDS	TRVRX	87244W854	TIAA-CREF MID-CAP VALUE FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TAISX	87245P460	TIAA-CREF QUANT INTERNATIONAL SMALL-CAP EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIISX	87245P478	TIAA-CREF QUANT INTERNATIONAL SMALL-CAP EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TLISX	87245P437	TIAA-CREF QUANT INTERNATIONAL SMALL-CAP EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TTISX	87245P445	TIAA-CREF QUANT INTERNATIONAL SMALL-CAP EQUITY FUND RETIREMENT CLA	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TISEX	87244W839	TIAA-CREF QUANT SMALL CAP EQUITY INSTL FUND CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSMNX	87245P577	TIAA-CREF QUANT SMALL MID CAP EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSMWX	87245P585	TIAA-CREF QUANT SMALL MID CAP EQUITY FUND INST CL	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSMEX	87245P544	TIAA-CREF QUANT SMALL MID CAP EQUITY RETAIL FD CL	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TSMOX	87245P551	TIAA-CREF QUANT SMALL/MID-CAP EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSCHX	87245R136	TIAA-CREF QUANT SMALL-CAP EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIRHX	87245P593	TIAA-CREF REAL ESTATE SECURITIES FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TIREX	87244W797	TIAA-CREF REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TISAX	87245M145	TIAA-CREF S&P 500 INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TISPX	87244W714	TIAA-CREF S&P 500 INDEX FUND CLASS I	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSDHX	87249N826	TIAA-CREF SHORT DURATION IMPACT BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSDJX	87249N834	TIAA-CREF SHORT DURATION IMPACT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSDBX	87249N784	TIAA-CREF SHORT DURATION IMPACT BOND FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TSDDX	87249N792	TIAA-CREF SHORT DURATION IMPACT BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TCTHX	87245P650	TIAA-CREF SHORT TERM BOND FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TISRX	886315886	TIAA-CREF SHORT TERM BOND FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TNSHX	87245R433	TIAA-CREF SHORT TERM BOND INDEX FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TESHX	87245R458	TIAA-CREF SHORT TERM BOND INDEX FD RETIREMENT CL	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TTBHX	87245P643	TIAA-CREF SHORT TERM BOND INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TRSHX	87245R466	TIAA-CREF SHORT TERM BOND INDEX FUND RETAIL CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TISIX	886315803	TIAA-CREF SHORT-TERM BOND SHORT-TERM BOND FUND	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TISBX	87244W573	TIAA-CREF SMALL CAP BLEND INDEX FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRHBX	87245M137	TIAA-CREF SMALL-CAP BLEND INDEX FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TICHX	87245R128	TIAA-CREF SOCIAL CHOICE EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TISCX	87244W300	TIAA-CREF SOCIAL CHOICE EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TRSCX	87244W755	TIAA-CREF SOCIAL CHOICE EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSONX	87245R474	TIAA-CREF SOCIAL CHOICE INTL EQUITY FD INSTITUTIONAL CL	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TSOEX	87245R490	TIAA-CREF SOCIAL CHOICE INTL EQUITY FD RETIREMENT CL	T	R	N	4:00 PM	01
TIAA CREF FUNDS	TSOHX	87245R326	TIAA-CREF SOCIAL CHOICE INTL EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TSORX	87245R516	TIAA-CREF SOCIAL CHOICE INTL EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01
TIAA CREF FUNDS	TNWCX	87245R383	TIAA-CREF SOCIAL CHOICE LOW CARBON EQUITY FD INSTL CL	T	I	N	4:00 PM	01
TIAA CREF FUNDS	TCCHX	87245R110	TIAA-CREF SOCIAL CHOICE LOW CARBON EQUITY FUND ADVISOR CLASS	T		N	4:00 PM	01
TIAA CREF FUNDS	TLWCX	87245R425	TIAA-CREF SOCIAL CHOICE LOW CARBON EQUITY FUND RETAIL CLASS	NTF		N	4:00 PM	01

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TIAA CREF FUNDS	TEWCX	87245R417	TIAA-CREF SOCIAL CHOICE LOW CARBON EQUITY FUND RETIREMENT CLASS	T	R	N	4:00 PM	01
TIMBER POINT FUNDS	AIIFX	885572461	TIMBER POINT ALTERNATIVE INCOME FUND CLASS I	T	I	N	4:00 PM	01
TIMBER POINT FUNDS	CGHIX	885572560	TIMBER POINT GLOBAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPHAX	887432615	THE TIMOTHY PLAN HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPHCX	887432599	THE TIMOTHY PLAN HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPIAX	887432631	THE TIMOTHY PLAN INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPICX	887432623	THE TIMOTHY PLAN INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TSVCX	887432839	THE TIMOTHY PLAN SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TAAGX	887432813	TIMOTHY PLAN AGGRESSIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TCAGX	887432698	TIMOTHY PLAN AGGRESSIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TIAGX	887432524	TIMOTHY PLAN AGGRESSIVE GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TCGAX	887432730	TIMOTHY PLAN CONSERVATIVE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TCVCX	887432664	TIMOTHY PLAN CONSERVATIVE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPDIX	887432433	TIMOTHY PLAN DEFENSIVE STRATEGIES FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPDAX	887432581	TIMOTHY PLAN DEFENSIVE STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TFICX	887432862	TIMOTHY PLAN FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPFIX	887432466	TIMOTHY PLAN FIXED INCOME FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TFIAX	887432888	TIMOTHY PLAN FIXED-INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TGIAX	887432383	TIMOTHY PLAN GROWTH & INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TGCIX	887432375	TIMOTHY PLAN GROWTH & INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TIGIX	887432367	TIMOTHY PLAN GROWTH & INCOME FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPHIX	887432458	TIMOTHY PLAN HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPIIX	887432516	TIMOTHY PLAN INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPAIX	887432565	TIMOTHY PLAN ISRAEL COMMON VALUES FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPCIX	887432557	TIMOTHY PLAN ISRAEL COMMON VALUES FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TICIX	887432441	TIMOTHY PLAN ISRAEL COMMON VALUES FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TLVCX	887432805	TIMOTHY PLAN LARGE MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TLGCX	887432680	TIMOTHY PLAN LARGE/ MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPLIX	887432490	TIMOTHY PLAN LARGE/MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TMVIX	887432474	TIMOTHY PLAN LARGE/MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TLGAX	887432789	TIMOTHY PLAN LARGE/MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TLVAX	887432607	TIMOTHY PLAN LARGE/MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPVIX	887432482	TIMOTHY PLAN SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TPLNX	887432409	TIMOTHY PLAN SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TSGAX	887432763	TIMOTHY PLAN STRATEGIC GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TIMOTHY PLAN FUNDS	TSGCX	887432672	TIMOTHY PLAN STRATEGIC GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TOCQUEVILLE FUNDS	TOCQX	888894102	THE TOCQUEVILLE FUND	NTF		N	4:00 PM	01
TOCQUEVILLE FUNDS	TOPPX	888894409	TOCQUEVILLE OPPORTUNITY FUND	NTF		N	4:00 PM	01
TOCQUEVILLE FUNDS	TOPHX	888894847	TOCQUEVILLE PHOENIX FUND	NTF		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TOEWS FUNDS	THIDX	66537V591	TOEWS HEDGED OCEANA FUND	T		N	4:00 PM	01
TOEWS FUNDS	THLGX	66537V583	TOEWS HEDGED U.S. FUND	T		N	4:00 PM	01
TOEWS FUNDS	THSMX	66537V575	TOEWS HEDGED U.S. OPPORTUNITY FUND	T		N	4:00 PM	01
TOEWS FUNDS	TTDAX	66537X332	TOEWS TACTICAL DEFENSIVE ALPHA FUND	T		N	4:00 PM	01
TOEWS FUNDS	THHYX	66537V617	TOEWS TACTICAL INCOME FUND	T		N	4:00 PM	01
TOEWS FUNDS	TUIFX	66538B883	TOEWS UNCONSTRAINED INCOME FUND	T		N	4:00 PM	01
TORTOISE FUNDS	EETAX	56167N449	ECOFIN GLOBAL ENERGY TRANSITION FUND CLASS A	NTF^	A	N	4:00 PM	01
TORTOISE FUNDS	EETIX	56167N431	ECOFIN GLOBAL ENERGY TRANSITION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TORTOISE FUNDS	ECOIX	56167N472	ECOFIN GLOBAL RENEWABLES INFRASTRUCTURE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TORTOISE FUNDS	INFRX	56167N530	TORTOISE MLP & ENERGY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TORTOISE FUNDS	INFFX	56167N514	TORTOISE MLP & ENERGY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TORTOISE FUNDS	INFIX	56167N522	TORTOISE MLP & ENERGY INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TORTOISE FUNDS	TORTX	56166Y305	TORTOISE MLP & PIPELINE FUND CLASS A	NTF^	A	N	4:00 PM	01
TORTOISE FUNDS	TORCX	56166Y826	TORTOISE MLP & PIPELINE FUND CLASS C	NTF^	C	N	4:00 PM	01
TORTOISE FUNDS	TORIX	56166Y404	TORTOISE MLP AND PIPELINE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TOBAX	89154W502	TOUCHSTONE ACTIVE BOND FUND A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TODCX	89154W601	TOUCHSTONE ACTIVE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TOBIX	89154W783	TOUCHSTONE ACTIVE BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TOBYX	89154W791	TOUCHSTONE ACTIVE BOND FUND Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TYBAX	89155T573	TOUCHSTONE ANTI- BENCHMARK INTERNATIONAL CORE EQUITY FUND CLASS A	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TIABX	89155T565	TOUCHSTONE ANTI- BENCHMARK INTERNATIONAL CORE EQUITY FUND INSTL C	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TDEAX	89154X195	TOUCHSTONE ANTI-BENCHMARK U.S. CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TDECX	89154X187	TOUCHSTONE ANTI-BENCHMARK U.S. CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TDELX	89154X161	TOUCHSTONE ANTI-BENCHMARK U.S. CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	SEBLX	89154Q323	TOUCHSTONE BALANCED FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	SBACX	89154Q174	TOUCHSTONE BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	SIBLX	89154Q315	TOUCHSTONE BALANCED FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TOHAX	89154V603	TOUCHSTONE CORE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TOHCX	89154V702	TOUCHSTONE CORE MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TOHYX	89154V843	TOUCHSTONE CORE MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TOHIX	89154V835	TOUCHSTONE CORE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TMARX	89155T631	TOUCHSTONE CREDIT OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TMACX	89155T623	TOUCHSTONE CREDIT OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TMAYX	89155T615	TOUCHSTONE CREDIT OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TARBX	89155T599	TOUCHSTONE CREDIT OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TQCAX	89155T482	TOUCHSTONE DIVIDEND EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TQCCX	89155T474	TOUCHSTONE DIVIDEND EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TQCYX	89155T466	TOUCHSTONE DIVIDEND EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TOUCHSTONE FUNDS	TQCIX	89155T458	TOUCHSTONE DIVIDEND EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TDEYX	89154X179	TOUCHSTONE DYNAMIC EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TSMAX	89154Q307	TOUCHSTONE DYNAMIC GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TSMCX	89154Q406	TOUCHSTONE DYNAMIC GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TSMYX	89154Q505	TOUCHSTONE DYNAMIC GLOBAL ALLOCATION FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	FFSAX	89154Q620	TOUCHSTONE FLEXIBLE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	FRACX	89154Q612	TOUCHSTONE FLEXIBLE INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	MXIIX	89154Q596	TOUCHSTONE FLEXIBLE INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TFSLX	89154Q588	TOUCHSTONE FLEXIBLE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TFOAX	89154X245	TOUCHSTONE FOCUSED FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TFFCX	89154X237	TOUCHSTONE FOCUSED FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TFFYX	89154X229	TOUCHSTONE FOCUSED FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TFFIX	89154X211	TOUCHSTONE FOCUSED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TEQAX	89154X302	TOUCHSTONE GLOBAL ESG EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TEQCX	89154X401	TOUCHSTONE GLOBAL ESG EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TROCX	89154Q513	TOUCHSTONE GLOBAL ESG EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TIQIX	89154X633	TOUCHSTONE GLOBAL ESG EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TGVFX	89154X708	TOUCHSTONE GROWTH OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TGVCX	89154X807	TOUCHSTONE GROWTH OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TGVYX	89154X559	TOUCHSTONE GROWTH OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TGVVX	89154X542	TOUCHSTONE GROWTH OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	THYAX	89154W809	TOUCHSTONE HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	THYCX	89154W882	TOUCHSTONE HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	THYYX	89154W817	TOUCHSTONE HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	THIYX	89154W775	TOUCHSTONE HIGH YIELD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TCPAX	89155T102	TOUCHSTONE IMPACT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TPCPX	89155T201	TOUCHSTONE IMPACT BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TCPYX	89155T409	TOUCHSTONE IMPACT BOND FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TCPNX	89155T300	TOUCHSTONE IMPACT BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	SWRLX	89154Q216	TOUCHSTONE INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	SWFCX	89154Q190	TOUCHSTONE INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TOIIX	89154Q158	TOUCHSTONE INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	SIIEYX	89154Q182	TOUCHSTONE INTERNATIONAL EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TPYAX	89155H579	TOUCHSTONE INTERNATIONAL ESG EQUITY FD CL A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TPYCX	89155H561	TOUCHSTONE INTERNATIONAL ESG EQUITY FD CL C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TPYYX	89155H553	TOUCHSTONE INTERNATIONAL ESG EQUITY FD CL Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TPYIX	89155T532	TOUCHSTONE INTERNATIONAL ESG EQUITY FUND INSTL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TNSAX	89154X427	TOUCHSTONE INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TNSCX	89154X419	TOUCHSTONE INTERNATIONAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TOUCHSTONE FUNDS	TNSIX	89154X385	TOUCHSTONE INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TNSYX	89154X393	TOUCHSTONE INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	SENCX	89154Q299	TOUCHSTONE LARGE CAP FOCUSED FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	SCSCX	89154Q281	TOUCHSTONE LARGE CAP FOCUSED FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	SCRLX	89154Q273	TOUCHSTONE LARGE CAP FOCUSED FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	SICWX	89154Q265	TOUCHSTONE LARGE CAP FOCUSED FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TACLX	89154Q554	TOUCHSTONE LARGE CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TFCCX	89154Q547	TOUCHSTONE LARGE CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TLCYX	89154Q521	TOUCHSTONE LARGE CAP FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TLCIX	89154Q539	TOUCHSTONE LARGE CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TSAGX	89154Q414	TOUCHSTONE LARGE COMPANY GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TCGLX	89154Q398	TOUCHSTONE LARGE COMPANY GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	DSMLX	89154Q372	TOUCHSTONE LARGE COMPANY GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TLGYX	89154Q380	TOUCHSTONE LARGE COMPANY GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TMAPX	89155H629	TOUCHSTONE MID CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TMCJX	89155H611	TOUCHSTONE MID CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TMCPX	89155H793	TOUCHSTONE MID CAP FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TMCTX	89155H785	TOUCHSTONE MID CAP FUND CLASS Z	NTF	Z	N	4:00 PM	01
TOUCHSTONE FUNDS	TMPIX	89155T649	TOUCHSTONE MID CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TEGAX	89154X880	TOUCHSTONE MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TOECX	89154X872	TOUCHSTONE MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TEGIX	89154X526	TOUCHSTONE MID CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TCVAX	89155H413	TOUCHSTONE MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TMFCX	89155H397	TOUCHSTONE MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TCVIX	89155H389	TOUCHSTONE MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TCVYX	89155H371	TOUCHSTONE MID CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TEGYX	89154X534	TOUCHSTONE MID-CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TEGCX	89154Q133	TOUCHSTONE SANDS CAP EMERGING MARKETS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TSMGX	89154Q141	TOUCHSTONE SANDS CAPITAL EMERGING MARKETS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TSEMXX	89154Q570	TOUCHSTONE SANDS CAPITAL EMERGING MARKETS GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TSEGX	89154Q562	TOUCHSTONE SANDS CAPITAL EMERGING MARKETS GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TCDYX	89154Q125	TOUCHSTONE SANDS CAPITAL INTERNATIONAL GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TCDIX	89154M207	TOUCHSTONE SANDS CAPITAL INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	PTSGX	89155H819	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CLASS Z	NTF	Z	N	4:00 PM	01
TOUCHSTONE FUNDS	CFSIX	89155H827	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TSNAX	89155T847	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TSNCX	89155T839	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	CISGX	89155T524	TOUCHSTONE SANDS CAPITAL SELECT GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TOUCHSTONE FUNDS	TSFAX	89155H272	TOUCHSTONE SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TSFCX	89155H264	TOUCHSTONE SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TSFIX	89155H256	TOUCHSTONE SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TSFYX	89155H249	TOUCHSTONE SMALL CAP FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TVOAX	89155T821	TOUCHSTONE SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TVOCX	89155T813	TOUCHSTONE SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TVOYX	89155T789	TOUCHSTONE SMALL CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	SAGWX	89154Q257	TOUCHSTONE SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	SSCOX	89154Q240	TOUCHSTONE SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TICSX	89154Q166	TOUCHSTONE SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	SIGWX	89154Q224	TOUCHSTONE SMALL COMPANY FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TQPAX	89154M306	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TQPCX	89154M405	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TQPYX	89154M504	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TQPIX	89154M603	TOUCHSTONE STRATEGIC INCOME OPPORTUNITIES FUND INSTITUTIONAL CLAS	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TSDOX	89155H678	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FD CL Z	NTF	Z	N	4:00 PM	01
TOUCHSTONE FUNDS	TSDAX	89155T680	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TSDCX	89155T672	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	SSSGX	89155T581	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FUND CLASS S	T	S	N	4:00 PM	01
TOUCHSTONE FUNDS	TSYYX	89155T664	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TSDIX	89155T656	TOUCHSTONE ULTRA SHORT DURATION FIXED INCOME FUND INSTITUTIONAL C	T	I	N	4:00 PM	01
TOUCHSTONE FUNDS	TVLAX	89154X468	TOUCHSTONE VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TOUCHSTONE FUNDS	TVLCX	89154X450	TOUCHSTONE VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TOUCHSTONE FUNDS	TVLYX	89154X443	TOUCHSTONE VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
TOUCHSTONE FUNDS	TVLIX	89154X435	TOUCHSTONE VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	ICLAX	893957811	TRANSAMERICA ASSET ALLOCATION CONSERV. PORT. FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	ICVRX	893962787	TRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORTFOLIO FD CL R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	TACIX	893962258	TRANSAMERICA ASSET ALLOCATION CONSERVATIVE PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	ICLLX	893957779	TRANSAMERICA ASSET ALLOCATION COSERV. PORT. FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	IAAAX	893957753	TRANSAMERICA ASSET ALLOCATION GROWTH PORT. FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	IAALX	893957720	TRANSAMERICA ASSET ALLOCATION GROWTH PORT. FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	IGWRX	893962753	TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO FD CL R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	TAGIX	893962282	TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IMLAX	893957696	TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PORT. CL A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	IMLLX	893957662	TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PORT. CL C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	IMGRX	893962761	TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PORTFOLIO FD CL R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	TMGIX	893962274	TRANSAMERICA ASSET ALLOCATION MODERATE GROWTH PORTFOLIO FUND CL I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IMOLX	893957613	TRANSAMERICA ASSET ALLOCATION MODERATE PORT. FUND CL C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	IMDRX	893962779	TRANSAMERICA ASSET ALLOCATION MODERATE PORTFOLIO FD CL R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	IMOAX	893957647	TRANSAMERICA ASSET ALLOCATION MODERATE PORTFOLIO FUND CL A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TMMIX	893962266	TRANSAMERICA ASSET ALLOCATION MODERATE PORTFOLIO FUND CL I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TRANSAMERICA FUNDS	TBLRX	89360T806	TRANSAMERICA BALANCED II FUND CLASS R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	IDITX	893957258	TRANSAMERICA BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	IFLLX	893957225	TRANSAMERICA BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TFXIX	893962142	TRANSAMERICA BOND FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IALAX	893958462	TRANSAMERICA CAPITAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	ILLXX	893958439	TRANSAMERICA CAPITAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TFOIX	893962175	TRANSAMERICA CAPITAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	EMTAX	893509174	TRANSAMERICA EMERGING MARKETS DEBT FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	EMTCX	893509166	TRANSAMERICA EMERGING MARKETS DEBT FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	EMTIX	893509158	TRANSAMERICA EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TMLAX	89355J458	TRANSAMERICA ENERGY INFRASTRUCTURE FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TMCLX	89355J466	TRANSAMERICA ENERGY INFRASTRUCTURE FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TMLPX	89355J474	TRANSAMERICA ENERGY INFRASTRUCTURE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TFLAX	89355J292	TRANSAMERICA FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TFLCX	89355J284	TRANSAMERICA FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TFLIX	89355J276	TRANSAMERICA FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TBDRX	89360T863	TRANSAMERICA HIGH QUALITY BOND FUND CLASS R	T	R	N	4:00 PM	01
TRANSAMERICA FUNDS	IHIYX	893961102	TRANSAMERICA HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	INCLX	893961409	TRANSAMERICA HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TDHIX	893962159	TRANSAMERICA HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TAJEX	89356M864	TRANSAMERICA HIGH YIELD ESG FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	THAYX	89355J490	TRANSAMERICA HIGH YIELD MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	THCYX	89355J441	TRANSAMERICA HIGH YIELD MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	THYIX	89355J433	TRANSAMERICA HIGH YIELD MUNI FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TIOAX	89354D874	TRANSAMERICA INFLATION OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TIOCX	89354D866	TRANSAMERICA INFLATION OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	ITIOX	89354D858	TRANSAMERICA INFLATION OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TMBRX	89360T616	TRANSAMERICA INTERMEDIATE BOND FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TAMUX	89355J615	TRANSAMERICA INTERMEDIATE MUNI FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TCMUX	89355J599	TRANSAMERICA INTERMEDIATE MUNI FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TIMUX	89355J581	TRANSAMERICA INTERMEDIATE MUNI FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TRWAX	893509240	TRANSAMERICA INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TRWCX	893509232	TRANSAMERICA INTERNATIONAL EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TSWIX	893509224	TRANSAMERICA INTERNATIONAL EQUITY FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TRWRX	89360T574	TRANSAMERICA INTERNATIONAL EQUITY FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TGRHX	89356L478	TRANSAMERICA INTERNATIONAL FOCUS FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TGRGX	89356L460	TRANSAMERICA INTERNATIONAL FOCUS FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TISVX	89355J524	TRANSAMERICA INTERNATIONAL SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TIHAX	89360T343	TRANSAMERICA INTERNATIONAL STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TIHBX	89360T335	TRANSAMERICA INTERNATIONAL STOCK FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TWQAX	893509281	TRANSAMERICA LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TRANSAMERICA FUNDS	TWQCX	893509273	TRANSAMERICA LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TWQIX	893509265	TRANSAMERICA LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TLARX	89360T798	TRANSAMERICA LARGE CORE FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TGWRX	89360T764	TRANSAMERICA LARGE GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TLORX	89360T731	TRANSAMERICA LARGE VALUE OPPORTUNITIES FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	MCGAX	89355J383	TRANSAMERICA MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	MGTCX	89355J375	TRANSAMERICA MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	IMCGX	89355J367	TRANSAMERICA MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TMIRX	89360T541	TRANSAMERICA MID CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	MCVAX	89354D791	TRANSAMERICA MID CAP VALUE OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	MCVCX	89354D783	TRANSAMERICA MID CAP VALUE OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	MVTIX	89354D775	TRANSAMERICA MID CAP VALUE OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TOTRX	89360T517	TRANSAMERICA MID CAP VALUE OPPORTUNITIES FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TASHX	89354D601	TRANSAMERICA MULTI- ASSET INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TCSHX	89354D700	TRANSAMERICA MULTI- ASSET INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TSHIX	89354D809	TRANSAMERICA MULTI- ASSET INCOME FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IBALX	893957316	TRANSAMERICA MULTI-MANAGED BALANCED FUND CL A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TBLIX	893962167	TRANSAMERICA MULTI-MANAGED BALANCED FUND CL I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IBLLX	893957274	TRANSAMERICA MULTI-MANAGED BALANCED FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	ITAAX	893962639	TRANSAMERICA SHORT- TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TSTIX	893962134	TRANSAMERICA SHORT- TERM BOND FUND FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	ITACX	893962621	TRANSAMERICA SHORT-TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	ASGTX	89355J680	TRANSAMERICA SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	CSGTX	89355J672	TRANSAMERICA SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	ISCGX	89355J664	TRANSAMERICA SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TSPRX	89360T442	TRANSAMERICA SMALL CAP GROWTH FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TSLAX	89355J797	TRANSAMERICA SMALL CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TSLCX	89355J789	TRANSAMERICA SMALL CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TSLIX	89355J771	TRANSAMERICA SMALL CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	IIVAX	893957365	TRANSAMERICA SMALL/ MID CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	IIVLX	893957332	TRANSAMERICA SMALL/ MID CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TSVIX	893962191	TRANSAMERICA SMALL/ MID CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TSTRX	89360T715	TRANSAMERICA STOCK INDEX FUND CLASS R	T	R	Y	4:00 PM	01
TRANSAMERICA FUNDS	TAPKX	89356M302	TRANSAMERICA SUSTAINABLE BOND FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TDFAX	89355J565	TRANSAMERICA SUSTAINABLE EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TDFCX	89355J557	TRANSAMERICA SUSTAINABLE EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TDFIX	89355J540	TRANSAMERICA SUSTAINABLE EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TADAX	893962415	TRANSAMERICA U.S. GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TADCX	893962381	TRANSAMERICA U.S. GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
TRANSAMERICA FUNDS	TDEIX	893962183	TRANSAMERICA U.S. GROWTH FUND CLASS I	T	I	N	4:00 PM	01
TRANSAMERICA FUNDS	TWMTX	89355J508	TRANSAMERICA U.S. GROWTH FUND CLASS T	T	T	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
TRANSAMERICA FUNDS	TUNAX	89355J235	TRANSAMERICA UNCONSTRAINED BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
TRANSAMERICA FUNDS	TUNIX	89355J219	TRANSAMERICA UNCONSTRAINED BOND FUND CLASS I	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOBAX	89609H837	TRIBUTARY BALANCED FUND INSTITUTIONAL FUNDS	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOGRX	89609H845	TRIBUTARY GROWTH OPPORTUNITIES FUND INSTITUTIONAL FUNDS	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOGPX	89609H605	TRIBUTARY GROWTH OPPORTUNITIES FUND INSTITUTIONAL PLUS CLASS	T	P	N	4:00 PM	01
TRIBUTARY FUNDS	FOINX	89609H852	TRIBUTARY INCOME FUND INSTITUTIONAL FUNDS	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOIPX	89609H209	TRIBUTARY INCOME FUND INSTITUTIONAL PLUS CLASS	T	P	N	4:00 PM	01
TRIBUTARY FUNDS	FOSIX	89609H860	TRIBUTARY SHORT INTERMEDIATE BOND FUND INSTITUTIONAL FUNDS	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOSPX	89609H100	TRIBUTARY SHORT INTERMEDIATE BOND FUND INSTITUTIONAL PLUS CLASS	T	P	N	4:00 PM	01
TRIBUTARY FUNDS	FOSCX	89609H878	TRIBUTARY SMALL COMPANY FUND INSTITUTIONAL FUNDS	T	I	N	4:00 PM	01
TRIBUTARY FUNDS	FOSBX	89609H704	TRIBUTARY SMALL COMPANY FUND INSTITUTIONAL PLUS CLASS	T	P	N	4:00 PM	01
TWEEDY BROWNE FUNDS	TBGVX	901165100	TWEEDY BROWNE INTERNATIONAL VALUE FUND	T		N	4:00 PM	01
TWEEDY BROWNE FUNDS	TBCUX	901165407	TWEEDY BROWNE INTERNATIONAL VALUE FUND CLASS II	T		N	4:00 PM	01
TWEEDY BROWNE FUNDS	TWEBX	901165209	TWEEDY BROWNE VALUE FUND	T		N	4:00 PM	01
TWEEDY BROWNE FUNDS	TBHDX	901165308	TWEEDY BROWNE WORLDWIDE HIGH DIVIDEND YIELD VALUE FD	T		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	USLUX	90330L105	GLOBAL LUXURY GOODS FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	EUROX	90330L402	U S GLOBAL ACCOLADE EASTERN EUROPEAN FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	NEARX	911476851	U S NEAR TERM TAX FREE FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	UGSDX	911476885	U.S. GLOBAL INVESTORS U.S. GOVT ULTRA-SHORT BOND FUND	T		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	USCOX	911476828	US CHINA REGION OPPORTUNITY FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	USERX	911478105	US GLOBAL INVESTORS GOLD AND PRECIOUS METALS FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	UNWPX	911476802	US GLOBAL INVESTORS WORLD PRECIOUS MINERALS FUND	NTF		N	4:00 PM	01
U.S. GLOBAL INVESTORS FDS	PSPFX	911476208	US GLOBAL RESOURCES FUND	NTF		N	4:00 PM	01
UBS FUNDS	PASIX	69373W707	PACE ALTERNATIVE STRATEGIES FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PASPX	69373W202	PACE ALTERNATIVE STRATEGIES FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PASYX	69373W400	PACE ALTERNATIVE STRATEGIES FUND CLASS Y	T	Y	N	4:00 PM	01
UBS FUNDS	PWFAX	69373W103	PACE GLOBAL FIXED INCOME INVESTMENTS FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCGLX	69373W624	PACE GLOBAL FIXED INCOME INVESTMENTS FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PWFYX	69373W731	PACE GLOBAL FIXED INCOME INVESTMENTS FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PREAX	69373W855	PACE GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PREQX	69373W806	PACE GLOBAL REAL ESTATE SECURITIES FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PHIAX	69373W780	PACE HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PHYPX	69373W830	PACE HIGH YIELD FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PHDYX	69373W822	PACE HIGH YIELD INVESTMENTS FUND CLASS Y	T	Y	N	4:00 PM	01
UBS FUNDS	PIFAX	69373W384	PACE INTERMEDIATE FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
UBS FUNDS	PCIFX	69373W350	PACE INTERMEDIATE FIXED INCOME FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PIFYX	69373W343	PACE INTERMEDIATE FIXED INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
UBS FUNDS	PWEAX	69373W178	PACE INTERNATIONAL EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCEMX	69373W293	PACE INTERNATIONAL EMERGING MARKETS EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PWEYX	69373W285	PACE INTERNATIONAL EMERGING MARKETS EQUITY FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PWGAX	69373W277	PACE INTERNATIONAL EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCIEX	69373W244	PACE INTERNATIONAL EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PWIYX	69373W236	PACE INTERNATIONAL EQUITY FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PLAAX	69373W228	PACE LARGE COMPANY GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCLCX	69373W442	PACE LARGE COMPANY GROWTH EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PLAYX	69373W434	PACE LARGE COMPANY GROWTH EQUITY FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PCPAX	69373W459	PACE LARGE COMPANY VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCLVX	69373W491	PACE LARGE COMPANY VALUE EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PLVYX	69373W483	PACE LARGE COMPANY VALUE EQUITY FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PFXAX	69373W517	PACE MORTGAGE- BACKED SECS FIXED INCOME INVESTMENTS FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCGTX	69373W772	PACE MORTGAGE- BACKED SECS FIXED INCOME INVESTMENTS FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PFXYX	69373W392	PACE MORTGAGE- BACKED SECS FIXED INCOME INVESTMENTS FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	PMUAX	69373W525	PACE MUNICIPAL FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCMNX	69373W566	PACE MUNICIPAL FIXED INCOME FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PQUAX	69373W574	PACE SMALL/MEDIUM CO GROWTH EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCSGX	69373W632	PACE SMALL/MEDIUM CO GROWTH EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PUMYX	69373W616	PACE SMALL/MEDIUM CO GROWTH EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
UBS FUNDS	PEVAX	69373W640	PACE SMALL/MEDIUM CO VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PCSVX	69373W681	PACE SMALL/MEDIUM CO VALUE EQUITY FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	PVEYX	69373W673	PACE SMALL/MEDIUM CO VALUE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
UBS FUNDS	PBNAX	69373W699	PACE STRATEGIC FIXED INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PSFYX	69373W749	PACE STRATEGIC FIXED INCOME FUND CLASS Y	NTF	Y	N	4:00 PM	01
UBS FUNDS	BNAAX	90262H353	UBS DYNAMIC ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	BNAYX	90262H320	UBS DYNAMIC ALPHA FUND CLASS P	NTF	P	N	4:00 PM	01
UBS FUNDS	UEIPX	90267D696	UBS ENGAGE FOR IMPACT FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	BGLX	90262H627	UBS GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	BPGLX	90262H585	UBS GLOBAL ALLOCATION FUND CLASS P	NTF	P	N	4:00 PM	01
UBS FUNDS	BNIEX	90262H395	UBS INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	BNUEX	90262H361	UBS INTERNATIONAL SUSTAINABLE EQUITY FUND CLASS P	NTF	P	N	4:00 PM	01
UBS FUNDS	UMBAX	90267D845	UBS MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	UMBPX	90267D829	UBS MUNICIPAL BOND FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	UDBPX	90267D670	UBS SUSTAINABLE DEVELOPMENT BANK BOND FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	UTBAX	90267D811	UBS TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	UTBPX	90267D787	UBS TOTAL RETURN BOND FUND CLASS P	T	P	N	4:00 PM	01
UBS FUNDS	BNSCX	90262H668	UBS U S SMALL-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
UBS FUNDS	PWTAX	90262E103	UBS U.S. ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01

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UBS FUNDS	PWTYX	90262E400	UBS U.S. ALLOCATION FUND CLASS P	NTF	P	N	4:00 PM	01
UBS FUNDS	BISCX	90262H635	UBS U.S. SMALL-CAP GROWTH FUND CLASS P	NTF	P	N	4:00 PM	01
USA MUTUALS FUNDS	UNAVX	66538H294	USA MUTUALS ALL SEASONS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
USA MUTUALS FUNDS	VICAX	66538H328	USA MUTUALS VICE FUND CLASS A	NTF^	A	N	4:00 PM	01
USA MUTUALS FUNDS	VICCX	66538H310	USA MUTUALS VICE FUND CLASS C	NTF^	C	N	4:00 PM	01
USA MUTUALS FUNDS	VICVX	66538H344	USA MUTUALS VICE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
USA MUTUALS FUNDS	VICEX	66538H336	USA MUTUALS VICE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VLAIX	920395209	VALUE LINE ASSET ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VLAAX	920395100	VALUE LINE ASSET ALLOCATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VLIIX	920440203	VALUE LINE CAPITAL APPRECIATION FUND INC. INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VALIX	920440104	VALUE LINE CAPITAL APPRECIATION FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VAGIX	920394103	VALUE LINE CORE BOND FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VLLIX	920447208	VALUE LINE LARGER COMPANIES FOCUSED FUND CLASS I	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VALLX	920447109	VALUE LINE LARGER COMPANIES FOCUSED FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VLMIX	920423209	VALUE LINE MIDCAP FOCUSED FUND INSTL CLASS	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VLIFX	920423100	VALUE LINE MID-CAP FOCUSED FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VALSX	920457108	VALUE LINE SELECT GROWTH FUND	NTF	V	N	4:00 PM	01
VALUE LINE FUNDS	VILSX	920457306	VALUE LINE SELECT GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VLEIX	920454204	VALUE LINE SMALL CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
VALUE LINE FUNDS	VLEOX	920454105	VALUE LINE SMALL-CAP OPPORTUNITIES FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
VAN ECK FUNDS	COMIX	921075297	VAN ECK CM COMMODITY INDEX FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	CMCAX	921075313	VAN ECK CONSTANT MATURITY COMMODITY INDEX FUND CL A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	CMCYX	921075289	VAN ECK CONSTANT MATURITY COMMODITY INDEX FUND CL Y	T	Y	N	4:00 PM	01
VAN ECK FUNDS	EMBAX	921075271	VAN ECK EMERGING MARKETS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	GBFAX	921075875	VAN ECK EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	EMRCX	921075453	VAN ECK EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
VAN ECK FUNDS	EMRIX	921075438	VAN ECK EMERGING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	EMRYX	921075370	VAN ECK EMERGING MARKETS FUND CLASS Y	T	Y	N	4:00 PM	01
VAN ECK FUNDS	GHAAX	921075750	VAN ECK GLOBAL RESOURCES FUND CLASS A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	GHACX	921075743	VAN ECK GLOBAL RESOURCES FUND CLASS C	NTF^	C	N	4:00 PM	01
VAN ECK FUNDS	GHAIX	921075412	VAN ECK GLOBAL RESOURCES FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	GHAYX	921075362	VAN ECK GLOBAL RESOURCES FUND CLASS Y	T	Y	N	4:00 PM	01
VAN ECK FUNDS	IIGCX	921075776	VAN ECK INTERNATIONAL INVESTORS GOLD CL C	NTF^	C	N	4:00 PM	01
VAN ECK FUNDS	INIVX	921075503	VAN ECK INTERNATL GOLD FUND CLASS A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	INIIX	921075420	VAN ECK INTL INVESTORS GOLD FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	INIYX	921075388	VAN ECK INT'L INVESTORS GOLD FUND CLASS Y	T	Y	N	4:00 PM	01
VAN ECK FUNDS	MWMIX	92107P707	VAN ECK MORNINGSTAR WIDE MOAT FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	EMBUX	921075255	VANECK EMERGING MARKETS BOND FUND CLASS I	T	I	N	4:00 PM	01
VAN ECK FUNDS	EMBYX	921075248	VANECK EMERGING MARKETS BOND FUND CLASS Y	T	Y	N	4:00 PM	01
VAN ECK FUNDS	ENVAX	92107P871	VANECK ENVIRONMENTAL SUSTAINABILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VAN ECK FUNDS	ENVYX	92107P855	VANECK ENVIRONMENTAL SUSTAINABILITY FUND CLASS Y	T	Y	N	4:00 PM	01

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VANGUARD FUNDS	VFIAX	922908710	VANGUARD 500 INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VASFX	921939609	VANGUARD ALTERNATIVE FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VBAIX	921931309	VANGUARD BALANCED INDEX FUND INST CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBIAX	921931200	VANGUARD BALANCED INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VCADX	922021407	VANGUARD CA INTERMEDIATE-TERM TAX EXEMPT FD ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VCAIX	922021308	VANGUARD CA INTERMEDIATE-TERM TAX-EXEMPT FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VCITX	922021100	VANGUARD CA LONG TERM TAX EXEMPT FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VCLAX	922021506	VANGUARD CA LONG-TERM TAX EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VFTNX	921910402	VANGUARD CALVERT SOCIAL INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VCMDX	921939708	VANGUARD COMMODITY STRATEGY FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTCAI	92204A777	VANGUARD COMMUNICATIONS SERVICES INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VCDAI	92204A868	VANGUARD CONSUMER DISCRETIONARY INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VCSAX	92204A850	VANGUARD CONSUMER STAPLES INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VCOBX	922020839	VANGUARD CORE BOND FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VCORX	922020847	VANGUARD CORE BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VCPIX	922020789	VANGUARD CORE PLUS BOND FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VCPII	922020797	VANGUARD CORE PLUS BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTMGX	921943809	VANGUARD DEVELOPED MARKETS INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTMNX	921943882	VANGUARD DEVELOPED MARKETS INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VDIPX	92206J206	VANGUARD DEVELOPED MARKETS INDEX FUND INSTITUTIONAL PLUS SHARES	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VDEQX	921939401	VANGUARD DIVERSIFIED EQUITY FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VDADX	921908828	VANGUARD DIVIDEND APPRECIATION INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VDIGX	921908604	VANGUARD DIVIDEND GROWTH FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEGBX	922020813	VANGUARD EMERGING MARKETS BOND FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEMBX	922020821	VANGUARD EMERGING MARKETS BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGAVX	921946802	VANGUARD EMERGING MARKETS GOVERNMENT BOND INDEX FUND ADMIRAL S	T+		N	4:00 PM	01
VANGUARD FUNDS	VGIVX	921946703	VANGUARD EMERGING MARKETS GOVERNMENT BOND INDEX FUND INSTITUTIO	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMMSX	921939500	VANGUARD EMERGING MARKETS SELECT STOCK FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEMAX	922042841	VANGUARD EMERGING MARKETS STOCK INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEMIX	922042601	VANGUARD EMERGING MARKETS STOCK INDEX FUND INST. SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VEMRX	922042650	VANGUARD EMERGING MARKETS STOCK INDEX INSTITUTIONAL PLUS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VGENX	921908109	VANGUARD ENERGY FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VGELX	921908802	VANGUARD ENERGY FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VENAX	92204A843	VANGUARD ENERGY INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VEIRX	921921300	VANGUARD EQUITY INCOME FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VEIPX	921921102	VANGUARD EQUITY INCOME FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEUSX	922042809	VANGUARD EUROPEAN STOCK INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VESIX	922042502	VANGUARD EUROPEAN STOCK INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VEXPX	921926101	VANGUARD EXPLORER FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VEXRX	921926200	VANGUARD EXPLORER FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VEVFX	92206C748	VANGUARD EXPLORER VALUE FUND	T+		N	4:00 PM	01

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VANGUARD FUNDS	VEDIX	921910808	VANGUARD EXTENDED DURATION TREASURY INDEX FD INSTL PLUS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VEDTX	921910881	VANGUARD EXTENDED DURATION TREASURY INDEX FUND INSTITUTIONAL SHA	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VEXAX	922908694	VANGUARD EXTENDED MARKET INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VEMPX	922908371	VANGUARD EXTENDED MARKET INDEX FUND INSTITUTIONAL PLUS CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VFAIX	92204A835	VANGUARD FINANCIALS INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VFWAX	921937736	VANGUARD FTSE ALL WORLD EX-U.S. INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFSAX	922042593	VANGUARD FTSE ALL WORLD EX-U.S. SMALL CAP INDEX FUND ADMIRAL SHARE	T+		N	4:00 PM	01
VANGUARD FUNDS	VFWPX	922042635	VANGUARD FTSE ALL-WORLD EX-U.S. INDEX FUND INSTITUTIONAL PLUS CLASS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VFWSX	922042783	VANGUARD FTSE ALL-WORLD EX-US INDEX FUND INSTL CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VFTAX	921910717	VANGUARD FTSE SOCIAL INDEX FUND ADMIRAL SHARE CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGPMX	921908208	VANGUARD GLOBAL CAPITAL CYCLES FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VGCIX	92203J860	VANGUARD GLOBAL CREDIT BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGCAx	92203J852	VANGUARD GLOBAL CREDIT FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VHGEX	922038203	VANGUARD GLOBAL EQUITY FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VESGX	921908794	VANGUARD GLOBAL ESG SELECT STOCK FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VEIGX	921908810	VANGUARD GLOBAL ESG SELECT STOCK FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGRLX	922042668	VANGUARD GLOBAL EX- U.S. REAL ESTATE INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGRNX	922042684	VANGUARD GLOBAL EX-U.S. REAL ESTATE INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMNVX	921946869	VANGUARD GLOBAL MINIMUM VOLATILITY FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VMVFX	921946877	VANGUARD GLOBAL MINIMUM VOLATILITY FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VGyAX	921910741	VANGUARD GLOBAL WELLESLEY INCOME FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGWIX	921910758	VANGUARD GLOBAL WELLESLEY INCOME FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGWAX	921910766	VANGUARD GLOBAL WELLINGTON FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VGWLX	921910774	VANGUARD GLOBAL WELLINGTON FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIIX	922031307	VANGUARD GNMA FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIJX	922031794	VANGUARD GNMA FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VGIAX	921913208	VANGUARD GROWTH & INCOME FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VQNPX	921913109	VANGUARD GROWTH & INCOME FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VIGAX	922908660	VANGUARD GROWTH INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VIGIX	922908868	VANGUARD GROWTH INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VGHCX	921908307	VANGUARD HEALTH CARE FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VGHAX	921908885	VANGUARD HEALTH CARE FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VHCIX	92204A827	VANGUARD HEALTH CARE INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VHYAX	921946786	VANGUARD HIGH DIVIDEND YIELD INDEX FUND ADMIRAL SHARE CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VWEAX	922031760	VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWEHX	922031208	VANGUARD HIGH YIELD CORPORATE BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VWAHX	922907407	VANGUARD HIGH YIELD TAX EXEMPT FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWALX	922907845	VANGUARD HIGH YIELD TAX EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VIEIX	922908884	VANGUARD INDEX TRUST EXTENDED MARKET INDEX FUND INST CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITSX	922908801	VANGUARD INDEX TRUST TOTAL STOCK MARKET INDEX FUND INST CLASS	T+	I	N	4:00 PM	01

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VANGUARD FUNDS	VINAX	92204A819	VANGUARD INDUSTRIALS INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VAIPX	922031737	VANGUARD INFLATION PROTECTED FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VIPSX	922031869	VANGUARD INFLATION PROTECTED SECURITIES FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VIPIX	922031745	VANGUARD INFLATION PROTECTED SECURITIES FUND INST	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITAX	92204A793	VANGUARD INFORMATION TECH INDEX FUND ADMIRAL	T+		N	4:00 PM	01
VANGUARD FUNDS	VIIIX	922040209	VANGUARD INST INDEX INST PLUS SHARES	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VITPX	922040407	VANGUARD INST TOTAL STOCK MARKET INDEX FD INST PLUS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VITNX	922040308	VANGUARD INST TOTAL STOCK MARKET INDEX FUND INST	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VINIX	922040100	VANGUARD INSTITUTIONAL INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITVX	92202E813	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2015 INSTL FUND CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITWX	92202E797	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2020 INSTL FUND CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRIVX	92202E789	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2025 INSTL FUND CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRTX	92202E730	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2050 FUND INST CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VIVLX	92202E722	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2055 INSTL FUND CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VILVX	92202E714	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2060 INSTL FUND CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSXFX	92202E672	VANGUARD INSTITUTIONAL TARGET RETIREMENT 2065 FUND INST CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITRX	92202E698	VANGUARD INSTITUTIONAL TARGET RETIREMENT INCOME FUND	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VTTWX	92202E771	VANGUARD INSTL TARGET RETIREMENT 2030 FD INSTL CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITFX	92202E763	VANGUARD INSTL TARGET RETIREMENT 2035 FD INSTL CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VIRSX	92202E755	VANGUARD INSTL TARGET RETIREMENT 2040 FD INSTL CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VITLX	92202E748	VANGUARD INSTL TARGET RETIREMENT 2044 FD INSTL CL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBILX	921937801	VANGUARD INTERM TERM BOND INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VSIGX	92206C888	VANGUARD INTERM- TERM GOVERNMENT BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VICSX	92206C854	VANGUARD INTERMED TERM CORP BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VICBX	92206C862	VANGUARD INTERMEDIATE TERM CORP BOND INDEX FUND INSTITUTIONAL	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBIMX	921937884	VANGUARD INTERMEDIATE-TERM BOND INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VIIGX	92206C805	VANGUARD INTERMEDIATE-TERM GOVERNMENT BOND INDEX FUND CLASS I	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VFICX	922031885	VANGUARD INTERMEDIATE-TERM INVESTMENT GRADE FD INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIDX	922031810	VANGUARD INTERMEDIATE-TERM INVESTMENT GRADE FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWIUX	922907878	VANGUARD INTERMEDIATE-TERM TAX-EXEMPT ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VWITX	922907209	VANGUARD INTERMEDIATE-TERM TAX-EXEMPT FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFITX	922031802	VANGUARD INTERMEDIATE-TERM U.S. TREASURY FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VZICX	922038880	VANGUARD INTERNATIONAL CORE STOCK FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWICX	922038807	VANGUARD INTERNATIONAL CORE STOCK FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VINEX	921946208	VANGUARD INTERNATIONAL EXPLORER FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWIGX	921910204	VANGUARD INTERNATIONAL GROWTH FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWILX	921910501	VANGUARD INTERNATIONAL GROWTH FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTRIX	921939203	VANGUARD INTERNATIONAL VALUE PORTFOLIO	T+		N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VANGUARD FUNDS	VIAAX	921946844	VANGUARD INT'L DIVIDEND APPRECIATION INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VIHAX	921946828	VANGUARD INT'L HIGH DIVIDEND YIELD INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIUX	922031828	VANGUARD INT-TERM TREASURY FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VLCAx	922908579	VANGUARD LARGE CAP INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VLISX	922908561	VANGUARD LARGE CAP INDEX FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSCGX	921909305	VANGUARD LIFE STRATEGY CONSERVATIVE PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VASGX	921909503	VANGUARD LIFE STRATEGY GROWTH PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VASIX	921909206	VANGUARD LIFE STRATEGY INCOME PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VSMGX	921909404	VANGUARD LIFE STRATEGY MODERATE GROWTH PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VMLUX	922907886	VANGUARD LIMITED TAX -EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VMLTX	922907704	VANGUARD LIMITED-TERM TAX-EXEMPT FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VBLIX	921937744	VANGUARD LONG TERM BOND FUND INSTITUTIONAL PLUS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VBLAX	921937652	VANGUARD LONG TERM BOND INDEX FUND ADMIRAL SHARE CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VWLUX	922907860	VANGUARD LONG TERM TAX EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VBLLX	921937876	VANGUARD LONG-TERM BOND INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VLTCX	92206C789	VANGUARD LONG-TERM CORPORATE BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VLCIX	92206C797	VANGUARD LONG-TERM CORPORATE BOND INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VLGSX	92206C821	VANGUARD LONG-TERM GOVERNMENT BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VLGIX	92206C839	VANGUARD LONG-TERM GOVERNMENT BOND INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VWETX	922031778	VANGUARD LONG-TERM INVESTMENT GRADE FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWESX	922031109	VANGUARD LONG-TERM INVESTMENT GRADE FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VWLTX	922907308	VANGUARD LONG-TERM TAX-EXEMPT FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VUSUX	922031786	VANGUARD LONG-TERM TREASURY FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VUSTX	922031505	VANGUARD LONG-TERM U.S. TREASURY PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VPGDx	92205M200	VANGUARD MANAGED PAYOUT GROWTH AND DISTRIBUTION FD I SH	T+		N	4:00 PM	01
VANGUARD FUNDS	VMNIX	92205G203	VANGUARD MARKET NEUTRAL FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMNFX	92205G104	VANGUARD MARKET NEUTRAL FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VMATX	92204X108	VANGUARD MASSACHUSETTS TAX-FREE MUNICIPAL BOND FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VMIAX	92204A785	VANGUARD MATERIALS INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VMCTX	921910857	VANGUARD MEGA CAP 300 INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMVLX	921910824	VANGUARD MEGA CAP 300 VALUE INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMGAX	921910782	VANGUARD MEGA CAP GROWTH INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMGMX	921937728	VANGUARD MID CAP GROWTH INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VMCPX	922908389	VANGUARD MID CAP INDEX INSTITUTIONAL PLUS FUND	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VMGRX	921946307	VANGUARD MID-CAP GROWTH FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VIMAX	922908645	VANGUARD MID-CAP INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VMCIX	922908835	VANGUARD MID-CAP INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMVAX	921937694	VANGUARD MID-CAP VALUE INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VMBSX	92206C755	VANGUARD MORTGAGE- BACKED SECURITIES INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VMBIX	92206C763	VANGUARD MORTGAGE-BACKED SECS INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VNJUX	92204F305	VANGUARD N J INSURED LONG TERM TAX EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01

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VANGUARD FUNDS	VNJTX	92204F206	VANGUARD NJ LONG-TERM TAX-EXEMPT FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VNYTX	92204H103	VANGUARD NY LONG TERM TAX-EXEMPT FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VNYUX	92204H301	VANGUARD NY LONG-TERM TAX- EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VOHIX	921929204	VANGUARD OHIO LONG-TERM TAX-FREE FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VPALX	92204L302	VANGUARD PA LONG-TERM TAX -EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VPAIX	92204L104	VANGUARD PA LONG-TERM TAX-EXEMPT FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VPADX	922042700	VANGUARD PACIFIC STOCK INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VPKIX	922042403	VANGUARD PACIFIC STOCK INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VGSLX	921908877	VANGUARD REIT INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VGSNX	921908869	VANGUARD REIT INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRNIX	92206C722	VANGUARD RUSSELL 1000 INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRVIX	92206C698	VANGUARD RUSSELL 1000 VALUE INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRTIX	92206C656	VANGUARD RUSSELL 2000 INDEX FUND INSTITUTIONAL SHARES CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRTTX	92206C581	VANGUARD RUSSELL 3000 INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VRGWX	92206C672	VANGUARD RUSSELL GROWTH INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSPGX	921932604	VANGUARD S&P 500 GROWTH INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSPVX	921932802	VANGUARD S&P 500 VALUE INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSPMX	921932877	VANGUARD S&P MID-CAP 400 INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VMFVX	921932836	VANGUARD S&P MIDCAP 400 VALUE INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSGNX	921932786	VANGUARD S&P SMALL CAP 600 GROWTH INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSMVX	921932760	VANGUARD S&P SMALL CAP 600 VALUE INDEX FUND INSTITIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSMSX	921932810	VANGUARD S&P SMALL-CAP 600 INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VASVX	921946109	VANGUARD SELECTED VALUE FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VBITX	921937777	VANGUARD SHORT TERM BOND INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBIPX	921937769	VANGUARD SHORT TERM BOND INDEX FUND INSTITUTIONAL PLUS CLASS	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VFSIX	922031877	VANGUARD SHORT TERM CORP. FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBIRX	921937702	VANGUARD SHORT-TERM BOND INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VSCSX	92206C607	VANGUARD SHORT-TERM CORPORATE BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VSTBX	92206C508	VANGUARD SHORT-TERM CORPORATE BOND INDEX FUND INSTITUTIONAL SHAF	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VGBX	922031604	VANGUARD SHORT-TERM FEDERAL BOND PORTFOLIO	T+		N	4:00 PM	01
VANGUARD FUNDS	VGDGX	922031844	VANGUARD SHORT-TERM FEDERAL FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTAPX	922020706	VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES INDEX FUND ADI	T+		N	4:00 PM	01
VANGUARD FUNDS	VTSPX	922020607	VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES INDEX FUND INS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VFSTX	922031406	VANGUARD SHORT-TERM INVESTMENT GRADE FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VFSUX	922031836	VANGUARD SHORT-TERM INVESTMENT-GRADE FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWSTX	922907100	VANGUARD SHORT-TERM TAX-EXEMPT BOND FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWSUX	922907803	VANGUARD SHORT-TERM TAX-EXEMPT FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIRX	922031851	VANGUARD SHORT-TERM TREASURY FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VSBI	92206C201	VANGUARD SHORT-TERM TREASURY INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSBSX	92206C300	VANGUARD SHORT-TERM TREASURY INDEX INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01

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VANGUARD FUNDS	VFISX	922031703	VANGUARD SHORT-TERM U.S. TREASURY FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VSCIX	922908876	VANGUARD SMALL CAP INDEX FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSGAX	921937710	VANGUARD SMALL-CAP GROWTH INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VSGIX	922908819	VANGUARD SMALL-CAP GROWTH INDEX FUND INSTL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VSMAX	922908686	VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VSCPX	922908397	VANGUARD SMALL-CAP INDEX FUND INSTITUTIONAL PLUS SHARES	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VSIAX	921937686	VANGUARD SMALL-CAP VALUE INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VSIIX	922908785	VANGUARD SMALL-CAP VALUE INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VGSTX	921909107	VANGUARD STAR FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VSEQX	922038104	VANGUARD STRATEGIC EQUITY FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VSTCX	922038609	VANGUARD STRATEGIC SMALL CAP EQUITY FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTXVX	92202E300	VANGUARD TARGET RETIREMENT 2015 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTW NX	92202E805	VANGUARD TARGET RETIREMENT 2020 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTTVX	92202E409	VANGUARD TARGET RETIREMENT 2025 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTHRX	92202E888	VANGUARD TARGET RETIREMENT 2030 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTTHX	92202E508	VANGUARD TARGET RETIREMENT 2035 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFORX	92202E870	VANGUARD TARGET RETIREMENT 2040 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTIVX	92202E607	VANGUARD TARGET RETIREMENT 2045 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFIFX	92202E862	VANGUARD TARGET RETIREMENT 2050 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VFFVX	92202E847	VANGUARD TARGET RETIREMENT 2055 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTT SX	92202E839	VANGUARD TARGET RETIREMENT 2060 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VLXVX	92202E680	VANGUARD TARGET RETIREMENT 2065 FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTINX	92202E102	VANGUARD TARGET RETIREMENT INCOME FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTMF X	921943304	VANGUARD TAX- MANAGED BALANCED FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTCIX	921943601	VANGUARD TAX MANAGED CAPITAL APPRECIATION FD INST	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VTCLX	921943866	VANGUARD TAX MANAGED CAPITAL APPRECIATION FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTMSX	921943403	VANGUARD TAX- MANAGED SMALL-CAP FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTSIX	921943502	VANGUARD TAX MANAGED SMALL-CAP FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VTEAX	922907753	VANGUARD TAX-EXEMPT BOND INDEX FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VTBNX	92203C204	VANGUARD TOTAL BOND MARKET II INDEX FUND INSTITUTIONAL CLASS	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBTLX	921937603	VANGUARD TOTAL BOND MARKET INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VBTIX	921937504	VANGUARD TOTAL BOND MARKET INDEX FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VBMPX	921937785	VANGUARD TOTAL BOND MARKET INDEX FUND INSTITUTIONAL PLUS SHARES	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VTABX	92203J308	VANGUARD TOTAL INTERNATIONAL BOND INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTIFX	92203J209	VANGUARD TOTAL INTERNATIONAL BOND INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VTIAX	921909818	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTPSX	921909776	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND INST PLUS SHARES	T+	P	N	4:00 PM	01
VANGUARD FUNDS	VTSNX	921909784	VANGUARD TOTAL INTL STOCK INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VTSAX	922908728	VANGUARD TOTAL STOCK MARKET INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VSMPX	922908355	VANGUARD TOTAL STOCK MARKET INDEX FUND INSTITUTIONAL PLUS CLASS	T+	P	N	4:00 PM	01

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VANGUARD FUNDS	VTWAX	922042619	VANGUARD TOTAL WORLD STOCK INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VTWIX	922042759	VANGUARD TOTAL WORLD STOCK INDEX FUND INSTITUTIONAL SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VWUSX	921910105	VANGUARD U.S. GROWTH FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWUAX	921910600	VANGUARD U.S. GROWTH FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VFMFX	921935888	VANGUARD U.S. MULTIFACTOR FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VUBFX	922031729	VANGUARD ULTRA- SHORT TERM BOND FUND INVESTOR CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VUSFX	922031711	VANGUARD ULTRA- SHORT-TERM BOND FUND ADMIRAL CLASS	T+		N	4:00 PM	01
VANGUARD FUNDS	VUIAX	92204A769	VANGUARD UTILITIES INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VVIAX	922908678	VANGUARD VALUE INDEX FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VIVIX	922908850	VANGUARD VALUE INDEX FUND INST SHARES	T+	I	N	4:00 PM	01
VANGUARD FUNDS	VWIAX	921938205	VANGUARD WELLESLEY INCOME FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWINX	921938106	VANGUARD WELLESLEY INCOME FUND INVESTOR SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWELX	921935102	VANGUARD WELLINGTON FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWENX	921935201	VANGUARD WELLINGTON FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWNDX	922018106	VANGUARD WINDSOR FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWNEX	922018403	VANGUARD WINDSOR FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VANGUARD FUNDS	VWNFX	922018205	VANGUARD WINDSOR II FUND	T+		N	4:00 PM	01
VANGUARD FUNDS	VWNAX	922018304	VANGUARD WINDSOR II FUND ADMIRAL SHARES	T+		N	4:00 PM	01
VAUGHAN NELSON FUNDS	ADVMX	46141P552	VAUGHAN NELSON EMERGING MARKETS OPPORTUNITIES FUND INSTITUTIONAL	T	I	N	4:00 PM	01
VAUGHAN NELSON FUNDS	ADVLX	46141P412	VAUGHAN NELSON INTERNATIONAL SMALL-CAP VALUE FUND INSTITUTIONAL CL	T	I	N	4:00 PM	01
VERT FUNDS	VGSRX	56170L604	VERT GLOBAL SUSTAINABLE REAL ESTATE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VICTORY FUNDS	USSPX	903288884	USAA 500 INDEX FUND MEMBER SHARES	T		N	4:00 PM	01
VICTORY FUNDS	USPRX	903288769	USAA 500 INDEX FUND REWARD SHARES	T+		Y	4:00 PM	01
VICTORY FUNDS	USAUX	903288405	USAA AGGRESSIVE GROWTH FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UIAGX	903288686	USAA AGGRESSIVE GROWTH FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UXABX	90291A718	USAA CA BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	USCBX	903289502	USAA CALIFORNIA BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UCBIX	903287761	USAA CALIFORNIA BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USCGX	903288785	USAA CAPITAL GROWTH FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UICGX	903287811	USAA CAPITAL GROWTH FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UCAGX	903288280	USAA CORNERSTONE AGGRESSIVE FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USCCX	903288314	USAA CORNERSTONE CONSERVATIVE FUND RETAIL CLASS	T		N	4:00 PM	01
VICTORY FUNDS	UCEQX	903288272	USAA CORNERSTONE EQUITY FUND RETAIL CLASS	T		N	4:00 PM	01
VICTORY FUNDS	USBSX	903287886	USAA CORNERSTONE MODERATE FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USCRX	903287209	USAA CORNERSTONE MODERATELY AGGRESSIVE FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UCMCX	903288298	USAA CORNERSTONE MODERATELY CONSERVATIVE FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USEMX	903287803	USAA EMERGING MARKETS FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UAEMX	90291A833	USAA EMERGING MARKETS FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIEMX	903288629	USAA EMERGING MARKETS FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USMIX	903288827	USAA EXTENDED MARKET INDEX FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UIGEX	903287837	USAA GLOBAL EQUITY INCOME FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	UGEIX	903287845	USAA GLOBAL EQUITY INCOME FUND RETAIL CLASS	T		N	4:00 PM	01
VICTORY FUNDS	UGMVX	903288231	USAA GLOBAL MANAGED VOLATILITY FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UGOFX	903288710	USAA GLOBAL MANAGED VOLATILITY FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USGNX	903287506	USAA GOVERNMENT SECURITIES FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UAGNX	90291A783	USAA GOVERNMENT SECURITIES FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIGSX	903287787	USAA GOVERNMENT SECURITIES FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USGRX	903288801	USAA GROWTH & INCOME FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USGIX	90291A866	USAA GROWTH & INCOME FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIGIX	903287795	USAA GROWTH & INCOME FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USBLX	903287407	USAA GROWTH & TAX-STRATEGY FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UGTAX	903287670	USAA GROWTH AND TAX-STRATEGY FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UGTCX	903287621	USAA GROWTH AND TAX-STRATEGY FUND CLASS C	NTF^	D	N	4:00 PM	01
VICTORY FUNDS	UGTIX	903287696	USAA GROWTH AND TAX-STRATEGY FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USAAX	903288108	USAA GROWTH FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UIGRX	903288678	USAA GROWTH FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UHYOX	90291A817	USAA HIGH INCOME FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIHIX	903288579	USAA HIGH INCOME FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USHYX	903288843	USAA HIGH INCOME FUND RETAIL CLASS	NTF		N	4:00 PM	01
VICTORY FUNDS	USAIX	903288207	USAA INCOME FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UINCX	90291A791	USAA INCOME FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UCINX	903287647	USAA INCOME FUND CLASS C	NTF^	D	Y	4:00 PM	01
VICTORY FUNDS	UIINX	903288611	USAA INCOME FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USISX	903288603	USAA INCOME STOCK FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UIISX	903288660	USAA INCOME STOCK FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UIITX	903288595	USAA INTERMEDIATE TERM BOND FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USIBX	903288835	USAA INTERMEDIATE-TERM BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UITBX	90291A775	USAA INTERMEDIATE-TERM BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UITCX	903287639	USAA INTERMEDIATE-TERM BOND FUND CLASS C	NTF^	D	N	4:00 PM	01
VICTORY FUNDS	USIFX	903287308	USAA INTERNATIONAL FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UAIFX	90291A825	USAA INTERNATIONAL FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIIFX	903288637	USAA INTERNATIONAL FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USNQX	903288819	USAA NASDAQ 100 INDEX FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UANQX	903287662	USAA NASDAQ-100 INDEX FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UCNQX	903287613	USAA NASDAQ-100 INDEX FUND CLASS C	NTF^	D	N	4:00 PM	01
VICTORY FUNDS	UINQX	903287688	USAA NASDAQ-100 INDEX FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UNYIX	903287753	USAA NEW YORK BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USNYX	903289700	USAA NEW YORK TAX-EXEMPT BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UNYBX	90291A692	USAA NY BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UPMMX	90291A882	USAA PRECIOUS METALS & MINERALS FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	USAGX	903287100	USAA PRECIOUS METALS AND MINERALS FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UIPMX	903288561	USAA PRECIOUS METALS AND MINERALS FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	USSCX	903288876	USAA SCIENCE & TECHNOLOGY FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USTCX	90291A874	USAA SCIENCE & TECHNOLOGY FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	USSBX	903288702	USAA SHORT-TERM BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UASBX	90291A767	USAA SHORT-TERM BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UISBX	903288587	USAA SHORT-TERM BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UISCX	903288645	USAA SMALL CAP STOCK FUND INST CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USCAX	903288850	USAA SMALL-CAP STOCK FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USAWX	903287704	USAA SUSTAINABLE WORLD FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USWGX	90291A841	USAA SUSTAINABLE WORLD FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIWGX	903287779	USAA SUSTAINABLE WORLD FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	URTRX	903288744	USAA TARGET RETIREMENT 2030 FUND	T		N	4:00 PM	01
VICTORY FUNDS	URFRX	903288736	USAA TARGET RETIREMENT 2040 FUND	T		N	4:00 PM	01
VICTORY FUNDS	URFFX	903288728	USAA TARGET RETIREMENT 2050 FUND	T		N	4:00 PM	01
VICTORY FUNDS	URSIX	903288215	USAA TARGET RETIREMENT 2060 FUND	T		N	4:00 PM	01
VICTORY FUNDS	URINX	903288694	USAA TARGET RETIREMENT INCOME FUND	T		Y	4:00 PM	01
VICTORY FUNDS	USTEX	903289106	USAA TAX EXEMPT LONG-TERM BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	USATX	903289205	USAA TAX-EXEMPT INTERMEDIATE-TERM BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UITIX	903287720	USAA TAX-EXEMPT INTERMEDIATE-TERM BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UTEIX	90291A734	USAA TAX-EXEMPT INTERMEDIATE-TERM FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UTELX	90291A742	USAA TAX-EXEMPT LONG-TERM FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	ULTIX	903287738	USAA TAX-EXEMPT LONG-TERM FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USSTX	903289304	USAA TAX-EXEMPT SHORT-TERM BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UTESX	90291A726	USAA TAX-EXEMPT SHORT-TERM FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	USTIX	903287712	USAA TAX-EXEMPT SHORT-TERM FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UUSIX	903288199	USAA ULTRA SHORT TERM BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UUSTX	903288348	USAA ULTRA SHORT-TERM BOND FD	NTF		N	4:00 PM	01
VICTORY FUNDS	USBAX	903287654	USAA ULTRA SHORT-TERM BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UVABX	90291A759	USAA VA BOND FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UAVAX	90291A858	USAA VALUE FUND CLASS A	T	T	N	4:00 PM	01
VICTORY FUNDS	UIVAX	903288652	USAA VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	UVALX	903288777	USAA VALUE INDEX FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	UVAIX	903287746	USAA VIRGINIA BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VICTORY FUNDS	USVAX	903289874	USAA VIRGINIA TAX-EXEMPT BOND FUND	NTF		N	4:00 PM	01
VICTORY FUNDS	SRVEX	926464603	VICTORY DIVERSIFIED STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VDSCX	926464157	VICTORY DIVERSIFIED STOCK FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	VDSIX	92646A856	VICTORY DIVERSIFIED STOCK FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	GRINX	926464421	VICTORY DIVERSIFIED STOCK FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	VDSYX	92646A641	VICTORY DIVERSIFIED STOCK FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSFLX	92647K572	VICTORY FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RSFCX	92647K564	VICTORY FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSFKX	92647K556	VICTORY FLOATING RATE FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	RSFYX	92647K549	VICTORY FLOATING RATE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSNRX	92647Q678	VICTORY GLOBAL ENERGY TRANSITION FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RGNCX	92647Q660	VICTORY GLOBAL ENERGY TRANSITION FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSNKX	92647Q652	VICTORY GLOBAL ENERGY TRANSITION FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSNYX	92647Q645	VICTORY GLOBAL ENERGY TRANSITION FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSHMX	92647K531	VICTORY HIGH INCOME MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RSHCX	92647K523	VICTORY HIGH INCOME MUNICIPAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RHMYX	92647K499	VICTORY HIGH INCOME MUNICIPAL BOND FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	GUHYX	92647K481	VICTORY HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RHYCX	92647K473	VICTORY HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RHYKX	92647K465	VICTORY HIGH YIELD FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSYYX	92647K457	VICTORY HIGH YIELD FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	IPFIX	926464751	VICTORY INCORE FUND FOR INCOME CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VFFCX	926464173	VICTORY INCORE FUND FOR INCOME CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	VFFIX	92646A658	VICTORY INCORE FUND FOR INCOME CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	GGIFX	926464397	VICTORY INCORE FUND FOR INCOME CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	VFFYX	92646A625	VICTORY INCORE FUND FOR INCOME CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	SBFCX	926464538	VICTORY INCORE INVESTMENT GRADE CONVERTIBLE CL A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VICIX	92646A781	VICTORY INCORE INVESTMENT GRADE CONVERTIBLE FD CL I	T	I	N	4:00 PM	01
VICTORY FUNDS	GUIQX	92647K440	VICTORY INCORE INVESTMENT QUALITY BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RIQCX	92647K432	VICTORY INCORE INVESTMENT QUALITY BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RIQKX	92647K416	VICTORY INCORE INVESTMENT QUALITY BOND FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSQYX	92647K424	VICTORY INCORE INVESTMENT QUALITY BOND FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RLDAX	92647K390	VICTORY INCORE LOW DURATION BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RLDCX	92647K382	VICTORY INCORE LOW DURATION BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RLDKX	92647K374	VICTORY INCORE LOW DURATION BOND FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSDYX	92647K366	VICTORY INCORE LOW DURATION BOND FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MUCAX	92646A351	VICTORY INCORE TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MUCCX	92646A344	VICTORY INCORE TOTAL RETURN BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MUCYX	92646A336	VICTORY INCORE TOTAL RETURN BOND FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MMEAX	92647K606	VICTORY INTEGRITY DISCOVERY FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MMECX	92647K705	VICTORY INTEGRITY DISCOVERY FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MMERX	92647K804	VICTORY INTEGRITY DISCOVERY FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	MMEYX	92647K887	VICTORY INTEGRITY DISCOVERY FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MAIMX	92646A229	VICTORY INTEGRITY MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MCIMX	92647Q256	VICTORY INTEGRITY MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MYIMX	92646A211	VICTORY INTEGRITY MID-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MAISX	92646A195	VICTORY INTEGRITY SMALL/MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MYISX	92646A187	VICTORY INTEGRITY SMALL/MID-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	VSCVX	92647K820	VICTORY INTEGRITY SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MCVSX	92647K812	VICTORY INTEGRITY SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	MRVSX	92647K796	VICTORY INTEGRITY SMALL-CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	VSVIX	92647K770	VICTORY INTEGRITY SMALL-CAP VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	CBHAX	92647P639	VICTORY MARKET NEUTRAL INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	CBHCX	92647P647	VICTORY MARKET NEUTRAL INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	CBHIX	92647P654	VICTORY MARKET NEUTRAL INCOME FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	MGOAX	92647K879	VICTORY MUNDER MID-CAP CORE GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MGOTX	92647K861	VICTORY MUNDER MID-CAP CORE GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MMSRX	92647K853	VICTORY MUNDER MID-CAP CORE GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	MGOYX	92647K838	VICTORY MUNDER MID-CAP CORE GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MNNAX	92646A294	VICTORY MUNDER MULTI-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MNNCX	92646A286	VICTORY MUNDER MULTI-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MNNYX	92646A260	VICTORY MUNDER MULTI-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MYSGX	92647K747	VICTORY MUNDER SMALL CAP GROWTH FUND CLAS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MASCX	92647K762	VICTORY MUNDER SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MIGSX	92647K754	VICTORY MUNDER SMALL CAP GROWTH FUND I	T	I	N	4:00 PM	01
VICTORY FUNDS	VFGAX	92646A500	VICTORY NEWBRIDGE LARGE CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VFGCX	92646A708	VICTORY NEWBRIDGE LARGE CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	VFGIX	92646A666	VICTORY NEWBRIDGE LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	VFGYX	92646A583	VICTORY NEWBRIDGE LARGE CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSGGX	92647Q769	VICTORY RS GLOBAL FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RGGCX	92647Q751	VICTORY RS GLOBAL FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RGGKX	92647Q744	VICTORY RS GLOBAL FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RGGYX	92647Q736	VICTORY RS GLOBAL FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSGRX	92647K275	VICTORY RS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RGWCX	92647K267	VICTORY RS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSGKX	92647K259	VICTORY RS GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RGRYX	92647K242	VICTORY RS GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	GUBGX	92647Q728	VICTORY RS INTERNATIONAL FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RIGCX	92647Q710	VICTORY RS INTERNATIONAL FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RIGKX	92647Q694	VICTORY RS INTERNATIONAL FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSIGX	92647Q686	VICTORY RS INTERNATIONAL FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSINX	92647Q637	VICTORY RS INVESTORS FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RIVCX	92647Q629	VICTORY RS INVESTORS FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSIKX	92647Q611	VICTORY RS INVESTORS FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSIYX	92647Q595	VICTORY RS INVESTORS FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	GPAFX	92647Q587	VICTORY RS LARGE CAP ALPHA FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RCOCX	92647Q579	VICTORY RS LARGE CAP ALPHA FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RCEKX	92647Q561	VICTORY RS LARGE CAP ALPHA FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RCEYX	92647Q553	VICTORY RS LARGE CAP ALPHA FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSMOX	92647K234	VICTORY RS MID CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RMOCX	92647K226	VICTORY RS MID CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	RSMKX	92647K218	VICTORY RS MID CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RMOYX	92647K192	VICTORY RS MID CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSPFX	92647Q546	VICTORY RS PARTNERS FUND CLASS A	NTF	A	N	4:00 PM	01
VICTORY FUNDS	RSPKX	92647Q538	VICTORY RS PARTNERS FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSPYX	92647Q520	VICTORY RS PARTNERS FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSIFX	92647Q504	VICTORY RS SCIENCE AND TECH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RINCX	92647Q603	VICTORY RS SCIENCE AND TECH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RIFKX	92647Q702	VICTORY RS SCIENCE AND TECH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RIFYX	92647Q801	VICTORY RS SCIENCE AND TECH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSDGX	92647K184	VICTORY RS SELECT GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RSGFX	92647K176	VICTORY RS SELECT GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSDKX	92647K168	VICTORY RS SELECT GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSSYX	92647K150	VICTORY RS SELECT GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	GPSCX	92647K143	VICTORY RS SMALL CAP EQUITY FUND CLASS A	NTF	A	N	4:00 PM	01
VICTORY FUNDS	RSCCX	92647K135	VICTORY RS SMALL CAP EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSCKX	92647K127	VICTORY RS SMALL CAP EQUITY FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSCYX	92647K119	VICTORY RS SMALL CAP EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSEGX	92647Q108	VICTORY RS SMALL CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	REGWX	92647Q207	VICTORY RS SMALL CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSEKX	92647Q306	VICTORY RS SMALL CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSYEX	92647Q405	VICTORY RS SMALL CAP GROWTH FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	RSVAX	92647Q512	VICTORY RS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RVACX	92647Q496	VICTORY RS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSVKX	92647Q488	VICTORY RS VALUE FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSVYX	92647Q470	VICTORY RS VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MUXAX	92646A252	VICTORY S&P 500 INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MUXRX	92646A245	VICTORY S&P 500 INDEX FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	MUXYX	92646A237	VICTORY S&P 500 INDEX FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	GBEMX	92647Q843	VICTORY SOPHUS EMERGING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	REMGX	92647Q835	VICTORY SOPHUS EMERGING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	REMKX	92647Q827	VICTORY SOPHUS EMERGING MARKETS FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSENX	92647Q819	VICTORY SOPHUS EMERGING MARKETS FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	SSVSX	926464843	VICTORY SPECIAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VSVCX	926464116	VICTORY SPECIAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	VSPIX	92646A823	VICTORY SPECIAL VALUE FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	VSVGX	926464264	VICTORY SPECIAL VALUE FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	VSVYX	92646A567	VICTORY SPECIAL VALUE FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	SBALX	926464876	VICTORY STRATEGIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	VBFCX	92646A104	VICTORY STRATEGIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	VBFIX	92646A799	VICTORY STRATEGIC ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	VBFGX	926464272	VICTORY STRATEGIC ALLOCATION FUND CLASS R	T	R	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VICTORY FUNDS	RSIAX	92647K358	VICTORY STRATEGIC INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RSICX	92647K341	VICTORY STRATEGIC INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RINKX	92647K333	VICTORY STRATEGIC INCOME FUND CLASS R	T	R	N	4:00 PM	01
VICTORY FUNDS	RSRYX	92647K325	VICTORY STRATEGIC INCOME FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	VETAX	926464231	VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS A	NTF^	A	Y	4:00 PM	01
VICTORY FUNDS	VEVCX	92646A401	VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS C	NTF^	C	Y	4:00 PM	01
VICTORY FUNDS	VEVIX	92646A831	VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS I	T	I	Y	4:00 PM	01
VICTORY FUNDS	GETGX	926464371	VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS R	T	R	Y	4:00 PM	01
VICTORY FUNDS	VEVYX	92646A633	VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS Y	T	Y	Y	4:00 PM	01
VICTORY FUNDS	VSOIX	92646A815	VICTORY SYCAMORE SMALL COMPANY OPPORTUNITY FD CL I	T	I	Y	4:00 PM	01
VICTORY FUNDS	VSOYX	92646A559	VICTORY SYCAMORE SMALL COMPANY OPPORTUNITY FD CL Y	T	Y	Y	4:00 PM	01
VICTORY FUNDS	SSGSX	926464835	VICTORY SYCAMORE SMALL COMPANY OPPORTUNITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
VICTORY FUNDS	GOGFX	926464389	VICTORY SYCAMORE SMALL COMPANY OPPORTUNITY FUND CLASS R	T	R	Y	4:00 PM	01
VICTORY FUNDS	GUTEX	92647K317	VICTORY TAX-EXEMPT FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	RETCX	92647K291	VICTORY TAX-EXEMPT FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	RSTYX	92647K283	VICTORY TAX-EXEMPT FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	THBVX	92647Y101	VICTORY THB U.S. SMALL OPPORTUNITIES FUND CLASS A	T	A	N	4:00 PM	01
VICTORY FUNDS	THBIX	92647Y200	VICTORY THB U.S. SMALL OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	MAICX	92646A179	VICTORY TRIVALENT INTERNATIONAL FUND -CORE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MICCX	92646A161	VICTORY TRIVALENT INTERNATIONAL FUND -CORE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MICIX	92646A153	VICTORY TRIVALENT INTERNATIONAL FUND CORE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	MICYX	92646A146	VICTORY TRIVALENT INTERNATIONAL FUND -CORE EQUITY FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	MISIX	92647K309	VICTORY TRIVALENT INTERNATIONAL SM CAP FUND CLASS I	T	I	N	4:00 PM	01
VICTORY FUNDS	MISAX	92647K101	VICTORY TRIVALENT INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	MCISX	92647K200	VICTORY TRIVALENT INTERNATIONAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	MYSIX	92647K507	VICTORY TRIVALENT INTERNATIONAL SMALL-CAP FUND CLASS Y	T	Y	N	4:00 PM	01
VICTORY FUNDS	CUHAX	92647P266	VICTORY U.S. 500 ENHANCED VOLATILITY WTD INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
VICTORY FUNDS	CUHCX	92647P241	VICTORY U.S. 500 ENHANCED VOLATILITY WTD INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
VICTORY FUNDS	CUHIX	92647P258	VICTORY U.S. 500 ENHANCED VOLATILITY WTD INDEX FUND CLASS I	T	I	N	4:00 PM	01
VILLERE FUNDS	VILLX	742935539	VILLERE BALANCED FUND INVESTOR CLASS	T		N	4:00 PM	01
VILLERE FUNDS	VLEQX	74316J391	VILLERE EQUITY FUND	T		N	4:00 PM	01
VIRTUS FUNDS	ANNAX	92838V841	VIRTUS ALLIANZGI CONVERTIBLE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	ANZAX	92838V791	VIRTUS ALLIANZGI CONVERTIBLE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	ANZCX	92838V817	VIRTUS ALLIANZGI CONVERTIBLE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ANCMX	92838V106	VIRTUS ALLIANZGI CONVERTIBLE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ANNPX	92838V833	VIRTUS ALLIANZGI CONVERTIBLE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	ACKPX	92838V452	VIRTUS ALLIANZGI CORE PLUS BOND FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ACKIX	92838V437	VIRTUS ALLIANZGI CORE PLUS BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AOTAX	92837N808	VIRTUS ALLIANZGI EMERGING MARKETS OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AOTCX	92837N881	VIRTUS ALLIANZGI EMERGING MARKETS OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AEMPX	92837N857	VIRTUS ALLIANZGI EMERGING MARKETS OPPORTUNITIES FUND CLASS P	T	P	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	AOTIX	92837N840	VIRTUS ALLIANZGI EMERGING MARKETS OPPORTUNITIES FUND INST CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	PGFAX	92837N758	VIRTUS ALLIANZGI FOCUSED GROWTH FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PGWAX	92837N824	VIRTUS ALLIANZGI FOCUSED GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PGWCX	92837N816	VIRTUS ALLIANZGI FOCUSED GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AOGPX	92837N774	VIRTUS ALLIANZGI FOCUSED GROWTH FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	PGFIX	92837N766	VIRTUS ALLIANZGI FOCUSED GROWTH FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AGAMX	92838V742	VIRTUS ALLIANZGI GLOBAL ALLOCATION FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PALAX	92838V767	VIRTUS ALLIANZGI GLOBAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PALCX	92838V775	VIRTUS ALLIANZGI GLOBAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AGAPX	92838V726	VIRTUS ALLIANZGI GLOBAL ALLOCATION FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	PALLX	92838V759	VIRTUS ALLIANZGI GLOBAL ALLOCATION FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AGFAX	92838V692	VIRTUS ALLIANZGI GLOBAL DYNAMIC ALLOCATION FUND ADMINISTRATIVE CLAS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	ASGAX	92838V783	VIRTUS ALLIANZGI GLOBAL DYNAMIC ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	ASACX	92838V718	VIRTUS ALLIANZGI GLOBAL DYNAMIC ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AGSPX	92838V668	VIRTUS ALLIANZGI GLOBAL DYNAMIC ALLOCATION FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AGAIX	92838V684	VIRTUS ALLIANZGI GLOBAL DYNAMIC ALLOCATION FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	RGSAX	92837N741	VIRTUS ALLIANZGI GLOBAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	RGSCX	92837N733	VIRTUS ALLIANZGI GLOBAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ARSPX	92837N691	VIRTUS ALLIANZGI GLOBAL SMALL-CAP FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	DGSCX	92837N683	VIRTUS ALLIANZGI GLOBAL SMALL-CAP FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	ASUAX	92838V650	VIRTUS ALLIANZGI GLOBAL SUSTAINABILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	ASTPX	92838V635	VIRTUS ALLIANZGI GLOBAL SUSTAINABILITY FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ASTNX	92838V627	VIRTUS ALLIANZGI GLOBAL SUSTAINABILITY FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	RAGHX	92837N667	VIRTUS ALLIANZGI HEALTH SCIENCES FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	RCGHX	92837N659	VIRTUS ALLIANZGI HEALTH SCIENCES FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AAAEX	92837N626	VIRTUS ALLIANZGI HEALTH SCIENCES FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	HLHIX	92837N618	VIRTUS ALLIANZGI HEALTH SCIENCES FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AYBVX	92838V809	VIRTUS ALLIANZGI HIGH YIELD BOND FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	AYBAX	92838V858	VIRTUS ALLIANZGI HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AYBCX	92838V866	VIRTUS ALLIANZGI HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AYBPX	92838V882	VIRTUS ALLIANZGI HIGH YIELD BOND FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AYBIX	92838V700	VIRTUS ALLIANZGI HIGH YIELD BOND FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AZNAX	92837N584	VIRTUS ALLIANZGI INCOME & GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AZNCX	92837N576	VIRTUS ALLIANZGI INCOME & GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AIGPX	92837N543	VIRTUS ALLIANZGI INCOME & GROWTH FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AZNIX	92837N535	VIRTUS ALLIANZGI INCOME & GROWTH FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AOPAX	92838V601	VIRTUS ALLIANZGI INTERNATIONAL SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AOPCX	92838V502	VIRTUS ALLIANZGI INTERNATIONAL SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ALOPX	92838V304	VIRTUS ALLIANZGI INTERNATIONAL SMALL-CAP FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ALOIX	92838V205	VIRTUS ALLIANZGI INTERNATIONAL SMALL-CAP FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	DRMAX	92837N287	VIRTUS ALLIANZGI MID-CAP GROWTH FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	RMDAX	92837N352	VIRTUS ALLIANZGI MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	RMDCX	92837N345	VIRTUS ALLIANZGI MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ARMPX	92837N311	VIRTUS ALLIANZGI MID-CAP GROWTH FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	DRMCX	92837N295	VIRTUS ALLIANZGI MID-CAP GROWTH FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	APUPX	92838V593	VIRTUS ALLIANZGI PREFERRED SECURITIES AND INCOME FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	APEIX	92838V619	VIRTUS ALLIANZGI PREFERRED SECURITIES AND INCOME FUND INST CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	ASHAX	92838V544	VIRTUS ALLIANZGI SHORT DURATION HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	ASHCX	92838V536	VIRTUS ALLIANZGI SHORT DURATION HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ASHPX	92838V528	VIRTUS ALLIANZGI SHORT DURATION HIGH INCOME FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ASHIX	92838V510	VIRTUS ALLIANZGI SHORT DURATION HIGH INCOME FUND INSTITUTIONAL CLAS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AZBAX	92837N196	VIRTUS ALLIANZGI SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AZBCX	92837N188	VIRTUS ALLIANZGI SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AZBPX	92837N154	VIRTUS ALLIANZGI SMALL-CAP FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AZBIX	92837N147	VIRTUS ALLIANZGI SMALL-CAP FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	DGTAX	92837Q835	VIRTUS ALLIANZGI TECHNOLOGY FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	RAGTX	92837Q801	VIRTUS ALLIANZGI TECHNOLOGY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	RCGTX	92837Q884	VIRTUS ALLIANZGI TECHNOLOGY FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ARTPX	92837Q850	VIRTUS ALLIANZGI TECHNOLOGY FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	DRGTX	92837Q843	VIRTUS ALLIANZGI TECHNOLOGY FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AWTAX	92838V494	VIRTUS ALLIANZGI WATER FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AWTCX	92838V486	VIRTUS ALLIANZGI WATER FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AWTPX	92838V478	VIRTUS ALLIANZGI WATER FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AWTIX	92838V460	VIRTUS ALLIANZGI WATER FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	SVIIX	92837F524	VIRTUS CEREDEx LARGE-CAP VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SVIFX	92837F516	VIRTUS CEREDEx LARGE-CAP VALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	STVTX	92837F490	VIRTUS CEREDEx LARGE-CAP VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SMVTX	92837F458	VIRTUS CEREDEx MID CAP VALUE EQUITY FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SAMVX	92837F474	VIRTUS CEREDEx MID-CAP VALUE EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SMVFX	92837F466	VIRTUS CEREDEx MID-CAP VALUE EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SASVX	92837F433	VIRTUS CEREDEx SMALL-CAP VALUE EQUITY FUND CLASS A	NTF^	A	Y	4:00 PM	01
VIRTUS FUNDS	STCEX	92837F425	VIRTUS CEREDEx SMALL-CAP VALUE EQUITY FUND CLASS C	NTF^	C	Y	4:00 PM	01
VIRTUS FUNDS	SCETX	92837F417	VIRTUS CEREDEx SMALL-CAP VALUE EQUITY FUND CLASS I	T	I	Y	4:00 PM	01
VIRTUS FUNDS	PXRIX	92828R735	VIRTUS DUFF & INTERNATIONAL REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PGUAX	92828R826	VIRTUS DUFF & PHELPS GLOBAL INFRASTRUCTURE FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PGUCX	92828R818	VIRTUS DUFF & PHELPS GLOBAL INFRASTRUCTURE FD CL C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PGIUX	92828R792	VIRTUS DUFF & PHELPS GLOBAL INFRASTRUCTURE FD CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VGSAX	92828R412	VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VGSCX	92828R396	VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VGISX	92828R388	VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITIES FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PXRAX	92828R750	VIRTUS DUFF & PHELPS INT'L REAL ESTATE SECURITIES FUND CLASS A	NTF^	A	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	PXRCX	92828R743	VIRTUS DUFF & PHELPS INTN'L REAL ESTATE SECURITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PDPAX	92828R875	VIRTUS DUFF & PHELPS REAL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PDPCX	92828R867	VIRTUS DUFF & PHELPS REAL ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VADIX	92828R313	VIRTUS DUFF & PHELPS REAL ASSET FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PHRAX	92828R586	VIRTUS DUFF & PHELPS REAL ESTATE SECURITIES FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PHRCX	92828R560	VIRTUS DUFF & PHELPS REAL ESTATE SECURITIES FD CL C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PHRIX	92828R552	VIRTUS DUFF & PHELPS REAL ESTATE SECURITIES FD CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VLPAX	92835M729	VIRTUS DUFF & PHELPS SELECT MLP AND ENERGY FUND A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VLPCX	92835M711	VIRTUS DUFF & PHELPS SELECT MLP AND ENERGY FUND C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VLPIX	92835M695	VIRTUS DUFF & PHELPS SELECT MLP AND ENERGY FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VAPAX	92828R255	VIRTUS FORT TREND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VAPCX	92828R248	VIRTUS FORT TREND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VAPIX	92828R230	VIRTUS FORT TREND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PSTAX	92828N510	VIRTUS KAR CAPITAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SSTFX	92828N486	VIRTUS KAR CAPITAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PLXGX	92828N478	VIRTUS KAR CAPITAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VDMAX	92828Y664	VIRTUS KAR DEVELOPING MARKETS FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VDMCX	92828Y656	VIRTUS KAR DEVELOPING MARKETS FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIDMX	92828Y649	VIRTUS KAR DEVELOPING MARKETS FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VAESX	92828W627	VIRTUS KAR EMERGING MARKETS SMALL-CAP FUND A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VCESX	92828W619	VIRTUS KAR EMERGING MARKETS SMALL-CAP FUND C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIEXS	92828W593	VIRTUS KAR EMERGING MARKETS SMALL-CAP FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PDIAX	92828N874	VIRTUS KAR EQUITY INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PGICX	92828N858	VIRTUS KAR EQUITY INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PXIIX	92828N841	VIRTUS KAR EQUITY INCOME FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PPTAX	92828N460	VIRTUS KAR GLOBAL QUALITY DIVIDEND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PPTCX	92828N452	VIRTUS KAR GLOBAL QUALITY DIVIDEND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PIPTX	92828N445	VIRTUS KAR GLOBAL QUALITY DIVIDEND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VISAX	92828W841	VIRTUS KAR INTERNATIONAL SMALL/MID-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VCISX	92828W833	VIRTUS KAR INTERNATIONAL SMALL/MID-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIISX	92828W825	VIRTUS KAR INTERNATIONAL SMALL/MID-CAP FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VLSAX	92835M539	VIRTUS KAR LONG SHORT EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VLSCX	92835M521	VIRTUS KAR LONG SHORT EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VLSIX	92835M513	VIRTUS KAR LONG SHORT EQUITY FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VMACX	92828N114	VIRTUS KAR MID-CAP CORE FUND A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VMCCX	92828N122	VIRTUS KAR MID-CAP CORE FUND C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIMCX	92828N130	VIRTUS KAR MID-CAP CORE FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PHSKX	92828N767	VIRTUS KAR MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PSKCX	92828N742	VIRTUS KAR MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PICMX	92828N734	VIRTUS KAR MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PKSAX	92828N551	VIRTUS KAR SMALL- CAP CORE FUND CLASS A	NTF^	A	Y	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	PKSCX	92828N536	VIRTUS KAR SMALL- CAP CORE FUND CLASS C	NTF^	C	Y	4:00 PM	01
VIRTUS FUNDS	PKSFX	92828N528	VIRTUS KAR SMALL- CAP CORE FUND CLASS I	T	I	Y	4:00 PM	01
VIRTUS FUNDS	PSGAX	92828N627	VIRTUS KAR SMALL- CAP GROWTH FUND CLASS A	NTF^	A	Y	4:00 PM	01
VIRTUS FUNDS	PSGCX	92828N619	VIRTUS KAR SMALL- CAP GROWTH FUND CLASS C	NTF^	C	Y	4:00 PM	01
VIRTUS FUNDS	PXSGX	92828N593	VIRTUS KAR SMALL- CAP GROWTH FUND CLASS I	T	I	Y	4:00 PM	01
VIRTUS FUNDS	PQSAX	92828N684	VIRTUS KAR SMALL- CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PQSCX	92828N676	VIRTUS KAR SMALL- CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VKSAX	92828N221	VIRTUS KAR SMALL MID CAP CORE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VKSCX	92828N213	VIRTUS KAR SMALL MID CAP CORE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VKSIX	92828N197	VIRTUS KAR SMALL MID CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PXQSX	92828N668	VIRTUS KAR SMALL- SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VAKSX	92836N817	VIRTUS KAR SMALL/MID-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VCKSX	92836N791	VIRTUS KAR SMALL/MID-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIKSX	92836N783	VIRTUS KAR SMALL/MID-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VKSDX	92836N767	VIRTUS KAR SMALL/MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VKSEX	92836N759	VIRTUS KAR SMALL/MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VKSFX	92836N742	VIRTUS KAR SMALL/MID-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SAVAX	92828R107	VIRTUS NEWFLEET CORE PLUS BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SAVCX	92828R305	VIRTUS NEWFLEET CORE PLUS BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SAVYX	92828R404	VIRTUS NEWFLEET CORE PLUS BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PHCHX	92828R784	VIRTUS NEWFLEET HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PGHCX	92828R768	VIRTUS NEWFLEET HIGH YIELD FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PHCIX	92828W767	VIRTUS NEWFLEET HIGH YIELD FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	HIMZX	92828W346	VIRTUS NEWFLEET LOW DURATION CORE PLUS BOND FUND CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PCMZX	92828W338	VIRTUS NEWFLEET LOW DURATION CORE PLUS BOND FUND CL C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	HIBIX	92828W320	VIRTUS NEWFLEET LOW DURATION CORE PLUS BOND FUND CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	NAMFX	92828R677	VIRTUS NEWFLEET MULTI-SECTOR INTERMEDIATE BOND FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	NCMFX	92828R651	VIRTUS NEWFLEET MULTI-SECTOR INTERMEDIATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VMFIX	92828R289	VIRTUS NEWFLEET MULTI-SECTOR INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	NARAX	92828R644	VIRTUS NEWFLEET MULTI-SECTOR SHORT TERM BOND FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PMSTX	92828R594	VIRTUS NEWFLEET MULTI-SECTOR SHORT TERM BOND FD CL C1	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PIMSX	92828R610	VIRTUS NEWFLEET MULTI-SECTOR SHORT TERM BOND FD CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	PSFRX	92828R545	VIRTUS NEWFLEET SENIOR FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PFSRX	92828R537	VIRTUS NEWFLEET SENIOR FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	PSFIX	92828R529	VIRTUS NEWFLEET SENIOR FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	HXBZX	92828W312	VIRTUS NEWFLEET TAX-EXEMPT BOND FUND A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PXCZX	92828W296	VIRTUS NEWFLEET TAX-EXEMPT BOND FUND C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	HXBIX	92828W288	VIRTUS NEWFLEET TAX-EXEMPT BOND FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	ANDAX	92837N709	VIRTUS NFJ DIVIDEND VALUE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PNEAX	92837N105	VIRTUS NFJ DIVIDEND VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PNECX	92837N204	VIRTUS NFJ DIVIDEND VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	ADJPX	92837N501	VIRTUS NFJ DIVIDEND VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	NFJEX	92837N600	VIRTUS NFJ DIVIDEND VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AZMAX	92838V395	VIRTUS NFJ EMERGING MARKETS VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AZMCX	92838V387	VIRTUS NFJ EMERGING MARKETS VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AZMPX	92838V379	VIRTUS NFJ EMERGING MARKETS VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	AZMIX	92838V361	VIRTUS NFJ EMERGING MARKETS VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	AIVAX	92837N444	VIRTUS NFJ INTERNATIONAL VALUE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	AFJAX	92837N519	VIRTUS NFJ INTERNATIONAL VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	AFJCX	92837N493	VIRTUS NFJ INTERNATIONAL VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	AFVPX	92837N469	VIRTUS NFJ INTERNATIONAL VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ANJIX	92837N451	VIRTUS NFJ INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	ALNFX	92837N360	VIRTUS NFJ LARGE-CAP VALUE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PNBAX	92837N436	VIRTUS NFJ LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PNBCX	92837N428	VIRTUS NFJ LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ALCPX	92837N386	VIRTUS NFJ LARGE-CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	ANVIX	92837N378	VIRTUS NFJ LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	PRAAX	92837N212	VIRTUS NFJ MID-CAP VALUE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PQNAX	92837N279	VIRTUS NFJ MID-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PQNCX	92837N261	VIRTUS NFJ MID-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ANRPX	92837N238	VIRTUS NFJ MID-CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	PRNIX	92837N220	VIRTUS NFJ MID-CAP VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	PVADX	92837Q702	VIRTUS NFJ SMALL-CAP VALUE FUND ADMINISTRATIVE CLASS	T+	E	N	4:00 PM	01
VIRTUS FUNDS	PCVAX	92837Q108	VIRTUS NFJ SMALL-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PCVCX	92837Q207	VIRTUS NFJ SMALL-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	ASVPX	92837Q504	VIRTUS NFJ SMALL-CAP VALUE FUND CLASS P	T	P	N	4:00 PM	01
VIRTUS FUNDS	PSVIX	92837Q603	VIRTUS NFJ SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	S	N	4:00 PM	01
VIRTUS FUNDS	STGIX	92837F102	VIRTUS SEIX CORE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	STIGX	92837F300	VIRTUS SEIX CORE BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SAINX	92837F508	VIRTUS SEIX CORPORATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	STIFX	92837F607	VIRTUS SEIX CORPORATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	STICX	92837F706	VIRTUS SEIX CORPORATE BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SFRCX	92837F771	VIRTUS SEIX FLOATING RATE HIGH HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SFRAX	92837F789	VIRTUS SEIX FLOATING RATE HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SAMBX	92837F763	VIRTUS SEIX FLOATING RATE HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SFLTXX	92837F631	VIRTUS SEIX HIGH GRADE MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SCFTX	92837F623	VIRTUS SEIX HIGH GRADE MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	STHTX	92837F722	VIRTUS SEIX HIGH INCOME FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	HYPXX	92837F698	VIRTUS SEIX HIGH YIELD FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SAMHX	92837F672	VIRTUS SEIX HIGH YIELD FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SISIX	92837F615	VIRTUS SEIX INVESTMENT GRADE TAX-EXEMPT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	STTBX	92837F599	VIRTUS SEIX INVESTMENT GRADE TAX-EXEMPT BOND FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	STSBX	92837F854	VIRTUS SEIX SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SCBSX	92837F847	VIRTUS SEIX SHORT TERM BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SSBTX	92837F839	VIRTUS SEIX SHORT TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SMMAX	92837F565	VIRTUS SEIX SHORT TERM MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	CMDTX	92837F557	VIRTUS SEIX SHORT TERM MUNICIPAL BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	CBPSX	92837F805	VIRTUS SEIX TOTAL RETURN BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SAMFX	92837F870	VIRTUS SEIX TOTAL RETURN BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SSAGX	92837X681	VIRTUS SEIX U.S. GOVN SECURITIES ULTRA-SHORT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SIGVX	92837F821	VIRTUS SEIX U.S. GOVT SECURITIES ULTRA-SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SLTMX	92837F185	VIRTUS SEIX U.S. MORTGAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SCLFX	92837F177	VIRTUS SEIX U.S. MORTGAGE FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SLMTX	92837F169	VIRTUS SEIX U.S. MORTGAGE FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SASSX	92837X673	VIRTUS SEIX ULTRA SHORT BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SISSX	92837F797	VIRTUS SEIX ULTRA SHORT BOND FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VAEGX	92836N601	VIRTUS SGA EMERGING MARKETS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VCEGX	92836N700	VIRTUS SGA EMERGING MARKETS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VIEGX	92836N809	VIRTUS SGA EMERGING MARKETS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SGAAX	92836N106	VIRTUS SGA GLOBAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SGACX	92836N205	VIRTUS SGA GLOBAL GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	SGAPX	92836N304	VIRTUS SGA GLOBAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SCIIX	92837F284	VIRTUS SGA INTERNATIONAL GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	STITX	92837F276	VIRTUS SGA INTERNATIONAL GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	VNLAX	92836N858	VIRTUS SGA NEW LEADERS GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VNLCX	92836N841	VIRTUS SGA NEW LEADERS GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VNLIX	92836N833	VIRTUS SGA NEW LEADERS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	STCIX	92837F391	VIRTUS SILVANT LARGE-CAP GROWTH STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	STCAX	92837F375	VIRTUS SILVANT LARGE-CAP GROWTH STOCK FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SCGIX	92837F359	VIRTUS SILVANT SMALL-CAP GROWTH STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SSCTX	92837F334	VIRTUS SILVANT SMALL-CAP GROWTH STOCK FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	NAINX	92828N791	VIRTUS TACTICAL ALLOCATION FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	POICX	92828N775	VIRTUS TACTICAL ALLOCATION FUND CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VTAIX	92836N502	VIRTUS TACTICAL ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	HEMZX	92828W387	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	PICEX	92828W379	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	HIEMX	92828W361	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND I	T	I	N	4:00 PM	01
VIRTUS FUNDS	JVIAX	92828R859	VIRTUS VONTOBEL FOREIGN OPPORTUNITIES FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	JVICX	92828R842	VIRTUS VONTOBEL FOREIGN OPPORTUNITIES FD CL C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	JVXIX	92828R834	VIRTUS VONTOBEL FOREIGN OPPORTUNITIES FD CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	WWOCX	92828R420	VIRTUS VONTOBEL GLOBAL OPPORTUNITIES FUNC CLASS C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	NWVOX	92828R446	VIRTUS VONTOBEL GLOBAL OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	WVOIX	92828W775	VIRTUS VONTOBEL GLOBAL OPPORTUNITIES FUND I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VIRTUS FUNDS	VGEAX	92828R347	VIRTUS VONTOBEL GREATER EUROPEAN OPPORTUNITY FD CL A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	VGECX	92828R339	VIRTUS VONTOBEL GREATER EUROPEAN OPPORTUNITY FD CL C	NTF^	C	N	4:00 PM	01
VIRTUS FUNDS	VGEIX	92828R321	VIRTUS VONTOBEL GREATER EUROPEAN OPPORTUNITY FD CL I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SAGAX	92837F318	VIRTUS ZEVENBERGEN INNOVATIVE GROWTH STOCK FUND CLASS A	NTF^	A	N	4:00 PM	01
VIRTUS FUNDS	SCATX	92837F292	VIRTUS ZEVENBERGEN INNOVATIVE GROWTH STOCK FUND CLASS I	T	I	N	4:00 PM	01
VIRTUS FUNDS	SAHIX	92837F748	VIRTUSSEIX HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VIVALDI FUNDS	VARAX	46141T877	FIRST TRUST MERGER ARBITRAGE FUND CLASS A	NTF^	A	N	4:00 PM	01
VIVALDI FUNDS	VARBX	46141T869	FIRST TRUST MERGER ARBITRAGE FUND CLASS I	T	I	N	4:00 PM	01
VIVALDI FUNDS	OMOAX	46141T687	FIRST TRUST MULTI-STRATEGY FUND CLASS A	NTF^	A	N	4:00 PM	01
VIVALDI FUNDS	OMOIX	46141T679	FIRST TRUST MULTI-STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYCAX	92913K298	VOYA CORPORATE LEADERS 100 FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VYCBX	92913K280	VOYA CORPORATE LEADERS 100 FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	VYCFX	92913K249	VOYA CORPORATE LEADERS 100 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VYCIX	92913K215	VOYA CORPORATE LEADERS 100 FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VYCCX	92913K272	VOYA CORPORATE LEADERS 100 FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VOYA FUNDS	LEXCX	92912M105	VOYA CORPORATE LEADERS TRUST FUND SERIES B	T		N	4:00 PM	01
VOYA FUNDS	IEMHX	92913W714	VOYA EMERGING MARKETS EQUITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IEMJX	92913W722	VOYA EMERGING MARKETS EQUITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IEMGX	92913W680	VOYA EMERGING MARKETS EQUITY FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IEMLX	92913W748	VOYA EMERGING MARKETS EQUITY FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VMHAX	92913M336	VOYA EMERGING MARKETS HARD CCY DBT FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VMHXX	92913M880	VOYA EMERGING MARKETS HARD CCY DBT FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VEMWX	92913M328	VOYA EMERGING MARKETS HARD CCY DBT FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IFRAX	92913L791	VOYA FLOATING RATE FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IFRCX	92913L817	VOYA FLOATING RATE FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IFRIX	92913L825	VOYA FLOATING RATE FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IFRRX	92913L841	VOYA FLOATING RATE FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IFRWX	92913L833	VOYA FLOATING RATE FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	INGBX	92914A828	VOYA GLOBAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IGBCX	92914A844	VOYA GLOBAL BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IGBIX	92914A851	VOYA GLOBAL BOND FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IGBRX	92913W649	VOYA GLOBAL BOND FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IGBWX	92913W508	VOYA GLOBAL BOND FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VYGQX	92914A463	VOYA GLOBAL DIVERSIFIED PAYMENT FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VYGRX	92914A455	VOYA GLOBAL DIVERSIFIED PAYMENT FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	VYGSX	92914A448	VOYA GLOBAL DIVERSIFIED PAYMENT FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYGTX	92914A430	VOYA GLOBAL DIVERSIFIED PAYMENT FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VYGWX	92914A398	VOYA GLOBAL DIVERSIFIED PAYMENT FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	NAWGX	92913X811	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	NAWCX	92913X837	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	NAWIX	92914A752	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VOYA FUNDS	IGVWX	92913W706	VOYA GLOBAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VYGJX	92913K199	VOYA GLOBAL MULTI-ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VYGKX	92913K181	VOYA GLOBAL MULTI-ASSET FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	VYGLX	92913K173	VOYA GLOBAL MULTI-ASSET FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYGPX	92913K132	VOYA GLOBAL MULTI-ASSET FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IAPVX	92913W862	VOYA GLOBAL PERSPECTIVES FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	ICPVX	92913W870	VOYA GLOBAL PERSPECTIVES FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IIPVX	92913W888	VOYA GLOBAL PERSPECTIVES FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IRPVX	92913W805	VOYA GLOBAL PERSPECTIVES FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IWPVX	92913W607	VOYA GLOBAL PERSPECTIVES FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	LEXNX	92913L692	VOYA GNMA INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	LEGNX	92913L726	VOYA GNMA INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	LEINX	92913L734	VOYA GNMA INCOME FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IGMWX	92913L767	VOYA GNMA INCOME FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IHYAX	92913L627	VOYA HIGH YIELD BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IMYCX	92913L643	VOYA HIGH YIELD BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IHYIX	92913L783	VOYA HIGH YIELD BOND FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IRSTX	92913L544	VOYA HIGH YIELD BOND FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IHYWX	92913L866	VOYA HIGH YIELD BOND FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IIBAX	92913L650	VOYA INTERMEDIATE BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IICCX	92913L676	VOYA INTERMEDIATE BOND FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IICIX	92913L684	VOYA INTERMEDIATE BOND FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IIBOX	92913L742	VOYA INTERMEDIATE BOND FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IIBWX	92913L775	VOYA INTERMEDIATE BOND FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VGLAX	92913W573	VOYA INTERNATIONAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VGLIX	92913W565	VOYA INTERNATIONAL HIGH DIVIDEND LOW VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	NTKLX	92913X779	VOYA INTERNATIONAL SMALL CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	NARCX	92913X795	VOYA INTERNATIONAL SMALL CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	NAPIX	92914A760	VOYA INTERNATIONAL SMALL CAP FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	ISCWX	92914A307	VOYA INTERNATIONAL SMALL CAP FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VACFX	92913M484	VOYA INVESTMENT GRADE CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VIGWX	92913M286	VOYA INVESTMENT GRADE CREDIT FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VIGCX	92913M476	VOYA INVESTMENT GRADE CREDIT FUND I	T	I	N	4:00 PM	01
VOYA FUNDS	IEDAX	92913K645	VOYA LARGE CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IEDCX	92913K678	VOYA LARGE CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IEDIX	92913K686	VOYA LARGE CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IEDRX	92913K751	VOYA LARGE CAP VALUE FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IWEDX	92913K736	VOYA LARGE CAP VALUE FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	NLCAX	92913K801	VOYA LARGE-CAP GROWTH FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	NLCCX	92913K603	VOYA LARGE-CAP GROWTH FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	PLCIX	92913K504	VOYA LARGE-CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VOYA FUNDS	VGORX	92913K561	VOYA LARGE-CAP GROWTH FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IGOWX	92913K579	VOYA LARGE-CAP GROWTH FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	NMCAX	92913K884	VOYA MID-CAP OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	NMCCX	92913K868	VOYA MID-CAP OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	NMCIX	92913K850	VOYA MID-CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IMORX	92913K769	VOYA MIDCAP OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IMOWX	92913K744	VOYA MIDCAP OPPORTUNITIES FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VYMQX	92918A105	VOYA MID-CAP RESEARCH ENHANCED INDEX FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VYMRX	92918A204	VOYA MID-CAP RESEARCH ENHANCED INDEX FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	VYMSX	92918A303	VOYA MID-CAP RESEARCH ENHANCED INDEX FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYMVX	92918A600	VOYA MID-CAP RESEARCH ENHANCED INDEX FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VYMYX	92918A808	VOYA MID-CAP RESEARCH ENHANCED INDEX FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IICFX	92913W631	VOYA MULTI-MANAGER INTERNATIONAL FACTORS FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IICWX	92913W763	VOYA MULTI-MANAGER INTERNATIONAL FACTORS FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	LETRX	92913X886	VOYA RUSSIA FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IIRFX	92913W698	VOYA RUSSIA FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IWRFX	92913W672	VOYA RUSSIA FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VCFAV	92913M740	VOYA SECURITIZED CREDIT FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VCPIX	92913M732	VOYA SECURITIZED CREDIT FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VSCWX	92913M310	VOYA SECURITIZED CREDIT FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	XSIAX	92913F109	VOYA SENIOR INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	XSICX	92913F307	VOYA SENIOR INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	XSIIX	92913F406	VOYA SENIOR INCOME FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	XSIWX	92913F505	VOYA SENIOR INCOME FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	IASBX	92913L502	VOYA SHORT TERM BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	IISBX	92913L304	VOYA SHORT TERM BOND FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VSTRX	92913L205	VOYA SHORT-TERM BOND FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	IWSBX	92913L106	VOYA SHORT-TERM BOND FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	NSPAX	92913K405	VOYA SMALL CAP OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	NSPCX	92913K207	VOYA SMALL CAP OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	NSPIX	92913K108	VOYA SMALL CAP OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYSYX	92918A881	VOYA SMALL COMPANY FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VYSZX	92918A873	VOYA SMALL COMPANY FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	VYSAX	92918A865	VOYA SMALL COMPANY FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VYSRX	92918A832	VOYA SMALL COMPANY FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VYSGX	92918A790	VOYA SMALL COMPANY FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	ISORX	92913K777	VOYA SMALLCAP OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	ISOWX	92913K710	VOYA SMALLCAP OPPORTUNITIES FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	ISIAV	92913L700	VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	ISICX	92913L601	VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS C	NTF^	C	N	4:00 PM	01
VOYA FUNDS	IISIX	92913L874	VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
VOYA FUNDS	ISIRX	92913L882	VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	ISIWX	92913L809	VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS W	T	W	N	4:00 PM	01
VOYA FUNDS	VTRVX	92913M716	VOYA TARGET IN-RETIREMENT FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRFX	92913M278	VOYA TARGET IN-RETIREMENT FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRCX	92913M666	VOYA TARGET RETIREMENT 2025 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRHX	92913M252	VOYA TARGET RETIREMENT 2025 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTREX	92913M641	VOYA TARGET RETIREMENT 2030 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRIX	92913M245	VOYA TARGET RETIREMENT 2030 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRGX	92913M625	VOYA TARGET RETIREMENT 2035 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRJX	92913M237	VOYA TARGET RETIREMENT 2035 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRJX	92913M591	VOYA TARGET RETIREMENT 2040 FD CL A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VRRKX	92913M229	VOYA TARGET RETIREMENT 2040 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRMX	92913M575	VOYA TARGET RETIREMENT 2045 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRR LX	92913M211	VOYA TARGET RETIREMENT 2045 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTROX	92913M559	VOYA TARGET RETIREMENT 2050 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRMX	92913M195	VOYA TARGET RETIREMENT 2050 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRQX	92913M534	VOYA TARGET RETIREMENT 2055 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRRNX	92913M187	VOYA TARGET RETIREMENT 2055 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VTRSX	92913M518	VOYA TARGET RETIREMENT 2060 FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VRROX	92913M179	VOYA TARGET RETIREMENT 2060 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VT A UX	92913M161	VOYA TARGET RETIREMENT 2065 FUND CLASS A	NTF^	A	N	4:00 PM	01
VOYA FUNDS	VTIUX	92913M153	VOYA TARGET RETIREMENT 2065 FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	VTURX	92913M146	VOYA TARGET RETIREMENT 2065 FUND CLASS R	T	R	N	4:00 PM	01
VOYA FUNDS	VHDAX	92913K520	VOYA U.S. HIGH DIVIDEND LOW VOLATILITY FUND CLASS A	NTF	A	N	4:00 PM	01
VOYA FUNDS	VHDIX	92913K512	VOYA U.S. HIGH DIVIDEND LOW VOLATILITY FUND CLASS I	T	I	N	4:00 PM	01
VOYA FUNDS	IVRIX	92914G569	VOYA/CLARION REAL ESTATE PORTFOLIO CLASS I	T+	I	N	4:00 PM	01
VULCAN FUNDS	VVILX	31761R229	VULCAN VALUE PARTNERS FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VULCAN FUNDS	VVPLX	317609691	VULCAN VALUE PARTNERS FUND INVESTOR CLASS	T	V	N	4:00 PM	01
VULCAN FUNDS	VVISX	31761R211	VULCAN VALUE PARTNERS SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
VULCAN FUNDS	VVPSX	317609683	VULCAN VALUE PARTNERS SMALL CAP FUND INVESTOR CLASS	T	V	Y	4:00 PM	01
WALTHAUSEN FUNDS	WSVIX	933310203	WALTHAUSEN FOCUSED SMALL-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WALTHAUSEN FUNDS	WFICX	933310500	WALTHAUSEN SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WALTHAUSEN FUNDS	WSCVX	933310104	WALTHAUSEN SMALL CAP VALUE FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WASATCH FUNDS	WGROX	936772201	WASATCH CORE GROWTH FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WIGRX	936793793	WASATCH CORE GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WAINX	936793827	WASATCH EMERGING INDIA FUND	T		N	4:00 PM	01
WASATCH FUNDS	WIINX	936793660	WASATCH EMERGING INDIA FUND INSTL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WAESX	936793751	WASATCH EMERGING MARKETS SELECT FUND	T		N	4:00 PM	01
WASATCH FUNDS	WIESX	936793744	WASATCH EMERGING MARKETS SELECT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WAEMX	936793884	WASATCH EMERGING MARKETS SMALL CAP FUND	T		N	4:00 PM	01
WASATCH FUNDS	WIEMX	936793736	WASATCH EMERGING MARKETS SMALL CAP FUND INSTL CLASS	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
WASATCH FUNDS	WIFMX	936793652	WASATCH FRONTIER EMERGING SMALL COUNTRIES FD INSTL CL	T	I	N	4:00 PM	01
WASATCH FUNDS	WAFMX	936793819	WASATCH FRONTIER EMERGING SMALL COUNTRIES FUND	T		N	4:00 PM	01
WASATCH FUNDS	WAGOX	936793868	WASATCH GLOBAL OPPORTUNITIES FUND	T		N	4:00 PM	01
WASATCH FUNDS	WIGOX	936793728	WASATCH GLOBAL OPPORTUNITIES FUND INSTL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WGGSX	936793637	WASATCH GLOBAL SELECT FUND CLASS I	T	I	N	4:00 PM	01
WASATCH FUNDS	WAGSX	936793645	WASATCH GLOBAL SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WASATCH FUNDS	FMIEX	936793843	WASATCH GLOBAL VALUE FUND	T		N	4:00 PM	01
WASATCH FUNDS	WILCX	936793785	WASATCH GLOBAL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WGGCX	936793553	WASATCH GREATER CHINA FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WAGCX	936793561	WASATCH GREATER CHINA FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WASATCH FUNDS	WHOSX	936772300	WASATCH HOISINGTON U.S. TREASURY FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WAIGX	936793405	WASATCH INTERNATIONAL GROWTH FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WIIGX	936793694	WASATCH INTERNATIONAL GROWTH FUND INSTL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WIOX	936793686	WASATCH INTERNATIONAL OPP FUND INSTL CLASS	T	I	Y	4:00 PM	01
WASATCH FUNDS	WAOX	936793702	WASATCH INTERNATIONAL OPPORTUNITIES FUND	NTF		Y	4:00 PM	01
WASATCH FUNDS	WGISX	936793611	WASATCH INTERNATIONAL SELECT FUND CLASS I	T	I	N	4:00 PM	01
WASATCH FUNDS	WAISX	936793629	WASATCH INTERNATIONAL SELECT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WASATCH FUNDS	WGLSX	936793538	WASATCH LONG/SHORT FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WALSX	936793546	WASATCH LONG/SHORT FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WASATCH FUNDS	WMICX	936772508	WASATCH MICRO CAP FUND	NTF		Y	4:00 PM	01
WASATCH FUNDS	WGICX	936793587	WASATCH MICRO-CAP FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
WASATCH FUNDS	WAMVX	936793504	WASATCH MICRO-CAP VALUE FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WGMVX	936793595	WASATCH MICRO-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WIAEX	936793678	WASATCH SMALL CAP GROWTH FUND INSTL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WICVX	936793777	WASATCH SMALL CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WASATCH FUNDS	WAAEX	936772102	WASATCH SMALL-CAP GROWTH FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WMCVX	936793207	WASATCH SMALL-CAP VALUE FUND	NTF		N	4:00 PM	01
WASATCH FUNDS	WAMCX	936772409	WASATCH ULTRA GROWTH FUND	NTF		Y	4:00 PM	01
WASATCH FUNDS	WGMCX	936793579	WASATCH ULTRA GROWTH FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
WAVELENGTH FUNDS	WAVLX	90386H883	WAVELENGTH INTEREST RATE NEUTRAL FUND	T		N	4:00 PM	01
WAYCROSS FUNDS	WAYEX	944174200	WAYCROSS LONG/SHORT EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCMCX	46143U807	WCM CHINA QUALITY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCQGX	46143U708	WCM CHINA QUALITY GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMEX	46141P834	WCM FOCUSED EMERGING MARKETS FD INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WFEMX	46141P842	WCM FOCUSED EMERGING MARKETS FD INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMGX	46141P818	WCM FOCUSED GLOBAL GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WFGGX	46141P826	WCM FOCUSED GLOBAL GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMIX	461418444	WCM FOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
WCM FUNDS	WCMRX	461418386	WCM FOCUSED INTERNATIONAL GROWTH FUND INVESTOR CLASS	NTF	V	Y	4:00 PM	01
WCM FUNDS	WCMOX	46143U682	WCM FOCUSED INTERNATIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCFOX	46143U690	WCM FOCUSED INTERNATIONAL OPPORTUNITIES FUND INVESTOR CLASS	T	V	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
WCM FUNDS	WCMVX	46143U823	WCM FOCUSED INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WLIVX	46143U831	WCM FOCUSED INTERNATIONAL VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMFX	46143U401	WCM FOCUSED SMALL CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCMJX	46143U302	WCM FOCUSED SMALL CAP FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMTX	46143U716	WCM INTERNATIONAL LONG-TERM GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WILGX	46143U724	WCM INTERNATIONAL LONG-TERM GROWTH FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WCM FUNDS	WCMSX	46141Q683	WCM INTERNATIONAL SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
WCM FUNDS	WCMLX	46143U203	WCM SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCMNX	46143U104	WCM SMALL CAP GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMDX	46143U856	WCM SUSTAINABLE DEVELOPING WORLD FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WCMUX	46143U864	WCM SUSTAINABLE DEVELOPING WORLD FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WCM FUNDS	WCMMX	46143U872	WCM SUSTAINABLE INTERNATIONAL FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WCM FUNDS	WESGX	46143U880	WCM SUSTAINABLE INTERNATIONAL FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WEISS FUNDS	WEISX	81752T205	WEISS ALTERNATIVE MULTI-STRATEGY FUND CLASS K	T	K	N	4:00 PM	01
WEISS FUNDS	WEIZX	81752T841	WEISS ALTERNATIVE MULTI-STRATEGY FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WEITZ FUNDS	WBAIX	94904P815	THE WEITZ BALANCED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	SAFEX	94904P401	THE WEITZ ULTRA SHORT GOVERNMENT FUND INSTITUTIONAL CLASS	T	I	Y	4:00 PM	01
WEITZ FUNDS	WBALX	94904P104	WEITZ BALANCED FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WEITZ FUNDS	WCPBX	94904P831	WEITZ CORE PLUS INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	WCPNX	94904P823	WEITZ CORE PLUS INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WEITZ FUNDS	WEHIX	94904P500	WEITZ HICKORY FUND	NTF	V	N	4:00 PM	01
WEITZ FUNDS	WNTFX	94904P807	WEITZ NEBRASKA TAX-FREE INCOME FUND	T	V	N	4:00 PM	01
WEITZ FUNDS	WPOPX	94904P708	WEITZ PARTNERS III OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	WPOIX	94904P872	WEITZ PARTNERS III OPPORTUNITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WEITZ FUNDS	WPVIX	94904P849	WEITZ PARTNERS VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	WPVLX	94904P609	WEITZ PARTNERS VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WEITZ FUNDS	WEFIX	94904P302	WEITZ SHORT DURATION INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	WSHNX	94904P864	WEITZ SHORT DURATION INCOME FUND INVESTOR CLASS	T	V	N	4:00 PM	01
WEITZ FUNDS	WVAIX	94904P856	WEITZ VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WEITZ FUNDS	WVALX	94904P203	WEITZ VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WESTWOOD FUNDS	WMNAX	90386H271	WESTWOOD ALTERNATIVE INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WMNIX	90386K704	WESTWOOD ALTERNATIVE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WMNUX	90386H172	WESTWOOD ALTERNATIVE INCOME FUND ULTRA CLASS	T	U	N	4:00 PM	01
WESTWOOD FUNDS	WSDAX	90386H289	WESTWOOD HIGH INCOME FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WWHCX	90386H222	WESTWOOD HIGH INCOME FUND CLASS C	NTF^	C	N	4:00 PM	01
WESTWOOD FUNDS	WHGHX	90386K605	WESTWOOD HIGH INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WWIAX	90386H297	WESTWOOD INCOME OPPORTUNITY FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WWICX	90386H230	WESTWOOD INCOME OPPORTUNITY FUND CLASS C	NTF^	C	N	4:00 PM	01
WESTWOOD FUNDS	WHGIX	90386K506	WESTWOOD INCOME OPPORTUNITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WWLAX	90386H339	WESTWOOD LARGE-CAP VALUE FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WWLCX	90386H263	WESTWOOD LARGE-CAP VALUE FUND CLASS C	NTF^	C	N	4:00 PM	01

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WESTWOOD FUNDS	WHGLX	90386K100	WESTWOOD LARGE-CAP VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WQAIX	90386H354	WESTWOOD QUALITY ALLCAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WVMCX	90386K803	WESTWOOD QUALITY MID-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WHGAX	90386H313	WESTWOOD SMALL-CAP FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WHGCX	90386H248	WESTWOOD SMALL-CAP FUND CLASS C	NTF^	C	N	4:00 PM	01
WESTWOOD FUNDS	WHGSX	90386K407	WESTWOOD SMALL-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WSCIX	90386H347	WESTWOOD SMALL-CAP GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WHGMX	90386K308	WESTWOOD SMID-CAP FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WESTWOOD FUNDS	WWTAX	90386H321	WESTWOOD TOTAL RETURN FUND CLASS A	NTF^	A	N	4:00 PM	01
WESTWOOD FUNDS	WLVIX	90386K209	WESTWOOD TOTAL RETURN FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBFIX	969251107	WILLIAM BLAIR BOND FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBBNX	969251206	WILLIAM BLAIR BOND FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WICGX	969251446	WILLIAM BLAIR CHINA GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBELX	969251404	WILLIAM BLAIR EMERGING LEADERS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WELNX	969251867	WILLIAM BLAIR EMERGING LEADERS GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	BESIX	969251859	WILLIAM BLAIR EMERGING MARKET SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WESNX	969251818	WILLIAM BLAIR EMERGING MARKET SMALL CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WEDIX	969251511	WILLIAM BLAIR EMERGING MARKETS DEBT FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBENX	093001212	WILLIAM BLAIR EMERGING MARKETS FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBEIX	093001220	WILLIAM BLAIR EMERGING MARKETS GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WGFIX	093001113	WILLIAM BLAIR GLOBAL LEADERS FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WGGNX	093001121	WILLIAM BLAIR GLOBAL LEADERS FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	BGFIX	093001865	WILLIAM BLAIR GROWTH FD CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBGSX	093001105	WILLIAM BLAIR GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	BIFIX	093001683	WILLIAM BLAIR INCOME FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBRRX	093001204	WILLIAM BLAIR INCOME FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	BIGIX	093001774	WILLIAM BLAIR INTERNATIONAL GROWTH CLASS I	T	I	Y	4:00 PM	01
WILLIAM BLAIR FUNDS	WBIGX	093001402	WILLIAM BLAIR INTERNATIONAL GROWTH FUND CLASS N	NTF	N	Y	4:00 PM	01
WILLIAM BLAIR FUNDS	WILIX	969251719	WILLIAM BLAIR INTERNATIONAL LEADERS FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WILNX	969251693	WILLIAM BLAIR INTERNATIONAL LEADERS FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WISIX	093001188	WILLIAM BLAIR INTERNATIONAL SMALL CAP GROWTH FD CL I	T	I	Y	4:00 PM	01
WILLIAM BLAIR FUNDS	WISNX	093001170	WILLIAM BLAIR INTERNATIONAL SMALL CAP GROWTH FUND CL N	NTF	N	Y	4:00 PM	01
WILLIAM BLAIR FUNDS	LCGFX	093001543	WILLIAM BLAIR LARGE CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	LCGNX	093001535	WILLIAM BLAIR LARGE CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBLIX	969251602	WILLIAM BLAIR LOW DURATION FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBLNX	969251701	WILLIAM BLAIR LOW DURATION FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WMCIX	969251784	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WMCNX	969251792	WILLIAM BLAIR MACRO ALLOCATION FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WCGIX	093001154	WILLIAM BLAIR MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WCGNX	093001147	WILLIAM BLAIR MID CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBSIX	093001485	WILLIAM BLAIR SMALL CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01

FUND FAMILY NAME	SYMBOL	CUSIP	FUND NAME	NTF-Fee Status	Share Class	Closed	Purch Cut-off (EST)	Purch Settle (days)
WILLIAM BLAIR FUNDS	WBSNX	093001477	WILLIAM BLAIR SMALL CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WSMDX	093001295	WILLIAM BLAIR SMALL MID CAP GROWTH FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WSMNX	093001345	WILLIAM BLAIR SMALL MID CAP GROWTH FUND CLASS N	NTF	N	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBCIX	969251537	WILLIAM BLAIR SMALL/MID-CAP CORE FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	ICSCX	969251487	WILLIAM BLAIR SMALL-CAP VALUE FUND CLASS I	T	I	N	4:00 PM	01
WILLIAM BLAIR FUNDS	WBVNX	969251479	WILLIAM BLAIR SMALL-CAP VALUE FUND CLASS N	NTF	N	N	4:00 PM	01
WILMINGTON FUNDS	WABMX	97181C829	WILMINGTON BROAD MARKET BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
WILMINGTON FUNDS	WIBMX	97181C811	WILMINGTON BROAD MARKET BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WDIAX	97181C746	WILMINGTON ENHANCED DIVIDEND INCOME STRATEGY FUND CLASS A	NTF	A	N	4:00 PM	01
WILMINGTON FUNDS	WDIIX	97181C738	WILMINGTON ENHANCED DIVIDEND INCOME STRATEGY FUND CLASS I	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WRAAX	97181C324	WILMINGTON GLOBAL ALPHA EQUITIES FUND CLASS A	NTF^	A	N	4:00 PM	01
WILMINGTON FUNDS	WRAIX	97181C332	WILMINGTON GLOBAL ALPHA EQUITIES FUND CLASS I	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WINAX	97181C795	WILMINGTON INTERNATIONAL FUND CLASS A	NTF	A	N	4:00 PM	01
WILMINGTON FUNDS	WINIX	97181C787	WILMINGTON INTERNATIONAL FUND CLASS I	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WMLIX	97181C415	WILMINGTON LARGE- CAP STRATEGY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WTABX	97181C464	WILMINGTON MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
WILMINGTON FUNDS	WTAIX	97181C456	WILMINGTON MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WNYAX	97181C589	WILMINGTON NEW YORK MUNICIPAL BOND FUND CLASS A	NTF^	A	N	4:00 PM	01
WILMINGTON FUNDS	WNYIX	97181C571	WILMINGTON NEW YORK MUNICIPAL BOND FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILMINGTON FUNDS	WMMRX	97181C449	WILMINGTON REAL ASSET FUND CLASS A	NTF^	A	N	4:00 PM	01
WILMINGTON FUNDS	WMRIX	97181C431	WILMINGTON REAL ASSET FUND CLASS I	T	I	N	4:00 PM	01
WILSHIRE FUNDS	WINDX	971897863	WILSHIRE 5000 INDEX PORTFOLIO INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	WFIVX	971897855	WILSHIRE 5000 INDEX PORTFOLIO INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WIOPX	971897764	WILSHIRE INCOME FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	WIORX	971897772	WILSHIRE INCOME FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WLTTX	971897814	WILSHIRE INT'L EQUITY FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	WLCTX	971897822	WILSHIRE INT'L EQUITY FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WLCGX	971897509	WILSHIRE LARGE COMPANY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	DTLGX	971897103	WILSHIRE LARGE COMPANY GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WLCVX	971897608	WILSHIRE LARGE COMPANY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	DTLVX	971897202	WILSHIRE LARGE COMPANY VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WSMGX	971897707	WILSHIRE SMALL COMPANY GROWTH FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	DTSGX	971897301	WILSHIRE SMALL COMPANY GROWTH FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WILSHIRE FUNDS	WSMVX	971897806	WILSHIRE SMALL COMPANY VALUE FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WILSHIRE FUNDS	DTSVX	971897400	WILSHIRE SMALL COMPANY VALUE FUND INVESTOR CLASS	NTF	V	N	4:00 PM	01
WST FUNDS	WAMIX	92938M402	WSTCM CREDIT SELECT RISK MANAGED FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01
WST FUNDS	WAMBX	92938M303	WSTCM CREDIT SELECT RISK MANAGED FUND INVESTOR CLASS	T	V	N	4:00 PM	01
YCG FUNDS	YCGEX	98421P109	YCG ENHANCED FUND	T		N	4:00 PM	01
YORKTOWN FUNDS	APIGX	028837409	YORKTOWN CAPITAL APPRECIATION FUND CLASS A	NTF^	A	N	4:00 PM	01
YORKTOWN FUNDS	AFDDX	028837854	YORKTOWN CAPITAL APPRECIATION FUND CLASS L	T	L	N	4:00 PM	01
YORKTOWN FUNDS	AFAAX	028837862	YORKTOWN CAPITAL APPRECIATION FUND INSTITUTIONAL CLASS	T	I	N	4:00 PM	01

